

- From the "Old Quantum Theory" to Modern Quantum Mechanics
  - Philosophy of Quantum Theory
  - Critique of the "Old Quantum Theory"
  - Approach to the Schrödinger equation



**Erwin Schrödinger** (1887 – 1961):  
Schrödinger equation, for which he received the Nobel Prize in 1933. In 1935, he proposed the Schrödinger's cat thought experiment.

## Recap: I<sub>3,4</sub> Group and Phase Velocity for Particle Waves:

$$f(x,t) = \text{Re} \left\{ \underbrace{e^{i[k_0 x - \omega(k_0) t]}}_{\text{infinite plane wave: crests move at } v_{\text{phase}} = \frac{\omega}{k} = \frac{c^2}{v}} \int \underbrace{\phi(s) e^{i s \left( x - \frac{d\omega}{dk} \Big|_{k_0} t \right) ds}}_{\text{envelope function travels at group velocity } v_{\text{group}} = \frac{d\omega(k)}{dk} \stackrel{!}{=} v_{\text{particle}}} \right\}$$

infinite plane  
wave:  
crests move at  
 $v_{\text{phase}} = \frac{\omega}{k} = \frac{c^2}{v}$

envelope function  
travels at group velocity  
 $v_{\text{group}} = \frac{d\omega(k)}{dk} \stackrel{!}{=} v_{\text{particle}}$

## I<sub>3,5</sub> $\lambda = h/p$ : Order of Magnitude Estimate

typical:  $\lambda \lesssim 1 \text{ \AA} \Rightarrow$  crystals, atom, nucleus size

## I<sub>3,6</sub> Evidence for de Broglie's Particle Waves:

Electron, neutron, atom, molecule diffraction and interference ...  $\Rightarrow$  used as tool for material science...

## I<sub>4</sub> The "Old Quantum Theory"

- 1) Particle-wave duality
- 2) No defined trajectories
- 3) only probable behavior
- 4) probability  $\propto (\text{wave ampl.})^2$
- 5) Quantized energy for bound states
- 6)  $E = \hbar\omega$     $p = \hbar k$
- 7) Superposition principle
- 8)  $E = \frac{p^2}{2m} + V$  for non-relativ. particle

## I<sub>4</sub> The "Old Quantum Theory"

### I<sub>4,1</sub> Key Ideas / Concepts / Postulates:

- 1) Photons, particles have both particle-like and wave-like properties
- 2) Precisely-defined trajectories do not exist at the quantum level
- 3) The exact behavior of a given particle cannot be predicted — only its probable behavior  
⇒ statistical interpretation
- 4) The probability that a single particle is observed in a given region is proportional to the intensity of its associated wave field.  $I \propto |A|^2$   
⇒  $P \propto |A|^2$

5) If a particle is confined into a small volume, its energy is quantized  $\Rightarrow$  "energy levels", "energy states"

6) Broglie - Einstein postulates:

$$\lambda = h/p \quad (p = \hbar k)$$

$$\nu = E/h \quad (E = \hbar \omega)$$

$k$ : wave number

$\omega$ : angular frequency

7) Superposition principle applies to all particle waves

$\Rightarrow$  Add wave amplitudes, and not probabilities / intensities!

$\Rightarrow$  if  $\psi_1(x, t)$  and  $\psi_2(x, t)$  are allowed waves, then  $c_1 \psi_1(x, t) + c_2 \psi_2(x, t)$  is too

$\Rightarrow$  Localized particle  $\Rightarrow$  large  $\Delta k$ ,  $\sigma_p \Rightarrow$  momentum of particle is not well defined

8) Non-relativistic particle

$$\text{classical Energy: } E = \underbrace{\frac{p^2}{2m}}_{\text{kinetic energy}} + \underbrace{V}_{\text{potential energy}}$$

## I<sub>4,2</sub> Philosophy of Quantum Theory:

### 1. Copenhagen interpretation:

- N. Bohr, Heisenberg, ...
- fundamentally statistical theory
- exact behavior of individual particles can not be predicted
- measurements force the particles to "take a stand"
- But: How and why?

### 2. The "realist" interpretation:

- Einstein ("God does not play dice with the universe"), de Broglie, ...
  - Quantum Theory is incomplete
  - indeterminacy is not a fact of nature
  - some additional information (hidden variable) is needed for complete description of particle
- More later (Bell's Theorem) => confirms Copenhagen interpretation ...

## I<sub>4,3</sub> "Critique" of the "Old Quantum Theory":

**"Old Quantum Theory:"** New concepts, ideas; in many respects quite successful, but:

- 1) Mixture between classical physics, new ideas, postulates, arbitrary quantization...
- 2) lack of coherence
- 3) Bohr atom: only for 1 electron atoms; fails for 2 electrons...
- 4) wrong angular momentum of electron in Bohr atom
- 5) does not allow to calculate rate at which transitions happen  $\rightarrow$  intensity of spectral lines...
- 6) ...  $\Rightarrow$  need new theory...
  - $\rightarrow$  consistent with key ideas / concepts of "old Quantum T."
  - $\rightarrow$  dynamics of particle wave?  $\Rightarrow$  Schrödinger's equ.
  - $\rightarrow$  physical meaning of particle wave  $\Rightarrow$  wave function  $\Psi$

# II Introduction to Wave Mechanics

## II<sub>1</sub> Schrödinger's Theory of Quantum Mechanics

### II<sub>1,1</sub> Preliminary Remarks:

- Particle wave: wave function  $\Psi(x, t)$   
function of position and time
- Dynamics of particle wave?  $\Rightarrow$  wave equation!  
Particle wave equation  
differential equation  
(tells how  $\Psi$  change with  
time and position)  $\rightarrow$  solution  
Wave function  $\Psi(x, t)$   
indep. variable

$\Rightarrow$  contains partial derivatives wrt. position, time

$$\frac{\partial \Psi}{\partial x}, \quad \frac{\partial \Psi}{\partial t}, \quad \frac{\partial^2 \Psi}{\partial x^2}, \quad \frac{\partial^2 \Psi}{\partial t^2}, \dots$$

Partial derivatives:  $\frac{\partial \Psi(x, t)}{\partial x} = \left[ \frac{d \Psi(x, t)}{dx} \right]_{\text{evaluate by treating } t \text{ as a constant}}$

• Examples of other wave equations:

1) Wave on string: 
$$\frac{\partial^2 y(x,t)}{\partial t^2} = v^2 \frac{\partial^2 y(x,t)}{\partial x^2}$$

2) Electromagnetic wave in empty space:

$$\frac{\partial^2 \vec{E}}{\partial t^2} = c^2 \nabla^2 \vec{E}$$

in 1-D  $\frac{\partial^2}{\partial x^2}$

check: Energy of photon:  $E = pc \Rightarrow \hbar\omega = \hbar kc \Rightarrow \omega = ck$   
Plane light wave:  $\psi = \cos(kx - \omega t)$  for light

$$\frac{\partial \psi}{\partial t} = \omega \sin(kx - \omega t)$$

$$\frac{\partial \psi}{\partial x} = -k \sin(kx - \omega t)$$

$$\frac{\partial^2 \psi}{\partial t^2} = -\omega^2 \cos(kx - \omega t)$$

$$\frac{\partial^2 \psi}{\partial x^2} = -k^2 \cos(kx - \omega t)$$

$$\Rightarrow -\omega^2 \cos(kx - \omega t) = c^2 (-k^2 \cos(kx - \omega t))$$

$$\Rightarrow \omega^2 = c^2 k^2 \Rightarrow \omega = ck \text{ or } E = pc \quad \checkmark$$

$\Rightarrow$  plane wave satisfies EM wave equation



## II<sub>1,2</sub> Constraints for the Particle Wave Equation:

from before:

1) Need to be consistent with  
 $\lambda = h/p$  ( $p = \hbar k$ ) and  $v = \frac{E}{h}$  ( $E = \hbar \omega$ )

2) Superposition principle for particle waves:

=> if  $\Psi_1(x,t)$  and  $\Psi_2(x,t)$  are solutions of wave equation, then  $\Psi(x,t) = C_1 \Psi_1 + C_2 \Psi_2$  is too!

=> need linear differential equation in  $\Psi$

3) Must be consistent with energy relation

$$E = \frac{p^2}{2m} + V \quad \text{for } \underline{\text{non-relativistic}} \text{ particles}$$

Note: => Schrödinger's wave equation is for non-relativistic particles only!

=> not for photons!

## II<sub>1,3</sub> Plausibility Argument leading to Schrödinger's Equation:

Note: Postulate, not proof!

1st: Consider free particle (no external forces, i.e.  $V = \text{const}$ ) with constant  $E = \hbar\omega$ ,  $p = \hbar k$

$\Rightarrow$  postulate wave function and wave equation for this particle

(a) Associated particle wave / wave function of free particle  $\Psi(x,t) \stackrel{?}{=} \cos(kx - \omega t)$  plane wave

• wave equation needs to be consistent with energy equation:  $E = \frac{p^2}{2m} + V$   $\forall$  constant  $h$  or  $E\Psi = \frac{p^2}{2m}\Psi + V\Psi$

$$\Rightarrow \hbar\omega = \frac{\hbar^2 k^2}{2m} + V \Rightarrow \omega = \frac{\hbar k^2}{2m} + \frac{V}{\hbar}$$

⇒ Need wave equ.  
consistent with

$$\omega = \frac{\hbar k^2}{2m} + \frac{V}{\hbar} \text{ and has (1a) as solution}$$

$\frac{\partial \Psi}{\partial t}$  gives  $\omega$ -term

$\left(\frac{\partial \Psi}{\partial x}\right)^2$  ? ⇒ not linear in  $\Psi$   
⇒ doesn't work

try  $\frac{\partial^2 \Psi}{\partial x^2}$  ⇒ gives term  $\propto k^2$

⇒ postulate particle wave equation:

$$(2) \quad \alpha \frac{\partial \Psi}{\partial t} = \beta \frac{\partial^2 \Psi}{\partial x^2} + V \Psi$$

Note: -  $\alpha, \beta$  need to be determined

- linear in  $\Psi$  ⇒ superposition principle applies! ⇒ good

$\Rightarrow$  let's see if simple wave function (1a)  
for free particle with constant  $E, p$   
satisfies this wave equation (2)

$\rightarrow$  substitute  $\Psi(x, t) = \cos(kx - \omega t)$  into

$$\alpha \frac{\partial \Psi}{\partial t} = \rho \frac{\partial^2 \Psi}{\partial x^2} + V \Psi$$

$$\Rightarrow \alpha \omega \sin(kx - \omega t) = \rho (-k^2) \cos(kx - \omega t) + V \cos(kx - \omega t)$$

$$\Rightarrow \alpha \omega \sin(kx - \omega t) = [-\rho k^2 + V] \cos(kx - \omega t)$$

$\Rightarrow$  needs to be true for all  $t, x$ !

$\Rightarrow$  doesn't work...