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Eotvos-Cornell '07

Models of Neutrino Masses  
and Mixings:  
a Progress Report

G. Altarelli

Universita' di Roma Tre/CERN

# Progress in experiment

MiniBoone has not reproduced the LSND signal in neutrinos (LSND was antineutrinos)  
Will run also with antineutrinos

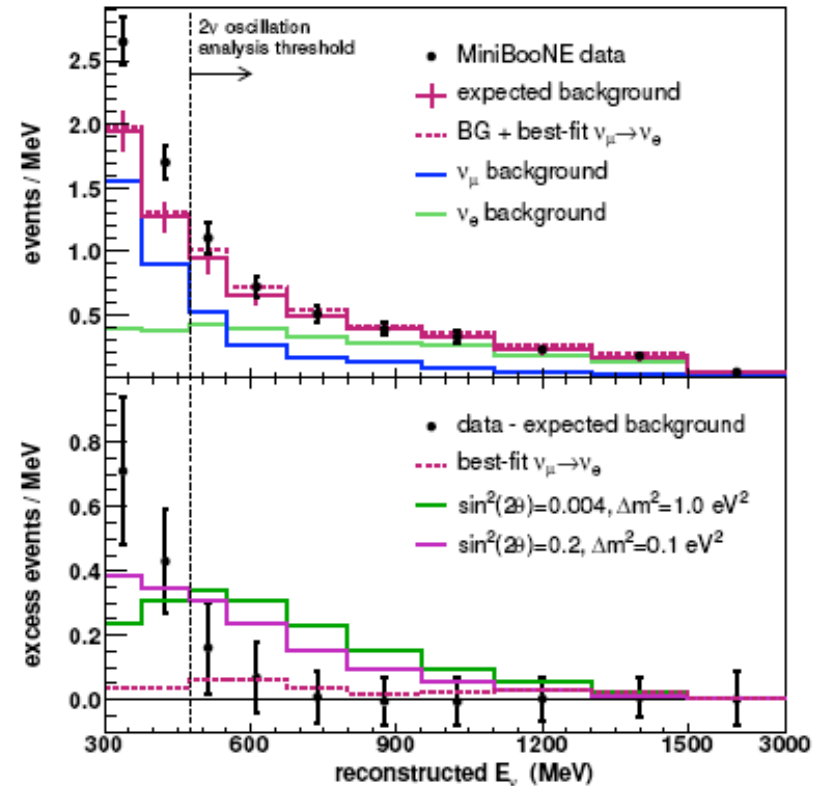
For the time being we can forget LSND and work with 3 light neutrinos

Still many uncertainties

- Absolute scale of  $\nu$  masses?
- Degeneracy, normal or inverse hierarchy?
- no detection of  $0\nu\beta\beta$  (proof that  $\nu$ 's are Majorana) .....

Different classes of models are still possible

MiniBoone'07

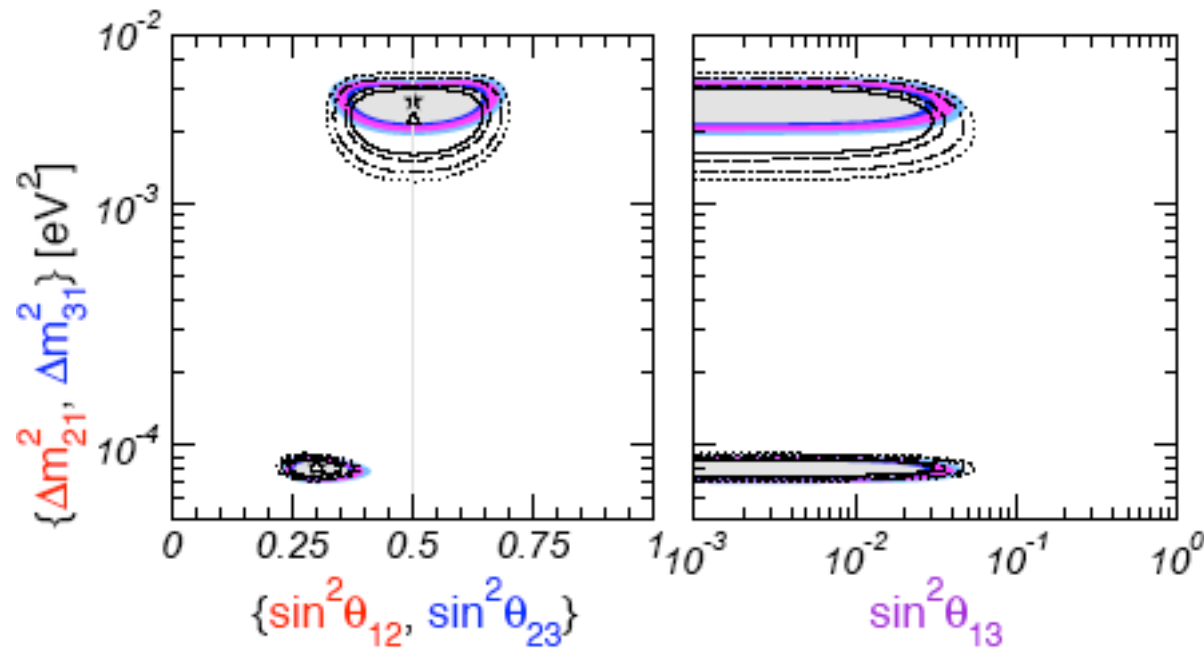


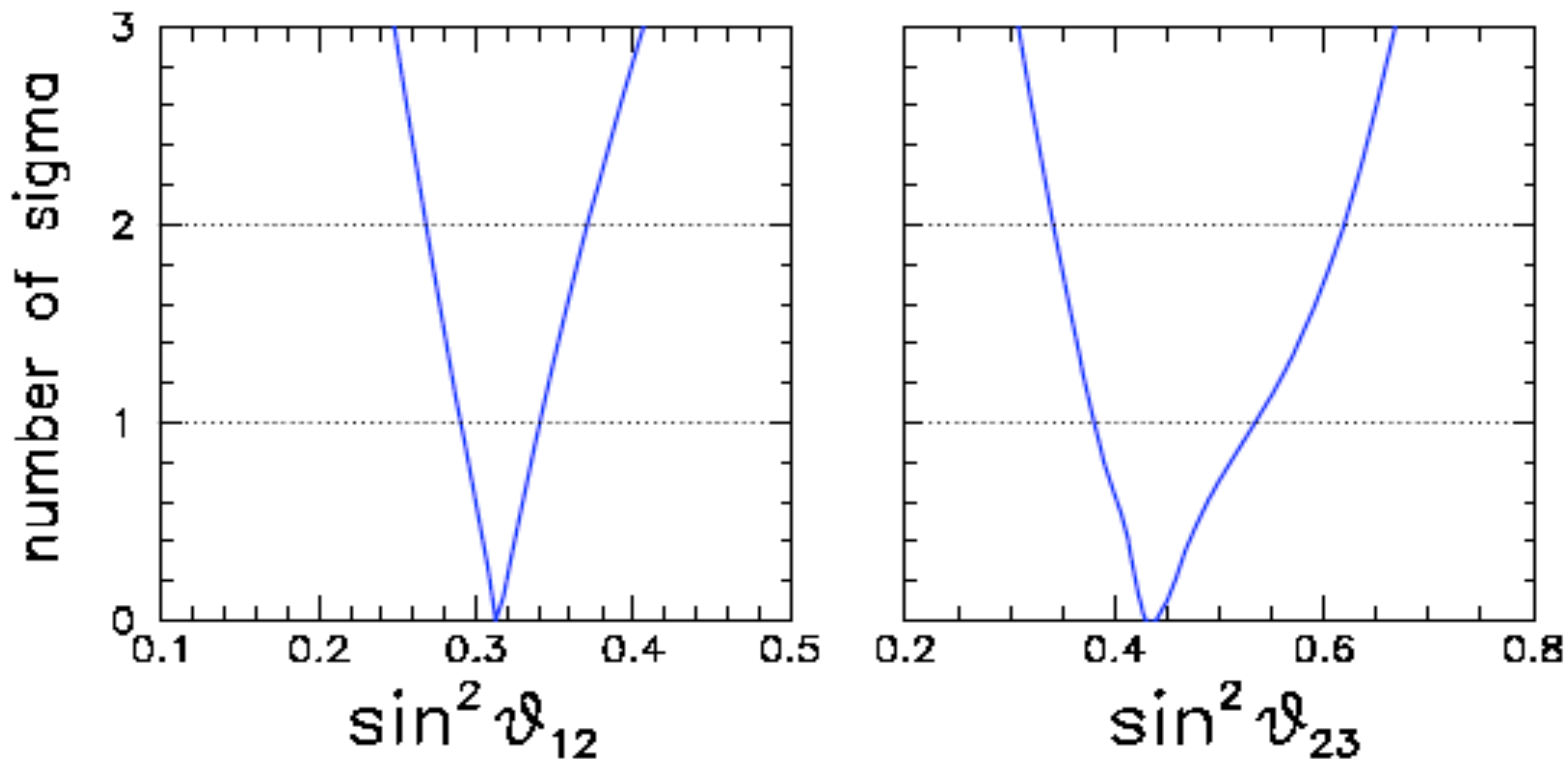
# Neutrino oscillation parameters

- 2 distinct frequencies
- 2 large angles, 1 small

Maltoni et al '06

parameter	best fit	$2\sigma$	$3\sigma$	$4\sigma$
$\Delta m_{21}^2$ [ $10^{-5}\text{eV}^2$ ]	7.9	7.3–8.5	7.1–8.9	6.8–9.3
$\Delta m_{31}^2$ [ $10^{-3}\text{eV}^2$ ]	2.6	2.2–3.0	2.0–3.2	1.8–3.5
$\sin^2 \theta_{12}$	0.30	0.26–0.36	0.24–0.40	0.22–0.44
$\sin^2 \theta_{23}$	0.50	0.38–0.63	0.34–0.68	0.31–0.71
$\sin^2 \theta_{13}$	0.000	$\leq 0.025$	$\leq 0.040$	$\leq 0.058$





2 $\sigma$  ranges 95%

very precise (KamLAND)  
very close to 1/3

$$\delta m^2 = 7.92 (1_{-0.09}^{+0.09}) \times 10^{-5} \text{ eV}^2$$

$$\Delta m^2 = 2.4 (1_{-0.26}^{+0.21}) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{12} = 0.314 (1_{-0.15}^{+0.18})$$

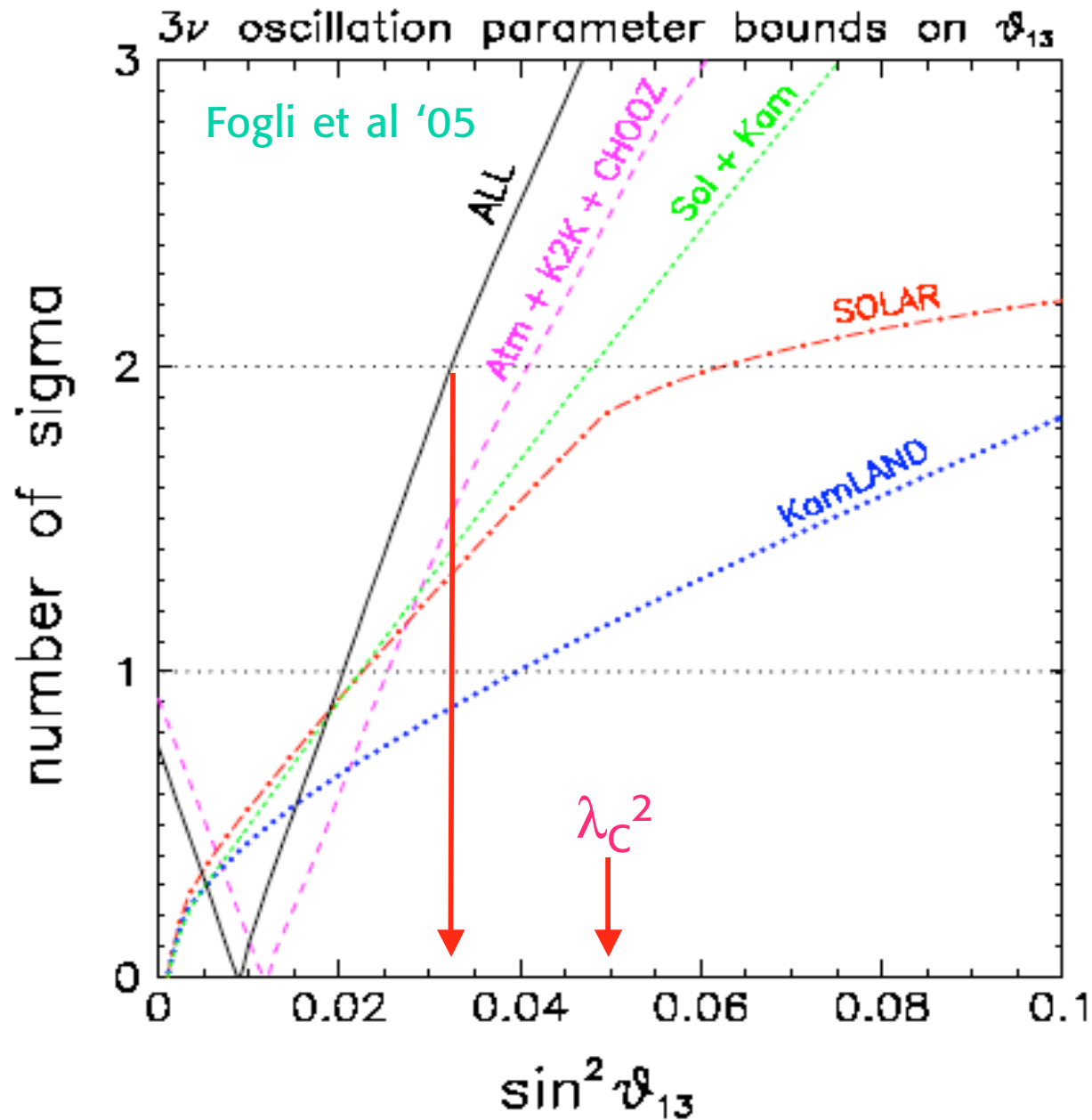
$$\sin^2 \theta_{23} = 0.44 (1_{-0.22}^{+0.41})$$

$$\sin^2 \theta_{13} < 3.2 \times 10^{-2}$$



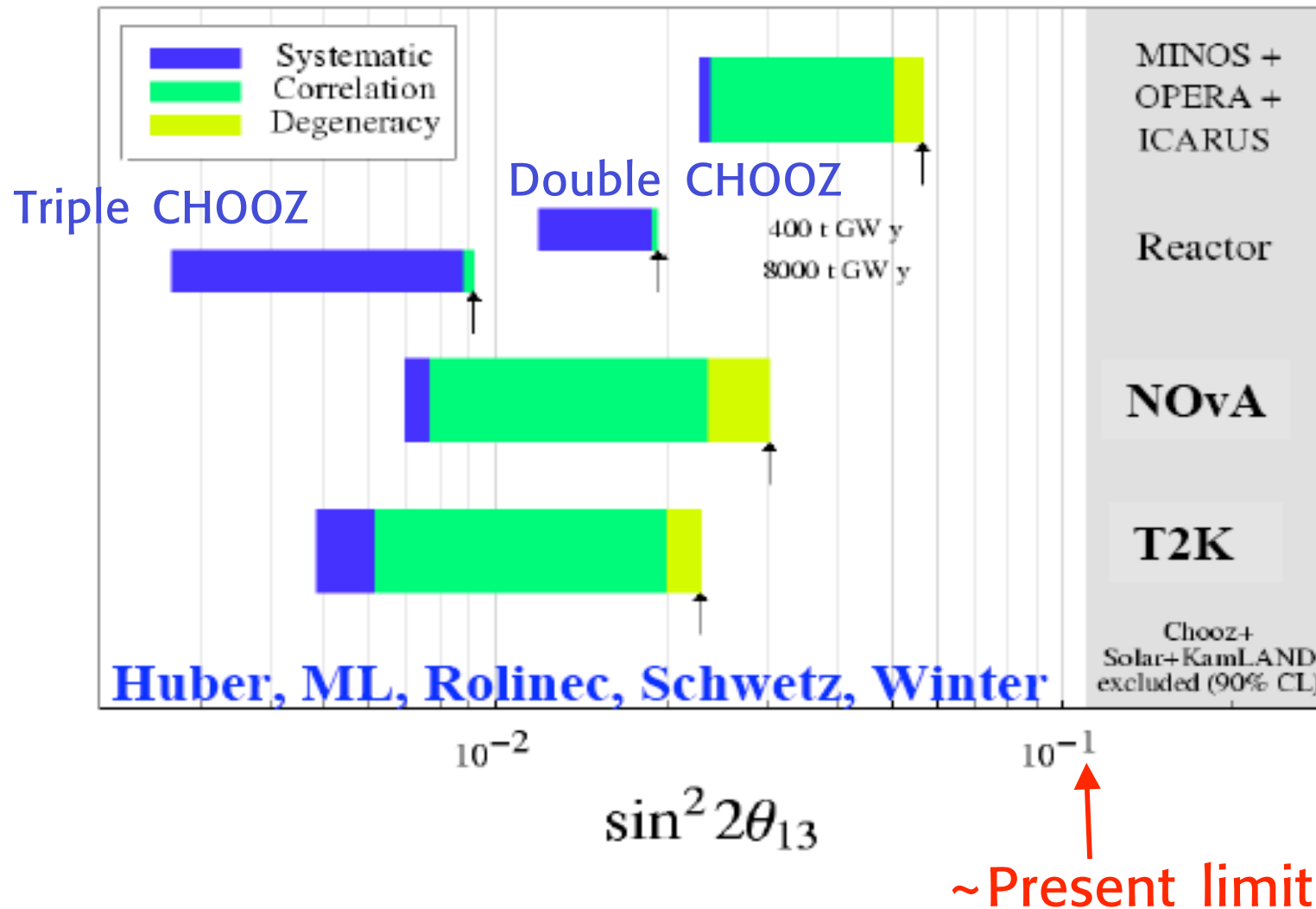
# $\theta_{13}$ bounds

The upper bound on  $\sin\theta_{13}$  is close to  $\lambda_C = \sin\theta_C$



Measuring  $\theta_{13}$  is crucial for future  $\nu$ -oscill's experiments  
(eg CP violation)

Sensitivity to  $\sin^2 2\theta_{13}$  at 90% CL



# General remarks

- After KamLAND, SNO and WMAP... not too much hierarchy is needed for  $\nu$  masses:

$$r \sim \Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2 \sim 1/30$$

Only a few years ago could be as small as  $10^{-8}$ !

Precisely at  $3\sigma$ :  $0.024 < r < 0.040$   
Maltoni et al '06

or

$$m_{\text{heaviest}} < 0.2 - 0.7 \text{ eV}$$

$$m_{\text{next}} > \sim 8 \cdot 10^{-3} \text{ eV}$$

For a hierarchical spectrum:

$$\frac{m_2}{m_3} \approx \sqrt{r} \approx 0.2$$

Comparable to  $\lambda_C = \sin \theta_C$ :

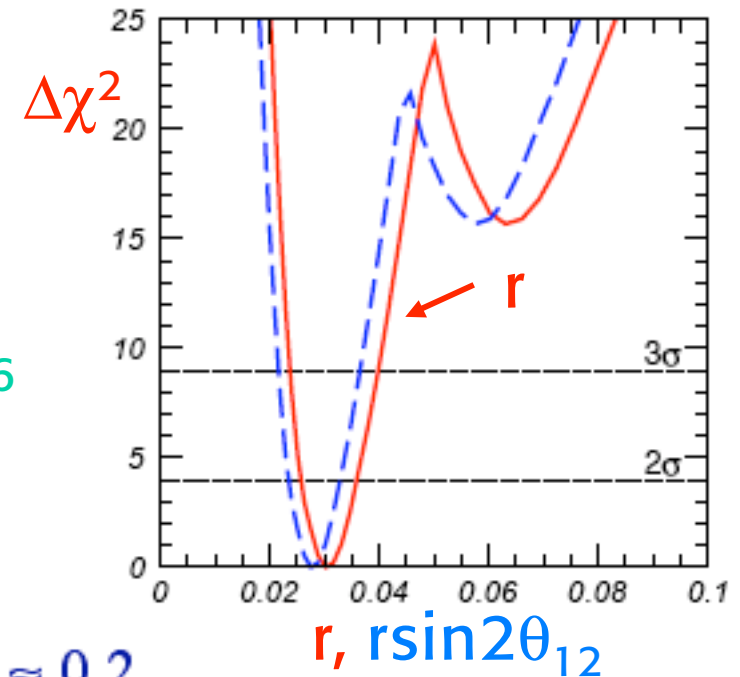
$$\lambda_C \approx 0.22 \text{ or } \sqrt{\frac{m_\mu}{m_\tau}} \approx 0.24$$

Suggests the same "hierarchy" parameters for  $q, l, \nu$

(small powers of  $\lambda_C$ )



e.g.  $\theta_{13}$  not too small!



- Still large space for non maximal 23 mixing

$$2\text{-}\sigma \text{ interval } 0.32 < \sin^2\theta_{23} < 0.62$$

Maximal  $\theta_{23}$  theoretically hard

- $\theta_{13}$  not necessarily too small  
probably accessible to exp.

Very small  $\theta_{13}$  theoretically hard

So, in the past, most models were “normal”

“Normal” models:  $\theta_{23}$  large but not maximal,  
 $\theta_{13}$  not too small ( $\theta_{13}$  of order  $\lambda_C$  or  $\lambda_C^2$ )

Only a few “exceptional” models were considered:

“Exceptional” models:  $\theta_{23}$  very close to maximal and/or

⊕  $\theta_{13}$  very small

Natural models of the “normal” type are not too difficult to build up (with normal or inverse or degenerate hierarchy)

Review: G.A., F. Feruglio, New J.Phys.6:106,2004 [hep-ph/0405048],

It is reasonable to attribute hierarchies in masses and mixings to differences in some flavour quantum number(s).

A simplest flavour (or horizontal) symmetry is  $U(1)_F$

For example, simple models based on see-saw and  $U(1)_F$  work for all quarks and leptons, explain all small numbers, are natural and compatible with (SUSY) GUT's, e.g  $SU(5) \times U(1)_F$  (accomodation rather than prediction).

Larger flavour symmetry groups have also been studied.

They are more predictive but less flexible.

The problem of the "best" flavour group is still open.

The most ambitious models try to combine (SUSY)  $SO(10)$

GUT's with a suitable flavour group



“Exceptional” models with  $\theta_{13}=0$  and  $\theta_{23}$  maximal

The most general mass matrix for  $\theta_{13}=0$  and  $\theta_{23}$  maximal is given by

(after ch. lepton diagonalization!!!):

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$

Neglecting Majorana phases it depends on 4 real parameters (3 mass eigenvalues and 1 mixing angle:  $\theta_{12}$ )

Inspired models based on  $\mu$ - $\tau$  symmetry

Grimus, Lavoura..., Ma,.... Mohapatra, Nasri, Hai-Bo Yu ....



Actually, at present, since KamLAND, the most accurately known angle is  $\theta_{12}$

$$\sin^2\theta_{12} = 0.316 \pm 0.026 \sim 1/3$$

By adding  $\sin^2\theta_{12} \sim 1/3$  to  $\theta_{13} \sim 0$ ,  $\theta_{23} \sim \pi/4$ :

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

Harrison, Perkins, Scott '02



$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

## Comparison with experiment:

At  $1\sigma$ :

Maltoni et al'06

$$\sin^2\theta_{12} = 1/3 : 0.28-0.33$$

$$\sin^2\theta_{23} = 1/2 : 0.44-0.56$$

$$\sin^2\theta_{13} = 0 : < 0.014$$

The HPS mixing is clearly a very good approx. to the data!

Also called:  
Tri-Bimaximal mixing

$$\nu_3 = \frac{1}{\sqrt{2}}(-\nu_\mu + \nu_\tau)$$

$$\nu_2 = \frac{1}{\sqrt{3}}(\nu_e + \nu_\mu + \nu_\tau)$$



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By adding  $\sin^2\theta_{12} \sim 1/3$  to  $\theta_{13} \sim 0$ ,  $\theta_{23} \sim \pi/4$ :

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix} \longrightarrow m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix}$$


Tribimaximal Mixing

$$\sin^2 2\theta_{12} = \frac{8y^2}{(x-w-z)^2 + 8y^2}$$



# Tribimaximal Mixing

A simple mixing matrix compatible with all present data


$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

In the basis of diagonal ch. leptons:

$$m_\nu = U \text{diag}(m_1, m_2, m_3) U^T$$


$$m_\nu = \frac{m_3}{2} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix} + \frac{m_2}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} + \frac{m_1}{6} \begin{bmatrix} 4 & -2 & -2 \\ -2 & 1 & 1 \\ -2 & 1 & 1 \end{bmatrix}$$

Eigenvectors:  $m_3 \rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 1 \\ -1 \end{bmatrix}$     $m_2 \rightarrow \frac{1}{\sqrt{3}} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$     $m_1 \rightarrow \frac{1}{\sqrt{6}} \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix}$

Note: mixing angles independent of mass eigenvalues



- For the HPS mixing matrix all mixing angles are fixed to particularly symmetric values

Sparked interest in constructing models that can naturally produce this highly ordered structure

Models based on the  $A_4$  discrete symmetry (even permutations of 1234) offer a minimal solution

Ma...;  
 GA, Feruglio hep-ph/0504165, hep-ph/0512103  
 GA, Feruglio, Lin hep-ph/0610165.....

Larger finite groups:  $T'$ ,  $\Delta(27)$ .... Feruglio et al  
 Chen, Mahanthappa .....

Alternative models based on  $SU(3)_F$  or  $SO(3)_F$

Verzielas, G. Ross King .....



## Model building

### Quality factors for models: (higher standards by now!)

- Based on the most general lagrangian compatible with some simple symmetry or dynamical principle
- Should be complete: address at least charged leptons and neutrinos ( $U_{P-NMS} = U_e^+ U_\nu$ , and the gauge symmetry connects ch. leptons and LH neutrinos)
- As many as possible small parameters (masses and mixings) should be naturally explained as a consequence.
- The necessary vev configuration should be a minimum of the most general potential for a region of parameter space
- The stability under radiative corrections and higher dim operators must be checked
- Simplicity, economy of fields and parameters, predictivity...



A4 is the discrete group of even perm's of 4 objects.  
 (the inv. group of a tetrahedron). It has  $4!/2 = 12$  elements.

An element is abcd which means  $1234 \rightarrow abcd$

$$C_1: 1 = 1234$$

$$C_2: T = 2314 \quad ST = 4132 \quad TS = 3241 \quad STS = 1423$$

$$C_3: T^2 = 3124 \quad ST^2 = 4213 \quad T^2S = 2431 \quad TST = 1342$$

$$C_4: S = 4321 \quad T^2ST = 3412 \quad TST^2 = 2143$$

Thus A4 transf.s can be written as:

$$1, T, S, ST, TS, T^2, TST, STS, ST^2, T^2S, T^2ST, TST^2$$

$$\text{with: } S^2 = T^3 = (ST)^3 = 1 \quad [(TS)^3 = 1 \text{ also follows}]$$

$\oplus$   $C_1, C_2, C_3, C_4$  are equivalence classes  $[x' \sim gxg^{-1}]$   $x, x'$  in same class if  $g$ : group element

A4 has 4 inequivalent irreducible representations:  
a triplet and 3 different singlets

3, 1, 1', 1''

(promising for 3 generations!)

Note:

as many representations as equivalence classes

$$\sum d_i^2 = 12$$

$$9+1+1+1=12$$



Three singlet inequivalent represent'ns:

Recall:

$$S^2 = T^3 = (ST)^3 = 1$$

$$\begin{cases} 1: S=1, T=1 \\ 1': S=1, T=\omega \\ 1'': S=1, T=\omega^2 \end{cases}$$

$$\begin{aligned} \omega &= \exp i \frac{2\pi}{3} = -\frac{1}{2} + i \frac{\sqrt{3}}{2} \\ \omega^3 &= 1 \\ 1 + \omega + \omega^2 &= 0 \\ \omega^2 &= \omega^* \end{aligned}$$

The only indep. 3-dim represent'n is obtained by:

$$S = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

$$T = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

(S-diag basis)

An equivalent form:

$$S' = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix} = V S V^\dagger$$

(T-diag basis)

$$T' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{bmatrix} = V T V^\dagger$$

$$V V^\dagger = V^\dagger V = 1$$

↓

$$V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

Cabibbo '78



A4 has only 4 irreducible inequivalent represent'ns:  $1, 1', 1'', 3$

Table of Multiplication:

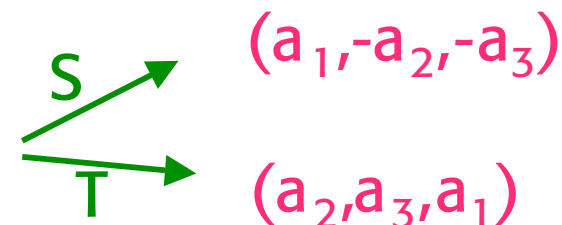
$$1' \times 1' = 1''; 1'' \times 1'' = 1'; 1' \times 1'' = 1$$

$$3 \times 3 = 1 + 1' + 1'' + 3 + 3$$

A4 is well fit for 3 families!

Ch. leptons  $l \sim 3$

$$e^c, \mu^c, \tau^c \sim 1, 1', 1''$$



In the S-diag basis consider  $3: (a_1, a_2, a_3)$

For  $3_1 = (a_1, a_2, a_3)$ ,  $3_2 = (b_1, b_2, b_3)$  we have in  $3_1 \times 3_2$ :

$$1 = a_1 b_1 + a_2 b_2 + a_3 b_3$$

$$3 \sim (a_2 b_3, a_3 b_1, a_1 b_2)$$

$$1' = a_1 b_1 + \omega^2 a_2 b_2 + \omega a_3 b_3$$

$$3 \sim (a_3 b_2, a_1 b_3, a_2 b_1)$$

$$1'' = a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3$$

$$\begin{aligned} \text{e.g. } 1'' &= a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3 \xrightarrow{T} a_2 b_2 + \omega a_3 b_3 + \omega^2 a_1 b_1 = \\ &= \omega^2 [a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3] \end{aligned}$$

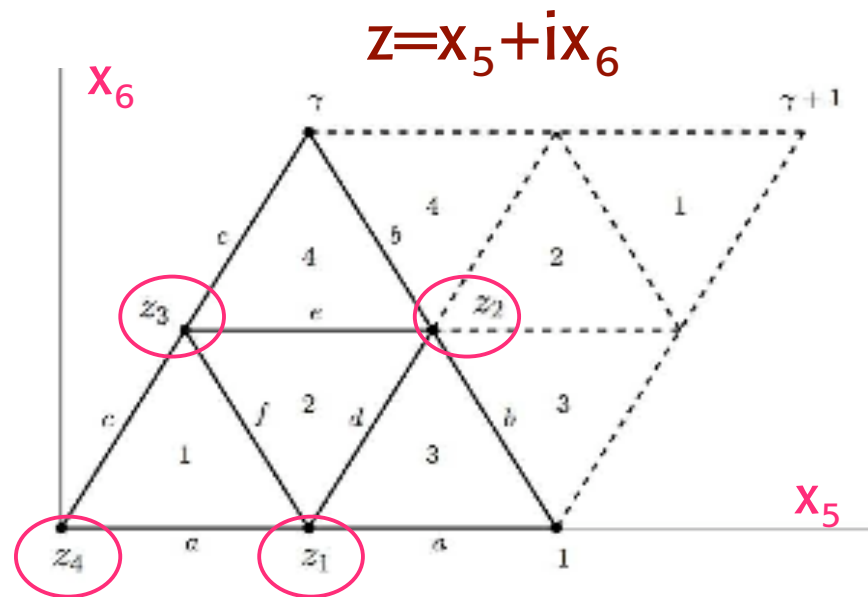


while, under S,  $1''$  is inv.

## What can be the origin of A4?

A4 (or some other discrete group) could arise from extra dimensions (by orbifolding with fixed points) as a remnant of 6-dim spacetime symmetry:

G.A.,F. Feruglio&Y. Lin, NP B775(2007)31



A torus with identified points:

$$z \rightarrow z + 1$$

$$z \rightarrow z + \gamma \quad \gamma = \exp(i\pi/3)$$

and a parity  $z \rightarrow -z$

leads to 4 fixed points

(equivalent to a tetrahedron).

There are 4D branes at the fixed points where the SM fields live (additional gauge singlets are in the bulk)

$\oplus$  A4 interchanges the fixed points

Under A4 the most common classification is:

lepton doublets  $l \sim \mathbf{3}$

$e^c, \mu^c, \tau^c \sim 1, 1', 1''$  respectively

gauge singlet flavons  $\phi, \phi', \xi, (\xi')$   $\sim \mathbf{3}, \mathbf{3}, 1, (1)$  respectively

driving fields (for SUSY version)  $\phi_0, \phi'_0, \xi_0 \sim \mathbf{3}, \mathbf{3}, 1$

Additional symmetries:

- a broken  $U(1)_F$  symmetry (ch. lepton masses) with  $e^c, \mu^c, \tau^c$  charges (3 or 4,2,0)
- one or more discrete parities (dep. on versions) : for example  
 $Z: (e^c, \mu^c, \tau^c) \rightarrow -i (e^c, \mu^c, \tau^c), l \rightarrow il, \phi \rightarrow \phi, (\xi, \phi') \rightarrow -(\xi, \phi')$



## Structure of the model

$$\mathcal{L}_Y = y_e e^c(\varphi l) + y_\mu \mu^c(\varphi l)'' + y_\tau \tau^c(\varphi l)' + x_a \xi(ll) + x_d(\varphi' ll) + h.c. + \dots$$

shorthand: Higgs and cut-off scale  $\Lambda$  omitted, e.g.:

$$y_e e^c(\varphi l) \sim y_e e^c(\varphi l) h_d / \Lambda, \quad x_a \xi(ll) \sim x_a \xi(l h_u l h_u) / \Lambda^2$$

!!!

$$\begin{aligned} \langle \varphi' \rangle &= (v', 0, 0) \\ \langle \varphi \rangle &= (v, v, v) \\ \langle \xi \rangle &= u \end{aligned}$$

$$m_l = v_d \frac{v}{\Lambda} \begin{pmatrix} y_e & y_e & y_e \\ y_\mu & y_\mu \omega^2 & y_\mu \omega \\ y_\tau & y_\tau \omega & y_\tau \omega^2 \end{pmatrix}$$

the big plus of A4

Spectrum free.  
Diagonalized by  $U_e$ :

$$m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a & 0 & 0 \\ 0 & a & d \\ 0 & d & a \end{pmatrix} \quad l \rightarrow \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix} l = \mathbf{V} l$$

⊕ From here it follows that  $U_{\text{HPS}}$  is the mixing matrix

$m_\nu$  in the basis of diagonal charged leptons is:

$$m_\nu|_{l\text{diag}} \sim V^* \begin{bmatrix} a & 0 & 0 \\ 0 & a & d \\ 0 & d & a \end{bmatrix} V^* = \begin{pmatrix} a + 2d/3 & -d/3 & -d/3 \\ -d/3 & 2d/3 & a - d/3 \\ -d/3 & a - d/3 & 2d/3 \end{pmatrix}$$

which in turn can be written as:

$$V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

$$m_\nu|_{l\text{diag}} \sim U^T \begin{bmatrix} a + d & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & -a + d \end{bmatrix} U$$

with:

$$U = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & +1/\sqrt{2} \end{pmatrix}$$



The crucial issue is to guarantee the strict alignment

$$\langle \varphi' \rangle = (v', 0, 0)$$

$$\langle \varphi \rangle = (v, v, v)$$

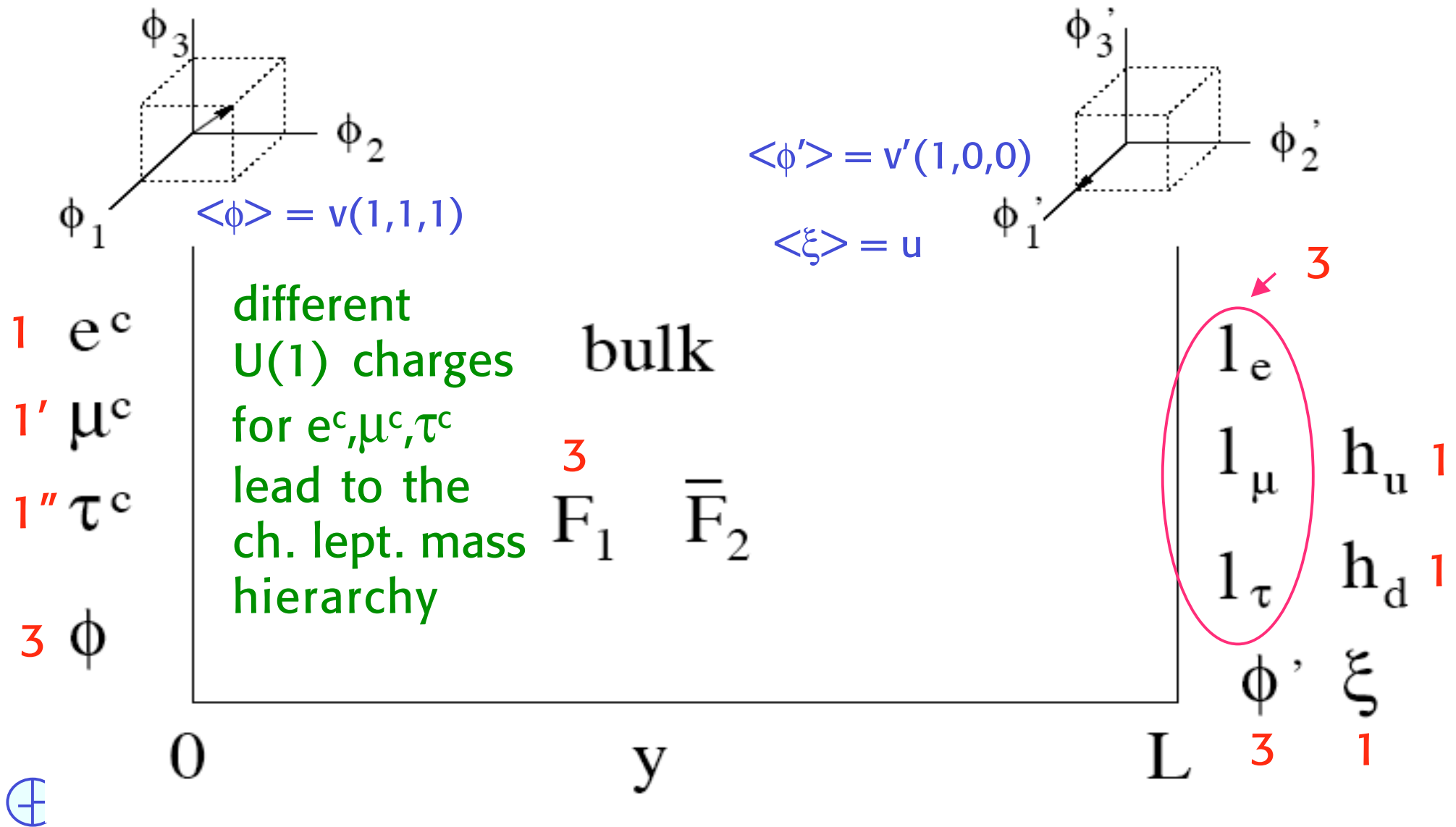
$$\langle \xi \rangle = u$$

We have constructed a number of completely natural versions of the model, e.g.:

- a version in 5 dimensions (economic in flavon fields)
- a SUSY version in 4-dim (with a few more fields)



The model has 1 compactified extra dim. and 2 branes  
 (crucial issue: guarantee and protect the vev alignment)



In lowest approximation the action is:

$$\begin{aligned}
 S = & \int d^4x dy \left\{ \left[ iF_1 \sigma^\mu \partial_\mu \bar{F}_1 + iF_2 \sigma^\mu \partial_\mu \bar{F}_2 + \frac{1}{2} (F_2 \partial_y F_1 - \partial_y F_2 F_1 + h.c.) \right] \right. \\
 & - M(F_1 F_2 + \bar{F}_1 \bar{F}_2) \\
 & + V_0(\varphi) \delta(y) + V_L(\varphi', \xi) \delta(y - L) \\
 & + [Y_e e^c(\varphi F_1) + Y_\mu \mu^c(\varphi F_1)'' + Y_\tau \tau^c(\varphi F_1)' + h.c.] \delta(y) \\
 & \left. + \left[ \frac{x_a}{\Lambda^2} \xi(ll) h_u h_u + \frac{x_d}{\Lambda^2} (\varphi' ll) h_u h_u + Y_L(F_2 l) h_d + h.c. \right] \delta(y - L) \right\} + \dots
 \end{aligned}$$

a Z-parity has also been imposed

$$(f^c, l, F, \varphi, \varphi', \xi) \xrightarrow{Z} (-if^c, il, iF, \varphi, -\varphi', -\xi)$$

After integrating out of the F fields one obtains the required effective 4-dim action

$$\mathcal{L}_Y = y_e e^c(\varphi l) + y_\mu \mu^c(\varphi l)'' + y_\tau \tau^c(\varphi l)' + x_a \xi(ll) + x_d (\varphi' ll) + h.c. + \dots$$

In the flavour basis:

$$m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2d/3 & -d/3 & -d/3 \\ -d/3 & 2d/3 & a - d/3 \\ -d/3 & a - d/3 & 2d/3 \end{pmatrix}$$

$m_\nu = U \text{diag}(a+d, a, -a+d)U^\top$  (in units of  $v_u^2/\Lambda$ ) and  $U=U_{\text{HPS}}$

In terms of physical param.s (moderate normal hierarchy):

$$|m_1|^2 = \left[ -r + \frac{1}{8 \cos^2 \Delta (1 - 2r)} \right] \Delta m_{atm}^2 \sim (0.017 \text{ eV})^2$$

$$|m_2|^2 = \frac{1}{8 \cos^2 \Delta (1 - 2r)} \Delta m_{atm}^2 \sim (0.017 \text{ eV})^2$$

$$|m_3|^2 = \left[ 1 - r + \frac{1}{8 \cos^2 \Delta (1 - 2r)} \right] \Delta m_{atm}^2 \sim (0.053 \text{ eV})^2$$

⊕ A moderate fine tuning is needed for  $r$

The model crucially depends on the precise vev alignment



$$\begin{aligned}\langle\varphi'\rangle &= (v', 0, 0) \\ \langle\varphi\rangle &= (v, v, v) \\ \langle\xi\rangle &= u\end{aligned}$$

The extra dimension with 2 branes allows the decoupling of the  $\phi$  and  $\xi, \phi'$  potentials.

A discrete symmetry is also essential: a separate continuous rotation symmetry on the 2 branes would make any disalignment illusory.

An alternative in 4 dimensions is a SUSY model with driving fields and a superpotential where all terms allowed by symmetry are present (with added fields  $\xi', \phi_0, \phi'_0, \xi_0$ ).

In our models

- all terms allowed by symmetry are present
- all correct'ns are under control and can be made negligible



## Extension to quarks

If we take all fermion doublets as 3 and all singlets as 1, 1', 1'' (as for leptons):  $Q_i \sim 3, u^c, d^c \sim 1, c^c, s^c \sim 1', t^c, b^c \sim 1''$

Then u and d quark mass matrices are BOTH diagonalised by

$$U_u, U_d \sim \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix}$$

As a result VCKM is unity:  $V_{CKM} = U_u^\dagger U_d \sim 1$

So, in first approx. (broken by loops and higher dim operators),  $\nu$  mixings are HPS and quark mixings  $\sim$  identity

Corrections are far too small to reproduce quark mixings eg  $\lambda_c$  (for leptons, corrections cannot exceed  $o(\lambda_c^2)$ ). But even those are essentially the same for u and d quarks)



**Note:** NOT straightforward to embed these models in a GUT:  
with these assignments A4 does not commute with SU(5)

If  $l \sim 3$  then all  $5^* \sim 3$ , so that  $d^c_i \sim 3$

if  $e^c, \mu^c, \tau^c \sim 1, 1', 1''$  then all  $10_i \sim 1, 1', 1''$

Realistic quark mass matrices are not easy to obtain from these assignments

For example, for u quarks at leading order:

$$m_u \sim 1 \cdot 1 + 1' \cdot 1'' + 1'' \cdot 1' \sim a u_1 u_1 + b (u_2 u_3 + u_3 u_2)$$

or

$$m_u \sim \begin{pmatrix} a & 0 & 0 \\ 0 & 0 & b \\ 0 & b & 0 \end{pmatrix}$$

Which implies  $|m_c| = |m_t|$   
and maximal  $U_{23}$



## Recent directions of research:

- Different (larger) finite groups

Ma;  
Kobayashi et al;  
Luhn, Nasri, Ramond [ $\Delta(3n^2)$ ];  
.....

- Trying to improve the quark mixings

Carr, Frampton  
Feruglio et al  
.....

- Construct GUT models with approximate tribimaximal mixing

Ma, Sawanaka, Tanimoto; Ma;  
Morisi, Picarello, Torrente Lujan;  
de Madeiros Verzielas, King, Ross [ $\Delta(27)$ ];  
King, Malinsky [ $SU(4)_C \times SU(2)_L \times SU(2)_R$ ];  
Chen, Mahanthappa .....



Better quarks: use  $T'$  (also called  $SL_2(F_3)$ ) the double covering group of  $A_4$  ( $A_4$  is not a subgroup of  $T'$ )

Aranda, Carone, Lebed  
Carr, Frampton  
Feruglio et al  
Chen, Mahanthappa

24 transformations.

Irreducible representations: 1, 1', 1'', 2, 2', 2'', 3

General idea:

for quarks use 1 (3rd family) +  $2^a$  (1st&2nd families)

- $t, (b)$  masses at renormalizable level (unsuppressed)
- $V_{cb}, V_{ts}$  from doublet flavons (do not couple to leptons)
- 1st generation masses and mixings from subleading effects

Similar to old  $U(2)$  models

Barbieri, Dvali, Hall '96  
Barbieri, Hall, Raby, Romanino '97  
Barbieri, Hall, Romanino '97



Feruglio et al

Provides a solution to the problem of quarks

The lepton sector is identical to A4 models

Field	$l$	$e^c$	$\mu^c$	$\tau^c$	$D_q$	$D_u^c$	$D_d^c$	$q_3$	$t^c$	$b^c$	$h_{u,d}$	$\varphi_T$	$\varphi_S$	$\xi, \tilde{\xi}$	$\eta$	$\xi''$
$T'$	3	1	$1''$	$1'$	$2''$	$2''$	$2''$	1	1	1	1	3	3	1	$2'$	$1''$
$Z_3$	$\omega$	$\omega^2$	$\omega^2$	$\omega^2$	$\omega$	$\omega^2$	$\omega^2$	$\omega$	$\omega^2$	$\omega^2$	1	1	$\omega$	$\omega$	1	1
$U(1)_{FN}$	0	$2n$	$n$	0	0	$n$	0	0	0	0	0	0	0	0	0	0

The quark sector is decoupled from leptons and reproduces masses and mixings

$$\begin{aligned}
 w_q = & y_t (t^c q_3) h_u + y_b (b^c q_3) h_d + \\
 & y_1 (\varphi_T D_u^c D_q) h_u / \Lambda + y_5 (\varphi_T D_d^c D_q) h_d / \Lambda + \\
 & y_2 \xi'' (D_u^c D_q) h_u / \Lambda + y_6 \xi'' (D_d^c D_q) h_d / \Lambda + \\
 & \{y_3 t^c (\eta D_q) + y_4 (D_u^c \eta) q_3\} h_u / \Lambda + \{y_7 b^c (\eta D_q) + y_8 (D_d^c \eta) q_3\} h_d / \Lambda.
 \end{aligned}$$

⊕ The adopted classification not suitable for a GUT version

# Chen, Mahanthappa

It is a GUT model: SU(5)xT'

T~10; F~5

	$T_3$	$T_a$	$\bar{F}$	$H_5$	$H'_5$	$\Delta_{45}$	$\phi$	$\phi'$	$\psi$	$\psi'$	$\zeta$	$N$	$\xi$	$\eta$
SU(5)	10	10	$\bar{5}$	5	$\bar{5}$	45	1	1	1	1	1	1	1	1
$(d)T$	1	2	3	1	1	1'	3	3	2'	2	1''	1'	3	1
$Z_{12}$	$\omega^5$	$\omega^2$	$\omega^5$	$\omega^2$	$\omega^2$	$\omega^5$	$\omega^3$	$\omega^2$	$\omega^6$	$\omega^9$	$\omega^9$	$\omega^3$	$\omega^{10}$	$\omega^{10}$
$Z'_{12}$	$\omega$	$\omega^4$	$\omega^8$	$\omega^{10}$	$\omega^{10}$	$\omega^3$	$\omega^3$	$\omega^6$	$\omega^7$	$\omega^8$	$\omega^2$	$\omega^{11}$	1	1

For this the charged lepton sector is modified, while the neutrino mass terms are kept identical

For charged leptons, at leading level, only the  $\tau$  gets mass and  $\nu$  mixing is tribimaximal (for quarks:  $m_t$  is unsuppressed, b- $\tau$  unification)

$$\mathcal{L}_{\text{TT}} = \underline{y_t H_5 T_3 T_3} + \frac{1}{\Lambda^2} y_{ts} H_5 T_3 T_a \psi \zeta + \frac{1}{\Lambda^2} y_c H_5 T_a T_a \phi^2 + \frac{1}{\Lambda^3} y_u H_5 T_a T_a \phi'^3$$

$$\mathcal{L}_{\text{TF}} = \underline{\frac{1}{\Lambda^2} y_b H'_5 \bar{F} T_3 \phi \zeta} + \frac{1}{\Lambda^3} \left[ y_s \Delta_{45} \bar{F} T_a \phi \psi N + y_d H'_5 \bar{F} T_a \phi^2 \psi' \right]$$

$$\mathcal{L}_{\text{FF}} = \underline{\frac{1}{M_x \Lambda} \left[ \lambda_1 H_5 H_5 F F \xi + \lambda_2 H_5 H_5 F F \eta \right]},$$



From higher dimension operators masses for light quarks and leptons, mixing angles

Tribimaximal mixing modified by ch. lepton diagonalisation

$$\tan^2 \theta_{\odot} \simeq \tan^2 \theta_{\odot, \text{TBM}} - \frac{1}{2} \theta_c \cos \beta,$$

$$\theta_{13} \simeq \theta_c / 3\sqrt{2}.$$

$\theta_c =$  Cabibbo angle  
 $\beta =$  undet. phase

An interesting model. But:

- a complicated flavon structure
- alignment problem not discussed

a new form of  
quark-lepton  
complementarity

Raidal  
Minakata, Smirnov  
Antusch, King  
GA, Feruglio, Masina

.....



## Conclusion

From experiment: a good first approximation for quarks:

$$V_{\text{CKM}} \sim \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

and for neutrinos

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

Models based on A4 indeed lead to this pattern

All this is highly non trivial but no real illumination has followed!!

