



Lecture 20

5. RF Systems and Particle Acceleration

- 5.2 Accelerating RF Cavities
 - 5.2.3 Standing wave cavities
 - 5.2.4 The pillbox cavity
 - 5.2.5 Higher-Order-Modes
 - 5.2.6 SRF primer



Parallel circuit model

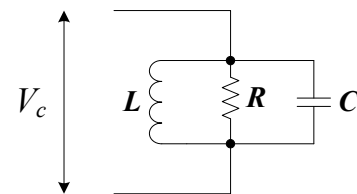
A resonant cavity can be modeled as a series of parallel circuits representing the cavity eigenmodes:

dissipated power $P_c = \frac{V_c^2}{2R}$

shunt impedance $R_{sh} = 2R$

quality factor $Q_0 = \omega_0 CR = \frac{R}{\omega_0 L} = R \sqrt{\frac{C}{L}}$

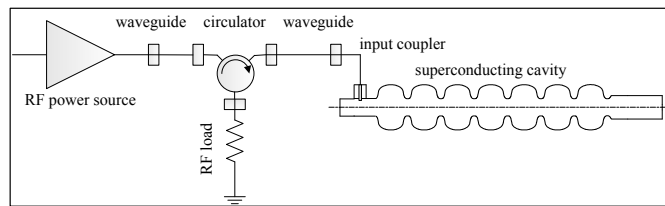
impedance $Z = \frac{R}{1 + iQ \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega} \right)} \approx \frac{R}{1 + 2iQ \left(\frac{\omega - \omega_0}{\omega_0} \right)}$



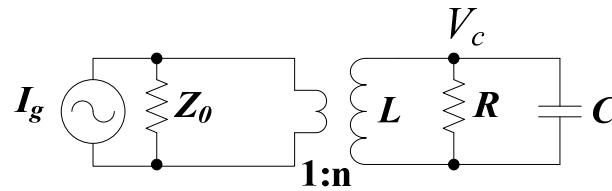


Connecting to a power source

- Consider a cavity connected to an RF power source



- The input coupler can be modeled as an ideal transformer:



External & loaded Q factors

- If RF is turned off, stored energy will be dissipated now not only in R , but also in $Z_0 \cdot n^2$, thus

$$P_{tot} = P_0 + P_{ext}$$

$$P_0 = P_c = \frac{V_c^2}{2R} = \frac{V_c^2}{R/Q \cdot Q_0} \quad P_{ext} = \frac{V_c^2}{2Z_0 \cdot n^2} = \frac{V_c^2}{R/Q \cdot Q_{ext}}$$

- Where we have defined an external quality factor associated with an input coupler. Such Q factors can be identified with all external ports on the cavity: input coupler, RF probe, HOM couplers, beam pipes, etc.
- Then the total power loss can be associated with the loaded Q factor, which is

$$\frac{1}{Q_L} = \frac{1}{Q_0} + \frac{1}{Q_{ext1}} + \frac{1}{Q_{ext2}} + \dots$$



Coupling parameter β

- For each port a coupling parameter can be defined as

$$\beta \equiv \frac{Q_0}{Q_{ext}}$$

so

$$\frac{1}{Q_L} = \frac{1 + \beta}{Q_0}$$

- It tells us how strongly the couplers interact with the cavity. Large β implies that the power leaking out of the coupler is large compared to the power dissipated in the cavity walls:

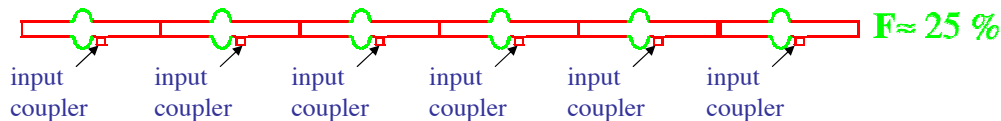
$$P_{ext} = \frac{V_c^2}{R/Q \cdot Q_{ext}} = \frac{V_c^2}{R/Q \cdot Q_0} \cdot \beta = \beta P_0$$

- And the total power from an RF power source is

$$P_{tot} = P_{forw} = (\beta + 1)P_0$$



Multicell Cavities: Why?



higher fill-factor:

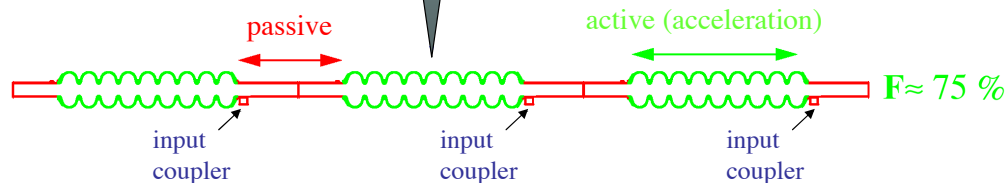
$$F = \frac{\text{active length}}{\text{total length}}$$

⇒ lower costs

⇒ better beam

fewer

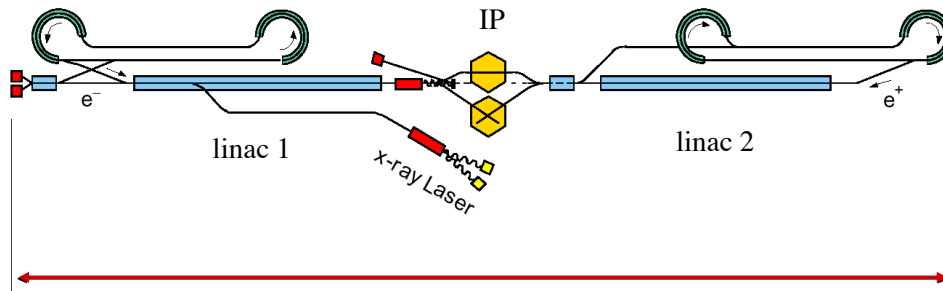
- input couplers
- waveguide elements
- RF control systems
- ...





Multicell Cavities: Why?

Example: 500 GeV Linear Collider



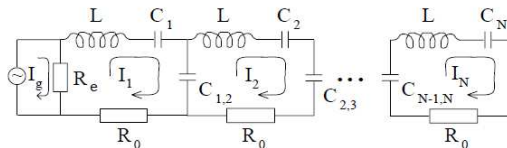
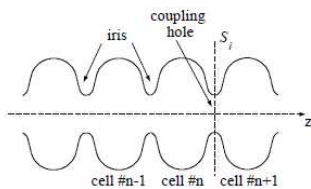
21,024 9-cell cavities: 27.8 km (17.3 miles)

189,216 1-cell cavities: 75.4 km (46.8 miles)



Multicell cavities

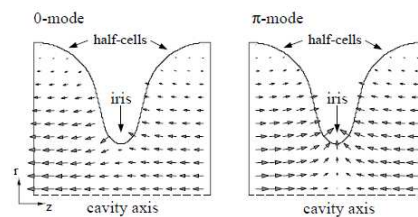
- Several cells can be connected together to form a multicell cavity.
- Coupling of TM_{010} modes of the individual cells via the iris (primarily electric field) causes them to split into a passband of closely spaced modes equal in number to the number of cells.



- The width of the passband is determined by the strength of the cell-to-cell coupling k and the frequency of the n -th mode can be calculated from the dispersion formula

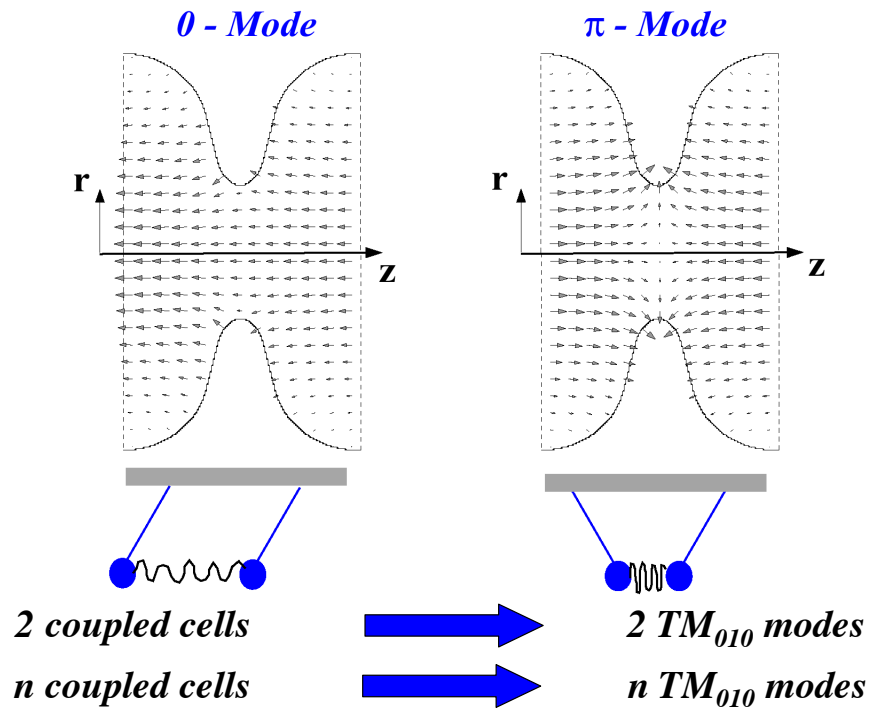
$$\left(\frac{f_n}{f_0}\right)^2 = 1 + 2k \left[1 - \cos\left(\frac{n\pi}{N}\right)\right]$$

where N is the number of cells, $n = 1 \dots N$ is the mode number.

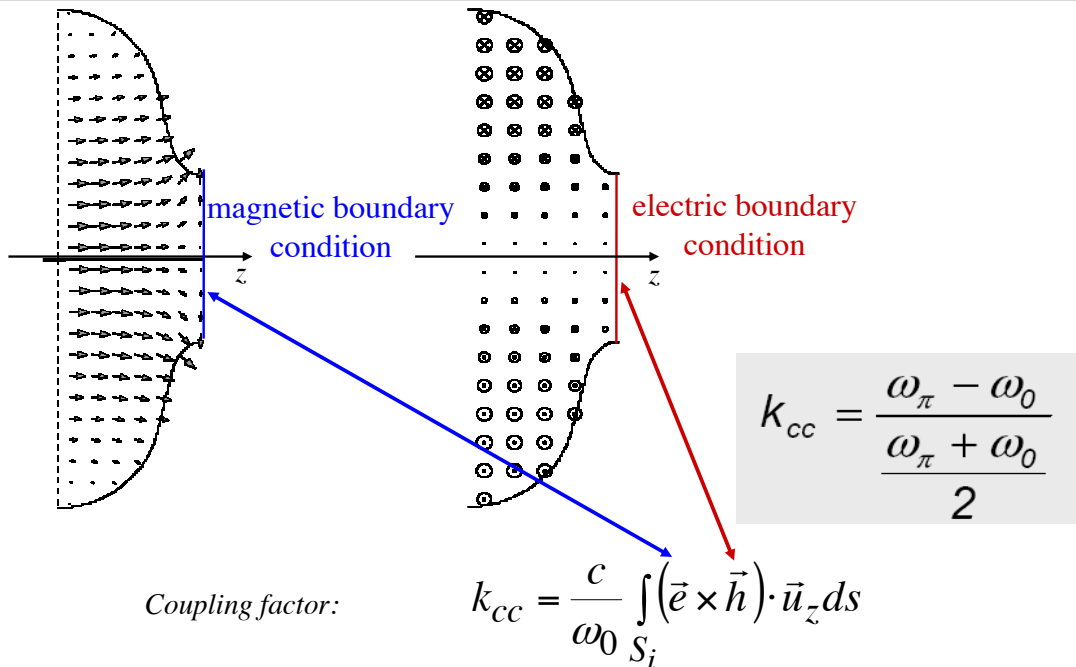




Two Coupled Cells: TM₀₁₀ Modes

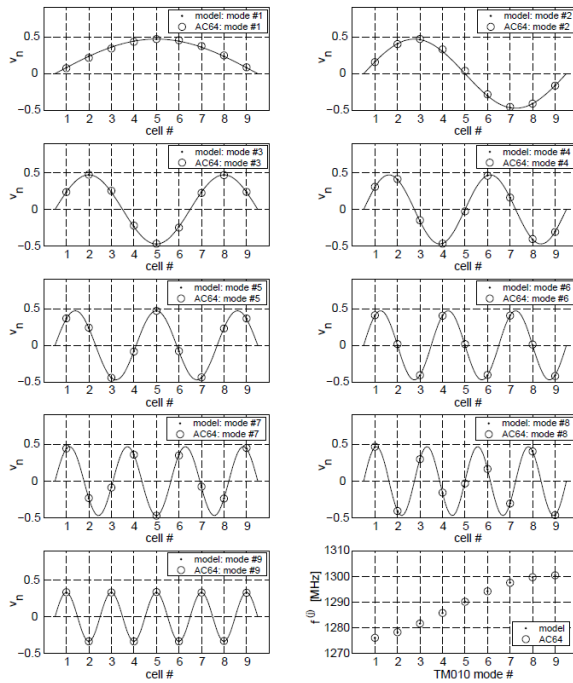


Cell-to-Cell Coupling





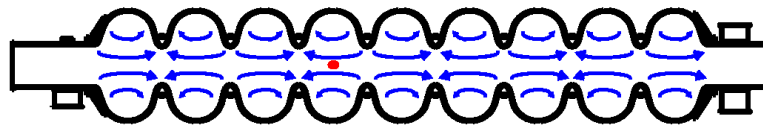
Multicell cavities



- Figure shows an example of calculated eigenmodes amplitudes in a 9-cell TESLA cavity compared to the measured amplitude profiles. Also shown are the calculated and measured eigenfrequencies.
- A longer cavity with more cells has more modes in the same frequency range, hence the reduction in frequency difference between adjacent modes. The number of cells is usually a result of the accelerating structure optimization.
- The accelerating mode for SC cavities is usually the π -mode, which has the highest frequency for electrically coupled structures.
- The same considerations are true for HOMs.



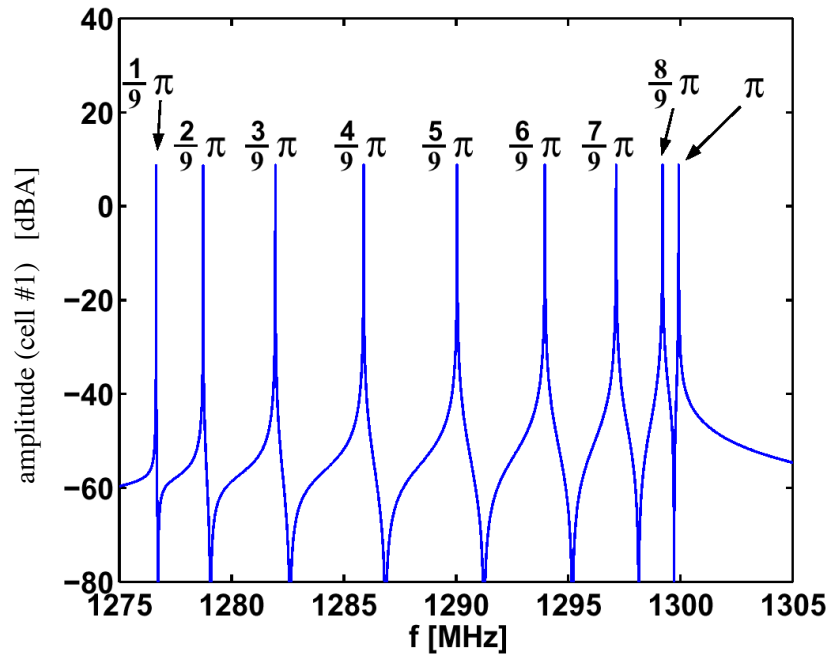
Accelerating π -mode:



- cell-to-cell phase advance
- bunch takes $\frac{1}{2}$ RF period pass cell
-> energy gain in each cell!



Simulation Example: TM010 Eigenmode-Spectrum of a 9-Cell Cavity

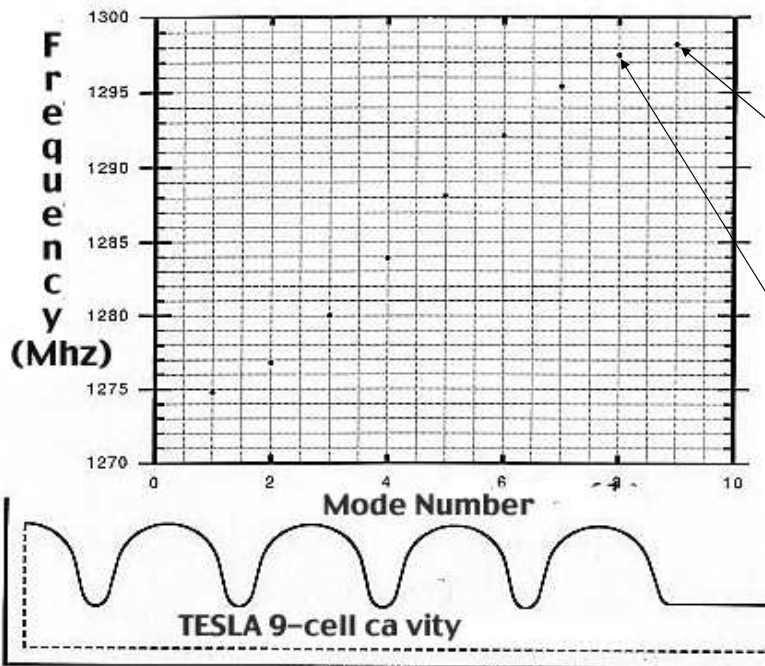


Matthias Liepe, P4456/7656, Spring 2010, Cornell University

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Dispersion Relation



The working point. If it is too close to the neighbor point this neighbor mode can also be excited. To avoid this, more cell-to-cell coupling is needed: broader aperture.

Matthias Liepe, P4456/7656, Spring 2010, Cornell University

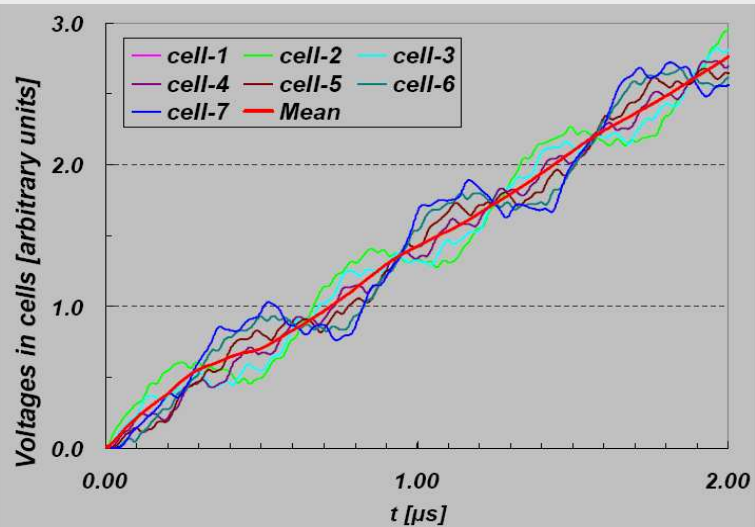
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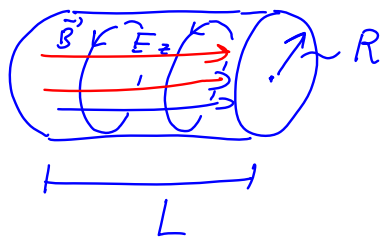
Mode Beating during Cavity Filling

Modeling of the transient state (mode beating)

Example: 7-cells, $k_{cc}=1.85\%$, $Q_L=3.4 \cdot 10^6$



5.2.4 The pillbox cavity



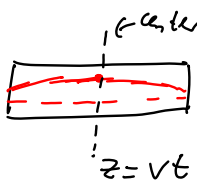
accelerating mode TM₀₁₀

$$E_z = E_0 J_0\left(\frac{2.405 r}{R}\right) e^{i\omega t}$$

$$H_\phi = -i E_0 \sqrt{\frac{\epsilon_0}{\mu_0}} J_1\left(\frac{2.405 r}{R}\right) e^{i\omega t}$$

$$\omega_{010} = \frac{2.405}{R} c, \text{ i.e. indep. of } L$$

Transit-time-factor



$$T \equiv \frac{V_c}{E_0 \cdot L}$$

V_c ← effective accelerating voltage;
energy gain $\Delta E = q \cdot V_c$

$$= \frac{\int_0^{L/2} E_0 \cos\left(\omega \frac{z}{v}\right) dz}{v} = \frac{\sin \xi}{\xi}$$

← particle speed v

⇒ for $L = \beta \frac{\lambda}{2}$ (transit-time = $\frac{1}{2}$ RF period)
⇒ $T = 2/\pi < 1$

with $\xi = \frac{\omega}{v} \frac{L}{2}$



• Quality factor Q_0 :

$$Q_0 = \omega \frac{\text{stored energy}}{\text{Power dissipated in walls}}$$

$$\begin{aligned} \rightarrow \text{stored energy} = \mathcal{U} &= \frac{1}{2} \epsilon_0 \int_0^R \int_0^{2\pi} \int_0^L E^2 d\phi r dr dz \quad \left. \begin{array}{l} \text{at } t=t_0 \\ \text{with} \\ E = E_{\text{max}} \\ \text{and } B=0 \end{array} \right\} \\ &= \frac{1}{2} \epsilon_0 L 2\pi E_0^2 \int_0^R J_0^2\left(\frac{\omega}{c} r\right) r dr \\ &= \frac{1}{2} \epsilon_0 L 2\pi E_0^2 \left(\frac{c}{\omega}\right)^2 \int_0^{z_{01}} J_0^2(u) u du \quad \text{with } u = \frac{\omega}{c} r \\ & \quad z_{01} = 2.405 \quad \left(\frac{\omega}{c} R = 2.405 \text{ (1st zero of } J_0) \right) \end{aligned}$$

use $\int_0^{z_{01}} J_0^2(u) u du = \frac{1}{2} \left(z_{01} \underbrace{J_1(z_{01})}_{0.52} \right)^2$



$$\Rightarrow \mathcal{U} = \frac{1}{2} \epsilon_0 \left\{ L 2\pi \frac{1}{2} \left(\frac{c}{\omega}\right)^2 \left(\frac{\omega}{c} R\right)^2 \right\} E_0^2 J_1^2(2.405)$$

$$L \pi R^2 = V_{01}$$

$$= \frac{1}{2} \epsilon_0 E_0^2 \cdot J_1^2(2.405) \cdot V_{01}$$

average field $^2 = \bar{E}^2$, since $E = E(r)$

Power dissipated in walls:

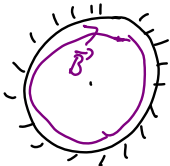
$E_{||} = 0$ at walls, but wall currents are induced by $B_{||}(t)$

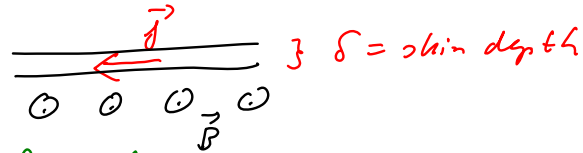
\Rightarrow surface currents with skin depth: $\delta = \sqrt{\frac{2}{\mu_0 \omega \sigma}}$

σ = conductivity

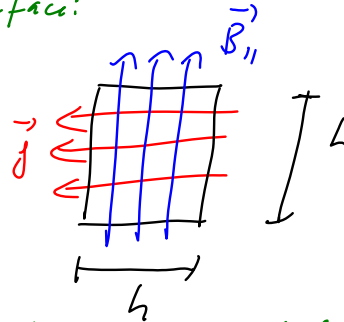
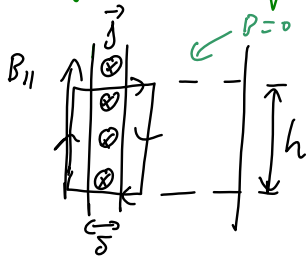
Example: copper: $\sigma = 5.96 \cdot 10^7 \frac{1}{\Omega m}$

$\Rightarrow \delta = 3 \mu m$ at $f = 0.5 \text{ GHz}$





for small square of surface:



$$\oint \vec{B} \cdot d\vec{\ell} = \mu_0 I_{\text{inside path}}$$

current density

$$\Rightarrow B_{||} \cdot h = \mu_0 j h \delta \quad \Rightarrow j = \frac{1}{\mu_0} \frac{B_{||}}{\delta}$$



surface resistance:

$$R_s = \rho \frac{\text{length of conductor}}{\text{x-sectional area}} = \rho \frac{h}{h \delta} = \frac{1}{\sigma \delta}$$

↑
resistivity = $\frac{1}{\sigma}$

example: copper at 300K: $f = 0.5 \text{ GHz} \rightarrow R_s = \underline{\underline{5.7 \text{ m}\Omega}}$

\Rightarrow dissipated power per surface area:

$$\frac{P}{A} = \frac{1}{2} \frac{R_s I^2}{A} \quad \leftarrow \text{from time average (RF fields!) } \langle \cos^2 \rangle = \frac{1}{2}$$

$$= \frac{1}{2} R_s \frac{(j h \delta)^2}{h^2}$$

$$\Rightarrow \underline{\underline{\frac{P}{A} = \frac{1}{2} R_s \frac{1}{\mu_0^2} B_{||}^2 = \frac{1}{2} R_s H_{||}^2}}$$



\Rightarrow for total power dissipated in walls, integrate P/A over entire surface of pillbox cavity:

$$\text{with } |B_{||}| = \frac{\epsilon_0}{c} \gamma_1 \left(\frac{\omega}{c} r \right)$$

$$P_{\text{tube}} = \frac{1}{2} R_s \frac{1}{\mu_0^2} \int_A \frac{\epsilon_0^2}{c^2} \gamma_1^2 \left(\frac{\omega}{c} R \right) da$$

$$= \frac{1}{2} R_s \frac{\epsilon_0}{\mu_0} E_s^2 \gamma_1^2 \left(\frac{\omega}{c} R \right) (2\pi R L)$$

$$P_{\text{end walls}} = 2 \cdot \frac{1}{2} R_s \frac{\epsilon_0}{\mu_0} E_s^2 \int_0^{2\pi R} \int_{z_0=0}^{z_0=L} \gamma_1^2 \left(\frac{\omega}{c} r \right) r dr d\phi$$

two plates \rightarrow

$$= \frac{1}{2} R_s \frac{\epsilon_0}{\mu_0} E_s^2 4\pi \left(\frac{L}{\omega} \right)^2 \int_0^{\omega R/c} \gamma_1^2(u) u du$$



$$\text{with: } \int_0^{z_0} \gamma_1^2(u) u du = \frac{u^2}{2} \left(\gamma_1^2(u) - \underbrace{\gamma_0(u) \gamma_2(u)}_{=0 \text{ at } u=0} \right) \Big|_0^{z_0}$$

$$\Rightarrow P_{\text{end walls}} = \frac{1}{2} R_s \frac{\epsilon_0}{\mu_0} E_s^2 \gamma_1^2 \left(\frac{\omega}{c} R \right) (2\pi R L) \frac{R}{L}$$

$$\Rightarrow P_{\text{walls}} = P_{\text{tube}} + P_{\text{end walls}}$$

$$= \frac{1}{2} R_s \frac{\epsilon_0}{\mu_0} E_s^2 \gamma_1^2 \left(\frac{\omega}{c} R \right) 2\pi R L \left(1 + \frac{R}{L} \right)$$

\Rightarrow quality factor:

$$Q_0 = \omega \frac{U}{P_{\text{walls}}} = \frac{\mu_0 c 2.405}{2 R_s (1 + R/L)}$$

example: copper at 300K,
 $f = 0.5 \text{ GHz}$, $L = \lambda/2$
 $\Rightarrow Q \approx 30,000$



- geometry factor G

$$G = Q_0 \cdot R_s = \frac{\mu_0 c \cdot 2.405}{2(1 + R/L)}$$

$$\left. \begin{array}{l} \text{cavity radius } \propto \frac{1}{f} \\ \text{cavity length } \propto \frac{1}{f} \end{array} \right\} \Rightarrow G \text{ is independent of material properties and frequency; defined by}$$

\Rightarrow for pillbox cavity with $L = \frac{\lambda}{2}$: shape only!

$$R = \frac{2.405}{\omega} \cdot c \quad L = \frac{\lambda}{2} = \frac{c}{\omega} \pi$$

$$\text{gives: } G = \frac{\mu_0 c \cdot 2.405}{2(1 + \frac{2.405}{\pi})} = \underline{\underline{257 \Omega}}$$



- Shunt impedance for $L = \lambda/2 \rightarrow T = (\frac{2}{\pi})$

$$R_{sh} = \frac{V^2}{P_{walls}} = \frac{(\frac{2}{\pi})^2 E_0^2 L^2}{\frac{1}{2} R_s \frac{\epsilon_0}{\mu_0} E_0^2 \gamma_1^2 (\frac{\omega}{c} R) 2\pi R L (1 + \frac{R}{L})}$$

$$= \frac{4L}{\pi^3 R_s \frac{\epsilon_0}{\mu_0} \gamma_1^2 (\frac{\omega}{c} R) R (1 + \frac{R}{L})}$$

- R/Q -factor for pill-box cavity with $L = \lambda/2$

$$\frac{R_{sh}}{Q_0} = \frac{4L \cdot 2R_s (1 + R/L)}{\pi^3 R_s \frac{\epsilon_0}{\mu_0} \gamma_1^2 (\frac{\omega}{c} R) R (1 + R/L) \mu_0 c \cdot 2.405}$$

$$\frac{L}{R} = \frac{\pi}{2.405}$$



$$\Rightarrow \frac{R_{sh}}{Q_s} = \frac{8}{\pi^2 \epsilon_0 \gamma^2 (2.405) c \cdot 2.405^2} = \underline{\underline{196 \Omega}}$$

i.e. indep. of R_s, f ; defined by shape only

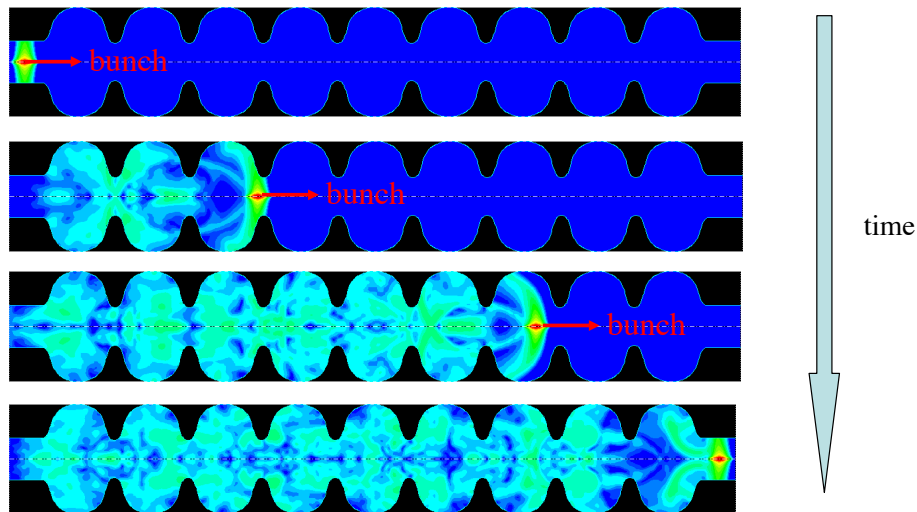


5.2.5 Higher-Order-Modes

- Higher order modes
 - Introduction: HOMs
 - HOM excitation by a beam
 - HOM damping schemes
 - HOM damping examples and results



HOM Excitation by a Bunch

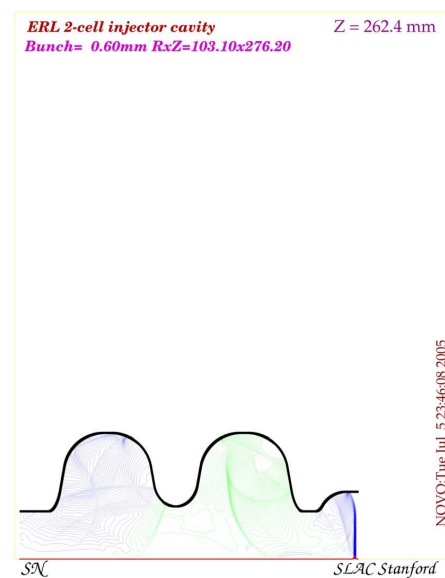


The bunched beam excites higher-order-modes (HOMs)
= wakefields = electromagnetic fields in the cavity.



Beam-Cavity Interaction

- Bunch traverses a cavity
- \Rightarrow deposits electromagnetic energy, which is described as wakefields (time domain) or higher-order modes (HOMs, frequency domain)
- Subsequent bunches are affected by these fields and at high beam current one must consider instabilities

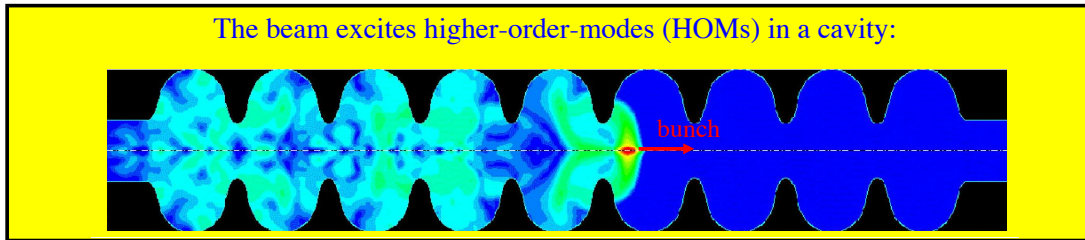


from S. Belomestnykh



Single Bunch Monopole Losses: Wake Potential of a Point-Charge

The beam excites higher-order-modes (HOMs) in a cavity:

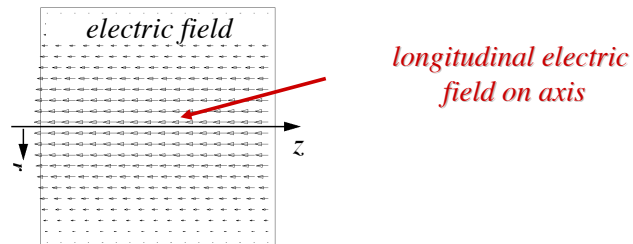


- When a charge passes through a cavity, it excites HOMs.
- If it passes exactly an axis, it will only excite **monopole modes**.
- For a point charge, the HOM excitation depends only on the bunch charge and the cavity shape.
- The excited field can be described by the **wake potential**.

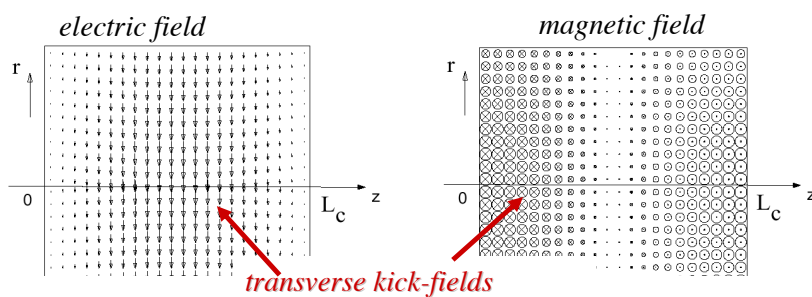


Higher-Order-Modes (HOMs)

Monopole modes



Dipole modes, quadrupole modes,...





Monopole, Dipole and Quadrupole Modes...

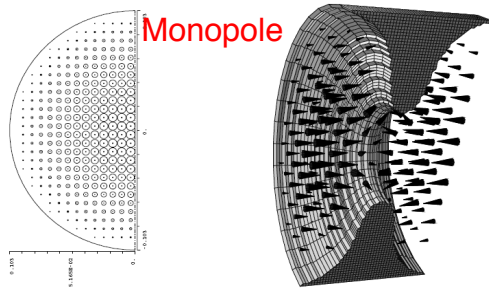


Figure 5: One mid-cell of a TESLA cavity. The electric field of the 1.3 GHz accelerating π -mode is shown. The left graph shows the electric field in a plane perpendicular to the cavity axis.

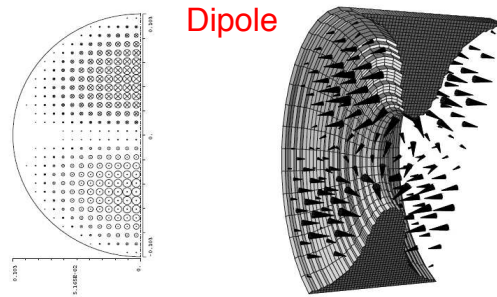


Figure 6: One mid-cell of a TESLA cavity. The electric field of the 1.79 GHz π -mode of the first dipole passband is shown. The left graph shows the electric field in a plane perpendicular to the cavity axis.

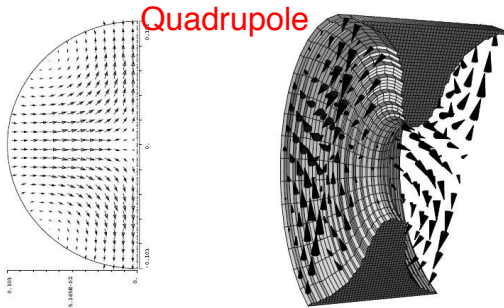


Figure 7: One mid-cell of a TESLA cavity. The electric field of the 2.32 GHz π -mode of the first quadrupole passband is shown. The left graph shows the electric field in a plane perpendicular to the cavity axis.

$$\vec{E}(r, \phi, z) = \sum_m \left(\begin{aligned} &\widetilde{E}_r^{(m)}(r, z) \cos(m\phi) \mathbf{e}_r \\ &+ \widetilde{E}_\phi^{(m)}(r, z) \sin(m\phi) \mathbf{e}_\phi \\ &+ \widetilde{E}_z^{(m)}(r, z) \cos(m\phi) \mathbf{e}_z \end{aligned} \right)$$

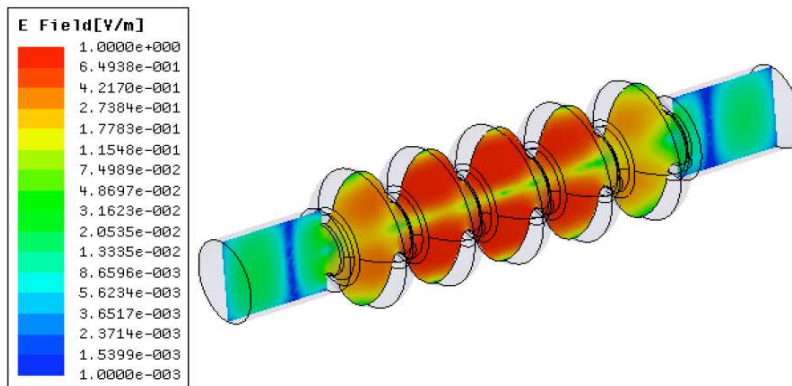
$$\vec{B}(r, \phi, z) = \sum_m \left(\begin{aligned} &\widetilde{B}_r^{(m)}(r, z) \sin(m\phi) \mathbf{e}_r \\ &+ \widetilde{B}_\phi^{(m)}(r, z) \cos(m\phi) \mathbf{e}_\phi \\ &+ \widetilde{B}_z^{(m)}(r, z) \sin(m\phi) \mathbf{e}_z \end{aligned} \right).$$

from R. Wanzenberg



Methods of HOM Calculations: Frequency Domain

Complex eigenvalue solution (becoming available, SLAC codes, ANSYS beta, HFSS) gives real and imaginary parts of impedance directly, hence R and Q.

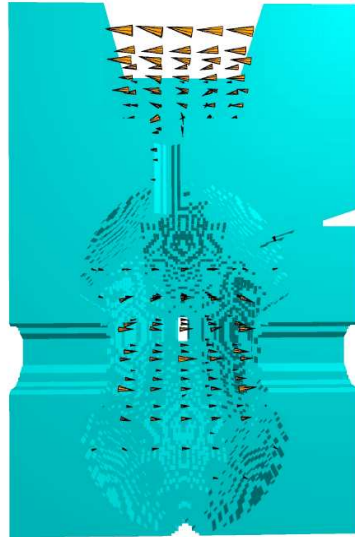


HFSS 3D complex Eigenvalue solution, 5-cell cavity with enlarged beam-pipes.

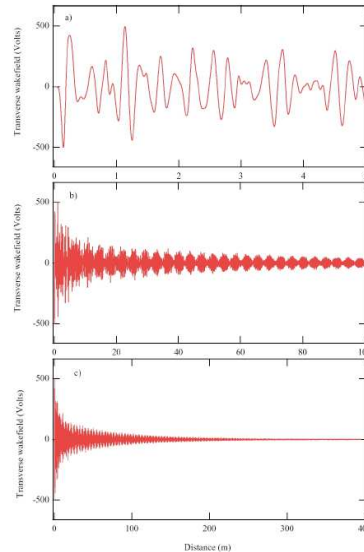


Time-Domain Method (I)

Time domain (FFT) method (developed at SLAC, widely used, ABCI, MAFIA etc.)



3D MAFIA model of PEP-II cavity.

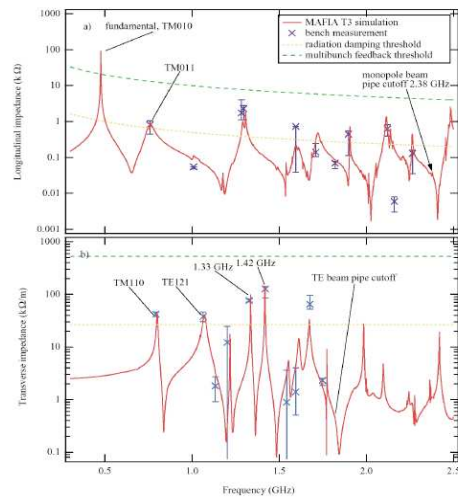


Short-, medium- and long-range wakes*.

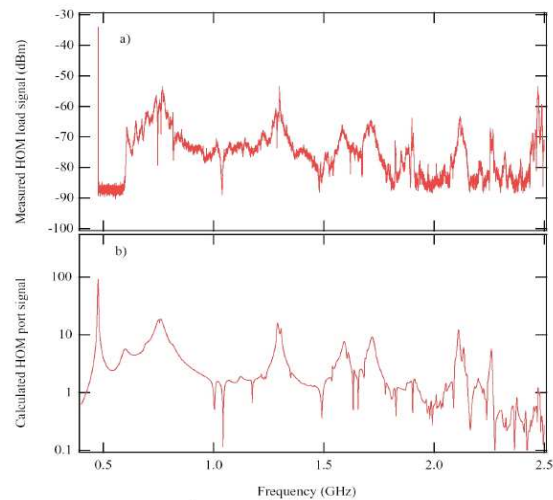
*(2000) Physical Review Special Topics - Accelerators and Beams, Volume 3, 102001



Time-Domain Method (II)



Calculation vs bead-pull measurements.



Measured vs calculated HOM spectrum.

Method uses open boundaries on ports. FFT of long-range wake gives broad-band impedance spectrum in one run. Works best for strong coupling ($\beta \gg 1$). Frequency resolution set by wake length, max frequency set by mesh size (typ. ~ 10 GHz).



HOMs

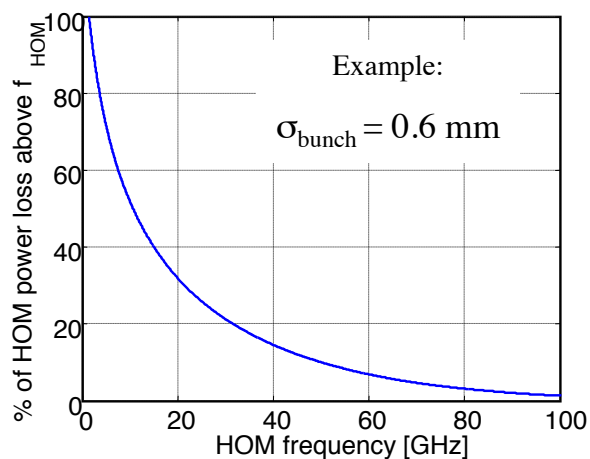
- Higher order modes
 - Introduction: HOMs
 - **HOM excitation by a beam**
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 - HOM damping examples and results



HOM Excitation

The excited HOM power of a single bunch depends on:

- the HOMs of the cavity (i.e. their shunt impedance),
- the bunch charge ($P_{\text{HOM}} \propto q_b^2$),
- the bunch length (i.e. the spectrum of a bunch).

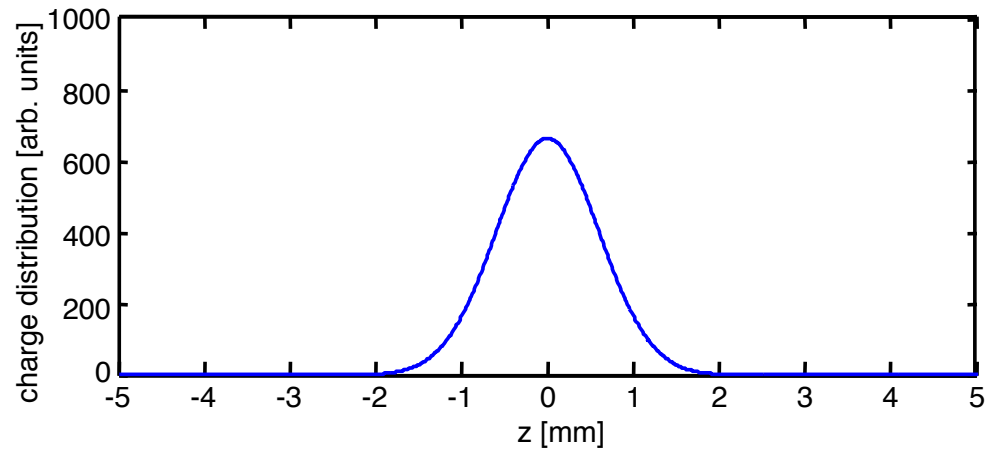


⇒ Short bunches excite very high frequency modes!



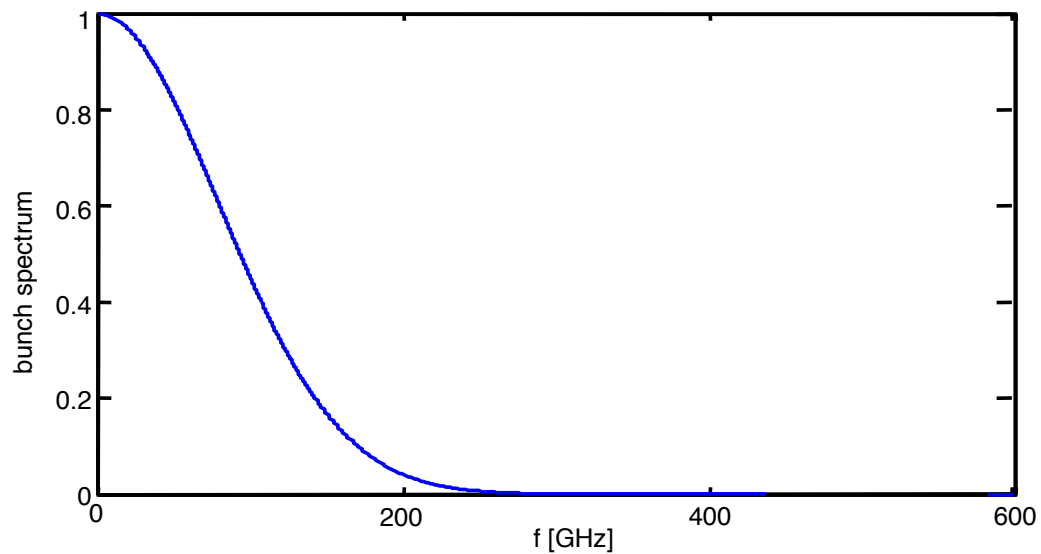
Single Bunch Monopole Losses: The Bunch

Longitudinal charge distribution for a 600 μm bunch:



Single Bunch Monopole Losses: The Bunch Spectrum

Spectrum of a 600 μm bunch:





Beam-cavity interaction: Wave Function

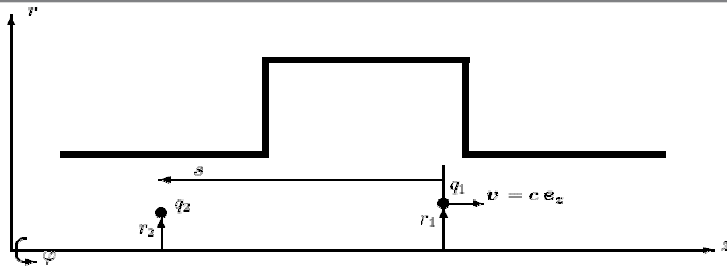
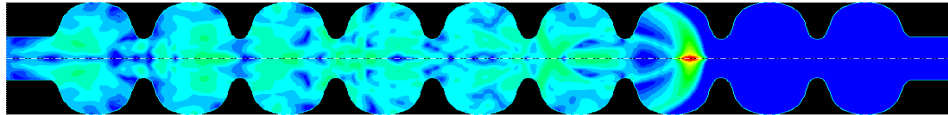


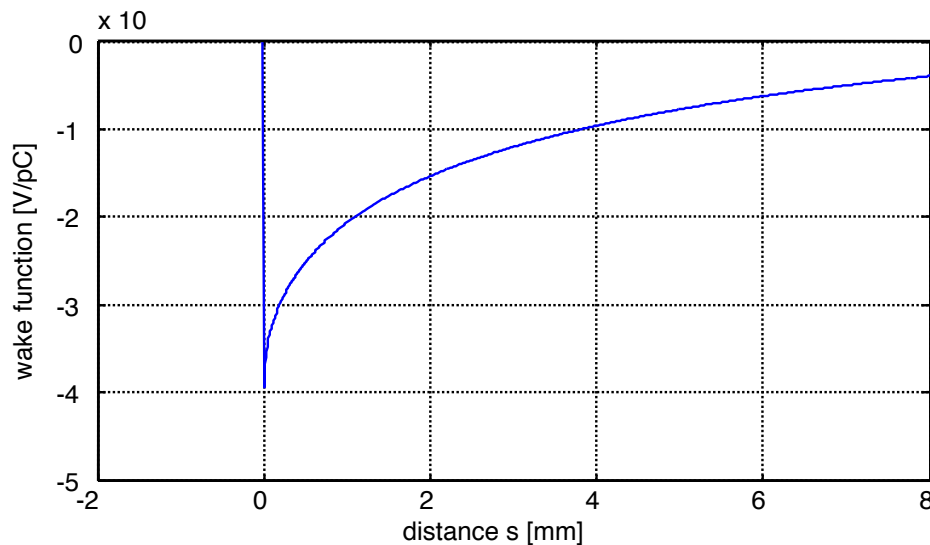
Figure 8: A point charge q_1 traversing a cavity with an offset r_1 followed by a test charge q_2 with offset r_2 .

Lorentz-Forces on test charge:
$$\mathbf{F} = \frac{d\mathbf{p}}{dt} = q_2 (\mathbf{E} + c \mathbf{e}_z \times \mathbf{B}).$$

The integrated field seen by a test particle traveling on the same path at a constant distance s behind a point charge q is the longitudinal wake (Green) function $w(s)$.



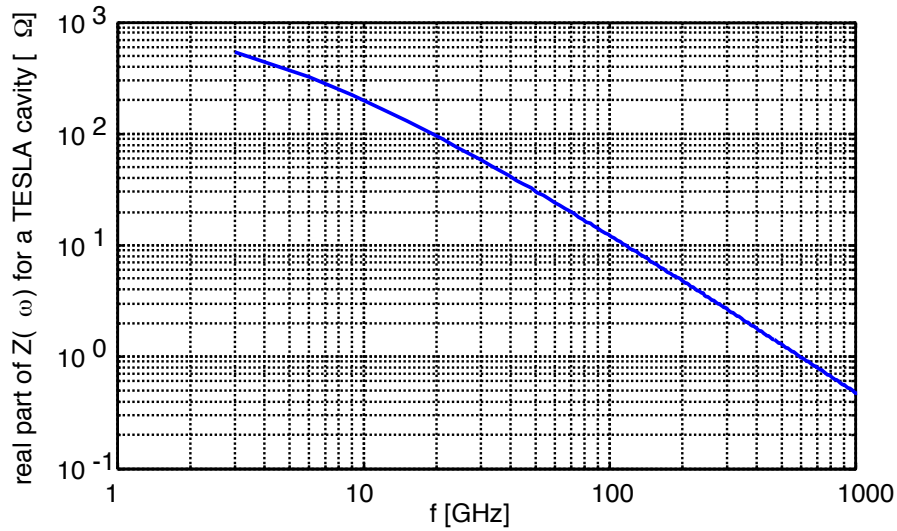
Single Bunch Monopole Losses: Wake Function of a Point Charge after a TESLA Cavity



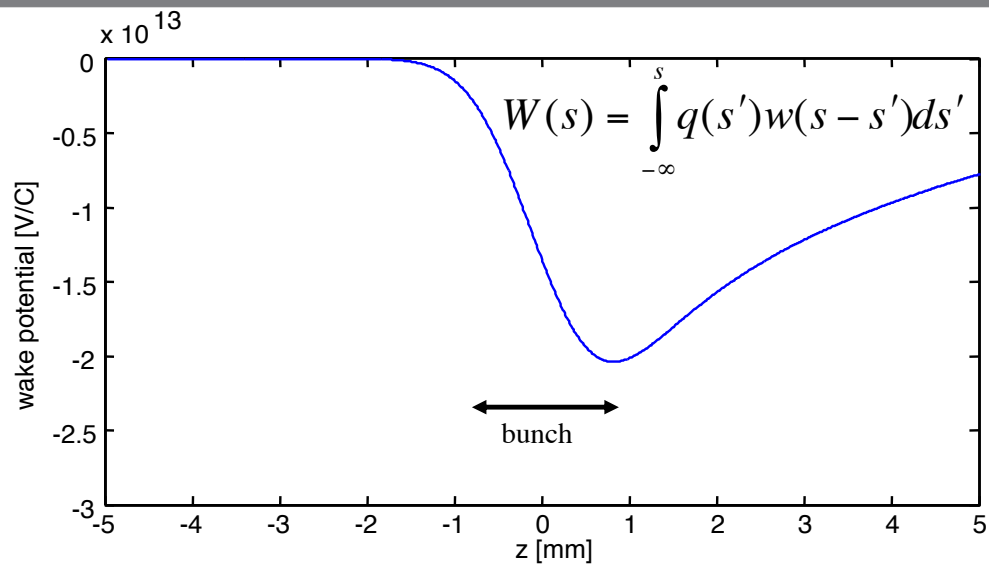


Single Bunch Monopole Losses: Wake Potential of a Point Charge after a TESLA Cavity

The fft of the wake function gives the cavity impedance $Z(\omega)$:



Single Bunch Monopole Losses: Wake Potential of a Bunch after a TESLA Cavity



The wake potential W is a convolution of the linear bunch charge density distribution $q(s)$ and the wake function w



Single Bunch Monopole Losses: Loss Factor

Once the longitudinal wake potential is known, the **longitudinal loss factor**, which tells us how much electromagnetic energy a bunch leaves behind in a structure can be defined as:

$$k = \frac{\Delta U}{q^2} \quad k_{\parallel} = \int_{-\infty}^{\infty} q(s)W(s)ds$$

Average power loss:

$$P_{\parallel} = k_{\parallel} Q_{bunch} I_{beam}$$

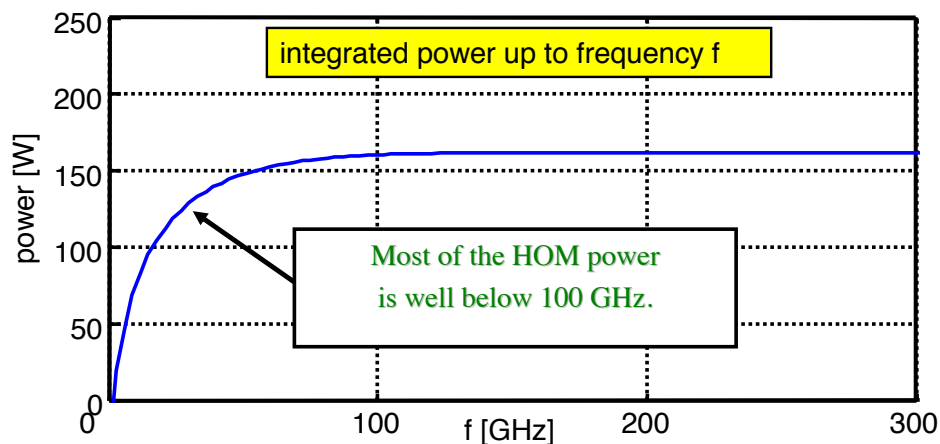
- This is the total energy lost by a bunch divided by the time separation of two consecutive bunches.
- This does not include any interaction between bunches (i.e. resonant mode excitation)!!!



Single Bunch Monopole Losses: HOM Power Frequency Distribution

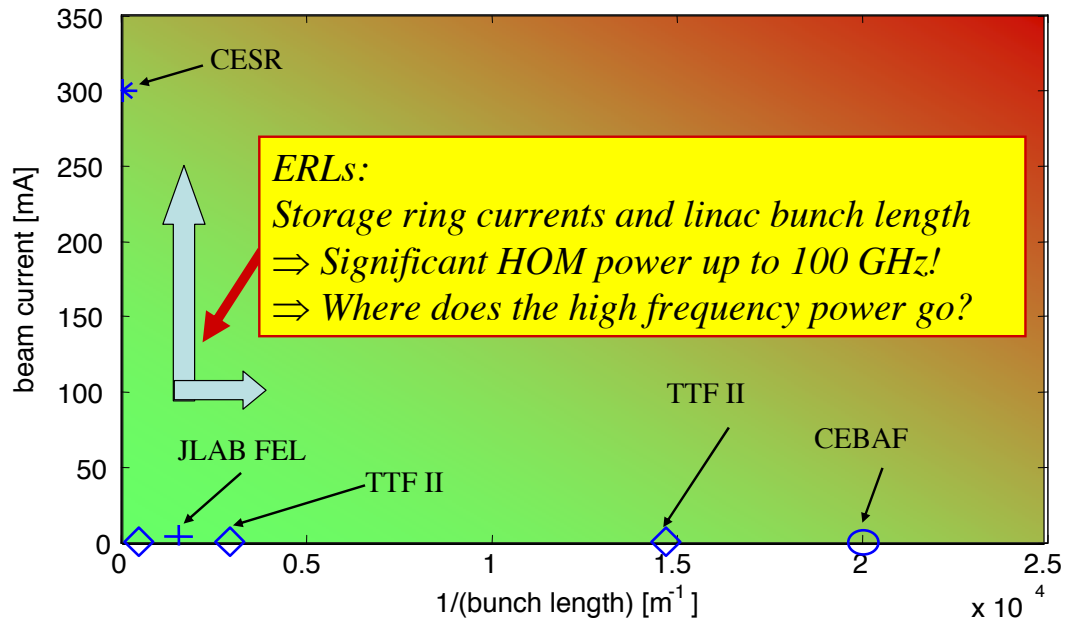
The frequency distribution of the HOM losses is determined by the bunch spectrum and the cavity impedance $Z(\omega)$:

$$P(\omega) \propto Z(\omega) [\tilde{q}(\omega)]^2$$





High current and short bunches



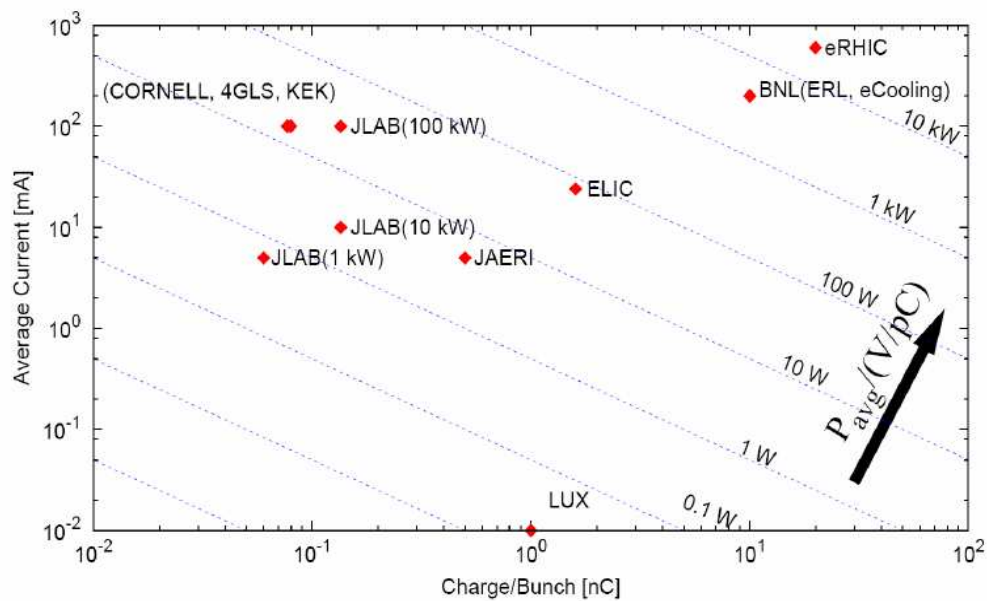
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Average HOM Power Examples

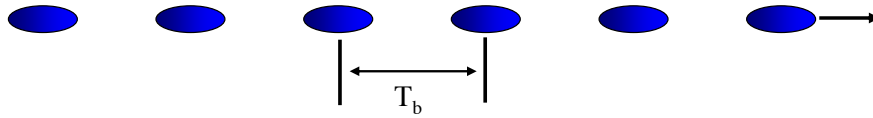


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Bunch Trains



- The HOMs excited by a bunch are decaying due to losses,
- but: still significant field present in the cavity when the next bunch enters the cavity!
- ⇒ Resonant excitation of a HOM, if

$$f_{HOM} \approx N \frac{1}{T_b}$$



HOM Excitation

The excited HOM power of a bunch train depends on:

- the HOM losses of a single bunch,
- the beam harmonic frequencies and the HOM frequencies (resonant excitation is possible!),
- the bunch charge and the beam current ($P_{HOM} \propto QI$),
- and the external quality factor, Q_{ext} of the modes.
Lower Q_{ext} means less energy deposited by the beam:

$$P_{HOM} \propto Q_{ext}$$



Bunch Trains and HOM Power

In *average* the total HOM losses per cavity are given by the single bunch losses (77 pC bunch charge, 2.6 GHz bunch repetition rate, $\sigma_b = 600 \mu\text{m}$):

$$P_{||} = k_{||} Q_{\text{bunch}} I_{\text{beam}} = 10.4 \text{ V/pC} \cdot 77 \text{ pC} \cdot 0.2 \text{ A} = 160 \text{ W}$$

But: If a monopole mode is excited on resonance, the loss for this mode can be much higher:

$$P = \left(\frac{R}{Q} \right) Q I_{\text{beam}}^2$$

Example: To stay below 200 W:

- achieve $(R/Q)Q < 5000$,
- or avoid resonant excitation of the mode.



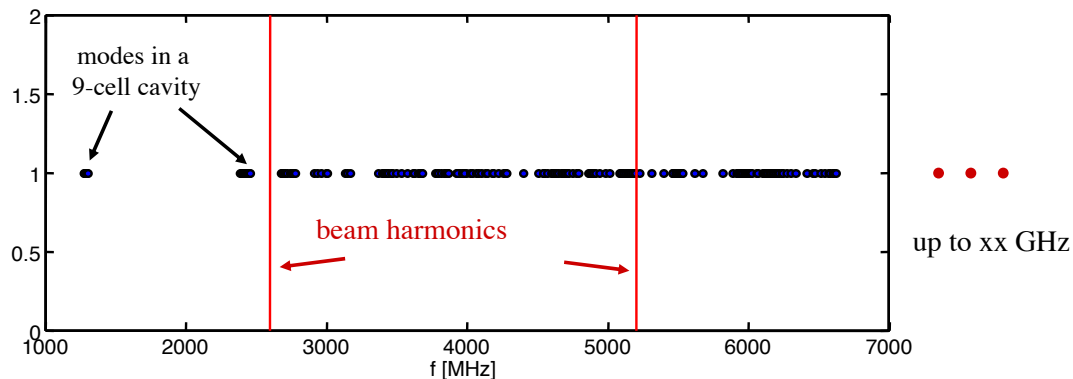
Bunch Trains and Beam Harmonics

Example: Cornell ERL:

$$f_{\text{HOM}} = N \cdot 1.3 \text{ GHz in the injector}$$

$$f_{\text{HOM}} = N \cdot 2.6 \text{ GHz in the main linac}$$

... so most of the monopole modes in the ERL will not be excited resonantly.





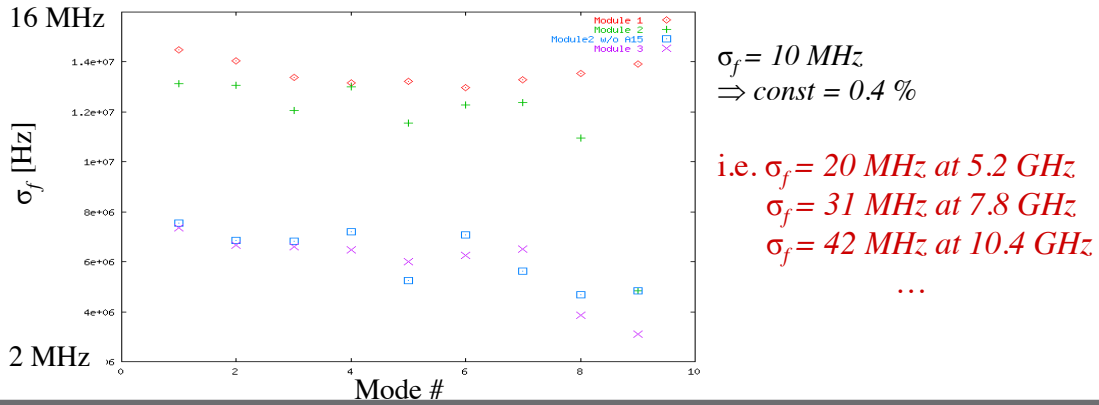
Bunch Trains: HOM Frequencies Spread

Can one design the HOM frequencies such, that non of the modes are excited resonantly?

- The higher the frequency, the more sensitive is the frequency of a HOM to small perturbations in the cavity shape:

Simple approximation:
$$\frac{\Delta f_{HOM}}{f_{HOM}} = const.$$

- How large is “const”? Example: 2.4 GHz modes at TTF

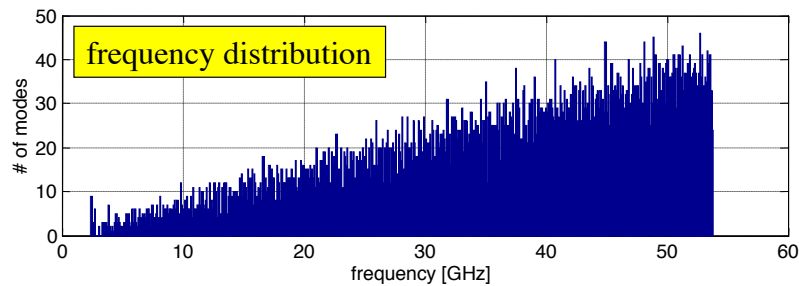
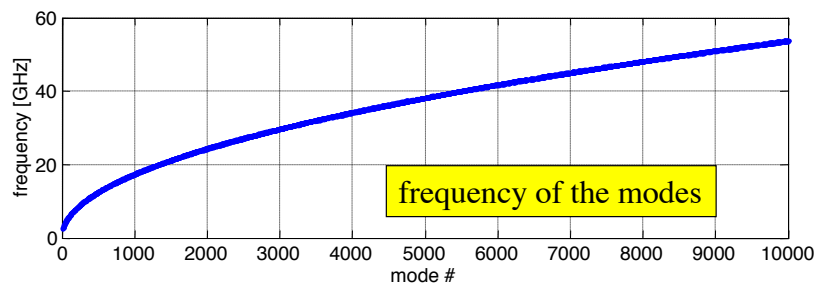


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Bunch Trains: A Simple Model: 10000 Monopoles with random f's

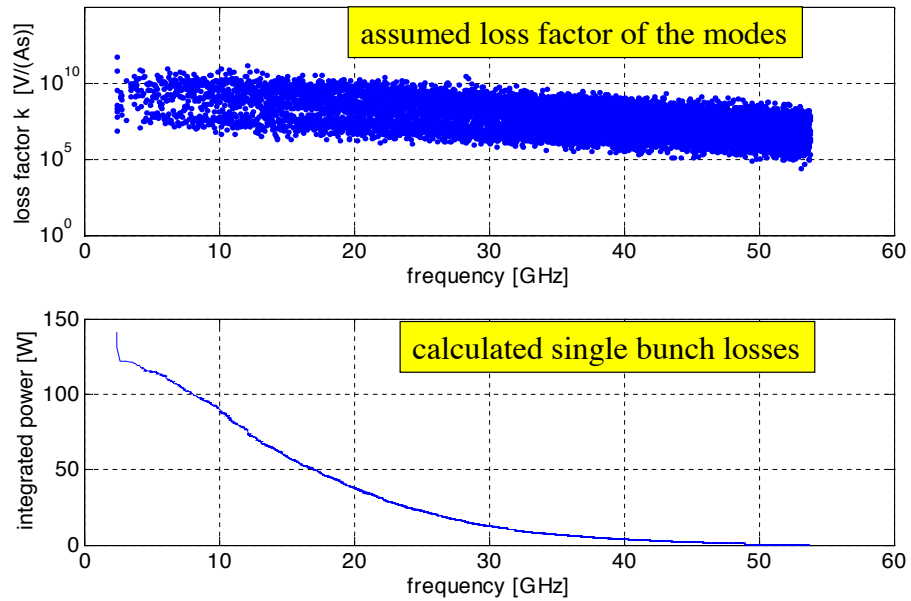


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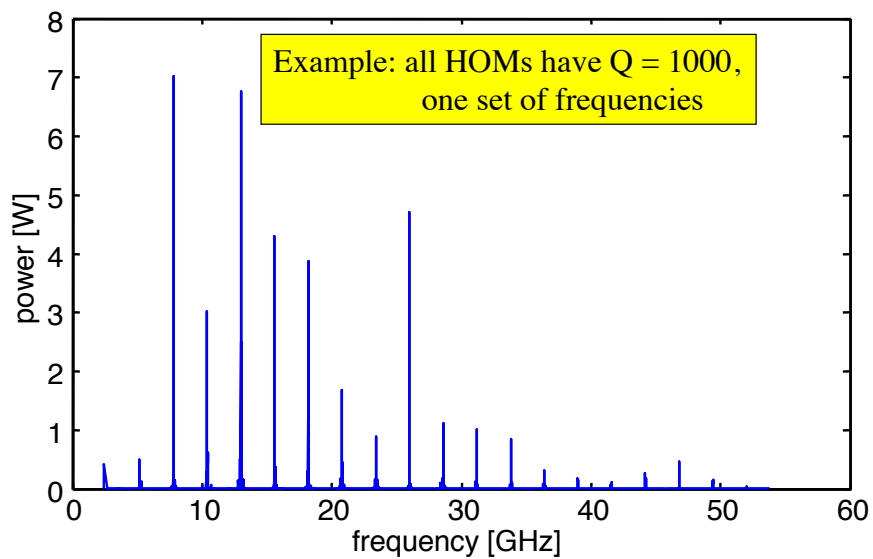
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Bunch Trains:
A Simple Model: 10000 Monopoles with random f 's

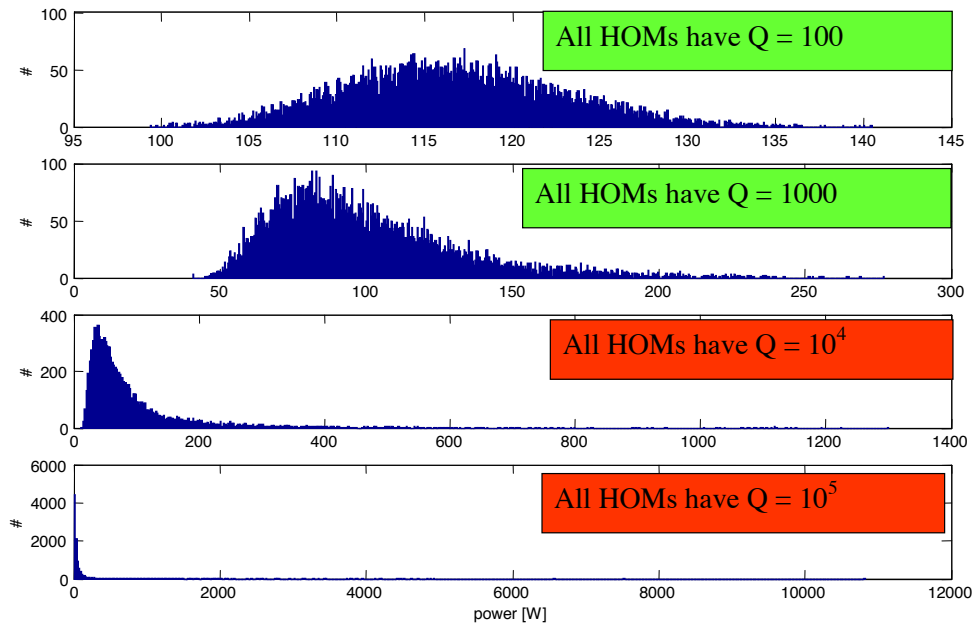


Bunch Trains:
A Simple Model: 10000 Monopoles with random f 's





A Simple Model: 1000 Monopoles with random f's Total HOM Monopole Power for random Sets of Frequencies



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Higher-Order-Modes (HOMs)

Parasitic modes excited by the accelerated beam may lead to:

- degradation of the beam quality (transverse emittance growth due to dipole modes, BBU, energy spread),
- additional cryo-losses (wall losses, heating of cables and feedthroughs), mostly due to monopole modes.

⇒ Requirements on the external quality factor, Q_{ext} of the modes.

Without additional damping the HOMs can have very high quality factors ($Q > 10^{10}$)!

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