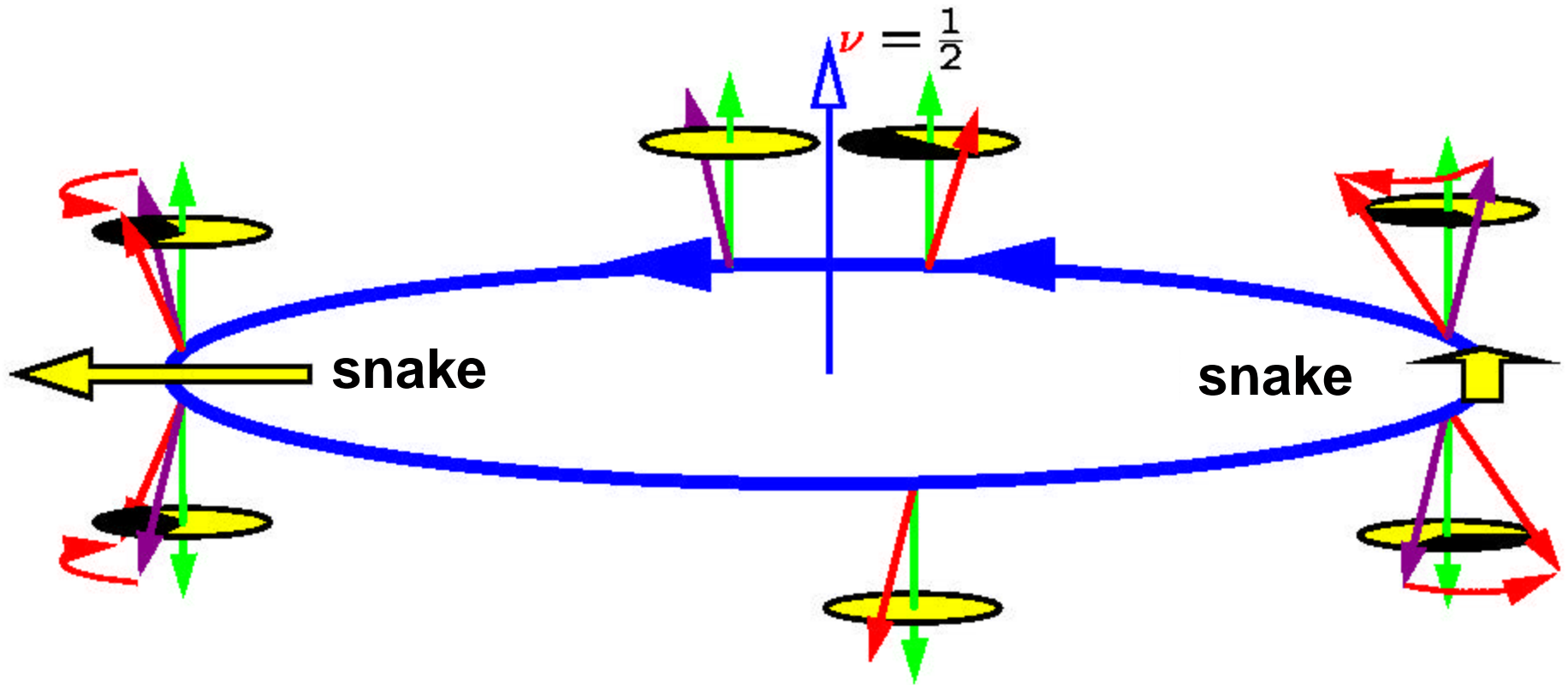


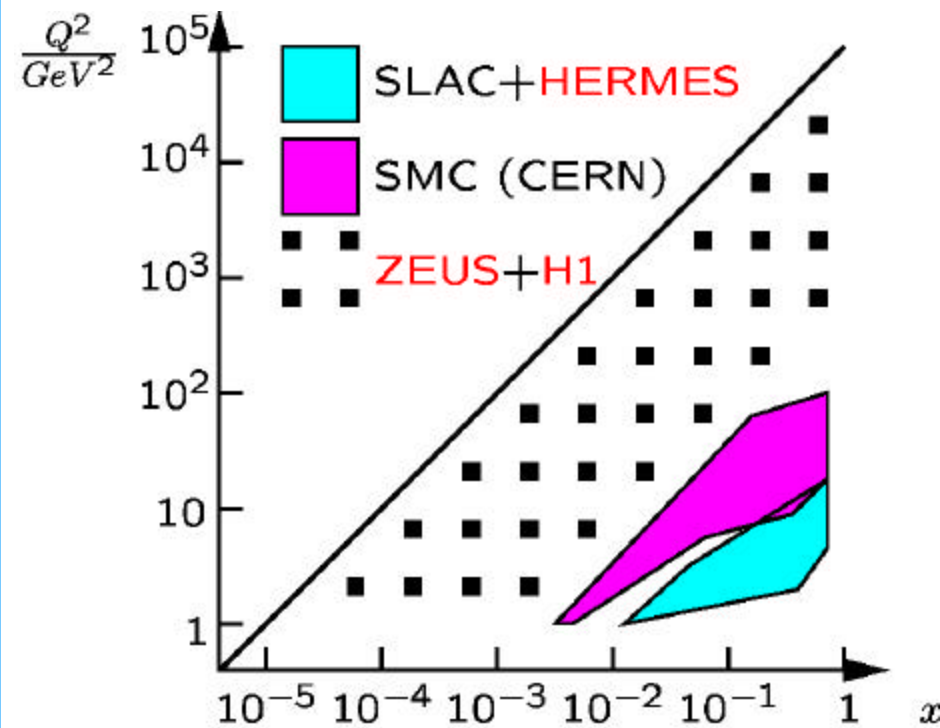
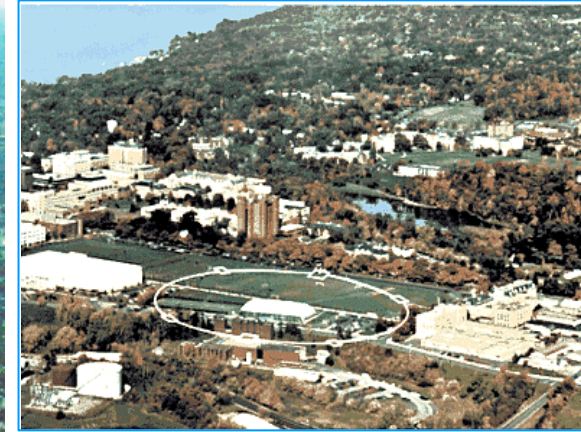
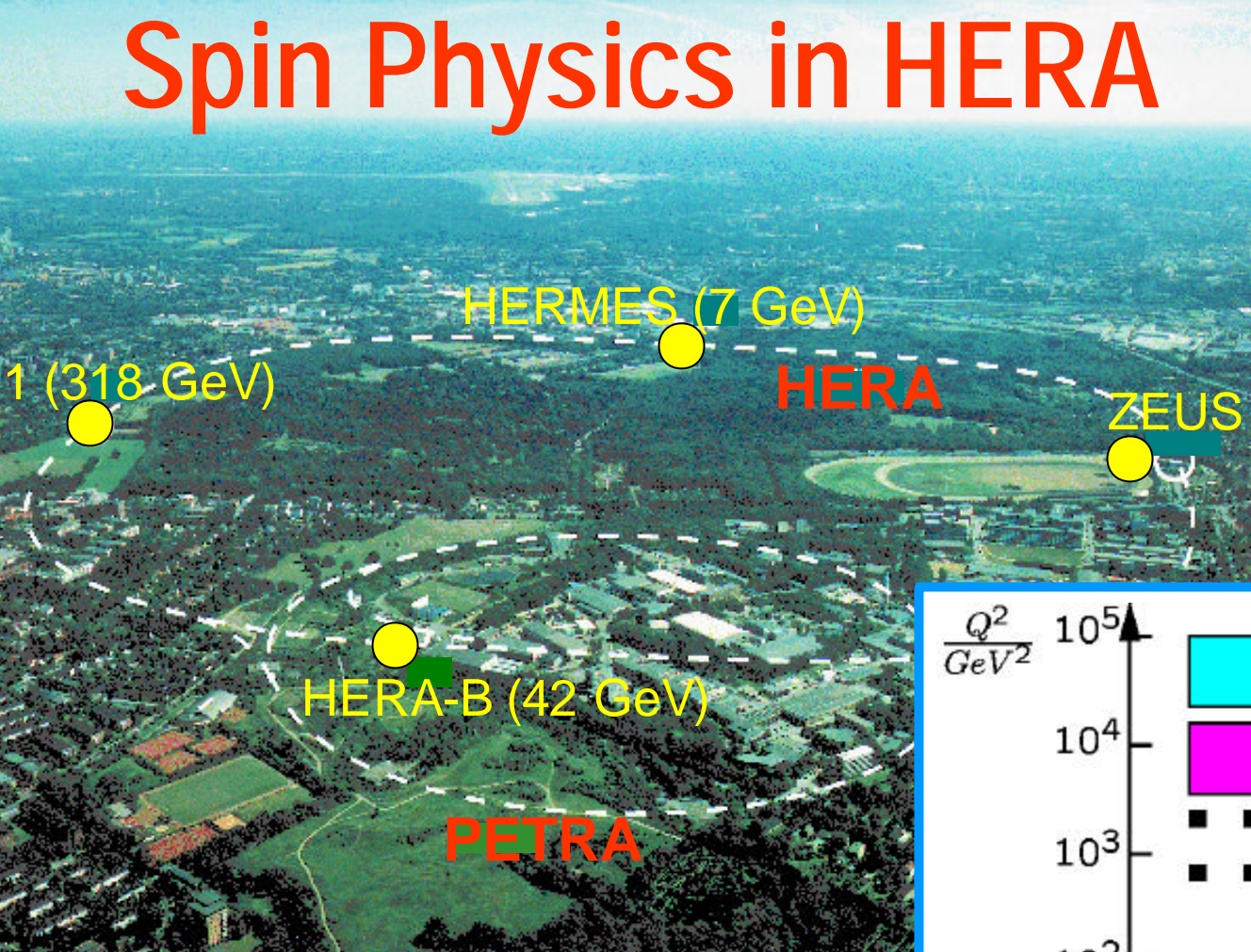


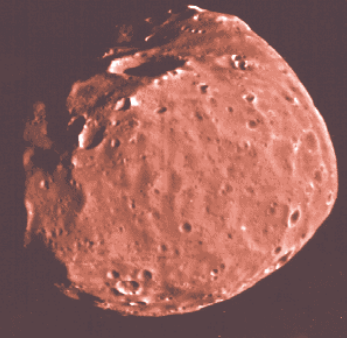
# COSY Summer School 2002

## Accelerator Rings with Polarized Beams and Spin Manipulation

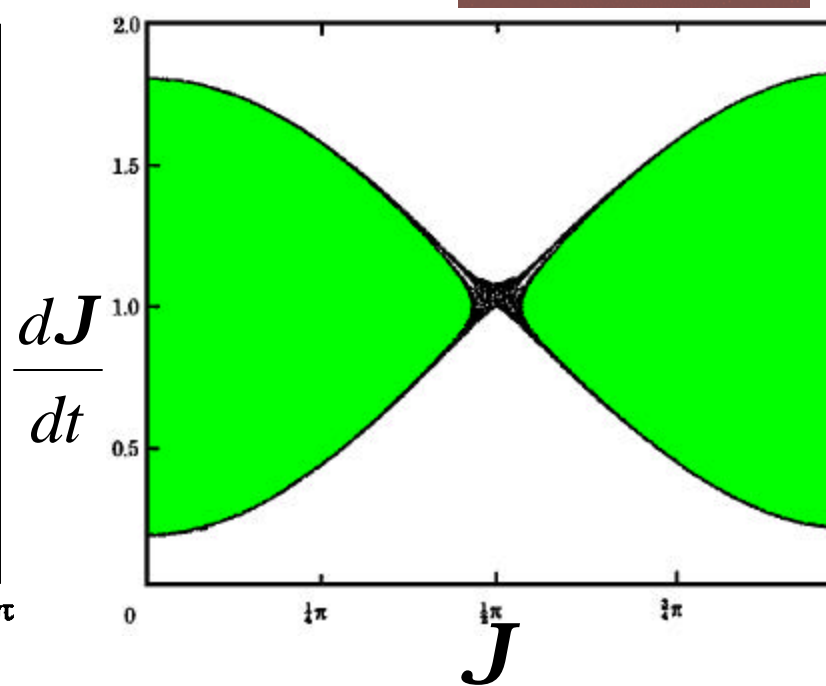
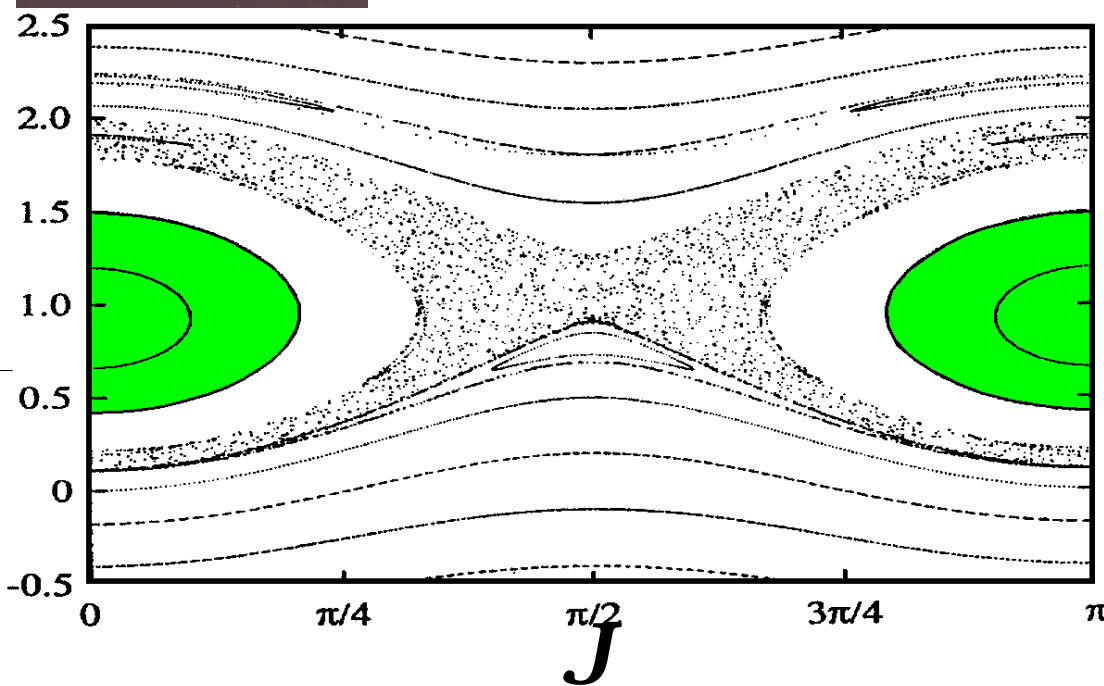


# Spin Physics in HERA





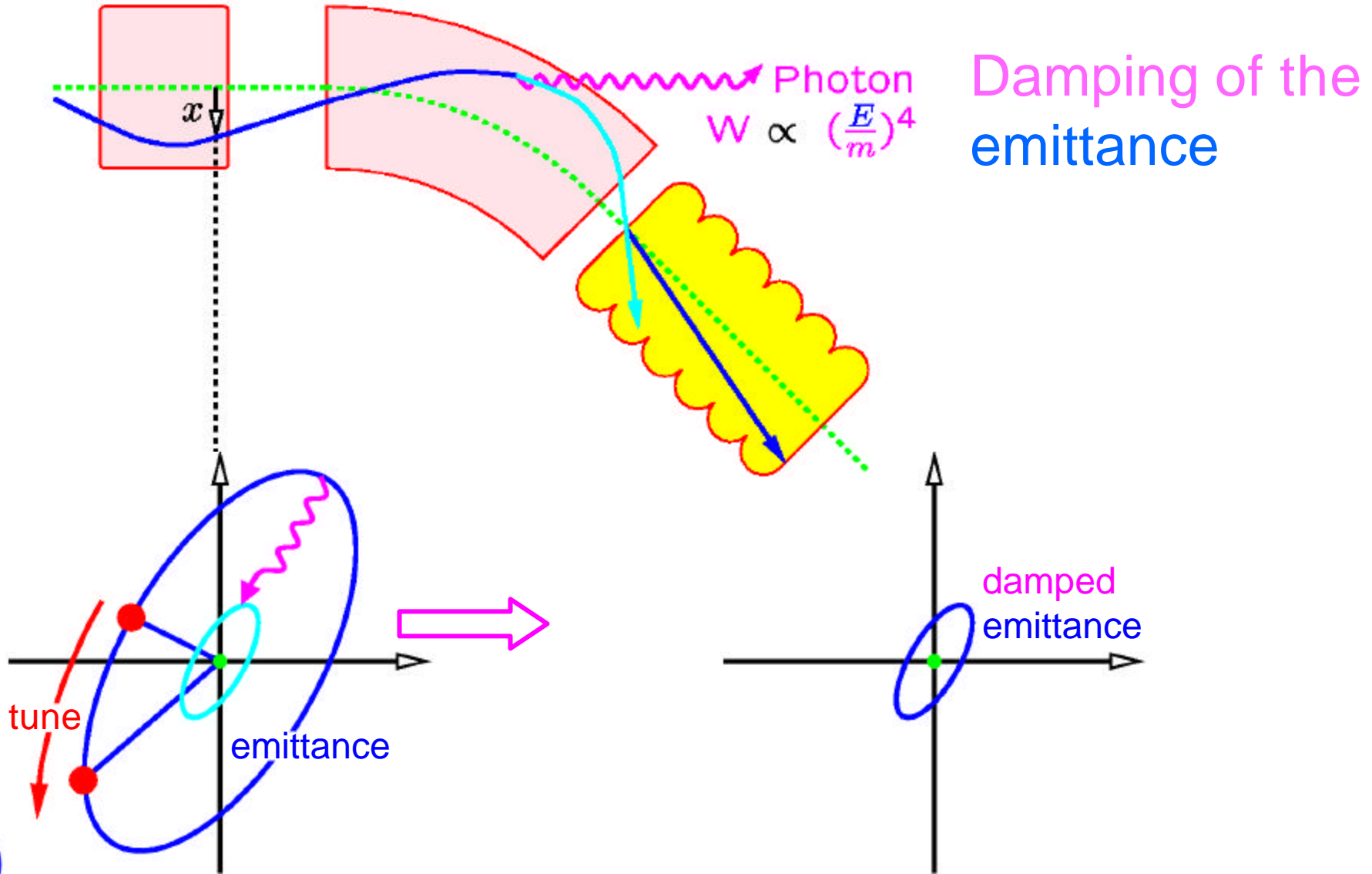
# Phobos und Deimos



- Alle Monde waren vor Dämpfung in die Spin-Bahn-Resonanz chaotisch
- In den chaotischen Bereichen hatten alle Monde instabile Rotationsachsen



# The Electron Beam

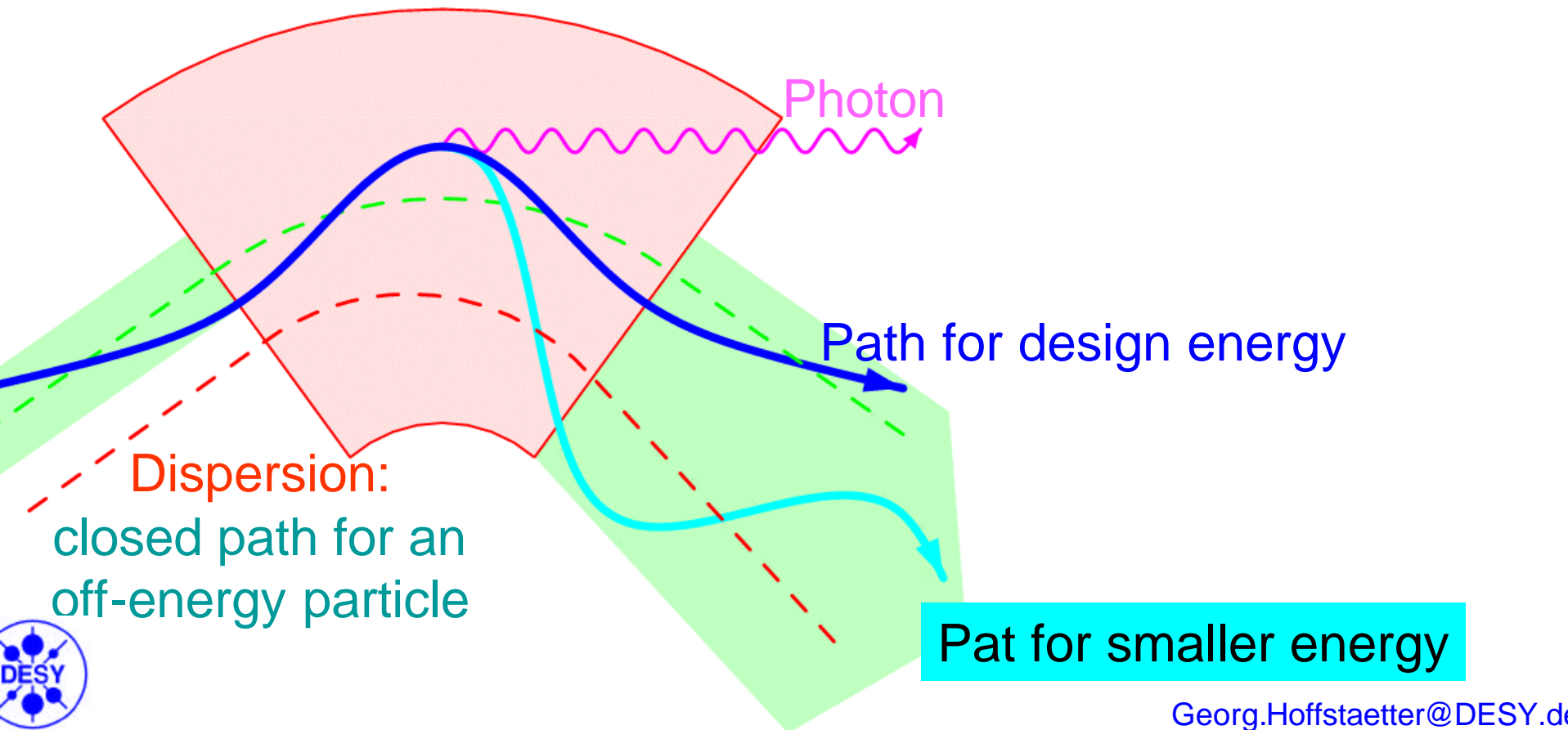


# Generation of the Emittance

Stronger focusing

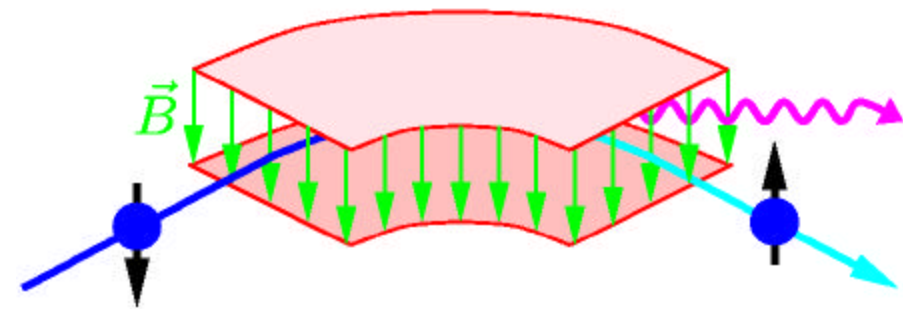
Smaller dispersion

Smaller emittance

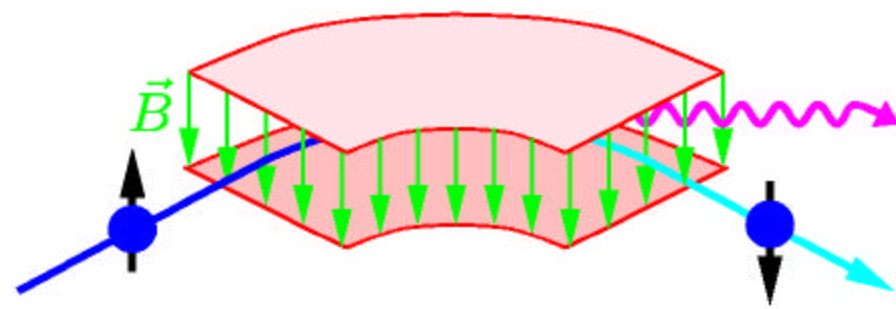


# Self Polarization of the Electron Beam

Each  $10^{10}$ -th photon flips the spin of the electron



In HERA every 38.5 minutes



In HERA every 16.2 hours

Ideal ring:

equilibrium polarization 92.38%

**HERA:**

routine operation with 60-65% polarization

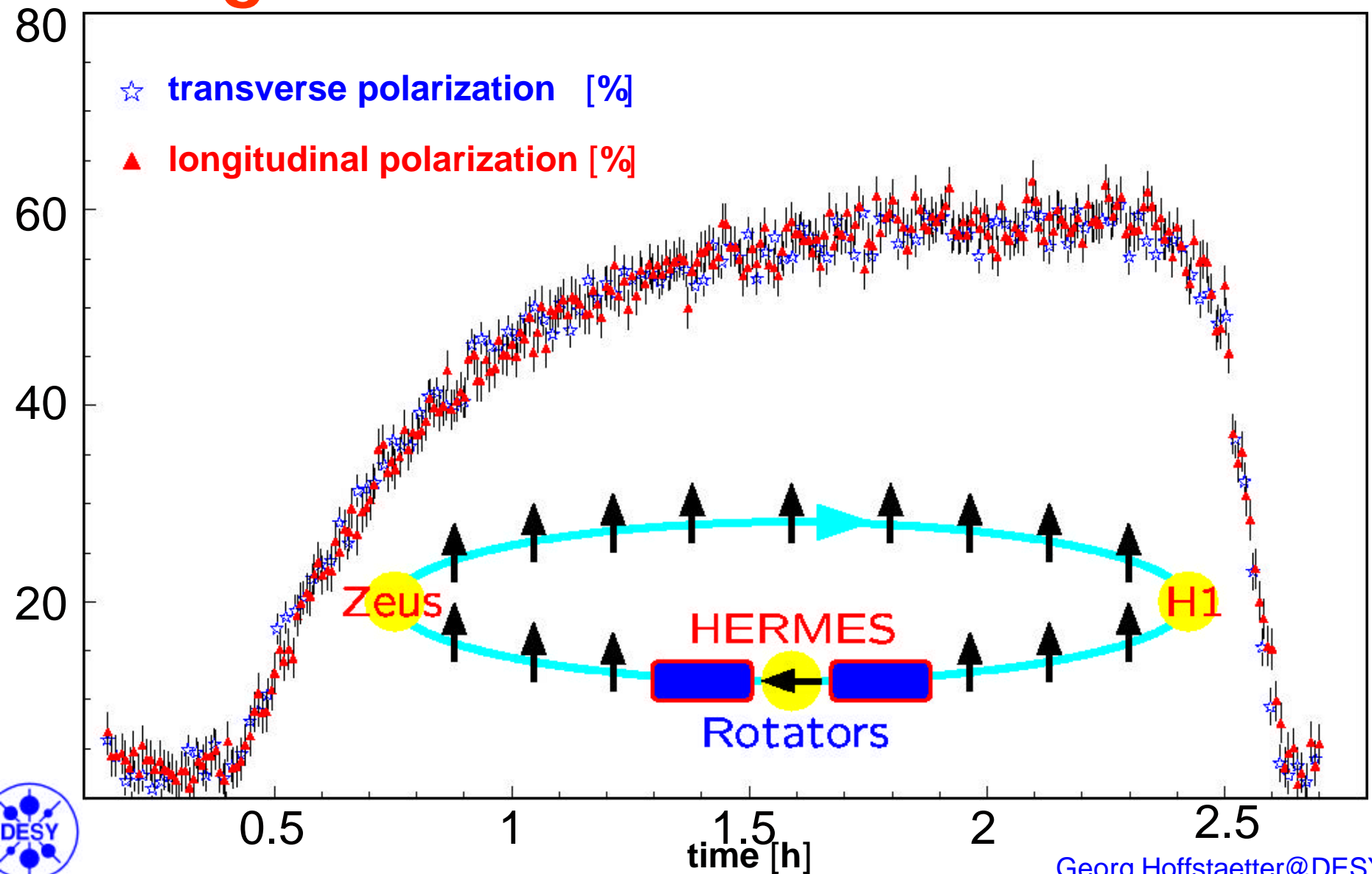


# First longitudinal lepton polarization

VEPP	1970	80%	0.65 GeV
ACO	1970	90%	0.53 GeV
VEPP-2M	1974	90%	0.65 GeV
VEPP-3	1976	80%	2.0 GeV
SPEAR	1975	90%	3.7 GeV
VEPP-4	1982	60%	5.0 GeV
CESR	1983	30%	5.0 GeV
DORIS	1983	80%	5.0 GeV
PETRA	1982	70%	16.5 GeV
LEP	1993	57%	47 GeV
<b>HERA</b>	1994	70%	27.5 GeV (longitudinal)



# Longitudinal Electron Polarization





# Polarized Proton Beams

- Resonance excitation by the Stern-Gerlach Effect  
➔ requires extremely difficult phase space gymnastics
  - Spin flip by scattering of polarized electrons  
➔ very long polarization time
  - Spin filter with polarized target (FILTEX at TSR)  
➔ very long polarization times and for low energies
  - Acceleration of polarized protons from rest
- 

RHIC	100 GeV/c
AGS	25 GeV/c
ZGS	12 GeV/c
COSY	3.65 GeV/c
SATURN II	3.6 GeV/c
IUCF	0.7 GeV/c
PSI Cyclotron	0.59 GeV/c



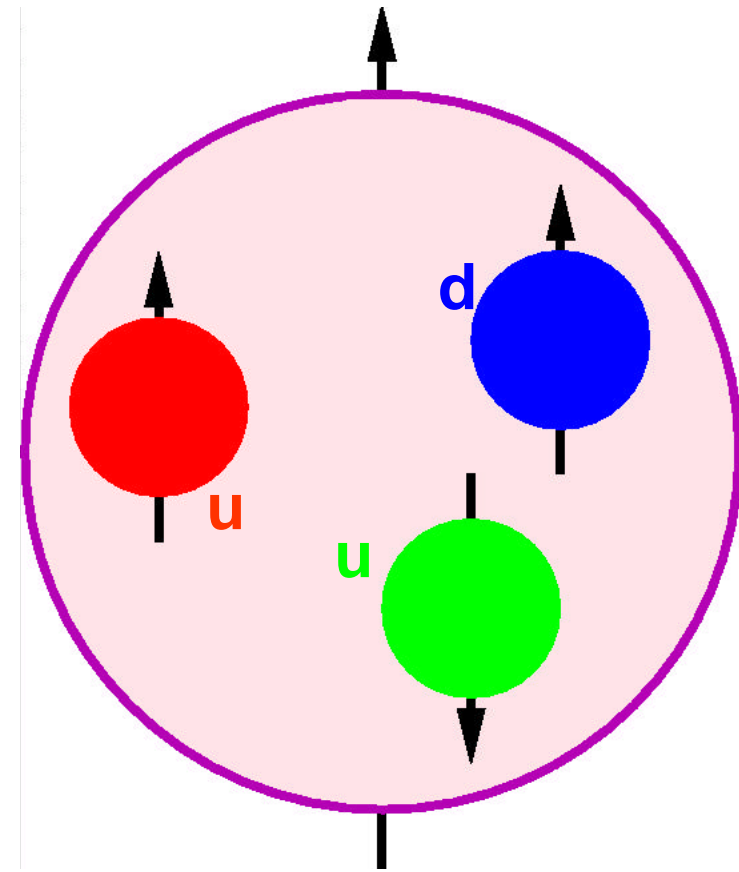
# The Structure of the Proton

Proton:

Ground state of a system of two u and one d quark

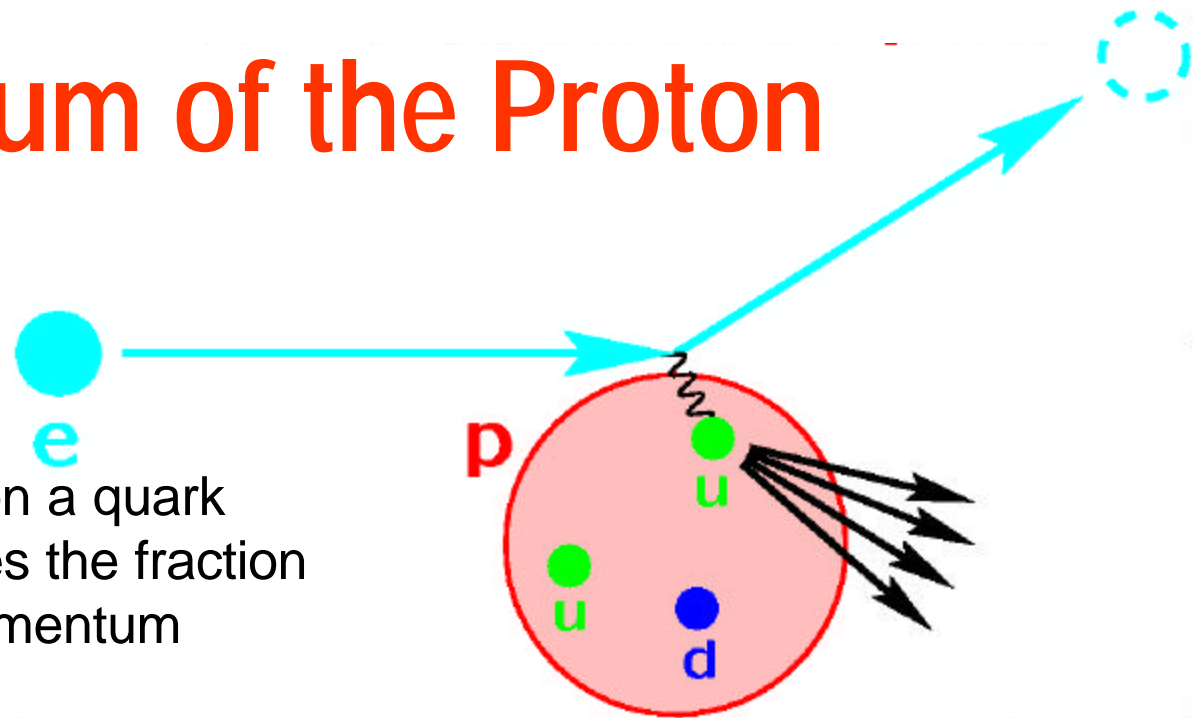
Then one should find:

- Proton momentum = Sum of quark momenta
- Proton spin = Sum of quark spins



# The Momentum of the Proton

$q(x)$ :  
Probability of scattering on a quark  
or anti-quark which carries the fraction  
 $x$  of the proton's total momentum



## The momentum puzzle

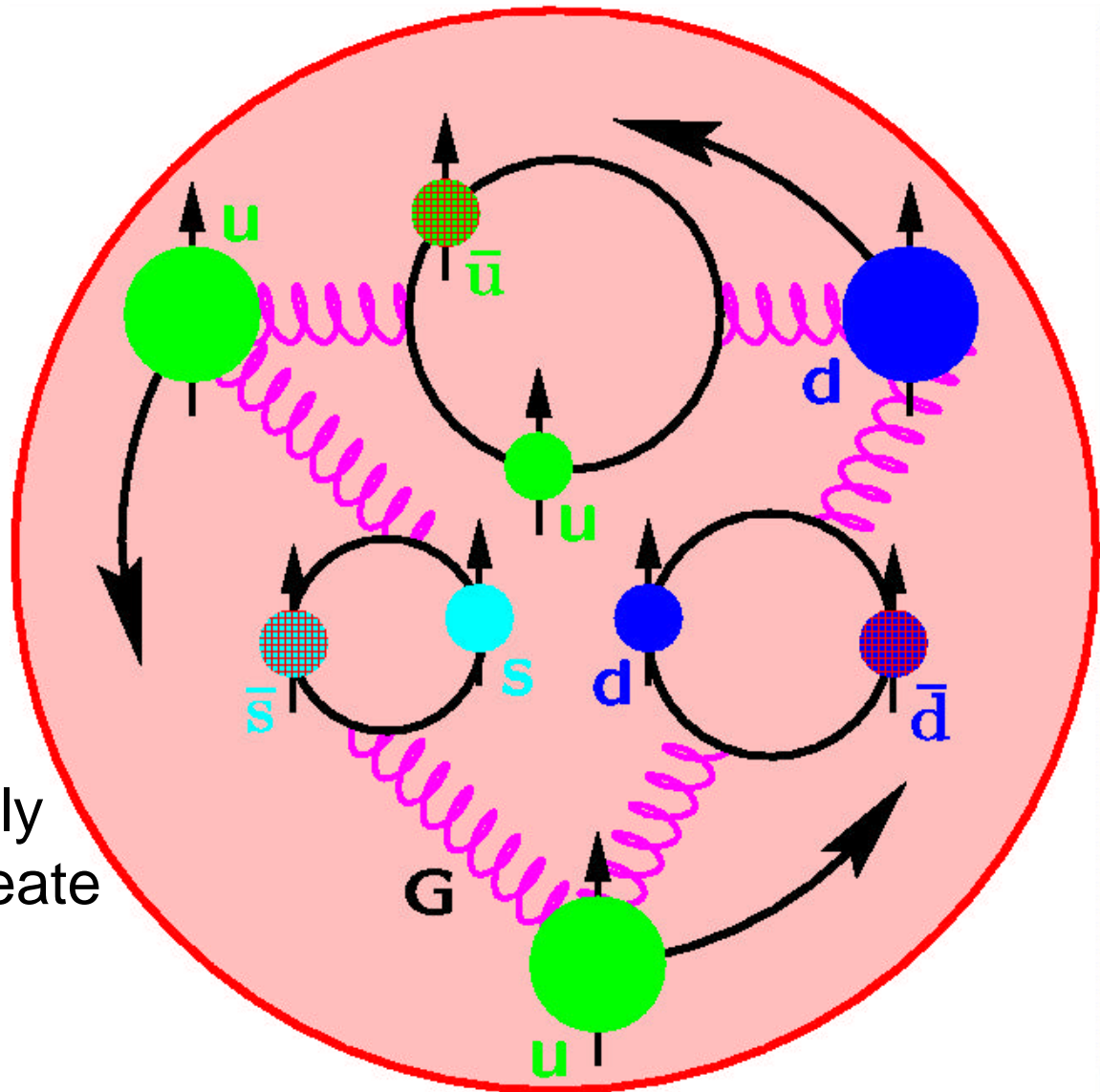
$$\int_0^1 x \cdot q(x) \cdot dx = 0.5$$

Gluons carry **50%** of the proton's momentum



# QCD Model of the Proton

The proton is a highly relativistic, bound state of **u** and **d** quarks. Additionally gluons, as field quanta, create quark / anti-quark pairs.



# The Spin Puzzle

**S** : quark contribution to the proton spin

**$\Delta G$**  : gluon contribution to the proton spin

**$\Delta L_{q,G}$**  : angular momentum contribution

$$\frac{1}{2} = \frac{1}{2} \Sigma + \Delta G + \Delta L_{q,G}$$

Measurements at SLAC-CERN-DESY and integration:

$$\int_0^1 \dots \cdot dx \approx 0.27$$

Quarks carry only 20-30%  
of the proton's total spin



# Goals

- Determination of  $S$ ,  $DG$ ,  $DL_{q,G}$
- Spin contribution of the individual quark types
- Test of QCD
- Scattering between polarized photon and proton
- How relativistic is the proton ?

## Experiment:

Scattering of polarized proton beams on polarized electron beams



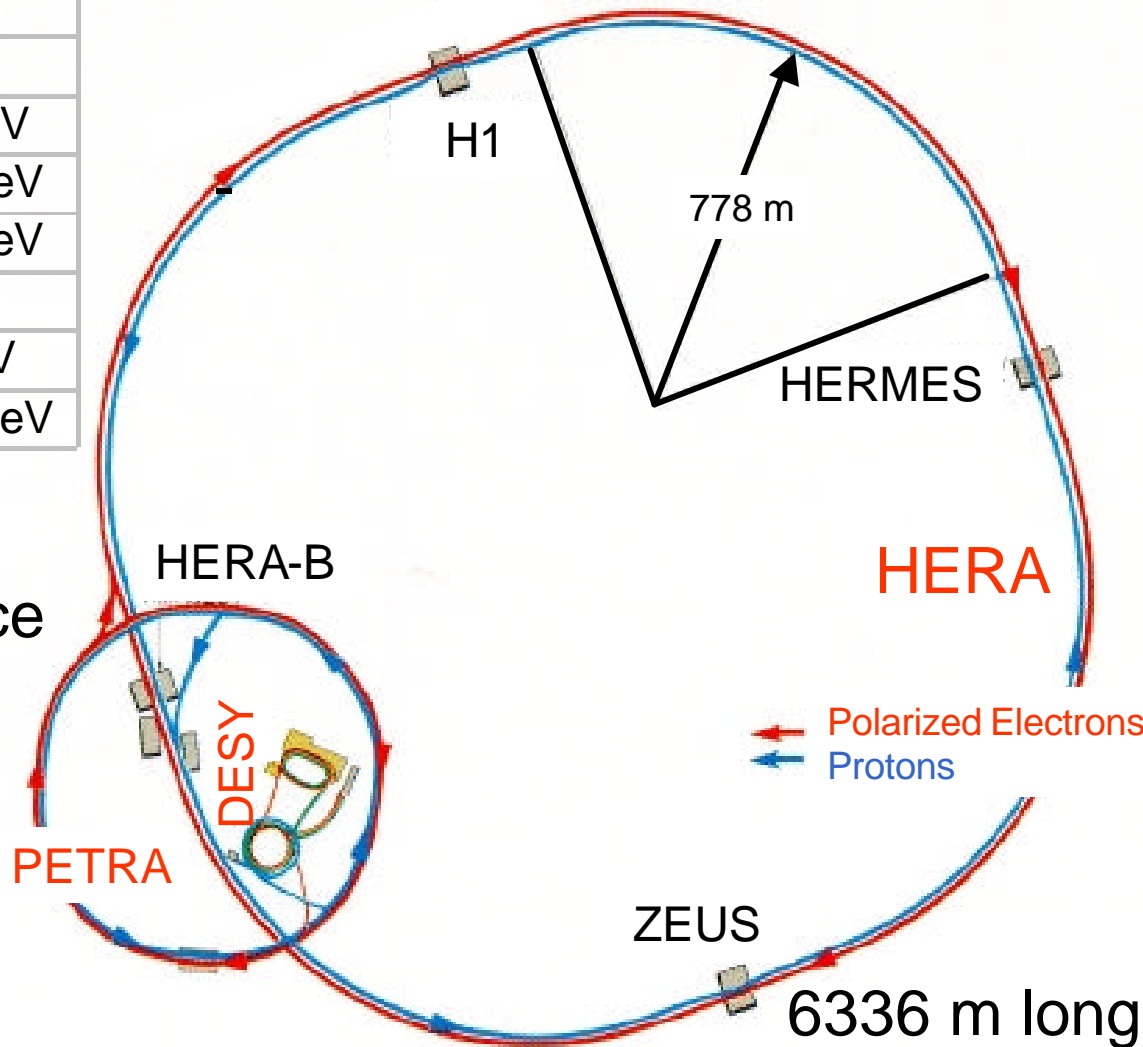
# Polarized Protons at DESY

## HERA and its Pre-Accelerator Chain

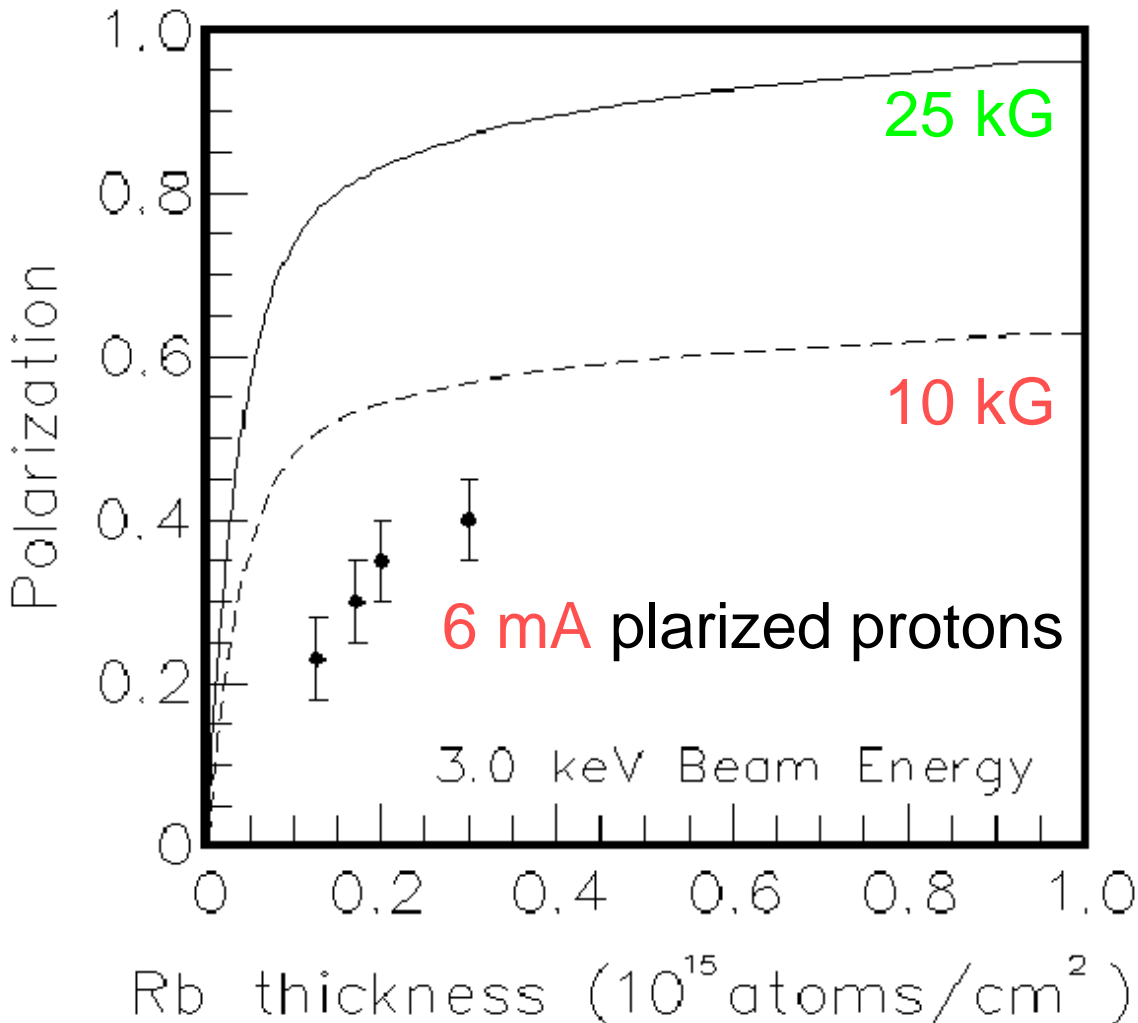
	Protons	Electrons	
20 keV	Source	Source	150 keV
750 keV	RFQ	Linac II	450 MeV
50 MeV	Linac III	Pia	450 MeV
8 GeV	DESY III	DESY II	7 GeV
40 GeV	PETRA	PETRA	12 GeV
920 GeV	HERA-p	HERA-e	27.5 GeV

## Challenges:

- Polarized 20 mA  $H^-$  source
- Acceleration
- Storage at 920 GeV
- Polarimetry



# Polarized H<sup>-</sup> source



But there is potential for **higher polarization** and for **higher current**



# The Equation of Spin Motion

Restframe:  $\frac{d\vec{s}}{dt'} = \frac{gq}{2m} \vec{s} \times \vec{B}'$

Search for 4-vector EOM like:  $\frac{d}{dt} U^m = \frac{q}{m} \cdot F^{mn} U_n \iff \frac{d}{dt} \vec{p} = q \cdot (\vec{v} \times \vec{B} + \vec{E})$

Spin 4-Vector:  $S^m = (S_0, \vec{S}) = \text{LorentzTrafo}[(0, \vec{s}), \vec{b}] = (g\vec{b} \cdot \vec{s}, g\vec{s}_{\parallel} + \vec{s}_{\perp})$

$\implies S^m U_m = S_0 c \mathbf{g} - \vec{S} \cdot \vec{v} \mathbf{g} = 0, \quad \frac{d}{dt} (S^m U_m) = U_m \frac{d}{dt} S^m + S^m \frac{d}{dt} U_m = 0$

Allow linear dependence on velocity, acceleration, spin, and fields:

$$\frac{d}{dt} S^m = a \cdot \cancel{U^m} + b \cdot \cancel{\frac{d}{dt} U^m} + c \cdot \cancel{S^m} + d \cdot \cancel{F^{mn} U_n}$$

$$+ e \cdot F^{mn} S_n - \frac{1}{c^2} (S_n \frac{d}{dt} U^n) U^m + \frac{e}{c^2} (S_h F^{hn} U_n) U^m$$

$$e = \frac{gq}{2m}$$



# The Thomas BMT-Equation

$$\frac{d}{dt} \vec{s} = \vec{\Omega}_{BMT}(\vec{r}, \vec{p}) \times \vec{s}$$

$$\vec{\Omega}_{BMT}(\vec{r}, \vec{p}) = -\frac{q}{m} \left[ \left( \frac{1}{g} + G \right) \vec{B} - \frac{G \vec{p} \cdot \vec{B}}{g(g+1)m^2 c^2} \vec{p} - \frac{1}{mc^2 g} \left( G + \frac{1}{g+1} \right) \vec{p} \times \vec{E} \right]$$

$$G = \frac{g-2}{2} = \begin{cases} \text{Protons} & G = 1.79 \\ \text{Deuterons} & G = -0.143 \\ \text{Electrons} & G = 0.00116 \end{cases}$$

$$\frac{d\vec{p}}{dt} = \left( \frac{-q}{m\gamma} \right) \{ \vec{B}_{\perp} \} \times \vec{p}$$

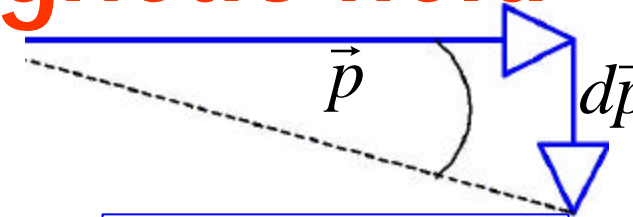
$$\frac{d\vec{S}}{dt} = \left( \frac{-q}{m\gamma} \right) \{ (G\gamma + 1) \vec{B}_{\perp} + (1 + G) \vec{B}_{\parallel} \} \times \vec{S}$$



# Spins in a transverse magnetic field

$$\frac{d\vec{p}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ \vec{B}_\perp \} \times \vec{p}$$

$$\frac{d\vec{S}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ (G\gamma + 1)\vec{B}_\perp + (1 + G)\vec{B}_\parallel \} \times \vec{S}$$



$$d\mathbf{f}_p = \frac{dp}{p} = -\frac{qB_\perp}{mg} \frac{dl}{v}$$

- Relative to the direction of the momentum, the spin rotation of relativistic particles does not depend on energy

Protons: 5.48 Tm rotate by

Deuterons: 137.2 Tm rotate by

Electrons: 4.62 Tm rotate by

$$d\mathbf{f} = -\frac{qG}{m} B_\perp \frac{dl}{v}$$

- Devices can be built which rotate spins **independent of energy.**

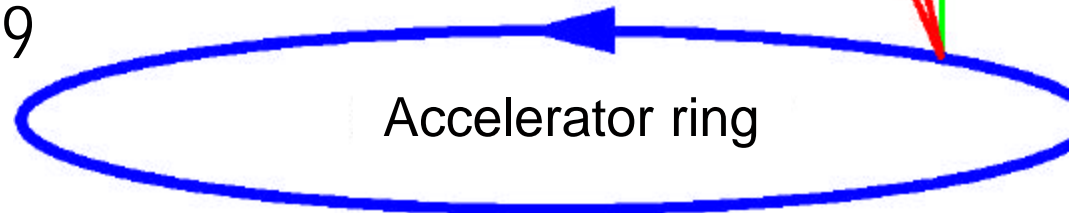
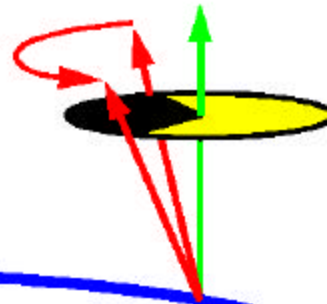


# Spin-tune in a flat ring

$$\frac{d\vec{p}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ \vec{B}_\perp \} \times \vec{p}$$

$$\frac{d\vec{S}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ (G\gamma + 1)\vec{B}_\perp + (1 + G)\vec{B}_\parallel \} \times \vec{S}$$

Spin-tune  $Gg$ : Number of spin revolutions per turn  $\nu$



## COSY

3.3 GeV/c Protons:  $Gg = 6.54$

3.3 GeV/c Deuterons:  $Gg = -0.29$

## HERA

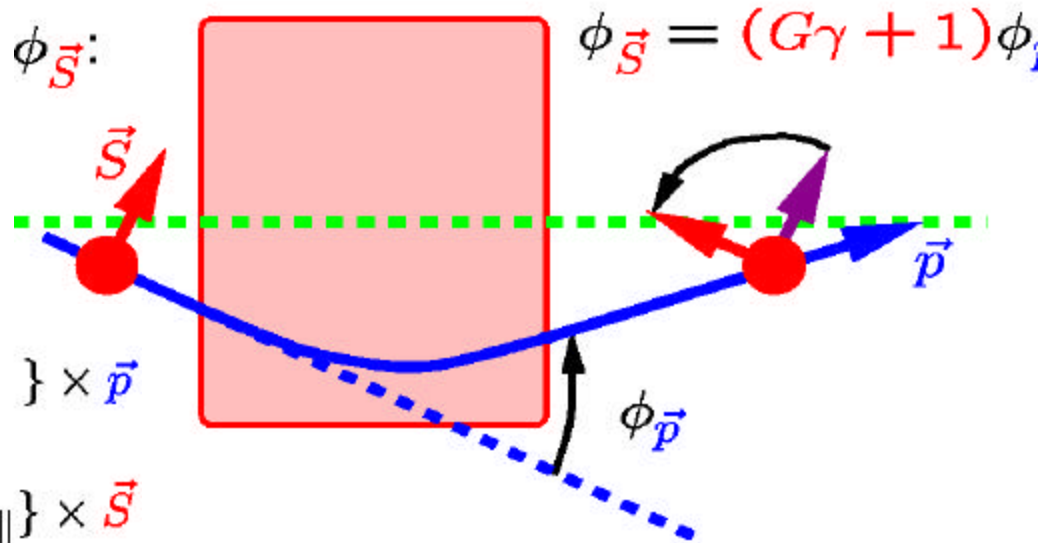
920 GeV/c Protons:  $Gg = 1756$  and 1 more each 523 MeV

920 GeV/c Deuterons:  $Gg = -70$  and 1 more each 13119 MeV

27.5 GeV/c Electrons:  $Gg = 62.5$  and 1 more each 442 MeV



# Spin-kick $\phi_{\vec{S}}$ :



$$\frac{d\vec{p}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ \vec{B}_{\perp} \} \times \vec{p}$$

$$\frac{d\vec{S}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ (G\gamma + 1)\vec{B}_{\perp} + (1 + G)\vec{B}_{\parallel} \} \times \vec{S}$$

## COSY

3.3 GeV/c Protons:

**spin-kick**

/2

**orbit deflection**

11.9 deg

3.3 GeV/c Deuterons:

/2

126.6 deg

## HERA

920 GeV/c Protons:

**spin-kick**

/2

**orbit deflection**

0.89 mrad

920 GeV/c Deuterons:

/2

-22.1 mrad

27.5 GeV/c Electrons:

/2

24.8 mrad



# Spin rotation in solenoids

$$\frac{d\vec{p}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ \vec{B}_\perp \} \times \vec{p}$$

$$\frac{d\vec{S}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ (G\gamma + 1)\vec{B}_\perp + (1 + G)\vec{B}_\parallel \} \times \vec{S}$$

$$d\mathbf{f} = -(1 + G) \frac{q}{mg} B_\parallel \frac{dl}{v}$$

$$= -(1 + G) \frac{qB_\parallel}{p} dl$$

## COSY

3.3 GeV/c Protons:

3.3 GeV/c Deuterons:

spin-rotation

solenoid field

12.39 Tm

40.35 Tm

## HERA

920 GeV/c Protons:

920 GeV/c Deuterons:

27.5 GeV/c Electrons:

spin-rotation

solenoid field

3456 Tm

11250 Tm

288 Tm



# Orbit rotation in solenoids

$$m\mathbf{g}\ddot{\mathbf{r}} = q\dot{\mathbf{r}} \times \vec{B} \quad \text{with} \quad \vec{B} = -B'_z \frac{\mathbf{r}}{2} \vec{e}_r + B_z \vec{e}_z \quad \text{so that} \quad \vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{r} = r\vec{e}_r + z\vec{e}_z, \quad \ddot{\mathbf{r}} = (\ddot{r} - r\dot{\mathbf{j}}^2)\vec{e}_r + (2\dot{r}\dot{\mathbf{j}} + r\dot{\mathbf{j}}')\vec{e}_f + \ddot{z}\vec{e}_z$$

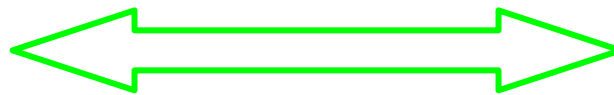
$$\dot{\mathbf{r}} = \dot{r}\vec{e}_r + r\dot{\mathbf{j}}\vec{e}_f + \dot{z}\vec{e}_z, \quad = \frac{q}{mg} (\dot{r}\vec{e}_r + r\dot{\mathbf{j}}\vec{e}_f + \dot{z}\vec{e}_z) \times (-B'_z \frac{\mathbf{r}}{2} \vec{e}_r + B_z \vec{e}_z)$$

**component:**  $2\dot{r}\dot{\mathbf{j}} + r\dot{\mathbf{j}}' = -\frac{q}{mg} (\dot{r}B_z + \dot{z}\frac{r}{2}B'_z)$

$$\frac{d}{dt}(r^2\dot{\mathbf{j}}) = -\frac{q}{mg} \frac{d}{dt} \left( \frac{r^2}{2} B_z \right)$$

**Orbit**

$$d\mathbf{j} = -\frac{1}{2} \frac{qB_z}{p} dl$$



**Spin**

$$d\mathbf{f} = -(1+G) \frac{qB_{\parallel}}{p} dl$$



# Spin motion in the Accelerator Frame

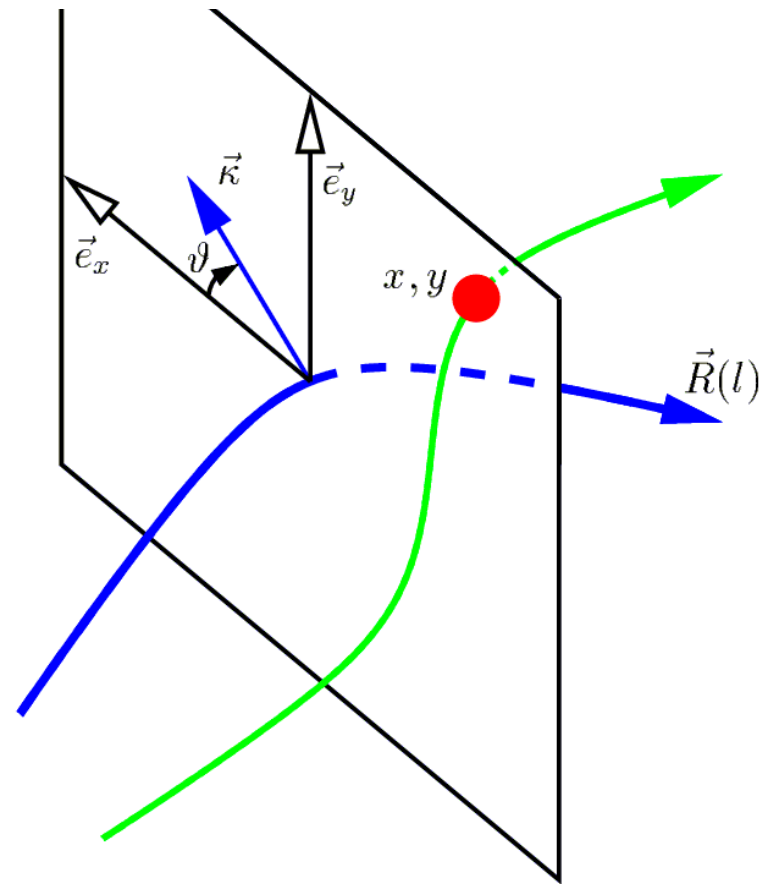
Independent variable:  $t \Rightarrow l \Rightarrow \mathbf{q} = 2\mathbf{p} \frac{l}{L}$

$$\frac{d}{dl} \vec{e}_y = \frac{\sin \mathbf{J}}{\mathbf{r}} \vec{e}_l = \mathbf{k}_y \vec{e}_l$$

$$\frac{d}{dl} \vec{e}_x = \frac{\cos \mathbf{J}}{\mathbf{r}} \vec{e}_l = \mathbf{k}_x \vec{e}_l$$

$$\frac{d}{dl} \vec{e}_l = -\frac{1}{\mathbf{r}} (\cos \mathbf{J} \vec{e}_x + \sin \mathbf{J} \vec{e}_y) = -\mathbf{k}_x \vec{e}_x - \mathbf{k}_y \vec{e}_y$$

$$\vec{s} = S_x \vec{e}_x + S_y \vec{e}_y + S_l \vec{e}_l$$



$$\frac{d}{dl} \vec{s} = \left( \frac{d}{dl} S_x - S_l \mathbf{k}_x \right) \vec{e}_x + \left( \frac{d}{dl} S_y - S_l \mathbf{k}_y \right) \vec{e}_y + \left( \frac{d}{dl} S_l + S_x \mathbf{k}_x + S_y \mathbf{k}_y \right) \vec{e}_l$$



# Spin motion in the Accelerator Frame

Independent variable:  $t \Rightarrow l \Rightarrow \mathbf{q} = 2\mathbf{p} \frac{l}{L}$

$$\frac{dt}{dl} = \vec{e}_l \cdot \frac{d}{dl} \vec{r} / \vec{e}_l \cdot \frac{d}{dt} \vec{r}$$

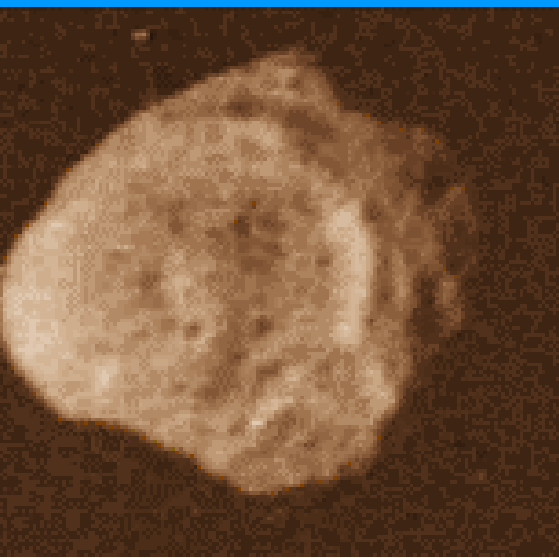
$$\left(\frac{d}{dl} S_x - S_l \mathbf{k}_x\right) \vec{e}_x + \left(\frac{d}{dl} S_y - S_l \mathbf{k}_y\right) \vec{e}_y + \left(\frac{d}{dl} S_l + S_x \mathbf{k}_x + S_y \mathbf{k}_y\right) \vec{e}_l = \frac{dt}{dl} \vec{\Omega}_{BMT} \times \vec{S}$$

$$\frac{d}{dl} \vec{S} = \left[ \frac{dt}{dl} \vec{\Omega}_{BMT}(\vec{r}, \vec{p}) - \mathbf{K} \times \vec{e}_l \right] \times \vec{S} \quad \text{with} \quad \vec{S} \times (\mathbf{K} \times \vec{e}_l) = \mathbf{K} (\vec{S} \cdot \vec{e}_l) - \vec{e}_l (\vec{S} \cdot \mathbf{K})$$

$$\frac{d}{d\mathbf{q}} \vec{S} = \vec{\Omega}(\vec{z}, \mathbf{q}) \times \vec{S}, \quad \vec{\Omega}(\vec{z}, \mathbf{q}) = \frac{L}{2\mathbf{p}} \left[ \frac{dt}{dl} \vec{\Omega}_{BMT}(\vec{r}, \vec{p}) - \mathbf{K} \times \vec{e}_l \right]$$

$$\frac{d}{d\mathbf{q}} \vec{z} = \vec{v}(\vec{z}, \mathbf{q})$$





# The tumbling of Hyperion

250km X 115km X 110km ( $a = 3(B-A)/2C = 0.4$ ): largest non-round structure in the solar system

Unique due to **large  $a$**  and  **$e=0.1$**

Voyager2 observed a rotation axis which was close to the orbit plane: **no spin-orbit-coppling**

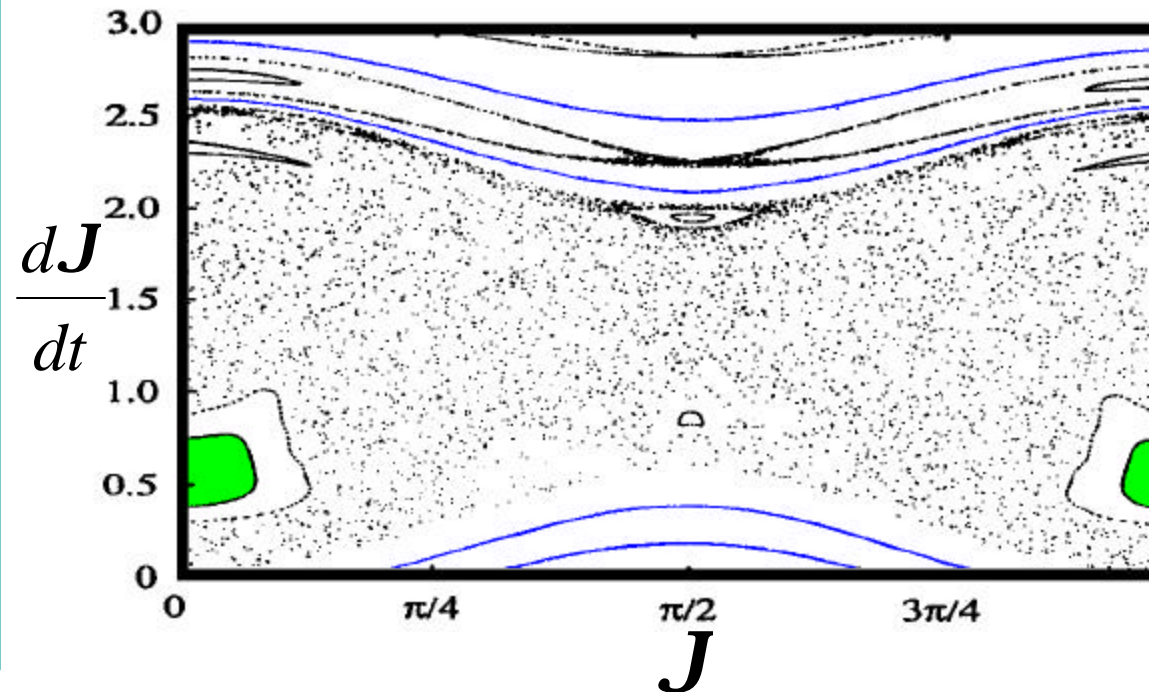
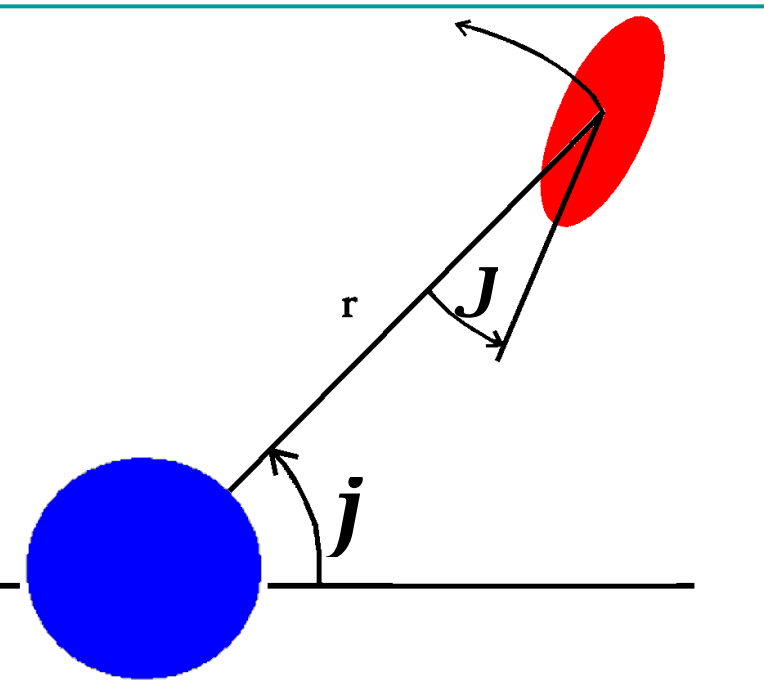
Change in luminousness shows a **chaotic rotation**

The only **observed chaos** in solar-system-dynamics



# Model: rotation around the vertical

$$\frac{d^2 (\mathbf{J} + \mathbf{j} (t))}{dt^2} = -\mathbf{a} \left( \frac{a}{r(t)} \right)^3 \sin 2\mathbf{J}$$



While changing from rotation to libration around spin-orbit-coupling, a large chaotic region has to be crossed.



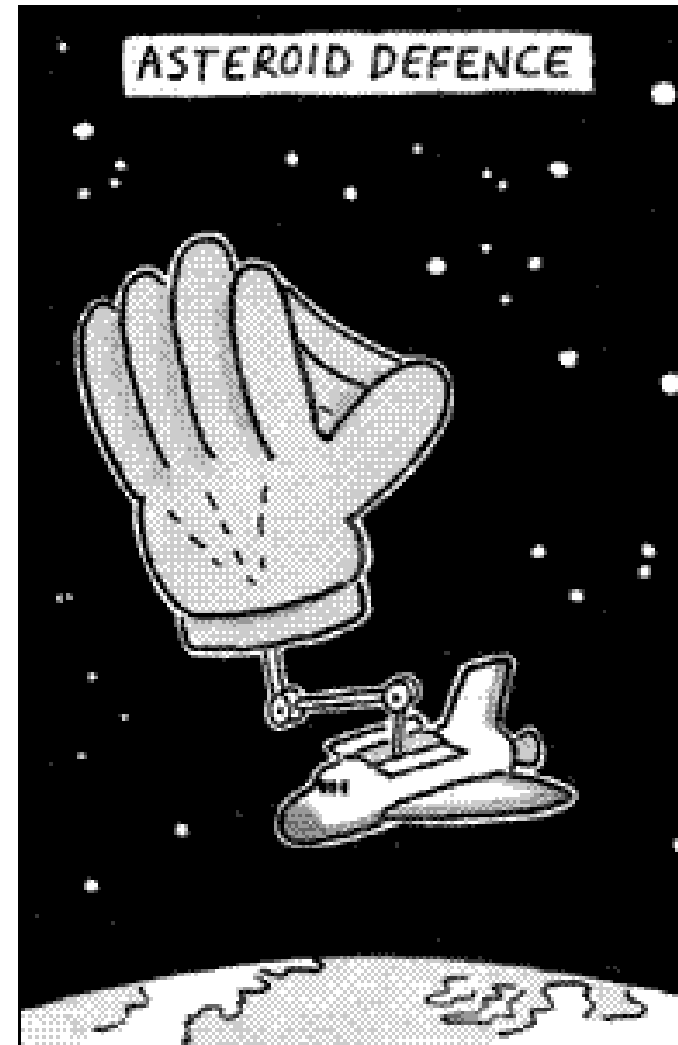
# The Importance of Asteroids

**The world ends on Feb 1 2019**  
(possibly)  
(Filed: 25/07/2002, Telegraph)

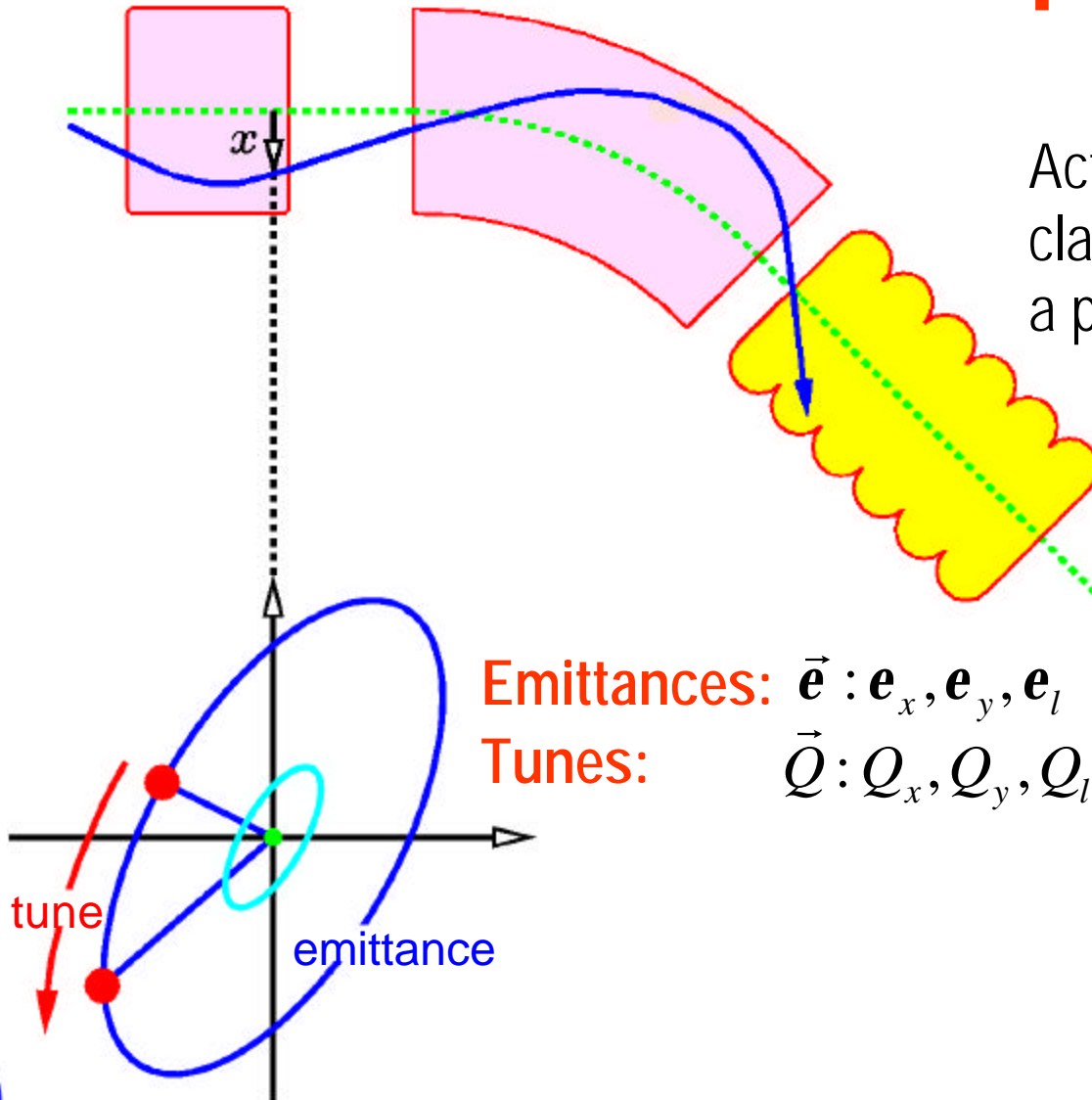
Scientists have detected a giant asteroid heading towards Earth. It could **wipe out humanity**, but it could miss us altogether. Astronomers say a huge asteroid is scheduled to crash into the Earth at **11.47 am on Feb 1 2019**.

Objects the size of NT7 only hit the Earth every one or two million years.

The dangers of NT7 have yet to be reviewed by the International Astronomical Union, the **main international body responsible for announcing such risks**.



# Phase space motion



Action-angle variables of a classical periodic system like a pendulum or planetary motion

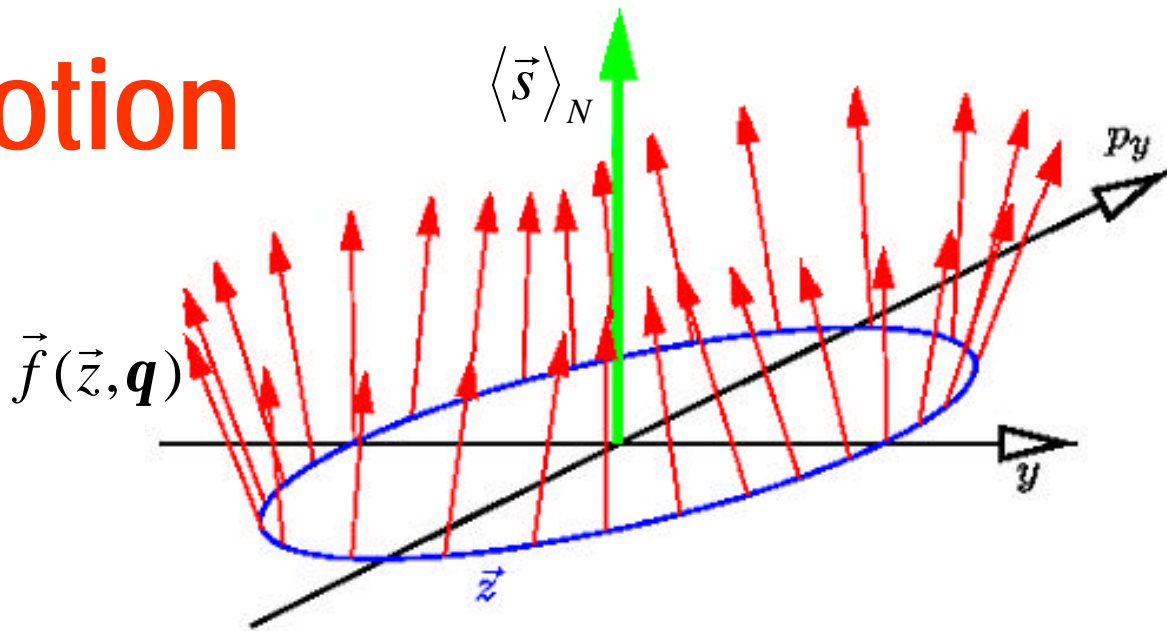
**Emittances:**  $\vec{e} : e_x, e_y, e_l$

**Tunes:**  $\vec{Q} : Q_x, Q_y, Q_l$

**Action variables:**  $\vec{\Phi} = \vec{Q} \mathbf{q}$

**Angle variables:**  $\vec{J} = \frac{1}{2} \vec{e}$

# Equation of motion for spin fields



**Spin field:** Spin direction  $\vec{f}(\vec{z}, \mathbf{q})$  for each phase space point  $\vec{z}$

$$\frac{d}{d\mathbf{q}} \vec{f} = \partial_{\mathbf{q}} \vec{f} + [\vec{v}(\vec{z}, \mathbf{q}) \cdot \partial_{\vec{z}}] \vec{f} = \vec{\Omega}(\vec{z}, \mathbf{q}) \times \vec{f}$$

# The spin transport matrix EOM

$$\vec{S}(\mathbf{q}) = \underline{R}(\vec{z}_i, \mathbf{q}_0; \mathbf{q}) \vec{S}_i$$

$$\vec{f}(\vec{z}, \mathbf{q}) = \underline{R}(\vec{z}_i, \mathbf{q}_0; \mathbf{q}) \vec{f}(\vec{z}_i, \mathbf{q}_0)$$

$$\frac{d\vec{S}}{d\mathbf{q}} = \vec{\Omega} \times \vec{S}_i \Rightarrow \partial_{\mathbf{q}} \underline{R}(\vec{z}_i, \mathbf{q}_0; \mathbf{q}) = \begin{pmatrix} 0 & -\Omega_3 & \Omega_2 \\ \Omega_3 & 0 & -\Omega_1 \\ -\Omega_2 & \Omega_1 & 0 \end{pmatrix} \underline{R}(\vec{z}_i, \mathbf{q}_0; \mathbf{q})$$



# The spin transport matrix

Rotation vector:  $\vec{e}$  , Rotation angle  $\mathbf{a}$  .

$$\vec{S}(\mathbf{q}) = \vec{e}(\vec{e} \cdot \vec{S}_i) + \cos \mathbf{a} [\vec{S}_i - \vec{e}(\vec{e} \cdot \vec{S}_i)] + \sin \mathbf{a} \cdot \vec{e} \times [\vec{S}_i - \vec{e}(\vec{e} \cdot \vec{S}_i)]$$

$$a_0 = \cos \frac{\mathbf{a}}{2}, \quad \vec{a} = \vec{e} \sin \frac{\mathbf{a}}{2} \quad \text{with} \quad a_0^2 + \vec{a}^2 = 1$$

$$\vec{S}(\mathbf{q}) = (a_0^2 - \vec{a}^2) \vec{S}_i + 2\vec{a}(\vec{a} \cdot \vec{S}_i) + 2a_0 \vec{a} \times \vec{S}_i$$

$$R_{ij} = (a_0^2 - \vec{a}^2) \mathbf{d}_{ij} + 2a_i a_j - 2a_0 \mathbf{e}_{ijk} a_k$$

$$\text{Tr}(\underline{R}) = 4a_0^2 - 1, \quad \mathbf{e}_{lmn} R_{mn} = -4a_0 a_l$$





# The spin transport quaternion

$$A = a_0 \underline{1}_2 - i \vec{a} \cdot \underline{\vec{S}}, \quad \mathbf{s}_l \mathbf{s}_m = i \mathbf{e}_{lmn} \mathbf{s}_n + \mathbf{d}_{lm}$$

---

Propagation:  $C = (b_0 \underline{1}_2 - i \vec{b} \cdot \underline{\vec{S}})(a_0 \underline{1}_2 - i \vec{a} \cdot \underline{\vec{S}})$

$$= (b_0 a_0 - \vec{b} \cdot \vec{a}) \underline{1}_2 - i (b_0 \vec{a} + \vec{b} a_0 + \vec{b} \times \vec{a}) \cdot \underline{\vec{S}}$$

Infinitesimal rotation:  $B = \underline{1}_2 - i \frac{d\mathbf{q}}{2} \vec{\Omega} \cdot \underline{\vec{S}}, \quad C = A + dA$

$$dA = -\frac{d\mathbf{q}}{2} [\vec{\Omega} \cdot \vec{a} \underline{1}_2 + i (\vec{\Omega} a_0 + \vec{\Omega} \times \vec{a}) \cdot \underline{\vec{S}}]$$
$$= -\frac{d\mathbf{q}}{2} [(\vec{\Omega} \cdot \underline{\vec{S}})(\vec{a} \cdot \underline{\vec{S}}) + i \vec{\Omega} \cdot \underline{\vec{S}} a_0] = -i \frac{d\mathbf{q}}{2} \vec{\Omega} \cdot \underline{\vec{S}} A$$

$$\frac{dA}{d\mathbf{q}} = -i \frac{1}{2} \vec{\Omega} \cdot \underline{\vec{S}} A$$



# Equation of motion for Spinors

$$\Psi(\mathbf{q}) = (a_0 \underline{1}_2 - i\vec{a} \cdot \underline{\vec{S}}) \Psi_i, \quad \Rightarrow \quad \frac{d\Psi}{d\mathbf{q}} = -i \frac{1}{2} (\vec{\Omega} \cdot \underline{\vec{S}}) \Psi$$

---

$$\vec{S}(\mathbf{q}) = \Psi^+ \underline{\vec{S}} \Psi \quad \text{with} \quad |\mathbf{y}_1|^2 + |\mathbf{y}_2|^2 = 1$$

since  $\frac{d\vec{S}}{d\mathbf{q}} = i \frac{1}{2} \Psi^+ [(\vec{\Omega} \cdot \underline{\vec{S}}) \underline{\vec{S}} - \underline{\vec{S}} (\vec{\Omega} \cdot \underline{\vec{S}})] \Psi$

$$= i \frac{1}{2} \Psi^+ (\vec{\Omega} \times \underline{\vec{S}}) \Psi = \vec{\Omega} \times \vec{S}$$

$$\vec{S} = \begin{pmatrix} \sin J \cos f \\ \sin J \sin f \\ \cos J \end{pmatrix} \iff \Psi = \begin{pmatrix} \mathbf{y}_1 \\ \mathbf{y}_2 \end{pmatrix} = e^{i\mathbf{x}} \begin{pmatrix} \cos \frac{J}{2} \\ e^{if} \sin \frac{J}{2} \end{pmatrix}$$



# Spinor phase

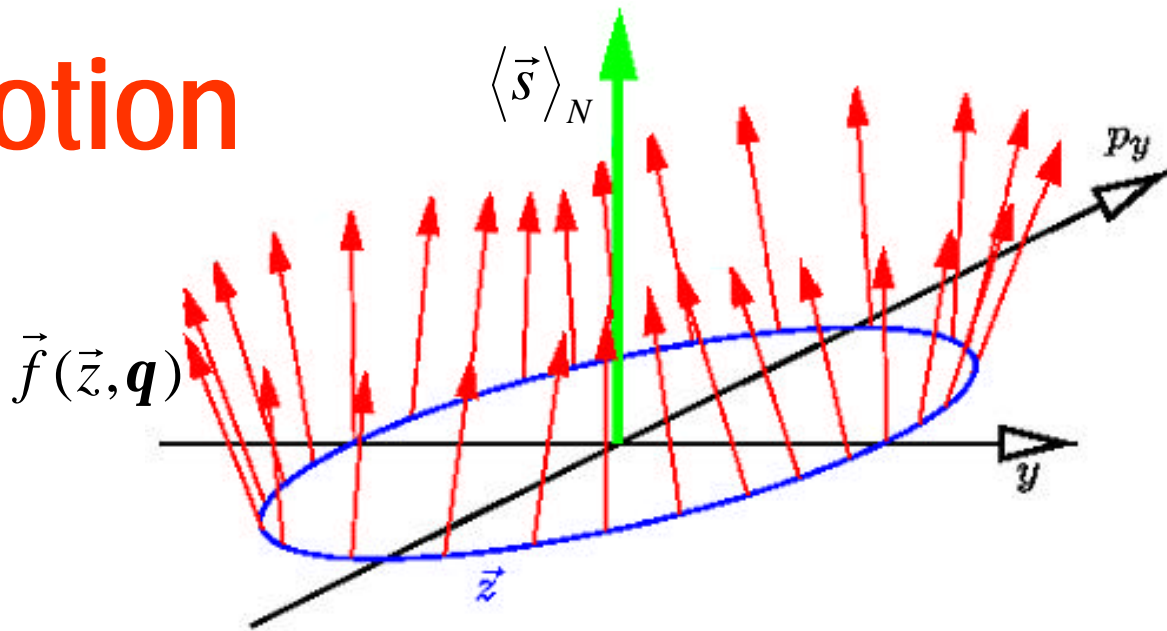
Rotation around the spin direction:  $\vec{\Omega} = \vec{S} \mathbf{a}$

$$\begin{aligned}\frac{d\Psi}{dq} &= -i \frac{\mathbf{a}}{2} \vec{S} \cdot \underline{\vec{S}} \Psi = -i \frac{\mathbf{a}}{2} \begin{pmatrix} \cos J & e^{-ij} \sin J \\ e^{ij} \sin J & -\cos J \end{pmatrix} \Psi \\ &= -i \mathbf{a} \begin{pmatrix} \cos^2 \frac{J}{2} - \frac{1}{2} & e^{-ij} \sin \frac{J}{2} \cos \frac{J}{2} \\ e^{ij} \sin \frac{J}{2} \cos \frac{J}{2} & \sin^2 \frac{J}{2} - \frac{1}{2} \end{pmatrix} \Psi \\ &= -i \mathbf{a} (\Psi \Psi^\dagger - \frac{1}{2} \mathbb{1}_2) \Psi = -i \frac{\mathbf{a}}{2} \Psi\end{aligned}$$

$$\Psi = e^{i \frac{\mathbf{a}}{2} (q - q_0)} \Psi(q_0)$$



# Equation of motion for spin fields



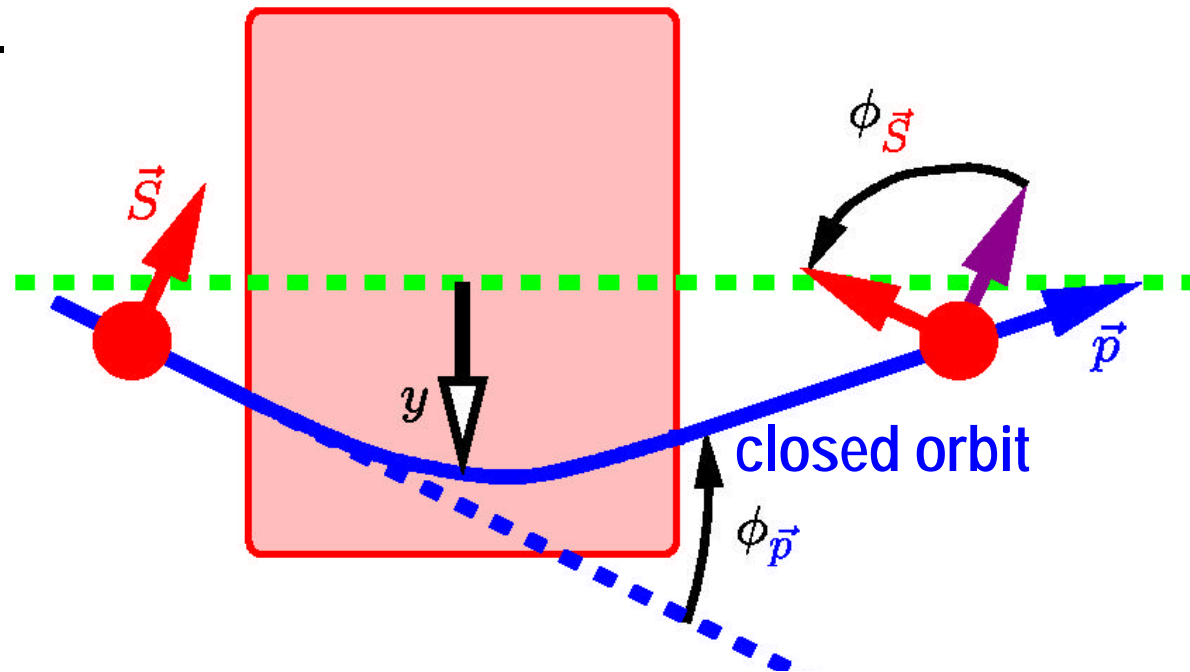
**Spin field:** Spin direction  $\vec{f}(\vec{z}, \mathbf{q})$  for each phase space point  $\vec{z}$

$$\frac{d}{d\mathbf{q}} \Psi = \partial_{\mathbf{q}} \Psi + [\vec{v}(\vec{z}, \mathbf{q}) \cdot \partial_{\vec{z}}] \Psi = -\frac{i}{2} [\vec{\Omega}(\vec{z}, \mathbf{q}) \cdot \vec{S}] \Psi$$

# Spin perturbation on the closed orbit

Integer values of the **closed orbit spin-tune**  $n_o$  lead to coherent disturbances of spin motion called imperfection resonances.

Remedy:  
**Partial snakes** avoid resonances by avoiding an integer **spin-tune**  $n$



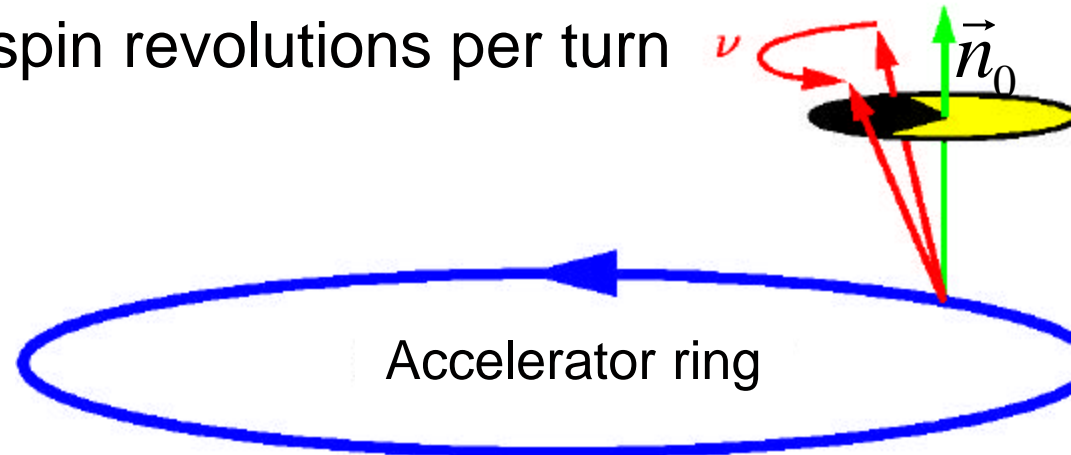
$$\mathbf{f}_{\vec{s}} \propto \mathbf{f}_{\vec{p}} \propto y_0 (\mathbf{q} - \mathbf{q}_0 \bmod 2\mathbf{p}) = \sum_{k_0} a_{k_0} e^{ik_0\mathbf{q}}$$

# Periodic spin direction for the closed orbit

A particle traveling on the closed orbit has the closed orbit spin direction  $\vec{n}_0$  if its spin is periodic after every turn.

$$\vec{n}_0 = \underline{R}(\vec{z}_0, \mathbf{q}_0; \mathbf{q}_0 + 2\mathbf{p}) \vec{n}_0$$

**Spin-tune  $\nu$** : Number of spin revolutions per turn



# Closed orbit spin motion

- When the design orbit spin tune **no** becomes **integer**, no net rotation has occurred after one turn, so that perturbations from the design orbit dominate the motion
- If the perturbations are very small, the resonance region can be crossed quickly and the spins hardly react
- If the perturbation is large, the closed orbit spin direction changes slowly and spins can follow adiabatic changes of the periodic spin direction on the closed orbit.
- When the perturbations have intermediate strength, the polarization will be reduced

## Remedies:

- Correction of the closed orbit to reduce the perturbation
- Increase of spin perturbation (for example by a solenoid partial snake) to increase the perturbation



# The periodic coordinate system

Closed orbit precession vector:  $\vec{\Omega}_0(\mathbf{q}) = \vec{\Omega}_0(\mathbf{q} + 2\mathbf{p})$

Closed orbit spin vector:  $\frac{d\vec{n}_0}{d\mathbf{q}} = \vec{\Omega}_0(\mathbf{q}) \times \vec{n}_0, \quad \vec{n}_0(\mathbf{q}) = \vec{n}_0(\mathbf{q} + 2\mathbf{p})$

Non-periodic  
Perpendicular vectors:  $\frac{d\vec{m}_0}{d\mathbf{q}} = \vec{\Omega}_0(\mathbf{q}) \times \vec{m}_0, \quad \vec{l}_0 = \vec{n}_0 \times \vec{m}_0$

$$[\vec{m}_0 + i\vec{l}_0](\mathbf{q}) = e^{i2\mathbf{p}\mathbf{n}_0} [\vec{m}_0 + i\vec{l}_0](\mathbf{q} + 2\mathbf{p})$$

Non-periodic  
Perpendicular vectors:  $[\vec{m} + i\vec{l}](\mathbf{q}) = e^{i\mathbf{q}\mathbf{n}_0} [\vec{m}_0 + i\vec{l}_0](\mathbf{q})$

$$\frac{d(\vec{m} + i\vec{l})}{d\mathbf{q}} = [\vec{\Omega}_0(\mathbf{q}) - \mathbf{n}_0 \vec{n}_0] \times (\vec{m} + i\vec{l})$$





# EOM in the periodic system

$$\vec{S} = s_1 \vec{m}(\mathbf{q}) + s_2 \vec{l}(\mathbf{q}) + s_3 \vec{n}_0(\mathbf{q})$$

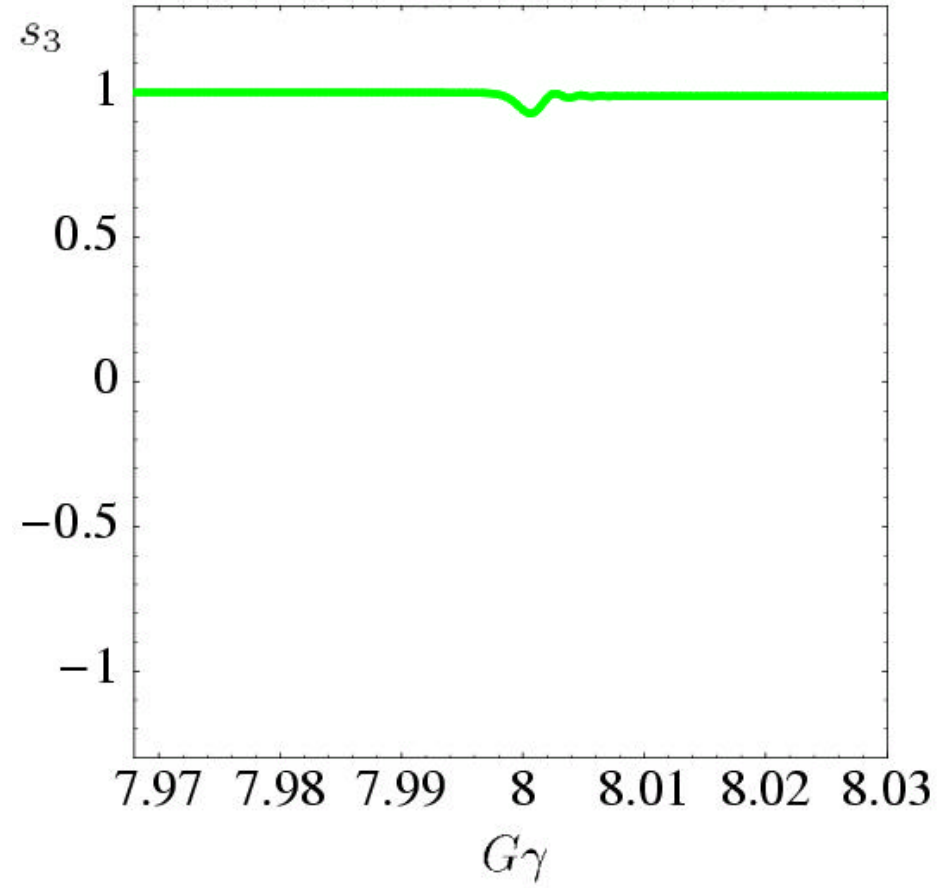
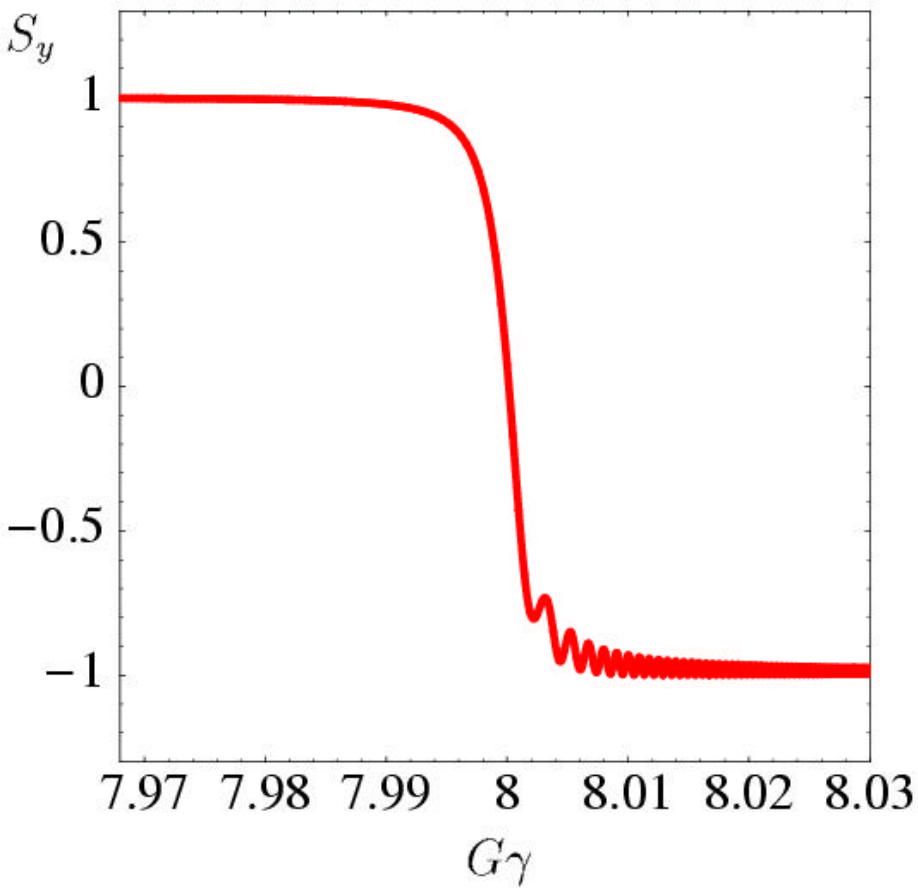
$$\vec{\Omega}_0(\mathbf{q}) \times \vec{S} = \frac{d}{d\mathbf{q}} \vec{S} = \vec{m} \frac{ds_1}{d\mathbf{q}} + \vec{l} \frac{ds_2}{d\mathbf{q}} + \vec{n}_0 \frac{ds_3}{d\mathbf{q}} + [\vec{\Omega}_0 - \mathbf{n}_0 \vec{n}_0] \times \vec{S}$$

$$\hat{S} = s_1 + i s_2, \quad \frac{d}{d\mathbf{q}} \hat{S} = i \mathbf{n}_0 \hat{S}, \quad \frac{d}{d\mathbf{q}} s_3 = 0$$

Smooth rotation around the periodic spin direction !



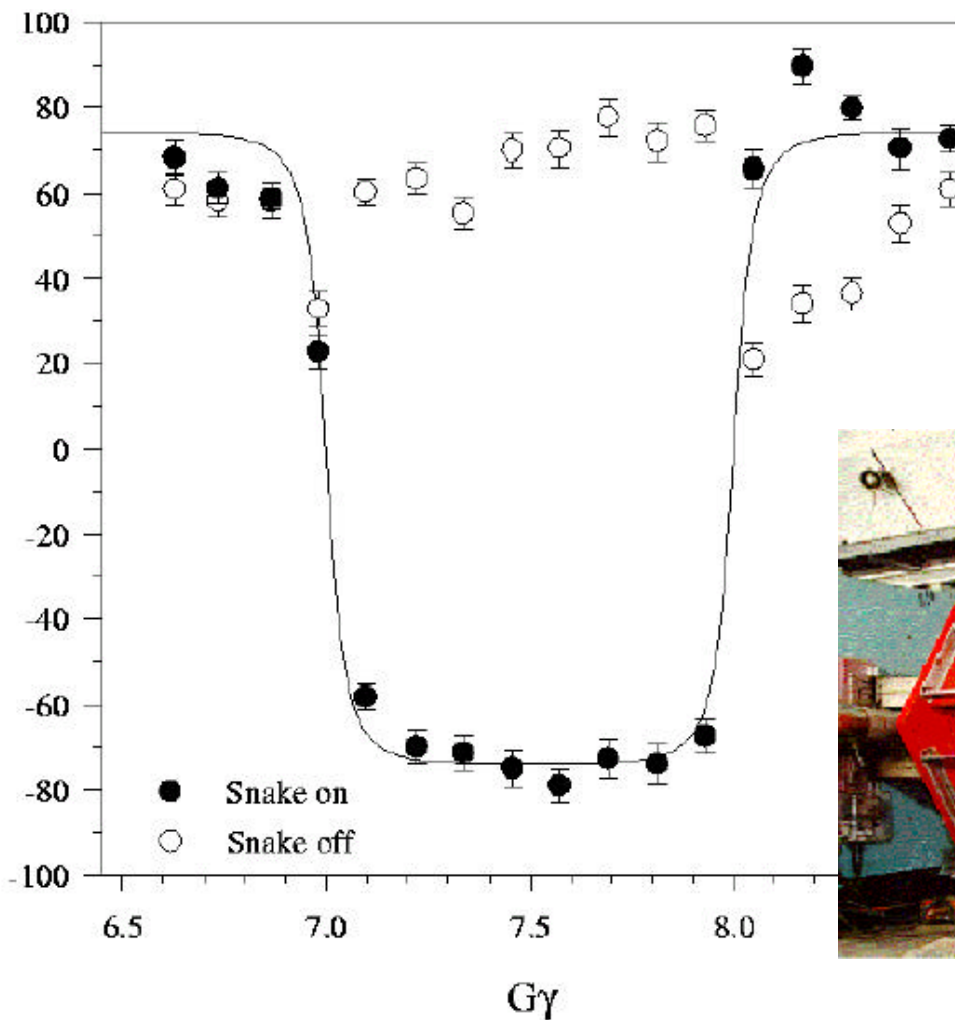
# Adiabatic invariant on the closed orbit



Spin flip during crossing of a resonance with a partial snake



# Spin flip at imperfection resonances in the AGS



Courtesy T. Roser



# Slowly varying periodic system

Slowly varying parameter  $\mathbf{t} = \mathbf{e}\mathbf{q}$  :  $\vec{\Omega}_0(\mathbf{q}, \mathbf{t}) = \vec{\Omega}_0(\mathbf{q} + 2\mathbf{p}, \mathbf{t})$

Slowly rotating coordinate system:  $\frac{d}{dt}(\vec{m}, \vec{l}, \vec{n}_0) = \vec{h}(\mathbf{q}, \mathbf{t}) \times (\vec{m}, \vec{l}, \vec{n}_0)$

$$\frac{d}{d\mathbf{q}} \vec{S} = \vec{\Omega}_0(\mathbf{q}) \times \vec{S}$$

$$= \vec{m} \frac{ds_1}{d\mathbf{q}} + \vec{l} \frac{ds_2}{d\mathbf{q}} + \vec{n}_0 \frac{ds_3}{d\mathbf{q}} + [\vec{\Omega}_0 - \mathbf{n}_0 \vec{n}_0] \times \vec{S} + \left(\frac{d\mathbf{t}}{d\mathbf{q}}\right) \vec{h} \times \vec{S}$$

$$s_1 = \sqrt{1 - s_3^2} \cos \mathbf{j} , \quad s_2 = \sqrt{1 - s_3^2} \sin \mathbf{j}$$

$$\sqrt{1 - s_3^2} \frac{d}{d\mathbf{q}} \mathbf{j} = -\sin \mathbf{j} \frac{d}{d\mathbf{q}} s_1 + \cos \mathbf{j} \frac{d}{d\mathbf{q}} s_2$$




# Slowly varying periodic system

$$\frac{d}{dq} \begin{pmatrix} s_3 \\ \mathbf{j} \end{pmatrix} = \begin{pmatrix} \mathbf{e}(\mathbf{h}_2 \sin \mathbf{j} + \mathbf{h}_1 \cos \mathbf{j}) \sqrt{1 - s_3^2} \\ \mathbf{n}_0(\mathbf{t}) + \mathbf{e}[(\mathbf{h}_2 \sin \mathbf{j} + \mathbf{h}_1 \cos \mathbf{j}) \frac{s_3}{\sqrt{1 - s_3^2}} - \mathbf{h}_3] \end{pmatrix}$$

$$\frac{d}{dq} \begin{pmatrix} \mathbf{t} \\ \tilde{\mathbf{q}} \end{pmatrix} = \begin{pmatrix} \mathbf{e} \\ 1 \end{pmatrix}$$

## Averaging of two frequency systems:

The system which is averaged over  $2\mathbf{p}$  of the 2 fast variables describes the true motion of the slow variables up to  $< c\sqrt{\mathbf{e}}$  for  $\mathbf{q} < \frac{1}{\mathbf{e}}$ .

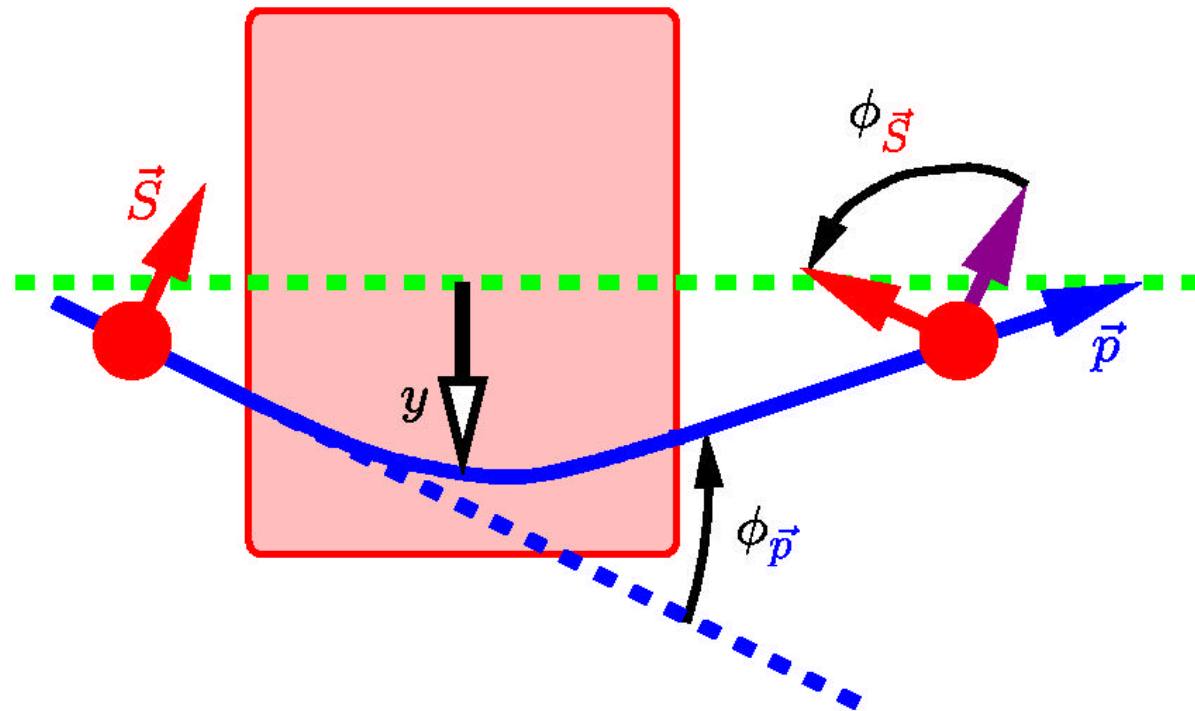
  $s_3(\mathbf{q}) = s_3(\mathbf{q}_0) + c\sqrt{\mathbf{e}}$  is an adiabatic invariant



# Driven spin perturbation on a trajectory

Integer values of **spin-tune**  $n \pm$  **tune**  $n_y$  lead to coherent disturbances of spin motion

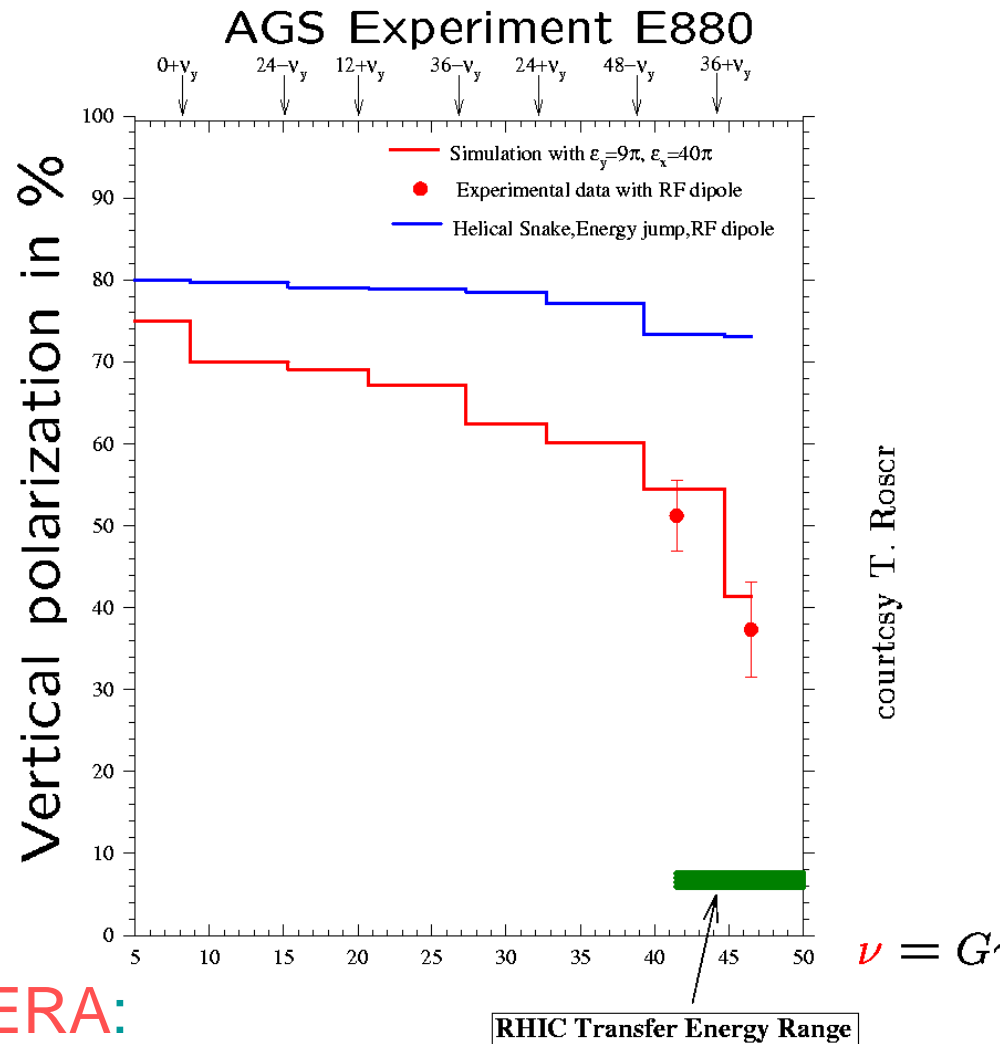
**Remedy:**  
Siberian Snakes avoid resonances by making the **spin-tune**  $n = 1/2$  independent of energy.



$$\phi_{\vec{S}} \propto \phi_{\vec{p}} \propto y = y_0 \sin(\psi_0 + nQ_y)$$

# Crossing resonances

Remedy for DESY III:  
Tune jump, energy jump,  
and RF dipole excitation

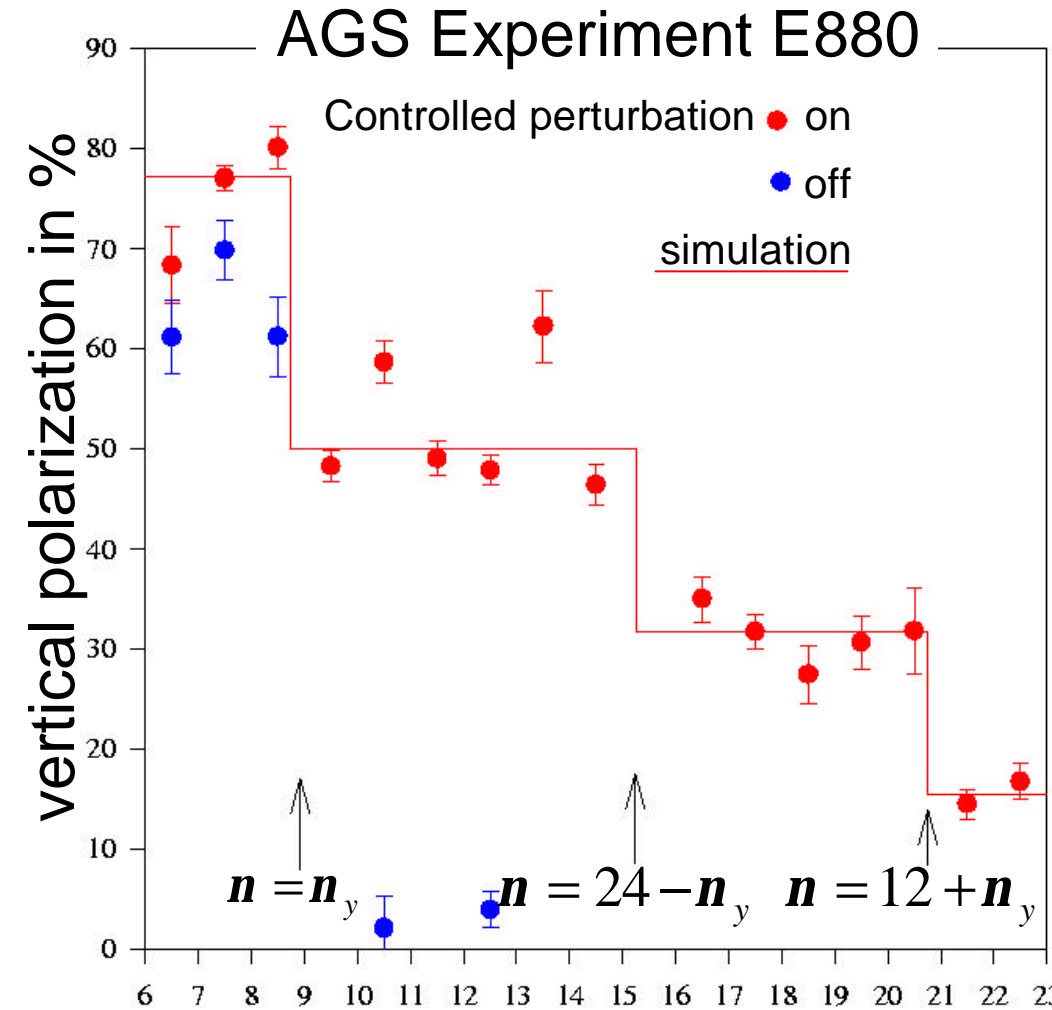


Remedy for PETRA and HERA:

Fixing the spin tune to  $\frac{1}{2}$  by Siberian Snakes



# Problems with Resonances



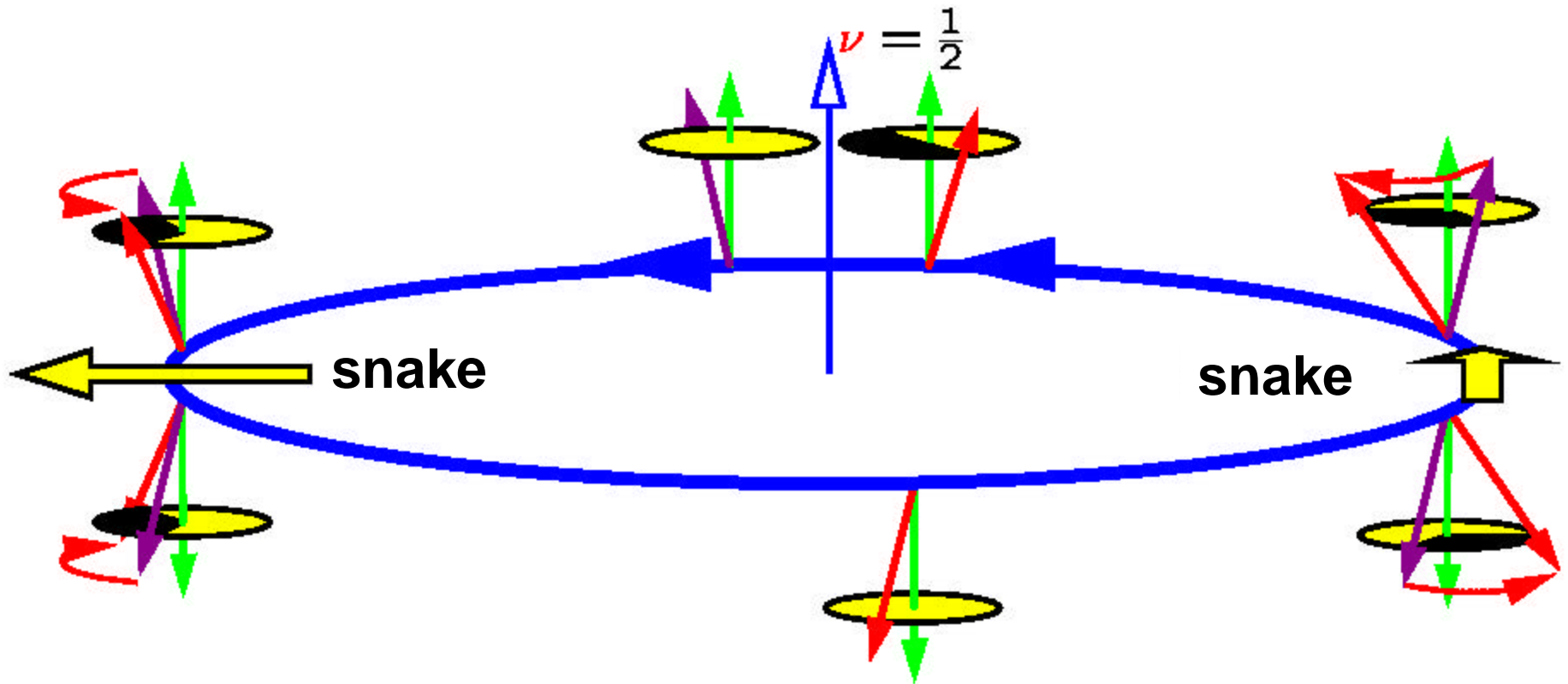
At integer **spin-tune  $n$**  the spin returns without a change after one turn, and error fields add up.

**Remedy:** insert controlled perturbations of spin motion.



# Siberian Snakes

Siberian Snakes rotate spins at each energy  $\frac{1}{2}$  times



Freedom: direction of the rotation axis in the horizontal

# CO spin motion with 1 Siberian Snake

$$\begin{aligned}
 A &= -i(\mathbf{s}_1 \cos \mathbf{a} + \mathbf{s}_2 \sin \mathbf{a})(\cos G\mathbf{g}\mathbf{p} - i \sin G\mathbf{g}\mathbf{p}\mathbf{s}_3) \\
 &= -i[\mathbf{s}_1 \cos(\mathbf{a} - G\mathbf{g}\mathbf{p}) + \mathbf{s}_2 \sin(\mathbf{a} - G\mathbf{g}\mathbf{p})]
 \end{aligned}$$

Spin direction after the snake:

$$\vec{n}_0 = \vec{e}_x \cos(\mathbf{a} - \frac{G\mathbf{g}}{2}) + \vec{e}_l \sin(\mathbf{a} - \frac{G\mathbf{g}}{2}) \Leftrightarrow \Psi(0) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ e^{i(\mathbf{a} - \frac{G\mathbf{g}}{2})} \end{pmatrix}$$

$$\Psi(\mathbf{q}) = (\cos \frac{G\mathbf{g}\mathbf{q}}{2} - i \sin \frac{G\mathbf{g}\mathbf{q}}{2} \mathbf{s}_3) \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ e^{i(\mathbf{a} - G\mathbf{g}\mathbf{p})} \end{pmatrix} = \frac{e^{-i\frac{G\mathbf{g}\mathbf{q}}{2}}}{\sqrt{2}} \begin{pmatrix} 1 \\ e^{i[\mathbf{a} - G\mathbf{g}(\mathbf{p} - \mathbf{q})]} \end{pmatrix}$$

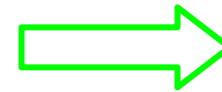
Snake angle tunes spin direction anywhere in the ring, especially at  $\mathbf{q} = \mathbf{p}$   
 it is independent of energy!



# CO spin motion with 2N Siberian Snake

$$\begin{aligned}
 A &= \prod_{j=1}^{2N} i e^{-i \frac{y_j}{2} \mathbf{s}_3} (\mathbf{s}_1 \cos \mathbf{a}_j + \mathbf{s}_2 \sin \mathbf{a}_j) \\
 &= i^N e^{-i \frac{y_{2N} \cdots - y_3 + y_2 - y_1}{2} \mathbf{s}_3} \prod_{j=1}^N (\mathbf{s}_1 \cos \mathbf{a}_{2j} + \mathbf{s}_2 \sin \mathbf{a}_{2j}) (\mathbf{s}_1 \cos \mathbf{a}_{2j-1} + \mathbf{s}_2 \sin \mathbf{a}_{2j-1}) \\
 &= i^N e^{-i \frac{\Delta y}{2} \mathbf{s}_3} \prod_{j=1}^N [\cos(\mathbf{a}_{2j} - \mathbf{a}_{2j-1}) - i \sin(\mathbf{a}_{2j} - \mathbf{a}_{2j-1}) \mathbf{s}_3]
 \end{aligned}$$

$$A = i^N e^{-i \frac{\Delta y + 2\Delta \mathbf{a}}{2} \mathbf{s}_3}$$



$$\begin{aligned}
 \mathbf{n}_0 &= \frac{\Delta \mathbf{y} + 2\Delta \mathbf{a}}{2p} \\
 \vec{n}_0 &= \vec{e}_y
 \end{aligned}$$

$\Delta \mathbf{y} = 0$  , to make  $\mathbf{n}_0$  independent of energy

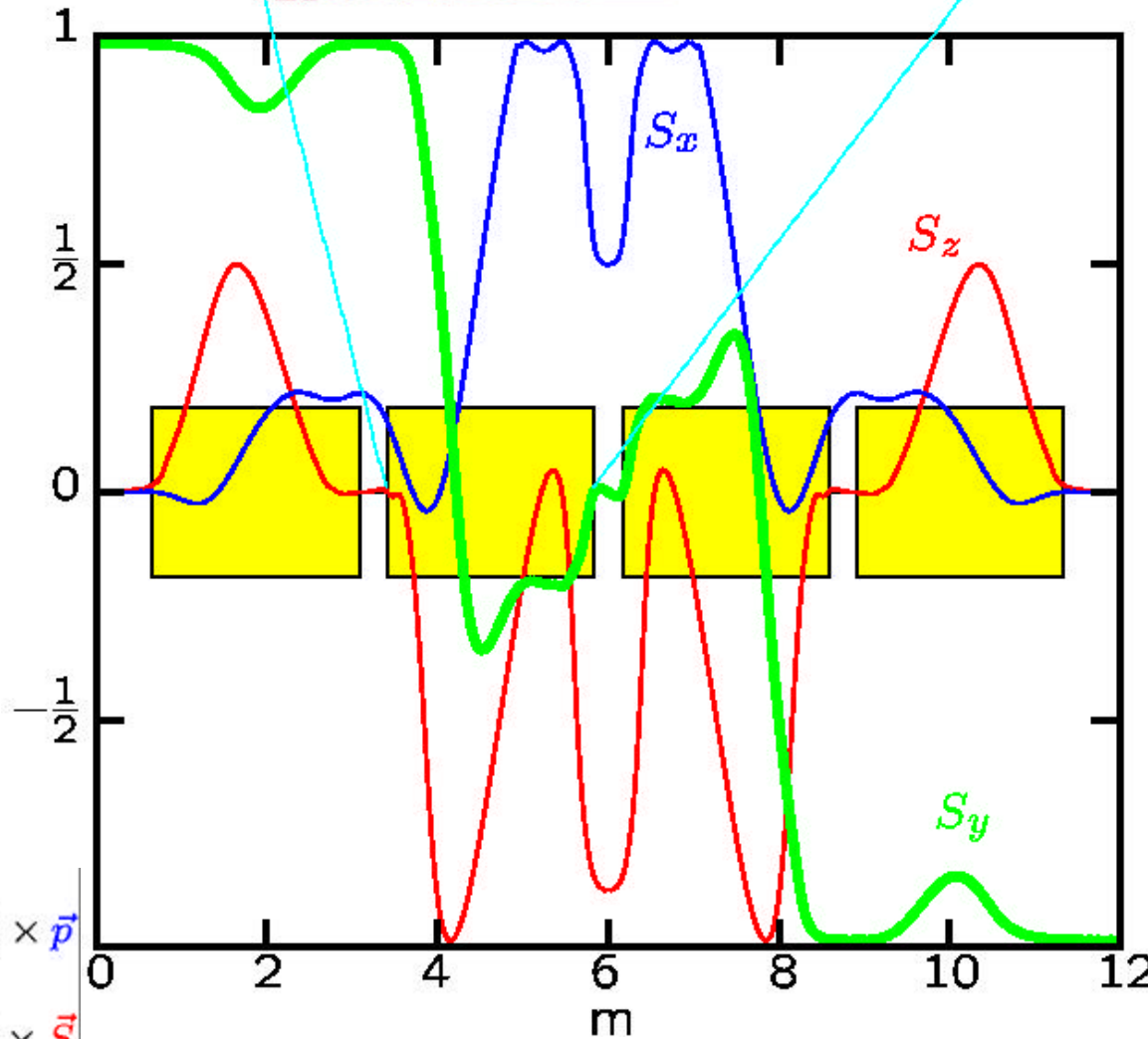
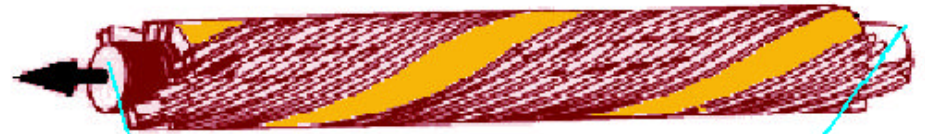
$\Delta \mathbf{a} = \frac{\mathbf{p}}{2}$  , to make  $\mathbf{n}_0 = 0.5$



# RHIC Siberian Snakes (A)

## Spin Motion

- 2 helical dipoles
- 10 cm diameter
- Superconducting 4Tesla magnets

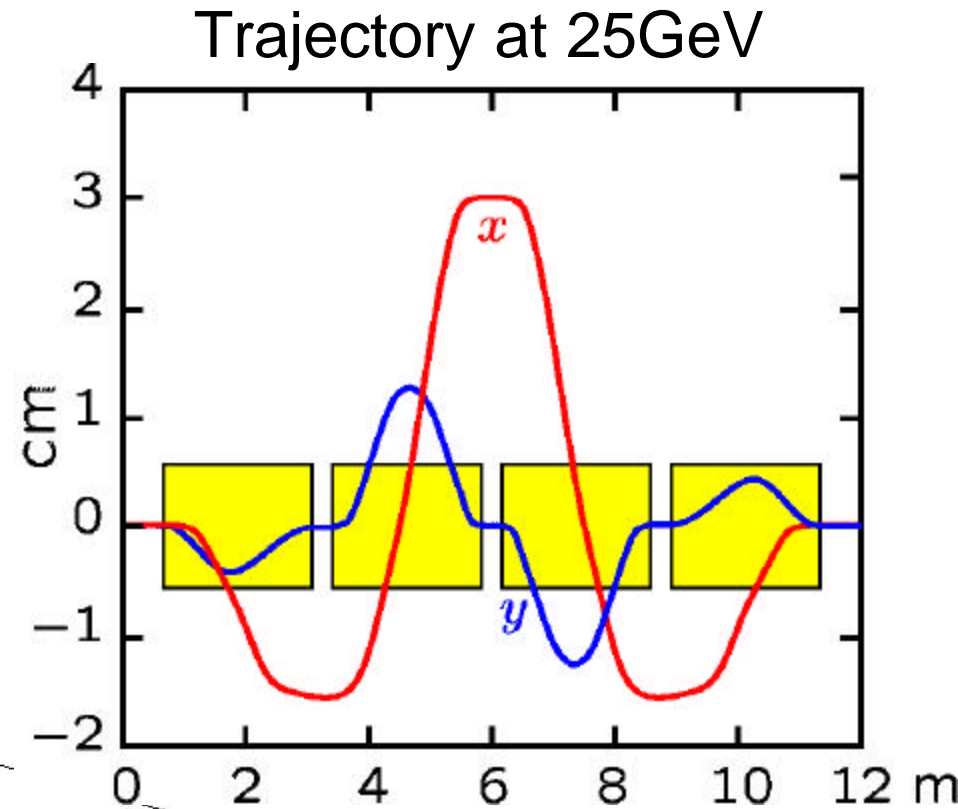
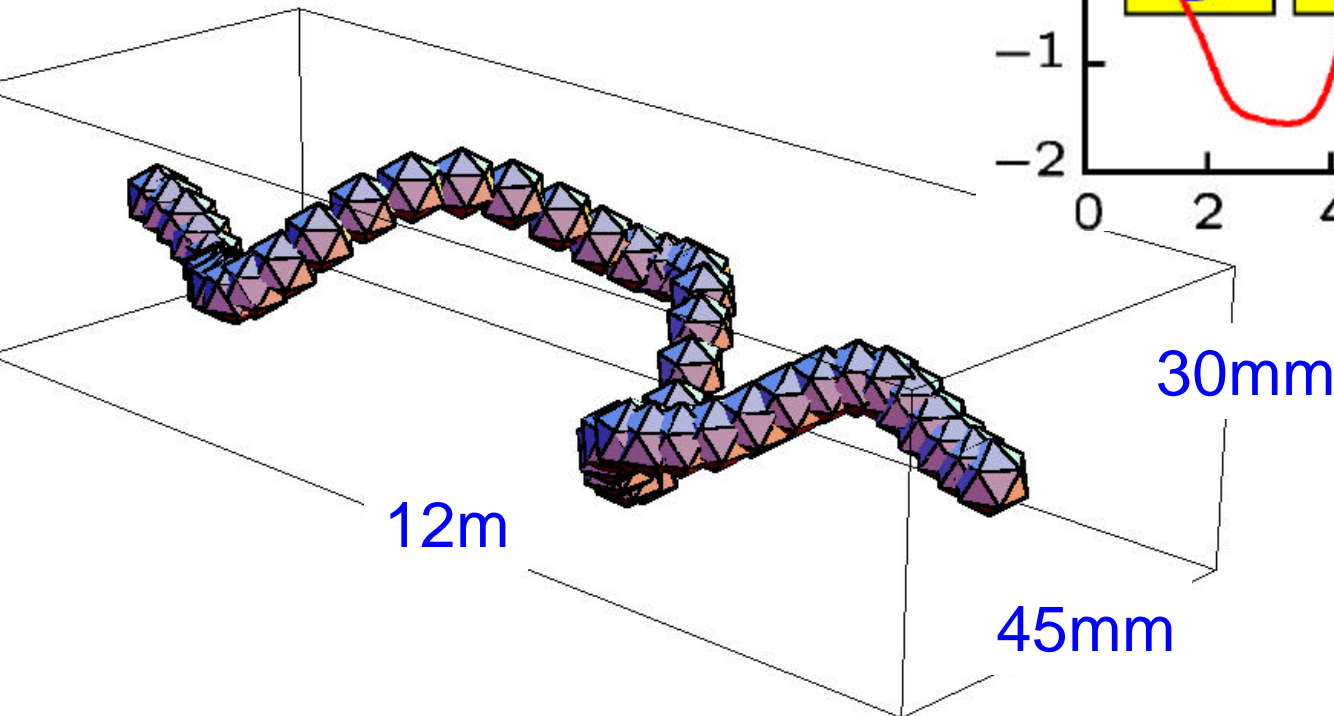


$$\frac{d\vec{p}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ \vec{B}_\perp \} \times \vec{p}$$

$$\frac{d\vec{S}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ (G\gamma + 1)\vec{B}_\perp + (1 + G)\vec{B}_\parallel \} \times \vec{S}$$

# RHIC Siberian Snakes (B)

## Particle Trajectories

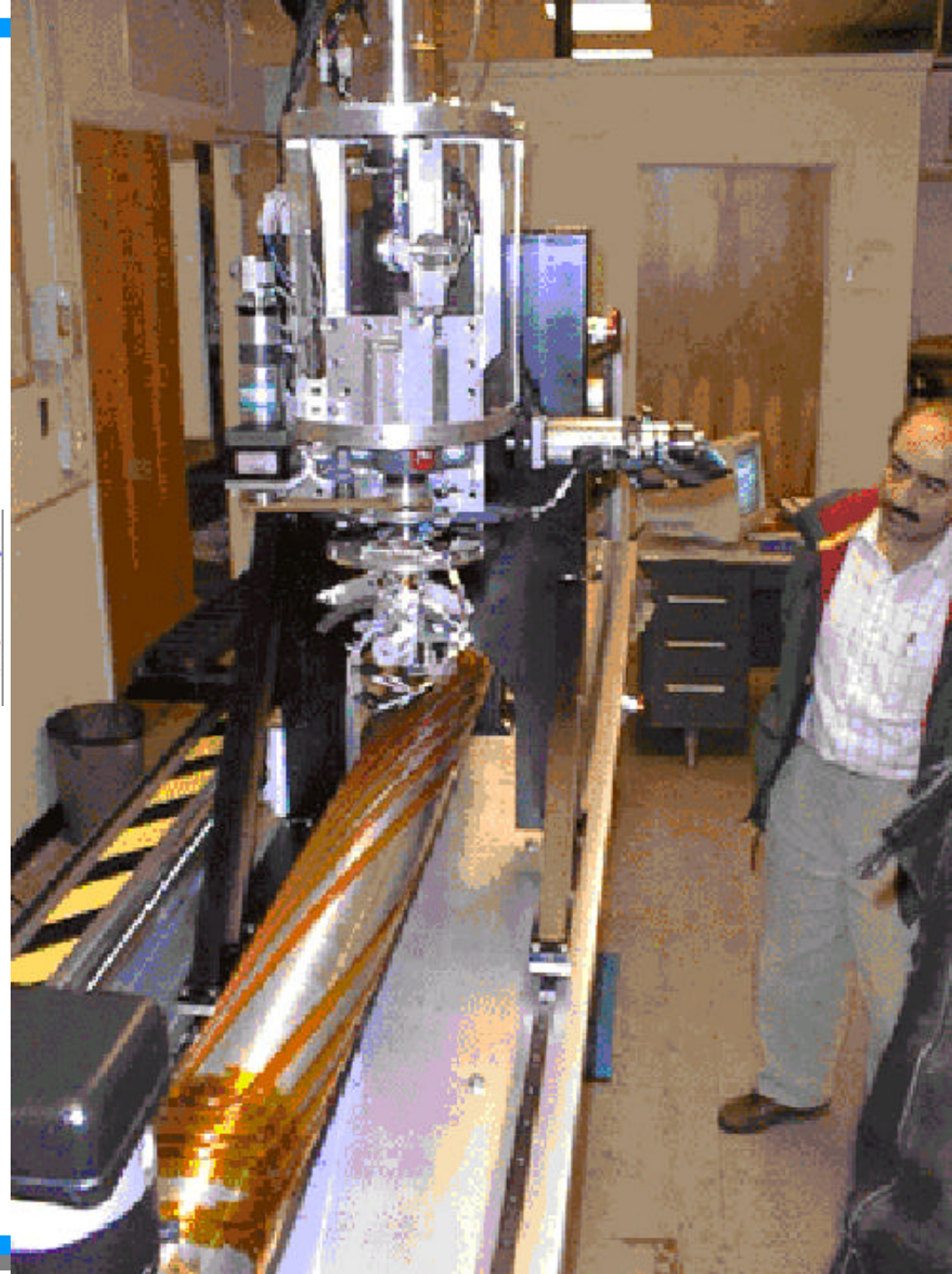


# RHIC Siberian Snake (C)

## Production

$$\frac{d\vec{p}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ \vec{B}_\perp \} \times \vec{p}$$

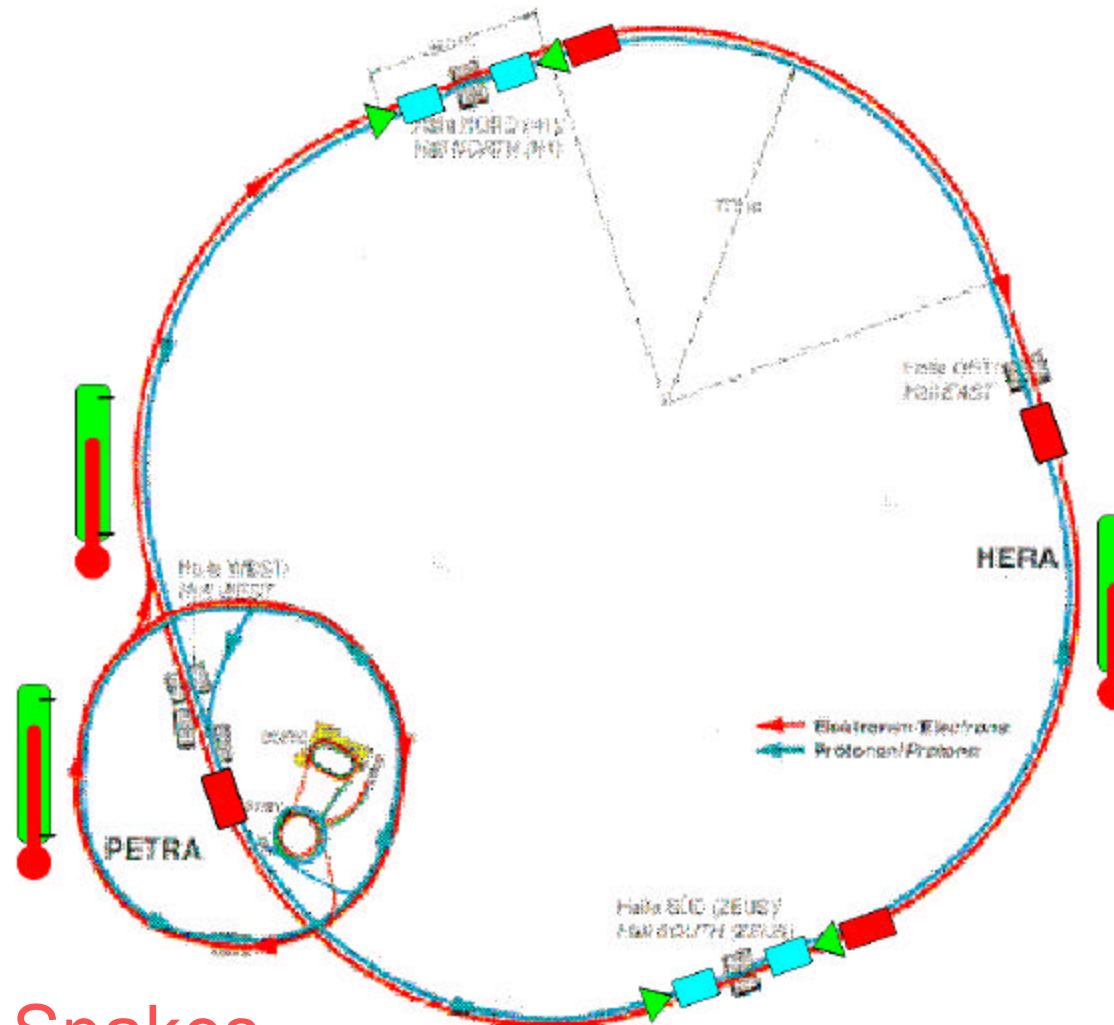
$$\frac{d\vec{S}}{dt} = \left(\frac{-q}{m\gamma}\right) \{ (G\gamma + 1)\vec{B}_\perp + (1 + G)\vec{B}_\parallel \} \times \vec{S}$$



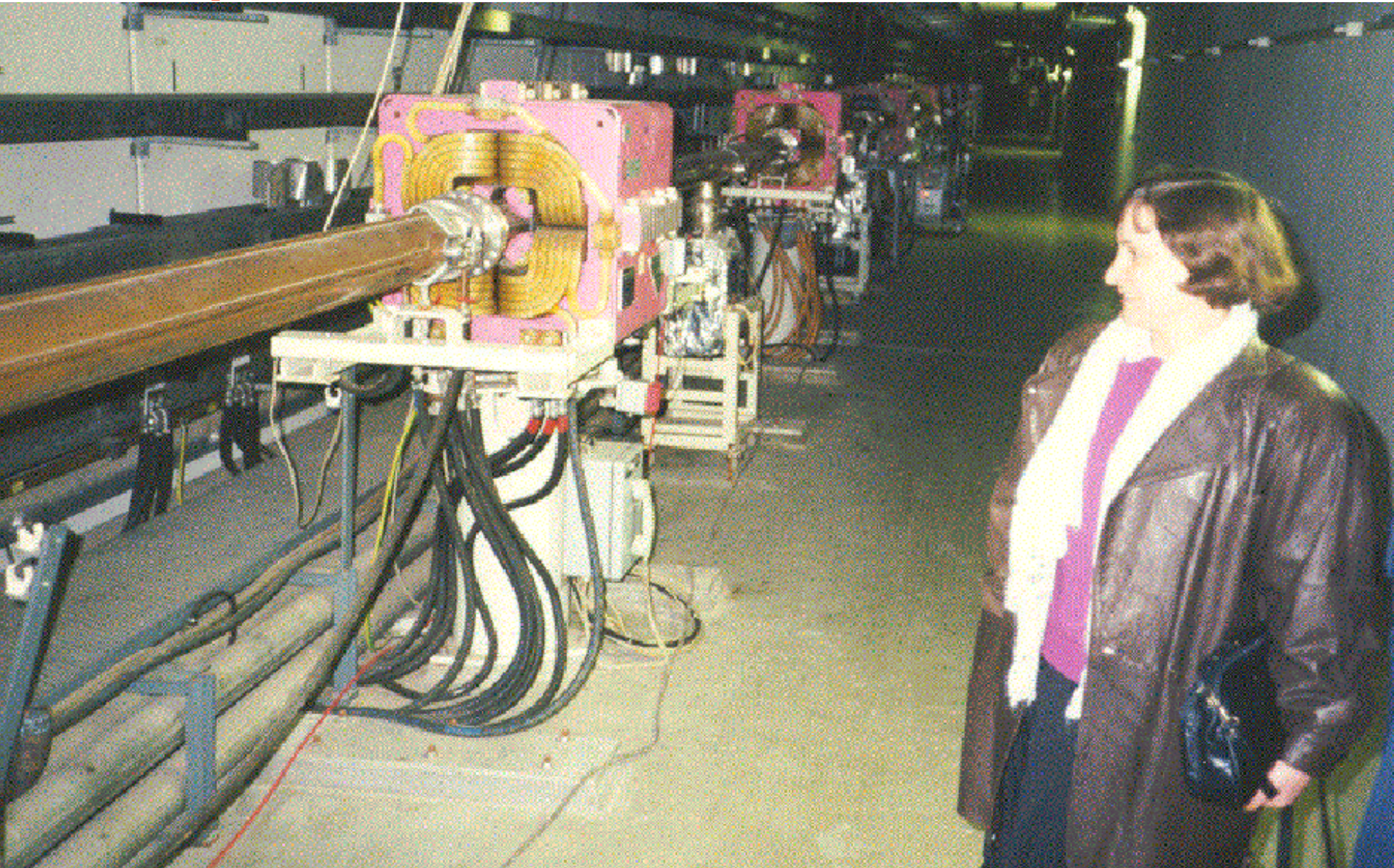
# Required installations in HERA

Cost: about 30M Euro

- Polarimeters
- Flattening Snakes
- Spin rotators
- At least 4 Siberian Snakes

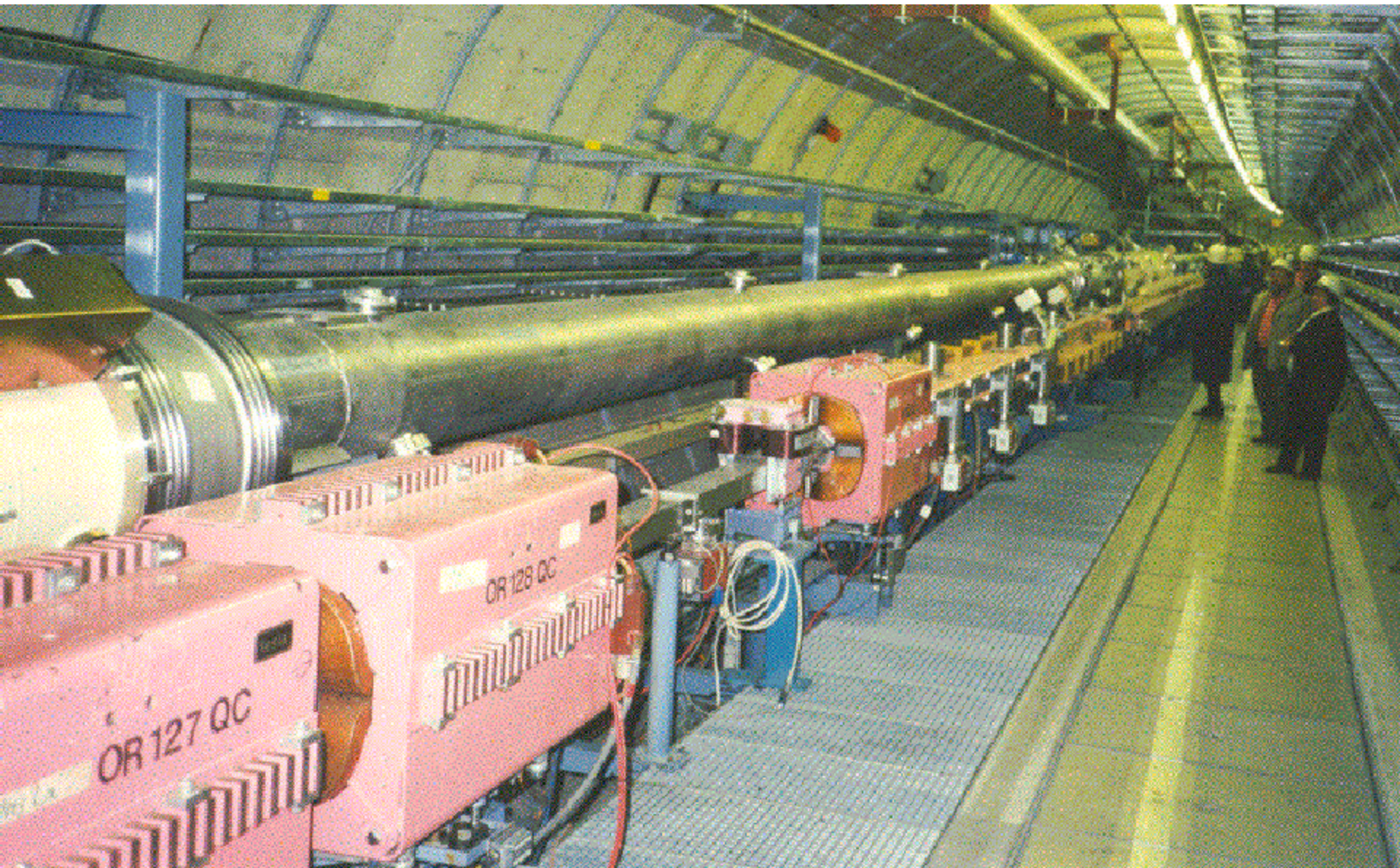


# Space for PETRA's Siberian Snakes

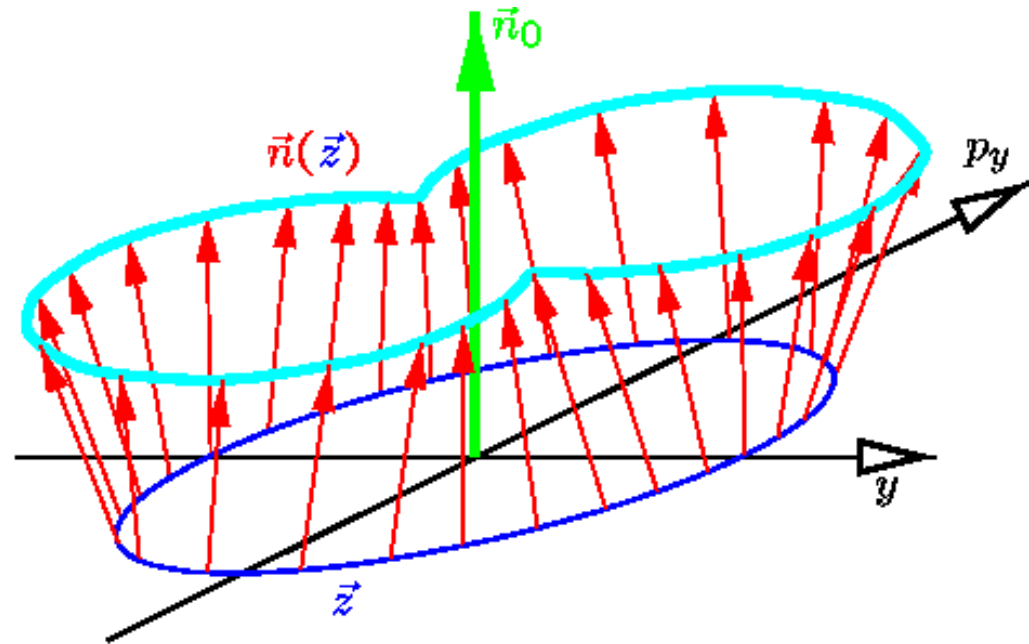




# Space for Siberian Snakes in HERA



# The Invariant Spin Field



A) Maximum polarization:  $P_{lim} = \langle \vec{n}(\vec{z}) \rangle_{\text{Phase space}}$

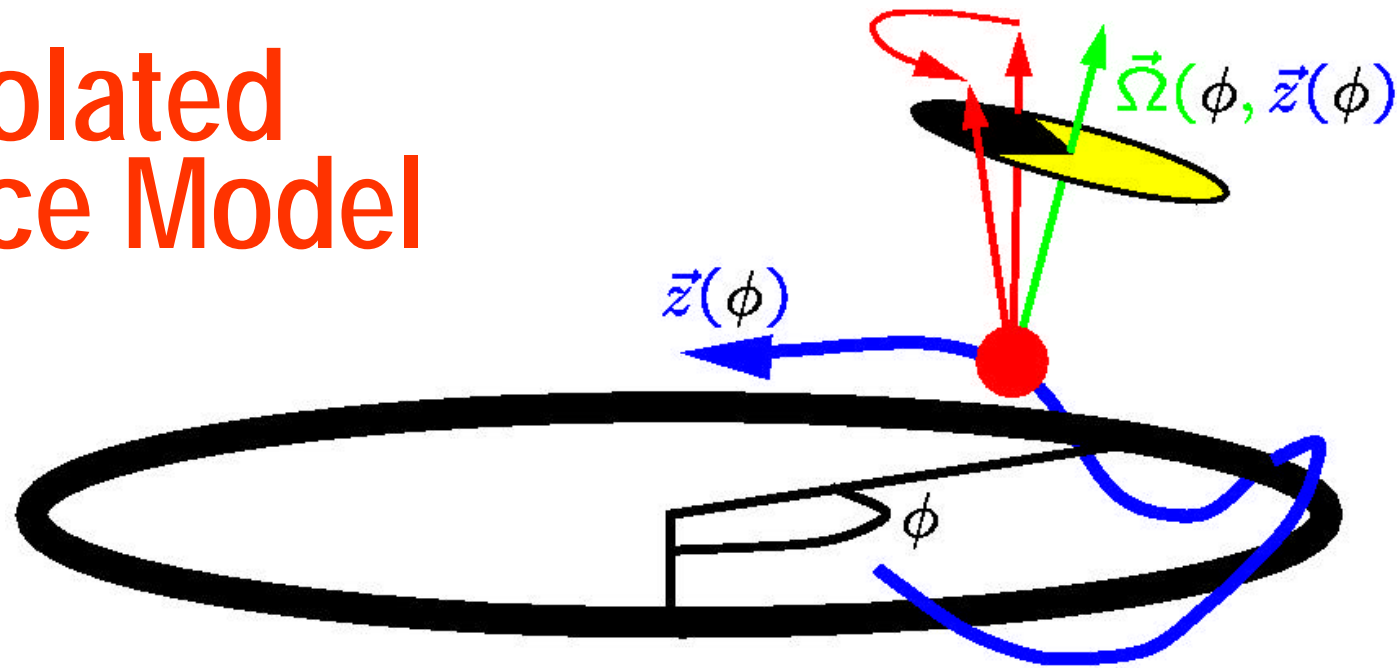
For a large divergence, the average polarization is small, even if the local polarization is 100%.

B)  $\vec{n}(\vec{z}) \cdot \vec{S}$  is an adiabatic invariance !

Linearized  $\vec{n}(\vec{z})$  can be analytically computed



# The Isolated Resonance Model



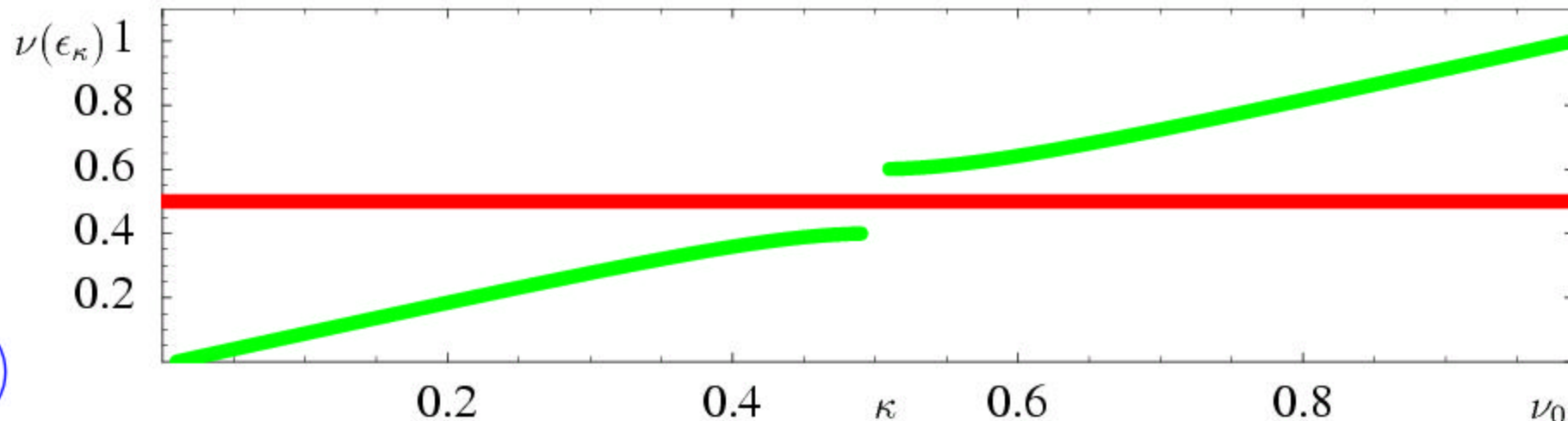
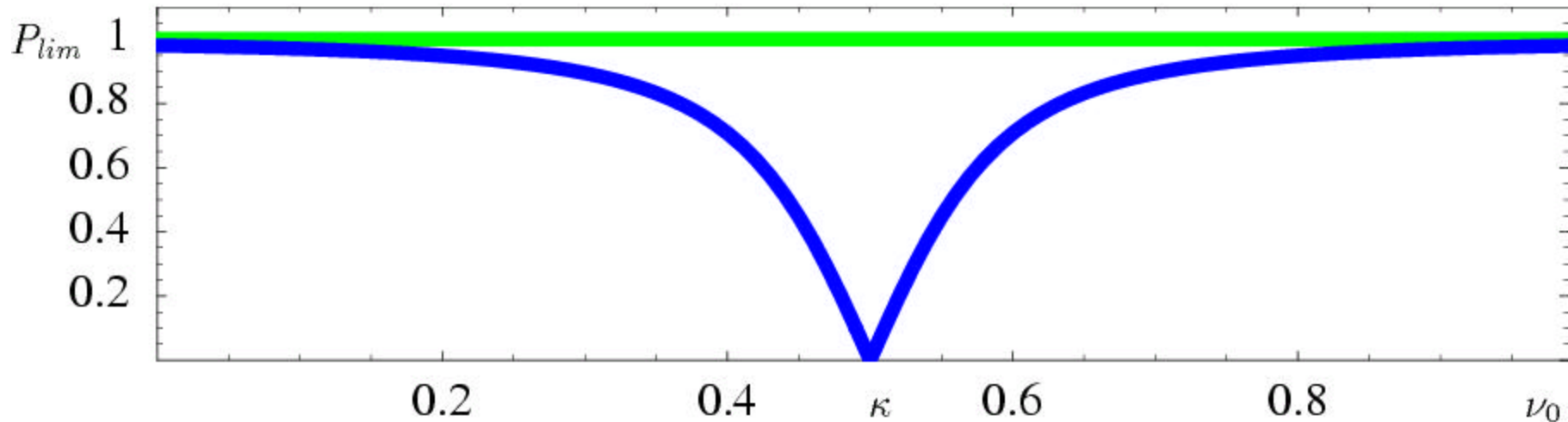
$$\vec{S}' = \vec{\Omega}(\phi, \vec{z}(\phi)) \times \vec{S}$$

All Fourier components of  $\vec{\Omega}(\phi, \vec{z}(\phi))$ ,  
except the dominant one, are neglected.

Is this theory still applicable for HERA with 920 GeV ???

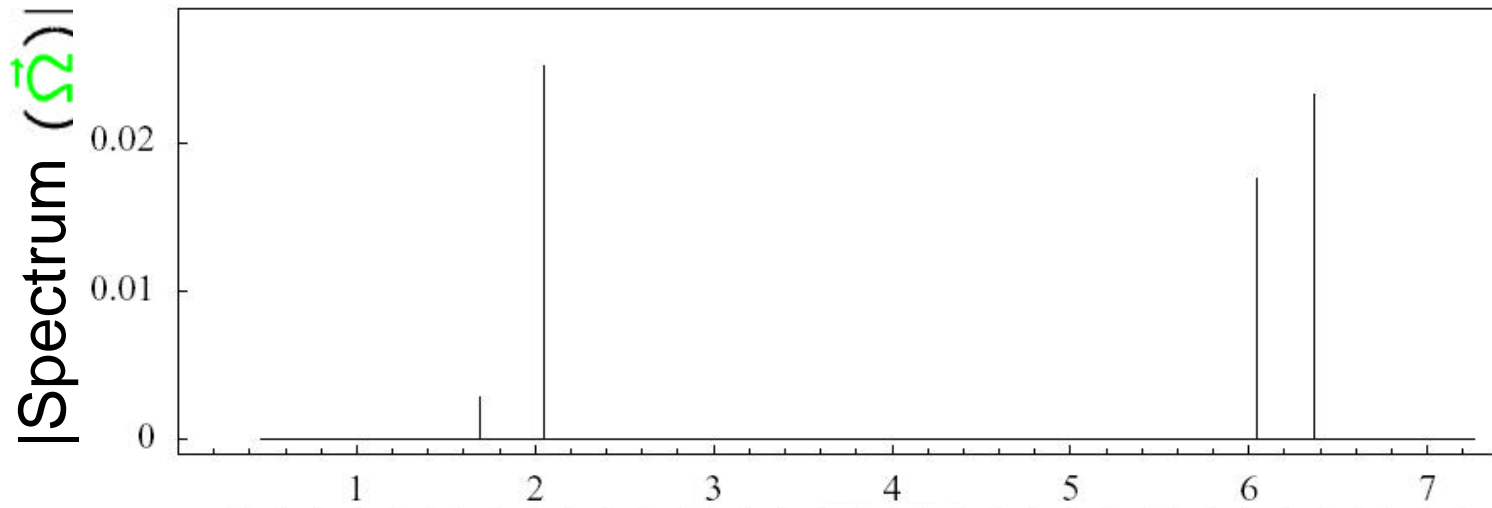
# The Isolated Resonance Model

All Fourier components of  $\vec{\Omega}(\phi, \vec{z}(\phi))$ ,  
except the dominant one, are neglected.

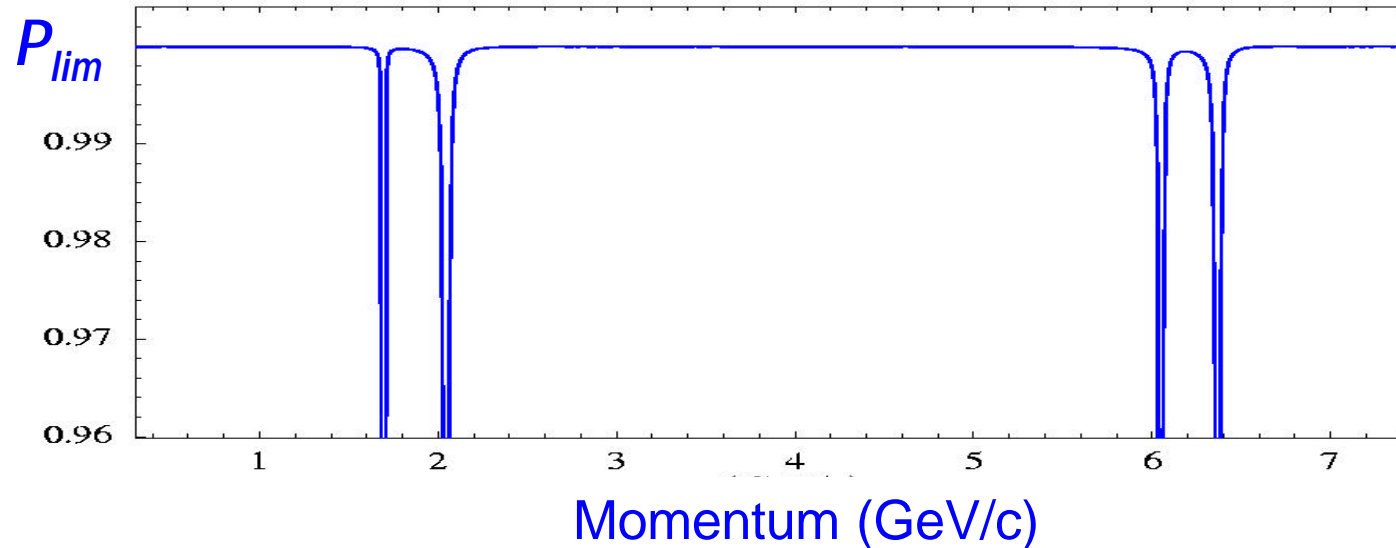


# First Order Theories A) DESY III

Isolated  
resonance  
model:



Linear  
spin-field  
theory:

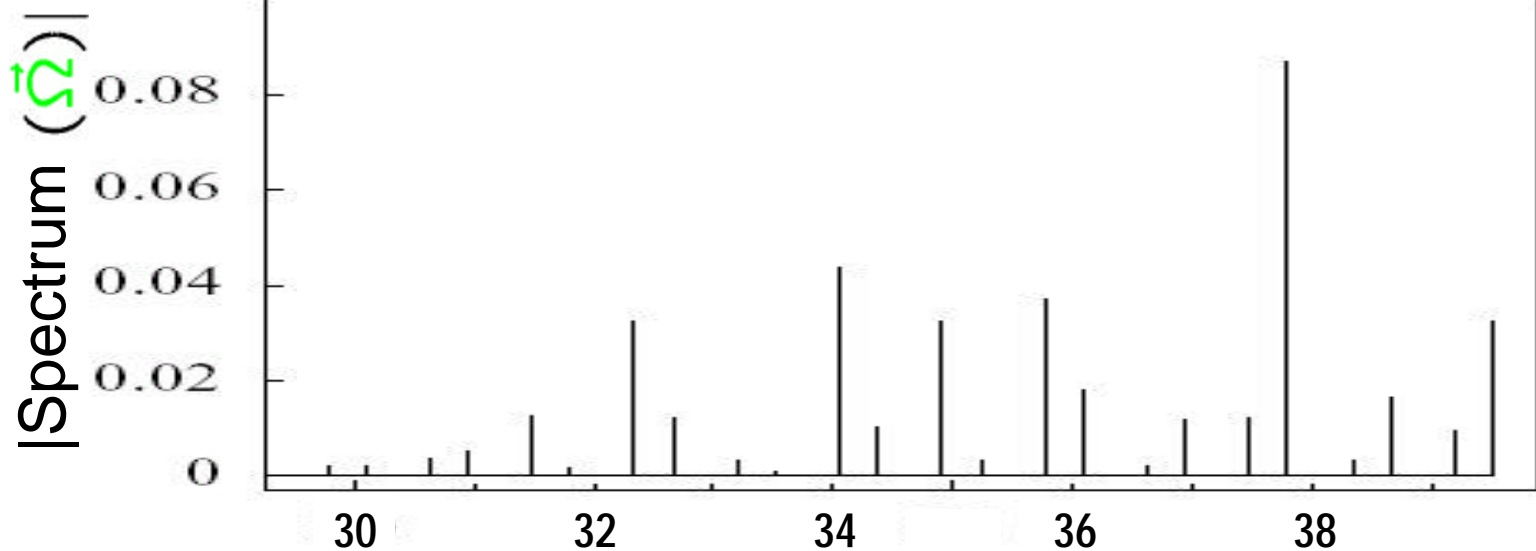


Low energies: **First order theories** agree

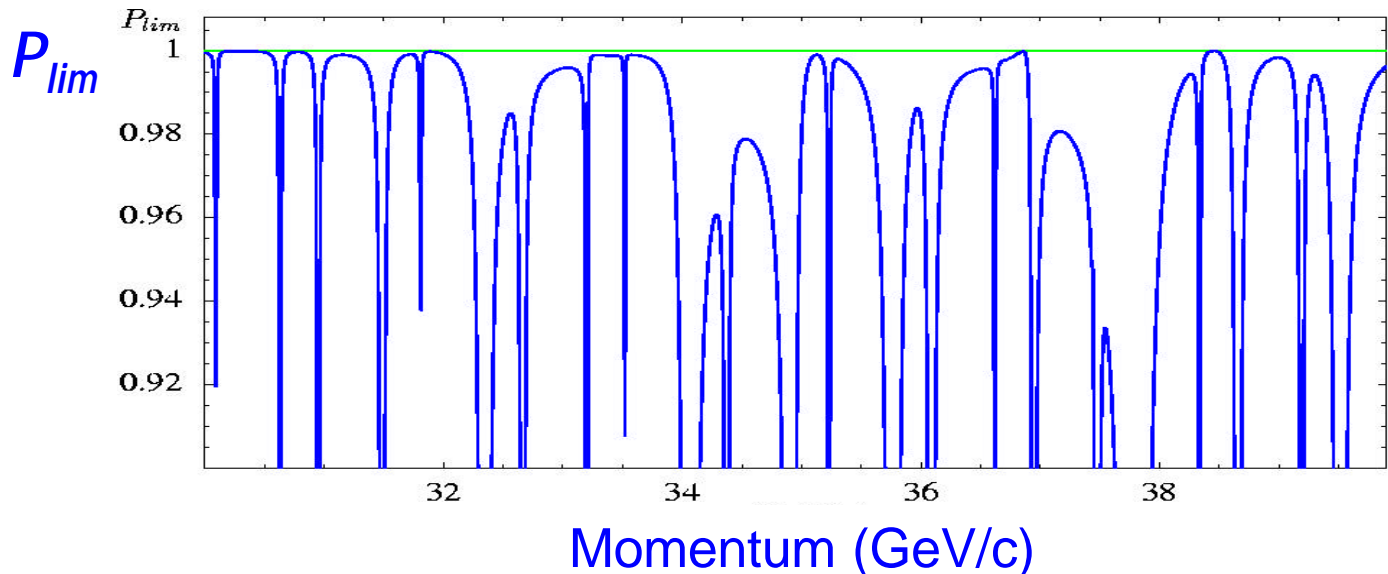


# First Order Theories B) PETRA

Isolated  
resonance  
model:



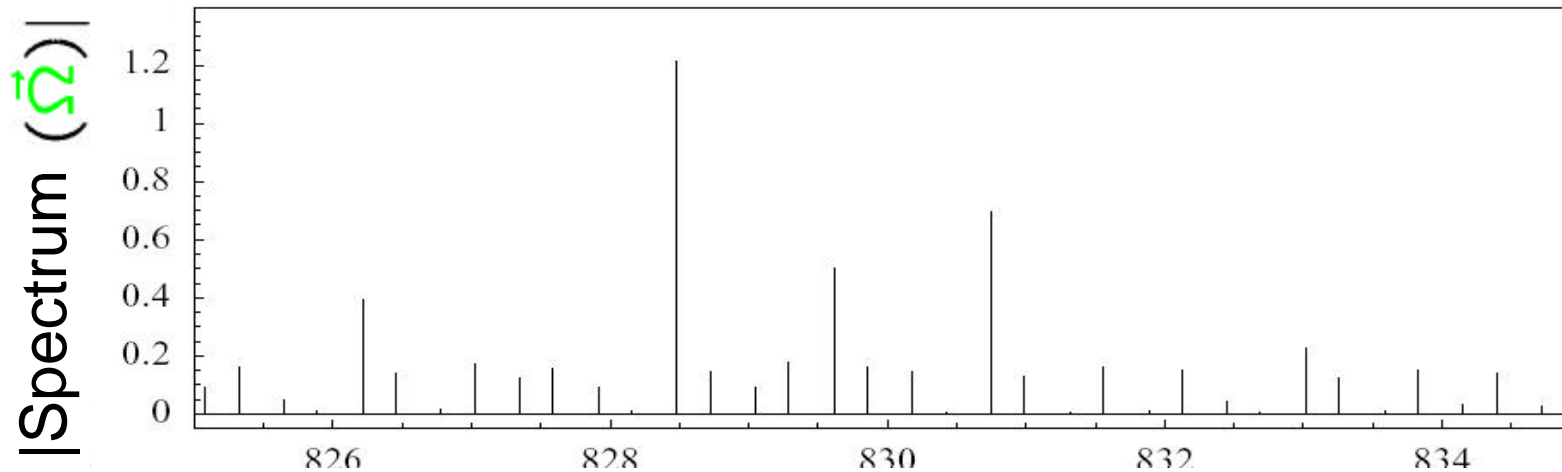
Linear  
spin-field theory:



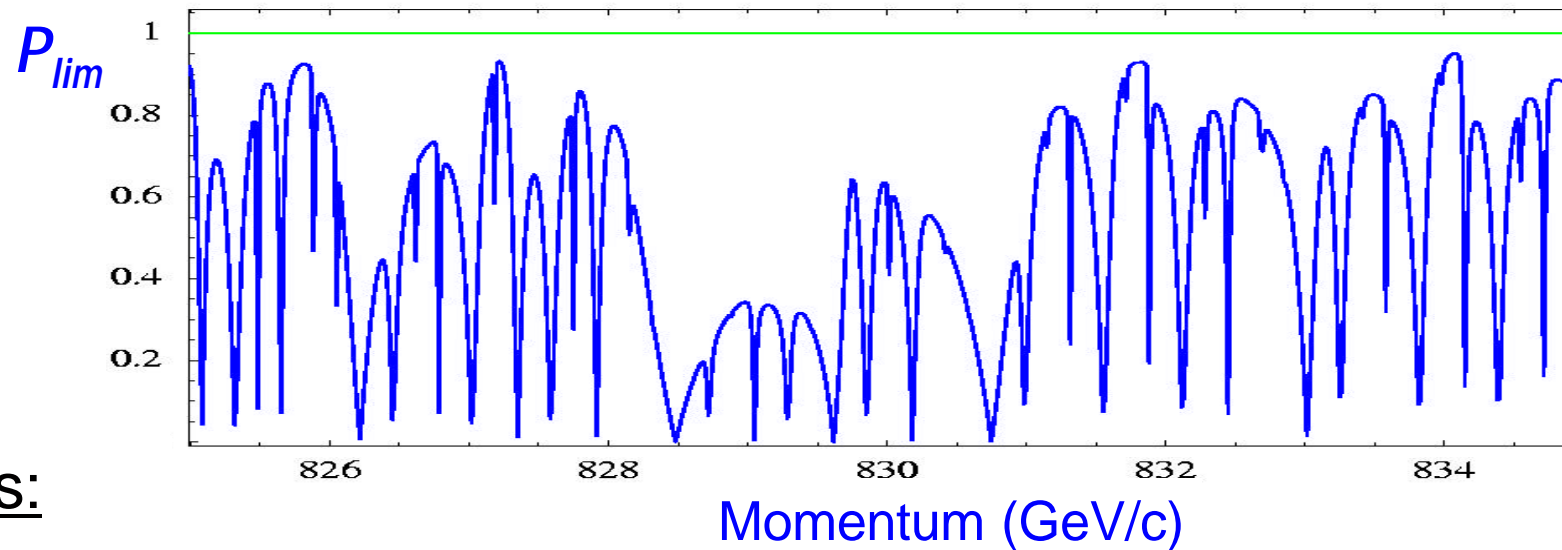
Medium energies: resonances still isolated

# First Order Theories C) HERA

Isolated  
resonance  
model:



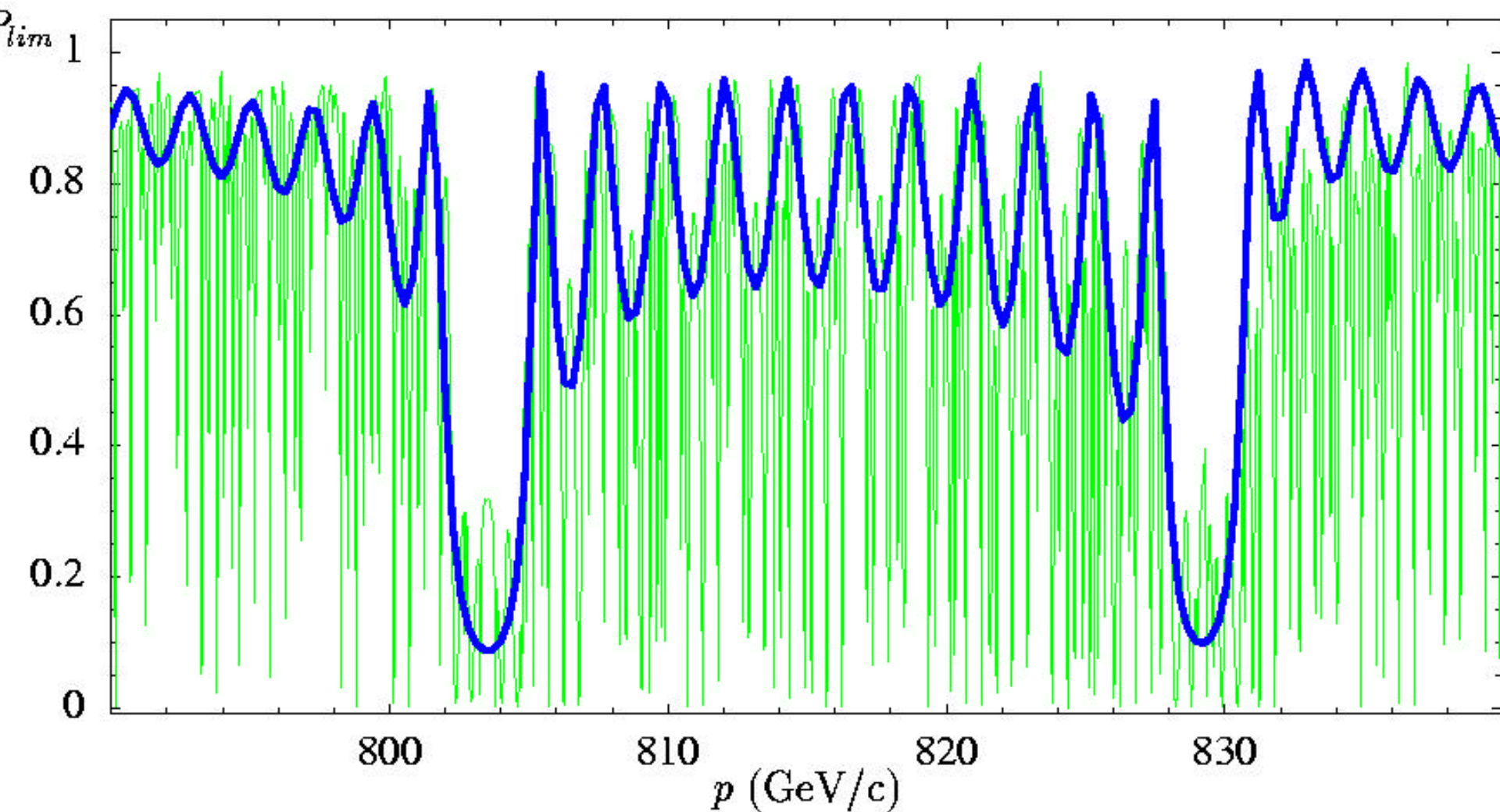
Linear  
spin-field  
theory:



High energies:  
Resonances are  
no longer isolated.

The isolated resonance model becomes invalid

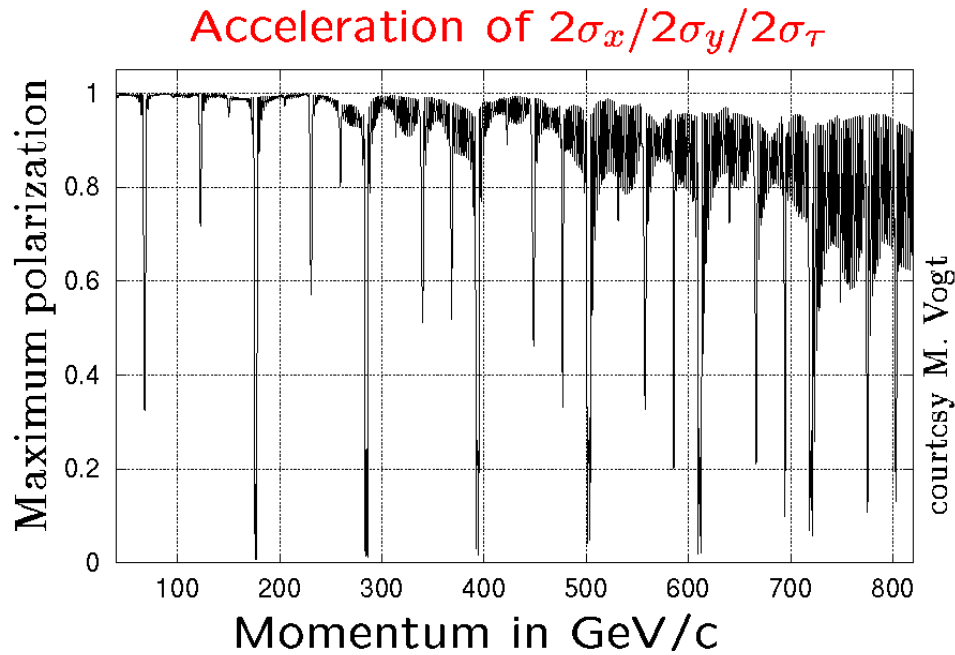
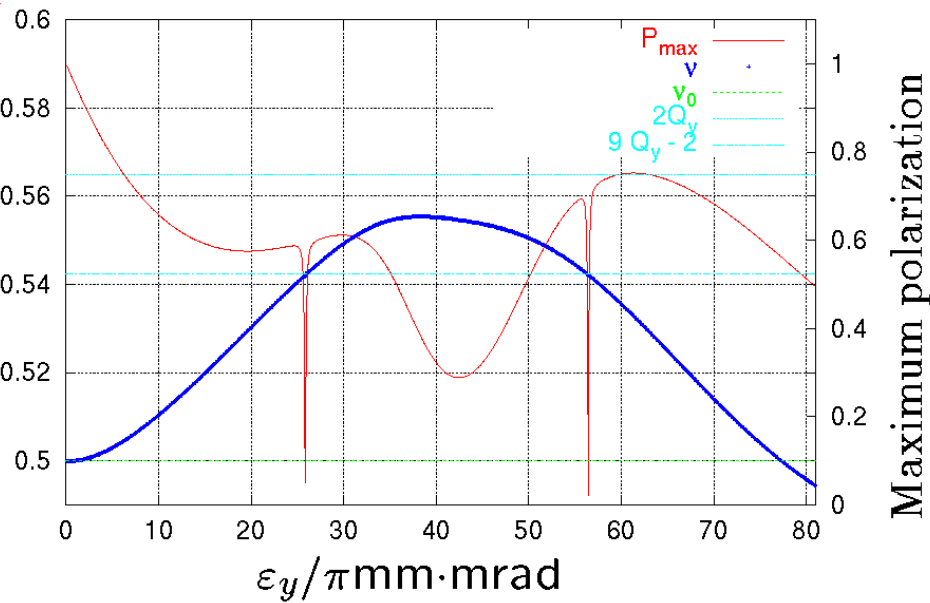
# Siberian Snakes and Resonances



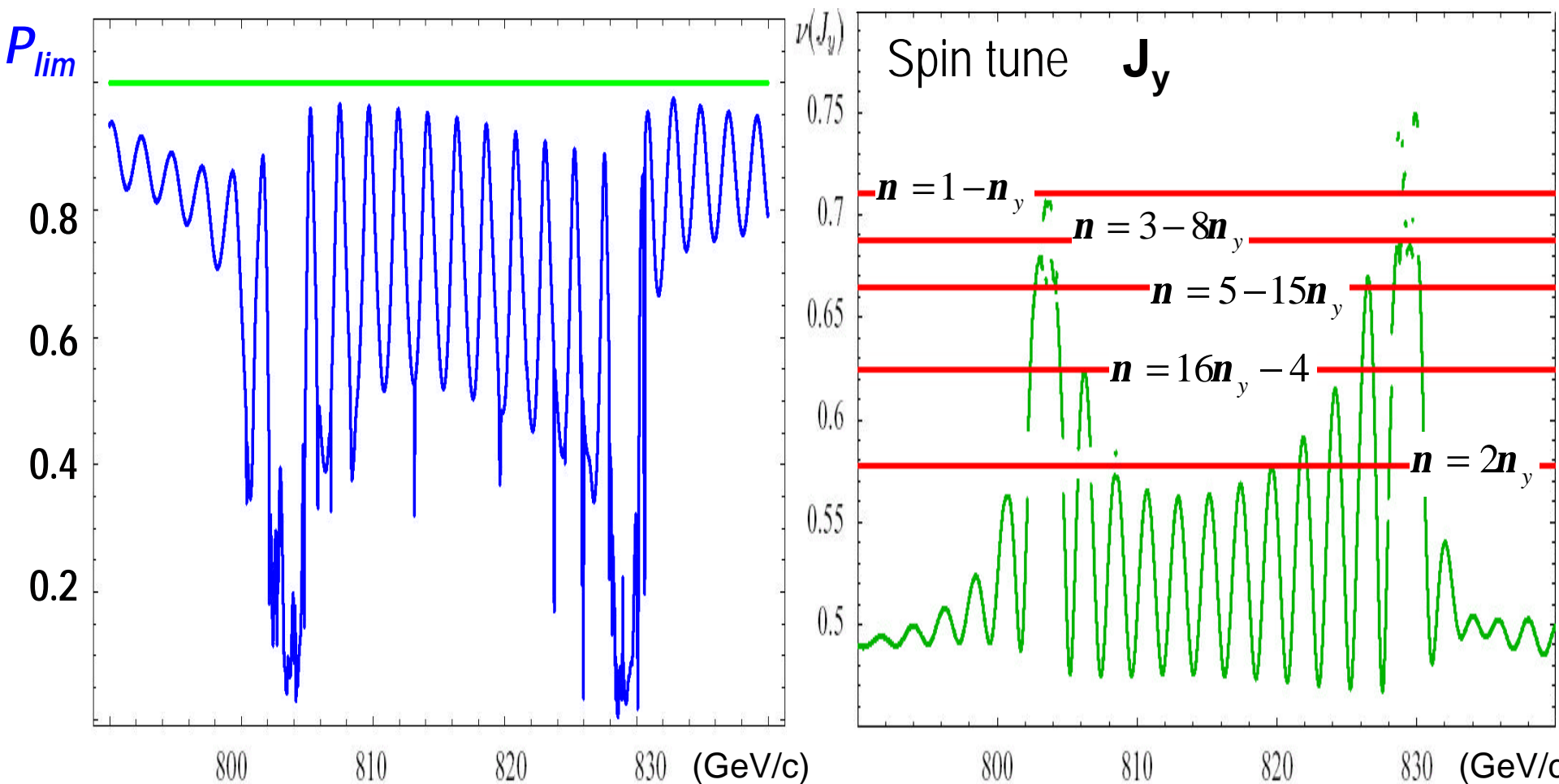
Some **structure** of the **1st order resonances** remains after Siberian Snakes have been installed.



# Amplitude dependent spin-orbit resonances



# Spin Tune at Higher Order Resonance

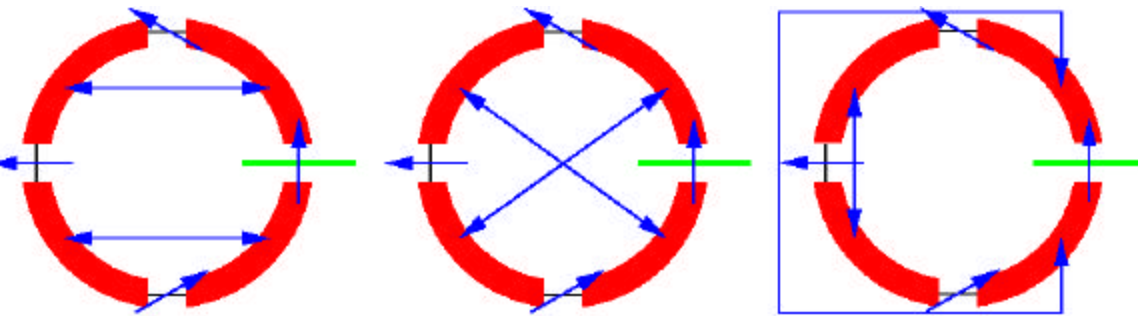


The **spin tune** deviates from  $\frac{1}{2}$  for particles which oscillate around the design trajectory with amplitude  $J_y$ .

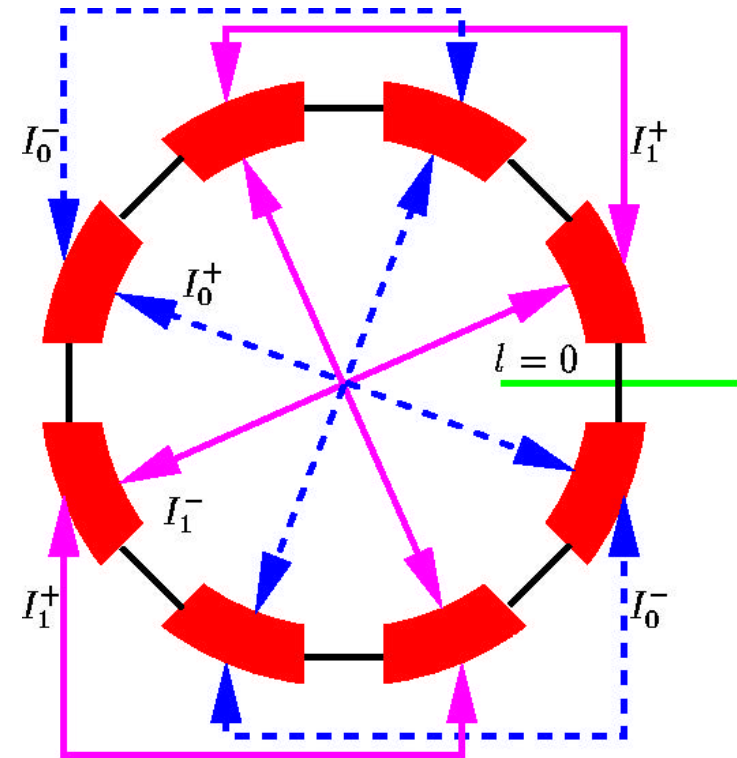


# Snake matching

4 Snakes:



8 Snakes:



1<sup>st</sup> Order:

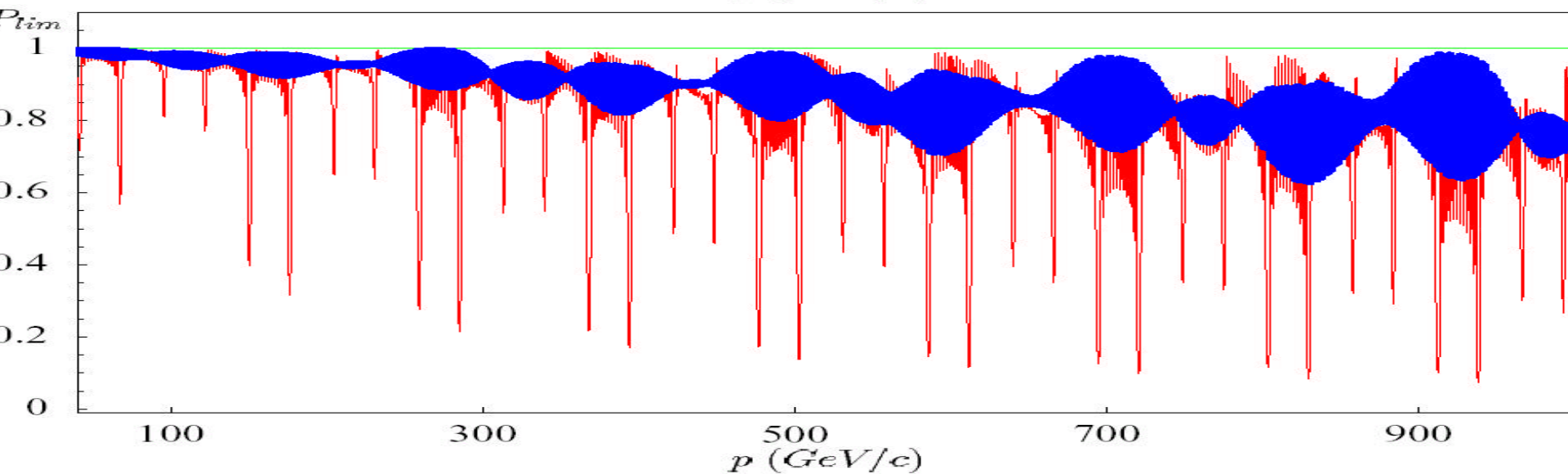
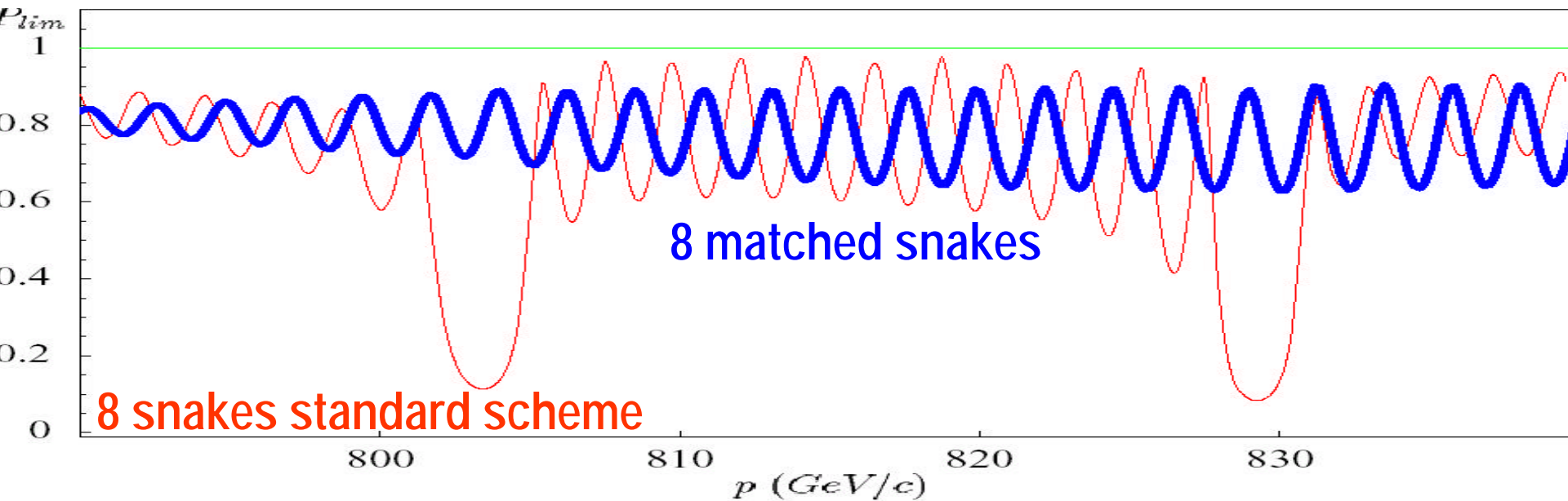
4 harmonics of the spin perturbation in each section.

With 4 snakes only 2 can be compensated

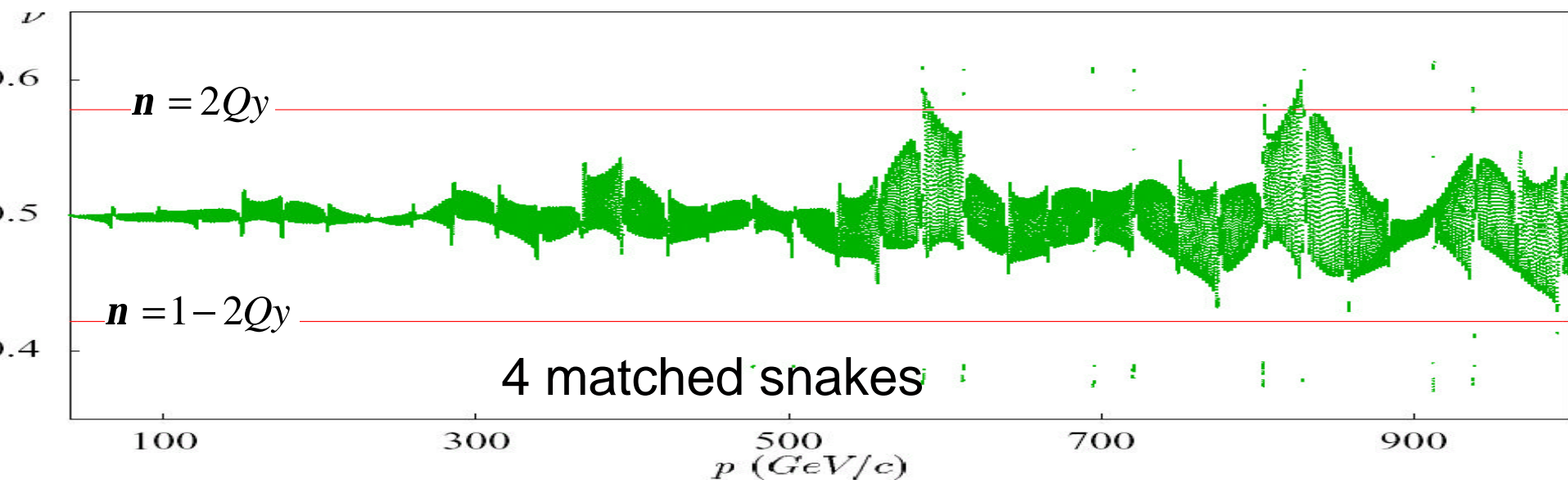
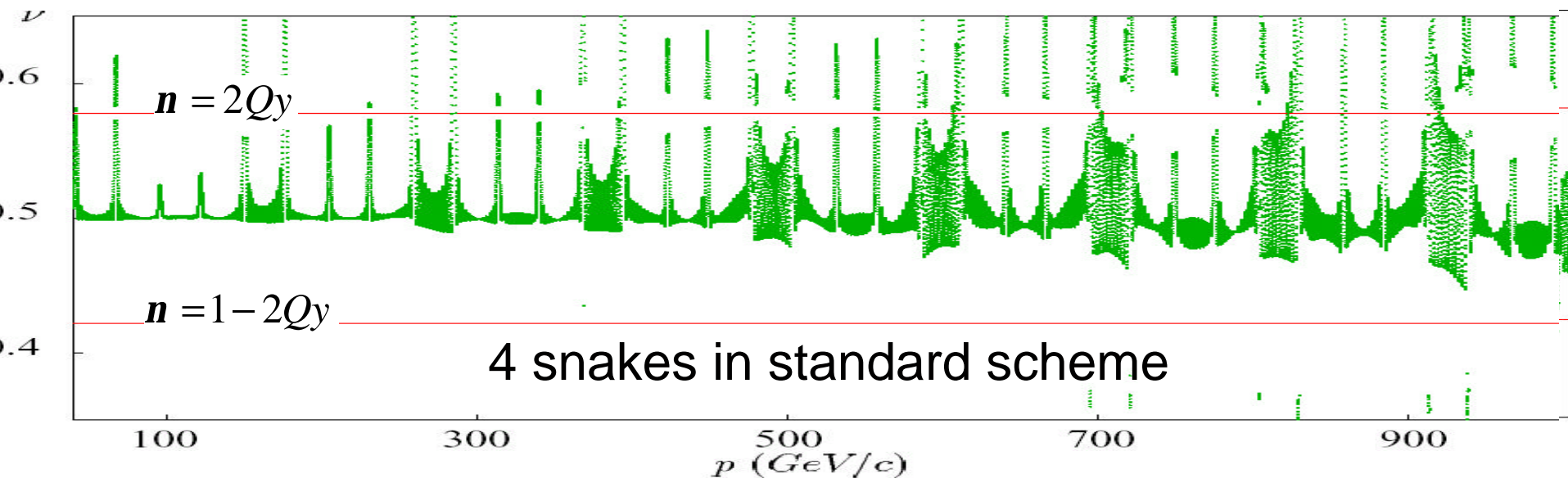
With 8 snakes all 4 can be compensated



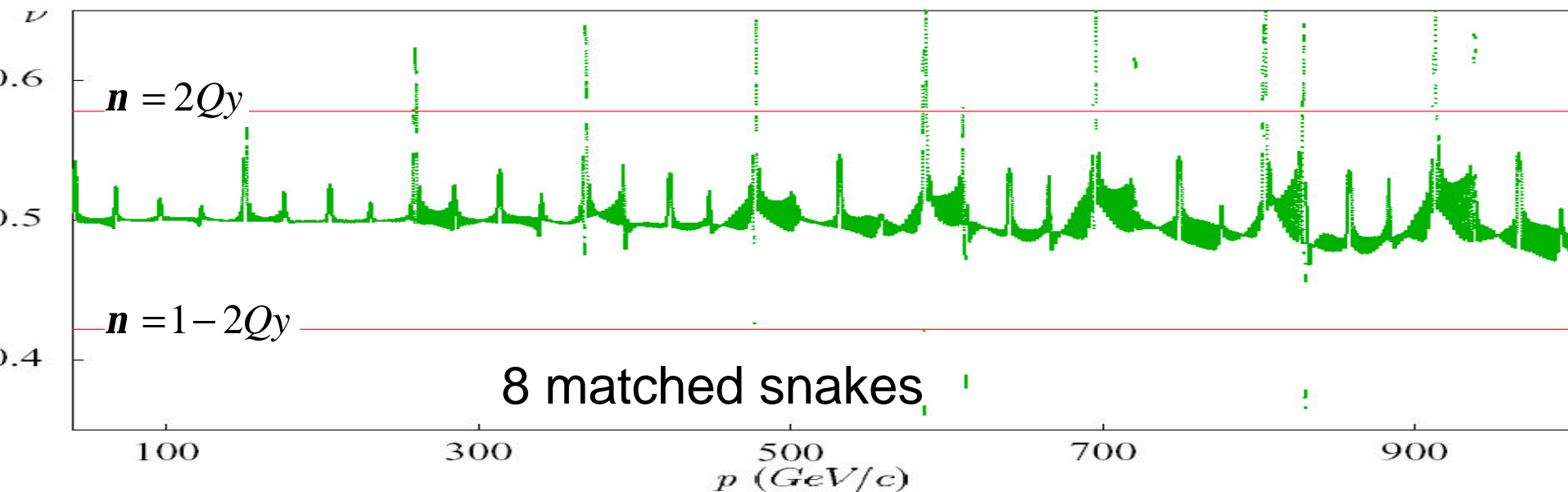
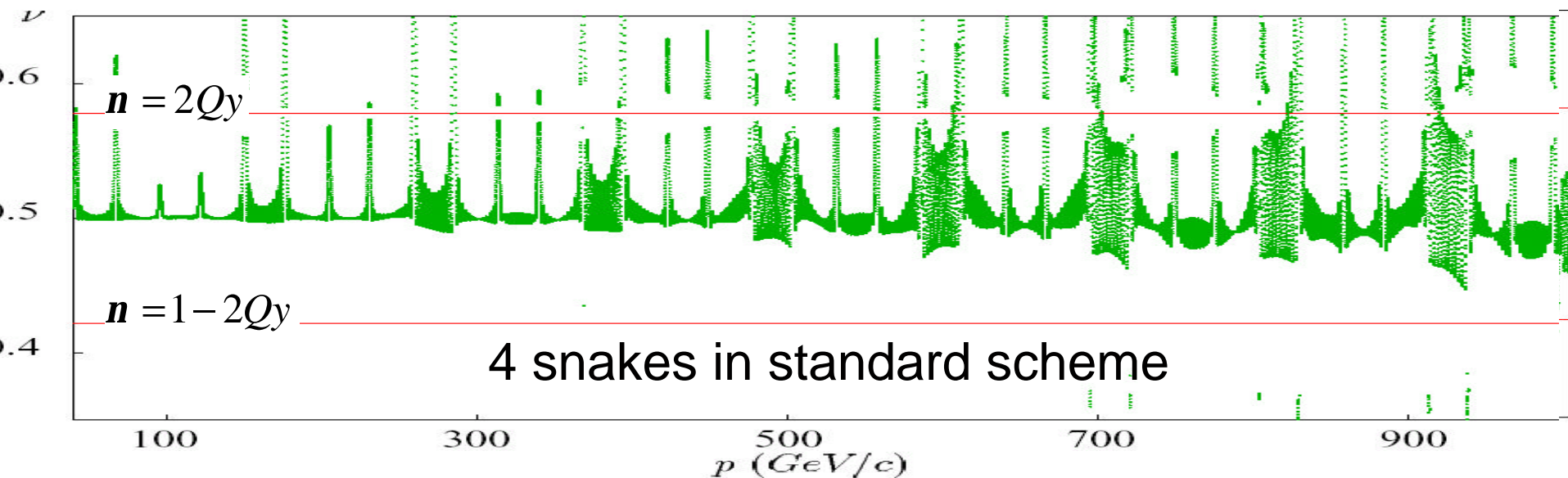
# $P_{lim}$ after Snake Matching



# Spin Tune after Snake Matching



# Spin Tune after Snake Matching



# High Order Resonance Strength

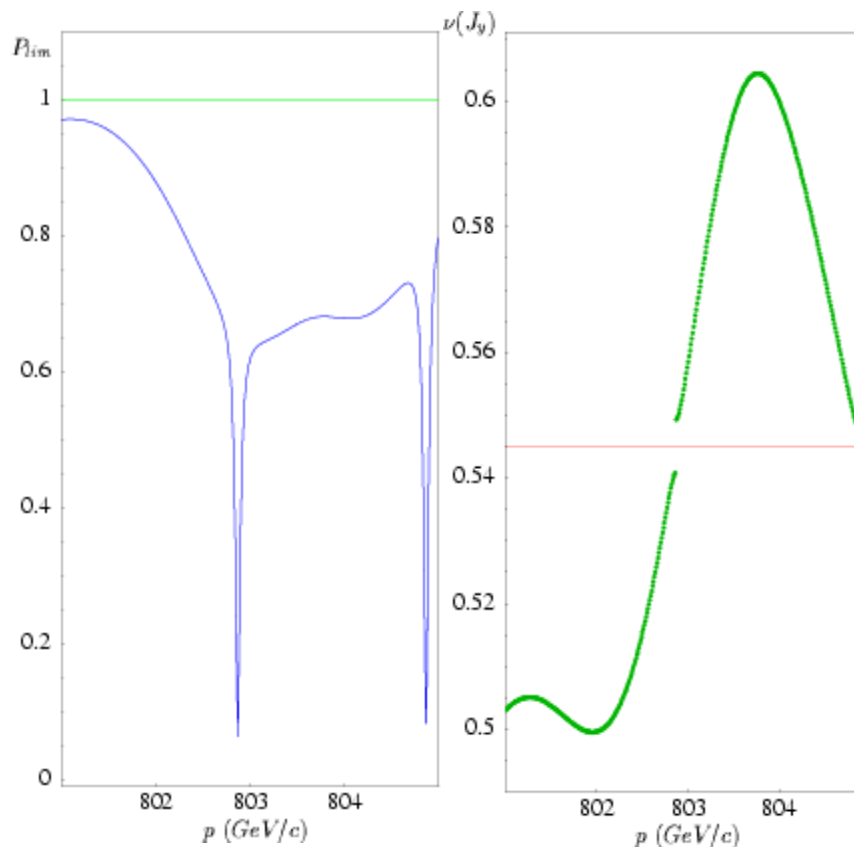
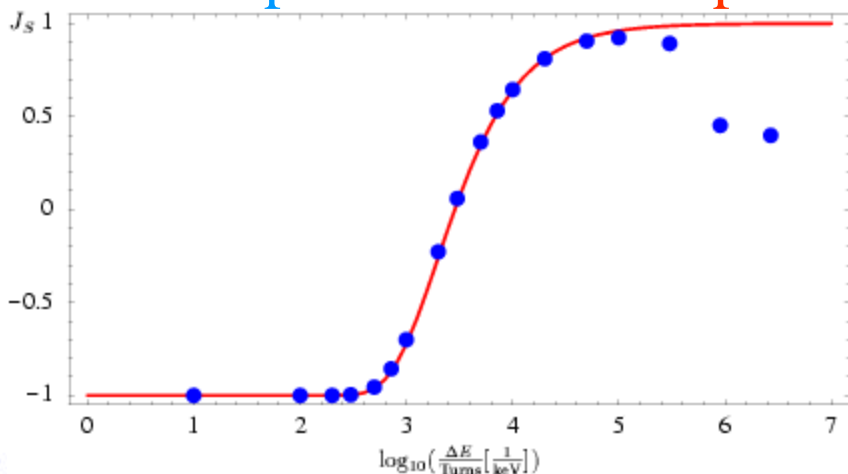
The higher order Froissart-Stora formula

- Resonances up to 19th order can be observed
- Resonance strength can be determined from tune jump.

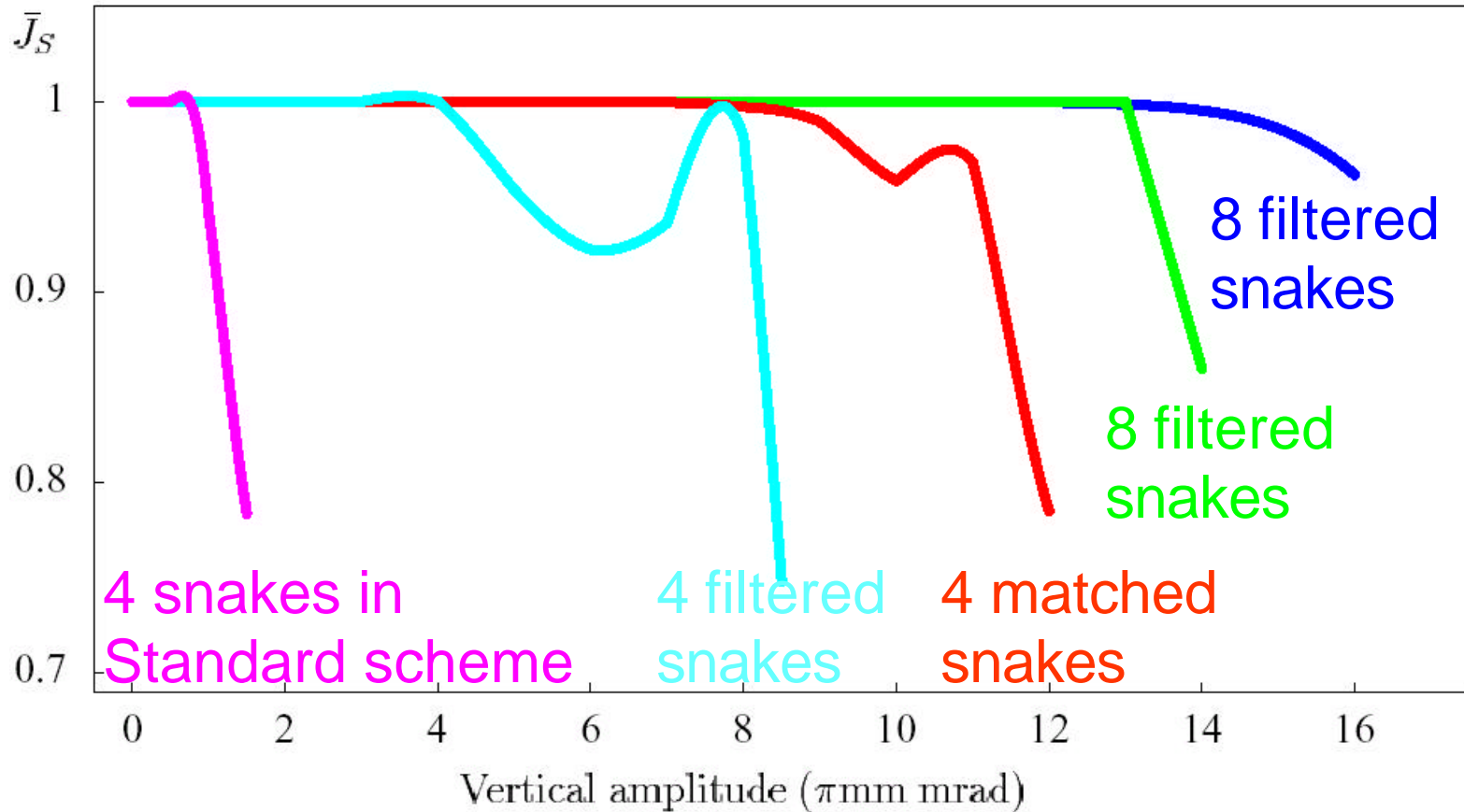
$$P_{\text{lim}} = \langle \vec{n}(\vec{z}) \rangle$$

Spin tune

Tracked depolarization as expected



# Allowed Beam Sizes



Snake matching allows to have significantly larger beams.

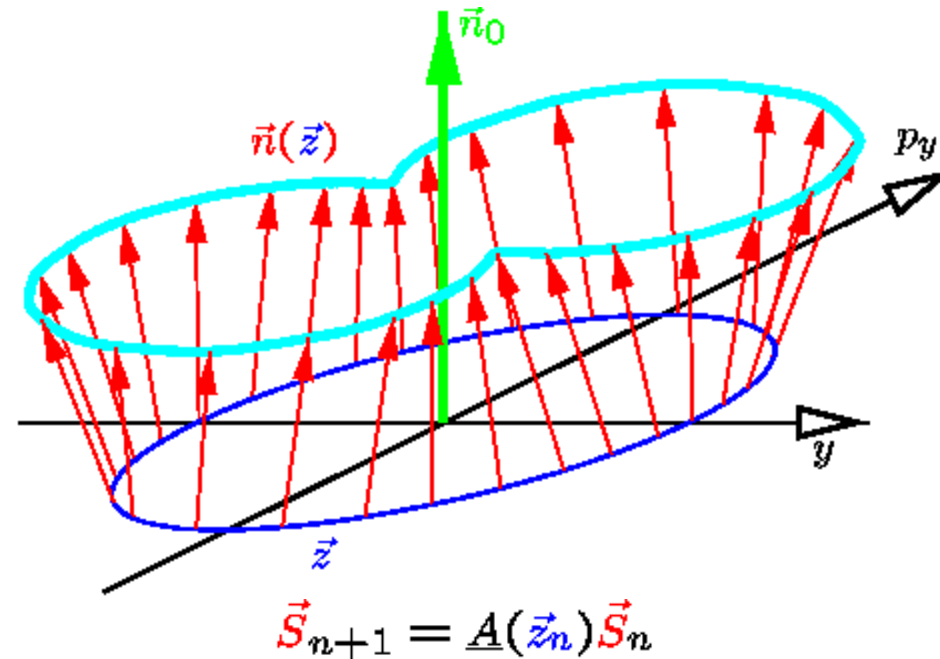




# The Invariant Spin Field

Computation of the invariant spin field by analyzing tracking data:

- Fourier analysis
- Stroboscopic averaging
- Anti-damping
- Differential Algebra

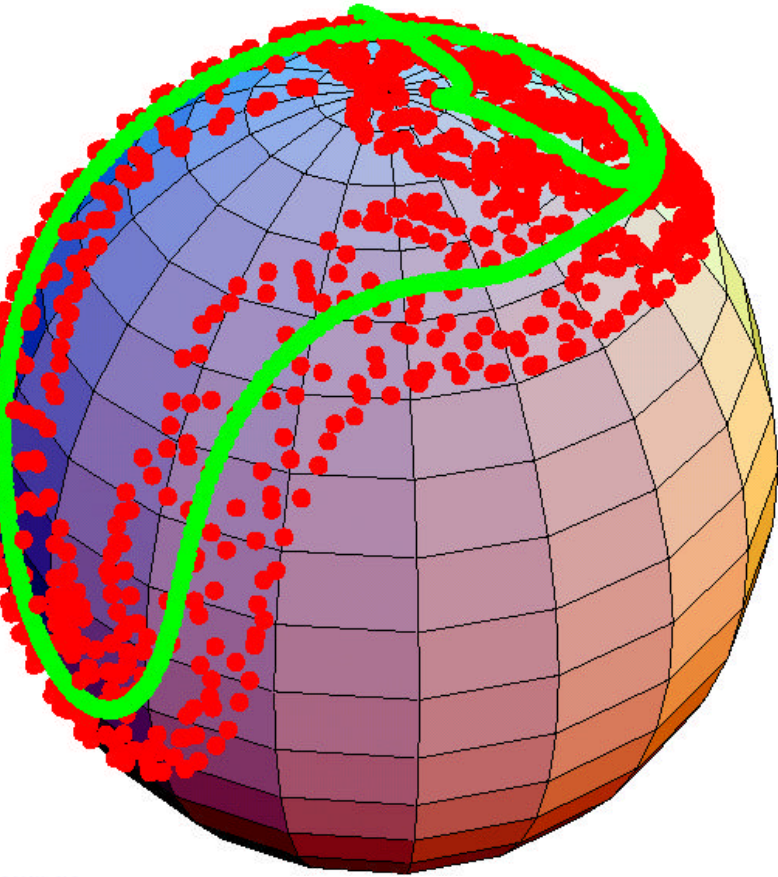


defines the  $\vec{n}$ -axis

$$\vec{n}(\vec{z}_{n+1}) = \underline{A}(\vec{z}_n)\vec{n}(\vec{z}_n)$$



# Higher Order Effects

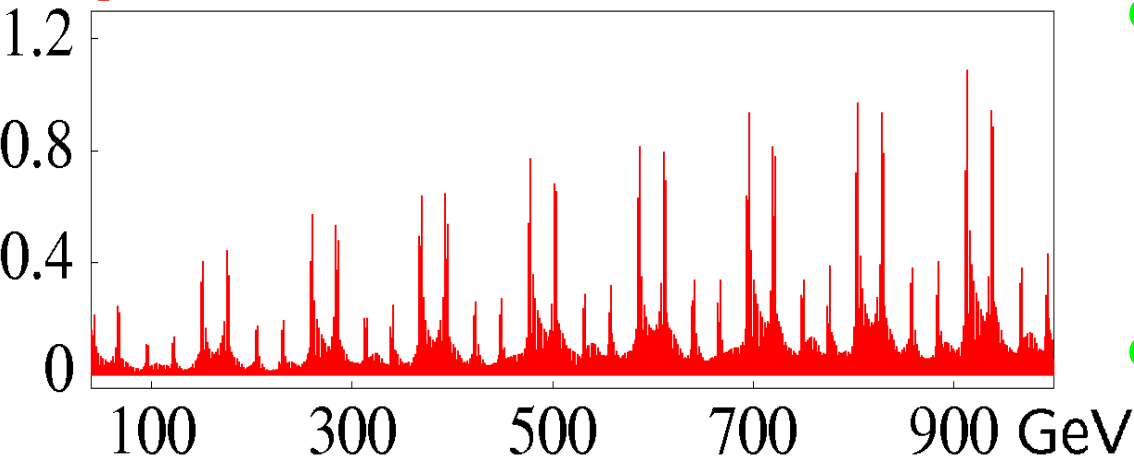


- Overlapping resonances
- Deformation of the invariant spin field
- Resonances of very high order
- Nonlinear spin transfer matrix

Siberian Snakes avoid 1<sup>st</sup> order resonances but higher orders become important for HERA.

# Polarized Deuterons

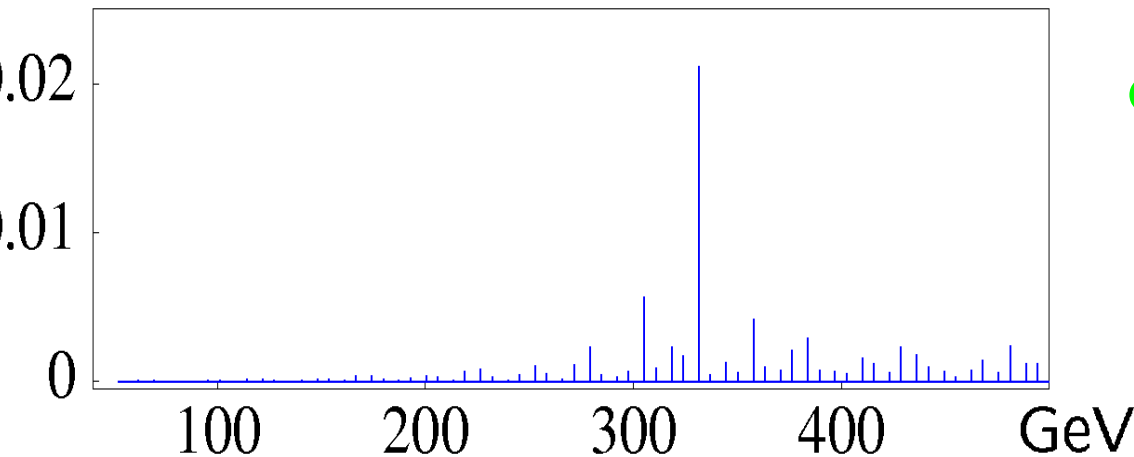
$\vec{p}$  depolarizing resonance strength



- Resonances are 25 times weaker and 25 times rarer for D than for p

- Transverse polarization could be achieved without Siberian Snakes

$\vec{D}$  depolarizing resonance strength



- Transverse RF dipoles could be used to rotate and stabilize longitudinal polarization