<u>Collec</u> Transv	LECTURE 20 tive effects in multi-particle Beam Tune shifts and spreads: erse space charge: direct and indirec Beam-beam interaction	<u>s</u> t	Collectiv To this point in thes of the electromagn effects of these fie depend on the field For intense beams, the fields of the be magnetic gu The fields of the bea lattice function di perturbing field in t the motion of the be dynamical effects,	re Effects in multi-particle Beam se lectures, we have not considered netic fields generated by the beam elds are called <i>collective effects</i> , bear of a collection of charged particles these collective effects can be very eam can be comparable to or large uide fields or the rf accelerating fie am can cause static effects (such as stortions, resonance excitation) just the machine. Since the collective fi eam, and can also affect it, they ca leading to damping or growth (ins	IS I the effects itself. The cause they (the beam). 7 important: r than the elds. s tune shifts, st like any lelds follow n also have tability) of
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 beam motion. We'll start the study of collective effects with the simplest topic, the static effects of the collective fields. Transverse space charge. This is the simplest collective effect: the beam constitutes a charge and current distribution, and the fields generated by this distribution will act on the trajectories of the individual 			transverse direction "flattened" to be	$\rho(r) = \frac{Ne}{2\pi\sigma^2} \exp\left(-\frac{r^2}{2\sigma^2}\right).$ Since the perpendicular to the direction of m picture is	ne fields are otion, the
 constituents of the beam. We can understand what happens by inserting the beam's fields into the trajectory equations that govern the motion of the individual particles in the beam. Direct space charge effect Consider a highly relativistic bunch of length <i>L</i>, with <i>N</i> particles of charge <i>e</i>, which has a round Gaussian charge distribution in the 			To find the electric we surround the but	r field a distance r from the axis of nch with a Gaussian surface and a Law:	the bunch, oply Gauss'
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$$\oint \vec{E} \cdot d\vec{a} = E(2\pi rL) = \frac{Q_{enclosed}}{\varepsilon_0} \frac{Ne}{2\pi\varepsilon_0 \sigma^2} \int_0^r rdr \exp\left(-\frac{r^2}{2\sigma^2}\right) \Rightarrow$$

$$\vec{E} = \frac{Ne}{2\pi\varepsilon_0 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right) \hat{p}$$
This field is directed radially outward.
Similarly, Ampere's Law will give the magnetic field
$$\oint \vec{B} \cdot d\vec{l} = B(2\pi r) = \mu_0 I_{enclosed} = \mu_0 \frac{Nev}{2\pi\sigma^2} \int_0^r rdr \exp\left(-\frac{r^2}{2\sigma^2}\right)$$

$$\Rightarrow \quad \vec{B} = \mu_0 \frac{Nev}{2\pi rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right) \hat{\rho}$$
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This field is directed in the $\hat{\phi}$ direction. Now consider a particle of charge *e* in the beam. The Lorentz force it feels from these fields is called the *space charge force*.

$$\vec{F} = e\left(\vec{E} + \vec{v} \times \vec{B}\right) = \frac{Ne^2}{2\pi\varepsilon_0 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right) \left(\hat{r} + \hat{s} \times \hat{\phi} \frac{v^2}{c^2}\right)$$
$$= \hat{r} \frac{Ne^2}{2\pi\varepsilon_0 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right) \left(1 - \frac{v^2}{c^2}\right)$$
$$= \hat{r} \frac{Ne^2}{2\pi\varepsilon_0 \gamma^2 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right)$$

For large γ , the forces due to the electric and magnetic fields tend to cancel; the space charge forces goes like $1/\gamma^2$. The effect of this force on the trajectory equations, from Lect 2, p 35, is

$$x'' = -\frac{e}{p} \left(B_y - \frac{E_x}{v} \right) = \frac{F_x}{vp} \qquad y'' = \frac{e}{p} \left(B_x + \frac{E_y}{v} \right) = \frac{F_y}{vp}$$

where, from the result above,

$$F_x(x,y) = \frac{Ne^2}{2\pi\varepsilon_0\gamma^2 L} \frac{x}{\left(x^2 + y^2\right)} \left(1 - \exp\left(-\frac{\left(x^2 + y^2\right)}{2\sigma^2}\right)\right)$$
$$F_y(x,y) = \frac{Ne^2}{2\pi\varepsilon_0\gamma^2 L} \frac{y}{\left(x^2 + y^2\right)} \left(1 - \exp\left(-\frac{\left(x^2 + y^2\right)}{2\sigma^2}\right)\right)$$

The force is nonlinear in *x* and *y*, and introduces coupling.

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Plot of the space charge force $F_x(x,0)$ vs. x



For small *x*, the force is linear in *x*, but it reaches a maximum at about $x=2\sigma$, and then falls off slowly.

For small *x* and *y*, we have

$$F_x(x,0) \approx x \frac{Ne^2}{4\pi\sigma^2 \varepsilon_0 \gamma^2 L}$$
 $F_y(0,y) \approx y \frac{Ne^2}{4\pi\sigma^2 \varepsilon_0 \gamma^2 L}$

 $\Delta Q_x = \frac{1}{4\pi} \oint \beta_x(s) k ds = -\frac{Nr_0}{4\pi\beta^2 \gamma^3 L} \oint \frac{\beta_x(s)}{\sigma^2} ds$

where $R = \frac{\oint ds}{2\pi}$ is the mean radius of the machine, and $\varepsilon_n = \beta \gamma \varepsilon = \beta \gamma \frac{\sigma^2}{\beta_r}$ is the normalized rms emittance. The negative

sign indicates the space charge force is defocusing. There is an equal tune shift in the y direction. Example: an unbunched proton beam, containing 6×10^{12} particles, with a normalized rms emittance of 2 mm-mrad, is injected into the Fermilab Booster at 400 MeV. This beam is not relativistic, but the

 $= -\frac{Nr_0}{4\pi\beta\gamma^2 L\varepsilon_n} \oint ds = -\frac{Nr_0 R}{2\beta\gamma^2 L\varepsilon_n}$

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so the trajectory equations become

$$x'' = \frac{Ne^2}{4\pi\sigma^2\varepsilon_0 vp\gamma^2 L} x \qquad y'' = \frac{Ne^2}{4\pi\sigma^2\varepsilon_0 vp\gamma^2 L} y$$

The space charge force is equivalent to a quadrupole error, with strength

$$k = -\frac{Ne^2}{4\pi\sigma^2\varepsilon_0 vp\gamma^2 L} = -\frac{Ne^2}{4\pi\sigma^2\varepsilon_0\beta^2c^2m_0\gamma^3 L} = -\frac{Nr_0}{\beta^2\gamma^3\sigma^2 L}$$

where $r_0 = \frac{e^2}{4\pi\varepsilon_0m_0c^2}$

Such a quadrupole error produces a tune shift

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treatment given above is valid for non-relativistic beams also, if they are not bunched. In this case, $L = 2\pi R$, and N represents the total number of particles in the machine:

$$\Delta Q_x = -\frac{Nr_0}{4\pi\beta\gamma^2\varepsilon_n} = -\frac{6\times10^{12}\times1.53\times10^{-18}}{4\pi\times0.713\times1.426^2\times2\times10^{-6}} = -0.252$$

Because of the nonlinear form of the space charge force (for a Gaussian beam), the tune shift will depend on the amplitude of the particle's oscillation. The tune shift will be proportional to the local gradient of the force.

Plot of the gradient of
$$F_x(x,0)$$
 vs. x

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The largest tune s large <i>x</i> see a small beam, roughly eq spread can cause onto resonances. <i>A</i> can survive (for a cycling machine) 11/27/01	$\frac{a[\sqrt{2} \sigma[1-\exp(-\frac{\pi^2}{2\sigma^2})]/x]}{\sigma}$ $\frac{a[\sqrt{2} \sigma[1-\exp(-\frac{\pi^2}{2\sigma^2})]/x]}{\sigma}$ $\frac{a[\sqrt{2} \sigma[1-\exp(-\frac{\pi^2}{2\sigma^2})]/x]}{\sigma}$ $\frac{a[\sqrt{2} \sigma[1-\exp(-\frac{\pi^2}{2\sigma^2})]/x]}{\sigma}$ shift occurs for particles at small x; p there tune shift. This results in a tune <i>sp</i> ual to the small amplitude tune shift. problems if some parts of the beam at Amazingly enough, it has been found a short time: the acceleration cycle in with space charge tune spreads as lat USPAS Lecture 20	earticles at <i>read</i> in the This tune are shifted that beams a rapidly rge as 0.4. 13	The space charge charge effect. The shifts, because th the beam. The <i>coherent</i> oscilla There is another charge effect, with This effect is de vacuum chamber the poles of a mag charges in the va 11/27/01	ge effect just discussed is called the a tune shifts it produces are called <i>in</i> ey are shifts of the tune of individua oscillation frequency of the beam as ation) is not affected by the direct sp effect. effect of space charge, called the <i>in</i> hich can cause both incoherent tune coherent tune shifts. Indirect space charge effect ue to the fact that the beam is travelity with conducting walls, and general gnet. The fields of the beam will pro- cuum chamber and induced magnet USPAS Lecture 20	<i>direct</i> space <i>coherent</i> tune al particles in a whole (a bace charge <i>direct</i> space spreads and ing inside a ly also inside oduce induced ization in the 14
magnet poles. The fields that can ace the induced charge solutions of M conditions: E_{\parallel} = For the Beam — The additional element can be obtained to be a content of the the term can be obtained the term can be obtained the term can be obtained to be a content of the term can be obtained to be a content of the term can be obtained to be a content of the term can be obtained to be a content of the term can be obtained to be a content of the term can be obtained to be a content of the term can be a content of term ca	the induced charge and magnetization et on the beam. The effect of these is indirect space charge effect. ges and magnetization can be found b Maxwell's equations to satisfy the bou = 0 at a conducting surface, and $B_{\parallel} =$ magnetic surface. The case of parallel conducting walls: h conducting walls: h conducting wall lectric and magnetic fields in the register ained through the use of image charge	produces called the y requiring indary 0 at an /s ion of the es to find	solutions that sati $F_x(x,0) = x$ $F_y(0,y) = y$ The first two term currents, and h magnetic field ca proportional to number of particle 11/27/01	sfy the boundary conditions. The res x and y , is $x \frac{e^2}{2\pi\epsilon_0} \left(\frac{N}{\gamma^2 L} \left[\frac{1}{2\sigma^2} - \frac{\pi^2}{24h^2} \right] - \frac{N_{tot}}{2\pi R} \frac{\pi^2}{2} \right]$ $\frac{e^2}{2\pi\epsilon_0} \left(\frac{N}{\gamma^2 L} \left[\frac{1}{2\sigma^2} + \frac{\pi^2}{24h^2} \right] + \frac{N_{tot}}{2\pi R} \frac{\pi^2}{24h^2} \right]$ is in brackets are due to the ac imagnave the suppression factor $1/\gamma^2$ due to the average line density $\frac{N_{tot}}{2\pi R}$, with μ es in the machine. Static magnetic final static m	sult, for small $\frac{\pi^2}{4h^2}$) $\frac{\pi^2}{4h^2}$) e charges and to electric- ne dc current, N_{tot} the total fields from the

dc beam current can penetrate the conducting walls. There are no induced dc currents, and so this term has no $1/\gamma^2$ suppression factor. It will dominate at high energies. The associated incoherent tune shifts are $\Delta Q_x = -\frac{r_0 R}{\beta} \left(\frac{N}{\gamma^2 L} \left[\frac{1}{2\varepsilon_n} - \frac{\pi^2 \langle \beta_x \rangle}{24\beta \gamma h^2} \right] - \frac{N_{tot}}{2\pi R} \frac{\pi^2 \langle \beta_x \rangle}{24\beta \gamma h^2} \right]$ $\Delta Q_y = -\frac{r_0 R}{\beta} \left(\frac{N}{\gamma^2 L} \left[\frac{1}{2\varepsilon_n} + \frac{\pi^2 \langle \beta_y \rangle}{24\beta \gamma h^2} \right] + \frac{N_{tot}}{2\pi R} \frac{\pi^2 \langle \beta_y \rangle}{24\beta \gamma h^2} \right]$ For the permeable magnet poles:		Beam Magnet poles Beam Magnet poles The additional magnetic fields in the region of the beam can be obtained through the use of image currents. Only the dc component of the beam current contributes. The incoherent tune shifts, including both the direct and indirect fields, are			
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$\begin{split} \Delta Q_x &= -\frac{r_0 R}{\beta} \Biggl(\frac{N}{\gamma^2 L} \Biggl[\frac{1}{2\varepsilon_n} - \frac{\pi^2 \langle \beta_x \rangle}{24\beta\gamma h^2} \Biggr] - \frac{N_{tot} \pi \langle \beta_x \rangle}{24\beta\gamma R} \Biggl(\frac{1}{2h^2} + \frac{\beta^2}{g^2} \Biggr) \Biggr) \\ \Delta Q_y &= -\frac{r_0 R}{\beta} \Biggl(\frac{N}{\gamma^2 L} \Biggl[\frac{1}{2\varepsilon_n} + \frac{\pi^2 \langle \beta_y \rangle}{24\beta\gamma h^2} \Biggr] + \frac{N_{tot} \pi \langle \beta_y \rangle}{24\beta\gamma R} \Biggl(\frac{1}{2h^2} + \frac{\beta^2}{g^2} \Biggr) \Biggr) \end{split}$ Example: Indirect space charge tune shift in CESR, due to magnet poles (dominant term). For 45 bunches with 1.5x10 ¹¹ per bunch, N_{tot} = 6.75 10^{12}; \langle \beta_x \rangle = 20 \text{ m}, g = 3 \text{ cm} = > \\ \Delta Q_x &= \frac{N_{tot} \pi \langle \beta_x \rangle r_0}{24\gamma g^2} = 0.0054 \\ \text{Coherent tune shift} \end{split}		For a beam oscillating <i>as a whole</i> in the vertical direction between horizontal plates or poles, the image charges and currents oscillate also, and produce a force on the beam that is proportional to the average <i>y</i> position of the beam $F_{y,coh}(0,\bar{y}) = \bar{y} \frac{N_{tot}}{2\pi R} \frac{e^2}{\pi \epsilon_0} \frac{\pi^2}{16} \left(\frac{1}{h^2} + \frac{\beta^2}{g^2}\right)$ resulting in a <i>coherent</i> tune shift $\Delta Q_{y,coh} = -\frac{r_0 N_{tot} \pi \langle \beta_y \rangle}{16\beta^2 \gamma} \left(\frac{1}{h^2} + \frac{\beta^2}{g^2}\right)$ This tune shift affects the beam as a whole (like a standard quadrupole error). If the beam is given a kick and the oscillation			
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frequency measured with a spectrum analyzer, this tune shift can be measured. Beam-beam interaction We have discussed the long range beam-beam interaction in Lecture 19. We'll now consider the "head-on" interaction, when a particle in one beam passes through the charge distribution of the opposing beam. This problem is essentially identical to the one that we have just examined: the fields from the bunch are those obtained above on p. 5 and 6; the force experienced by the particle in the opposing beam, which has a charge $-e$ and a velocity in the $-\hat{s}$ direction, is	$\vec{F} = -e(\vec{E} + \vec{v} \times \vec{B}) = -\frac{Ne^2}{2\pi\varepsilon_0 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right) \left(\hat{r} - \hat{s} \times \hat{\phi} \frac{v^2}{c^2}\right)$ $= -\hat{r} \frac{Ne^2}{2\pi\varepsilon_0 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right) \left(1 + \frac{v^2}{c^2}\right) \approx -\hat{r} \frac{Ne^2}{\pi\varepsilon_0 rL} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right)\right)$ The electric and magnetic forces add in this case, and are almost equal for a relativistic particle (we will take β =1). The trajectory equations, $x'' = \frac{F_x}{vp}$ $y'' = \frac{F_y}{vp}$, can be integrated over the effective length of the fields Δs , giving $\Delta x' = \frac{F_x}{vp} \Delta s$ $\Delta y' = \frac{F_y}{vp} \Delta s$ as the		
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angular kicks. As explained in Lecture 17, p 30, the effective length of the fields is $\Delta s = \frac{L}{2}$: so the angular kicks are $\Delta x' = -\frac{2Nr_0}{\gamma} \frac{x}{(x^2 + y^2)} \left(1 - \exp\left(-\frac{(x^2 + y^2)}{2\sigma^2}\right)\right)$ $\Delta y' = -\frac{2Nr_0}{\gamma} \frac{y}{(x^2 + y^2)} \left(1 - \exp\left(-\frac{(x^2 + y^2)}{2\sigma^2}\right)\right)$ As in the case of space charge, the kicks are nonlinear in <i>x</i> and <i>y</i> , and introduce coupling. Linearizing for small x and y gives $\Delta x' = -\frac{Nr_0}{\gamma} \frac{x}{\sigma^2}$ $\Delta y' = -\frac{Nr_0}{\gamma} \frac{y}{\sigma^2}$ This corresponds to an effective focal length	$\frac{1}{f_x} = -\frac{\Delta x'}{x} = \frac{Nr_0}{\sigma^2 \gamma}$ resulting in a tune shift $\Delta Q_x = \frac{1}{4\pi} \frac{\beta_x^*}{f_x} = \frac{Nr_0\beta_x^*}{4\pi\sigma^2 \gamma} = \xi_x.$ where β_x^* is the value of β_x at the collision point, and ξ_x is called the <i>tune shift parameter</i> . This expression can be written in terms of the rms normalized emittance $\varepsilon_{n,x} = \varepsilon_x \gamma = \gamma \frac{\sigma^2}{\beta_x^*}$ as		
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$$\Delta Q_x = \frac{Nr_0}{4\pi\varepsilon_{n,x}}$$
. Similarly, $\Delta Q_y = \frac{Nr_0}{4\pi\varepsilon_{n,y}}$

For <u>round beams</u>, the beam-beam tune shifts are independent of the beam size and lattice functions at the collision point, and depend only on the number of particles per bunch and the normalized emittance.

Example: the Tevatron collider operates with about $2x10^{11}$ protons per bunch with a normalized emittance of about 2.5 mm-mrad. The beam-beam tune shift per collision experienced by the antiprotons

$$\Delta Q_x = \frac{Nr_0}{4\pi\varepsilon_{n,x}} = \frac{2 \times 10^{11} \times 1.53 \times 10^{-18}}{4\pi \times 2.5 \times 10^{-6}} \approx 0.01$$

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Just like the case for space charge, because of the nonlinear form of the beam-beam force (for a Gaussian beam), the tune shift will depend on the amplitude of the particle's oscillation. The tune shift will be proportional to the local gradient of the force.

Plot of the gradient of $F_x(x,0)$ vs. x



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The largest tune shift occurs for particles at small *x*; particles at large *x* see a smaller tune shift. This results in a tune *spread* in the beam, roughly equal to the small amplitude tune shift. This tune spread can cause problems if some parts of the beam are shifted onto resonances.

The quadrupole part of the beam-beam force also causes a distortion of the lattice functions. This is sometimes called the "dynamic beta effect".

Experimentally, it has been found that proton-antiproton colliders, which operate with round beams, can tolerate up to a total beambeam tune shift of about 0.025.

Electron-positron colliders (perhaps because of radiation damping) can be operated with beam-beam tune shifts as large as 0.06.

For electron-positron colliders, radiation damping results in flat beam. For such flat beams, in which the charge distribution has a different size in the horizontal and vertical directions, the expression for the beam-beam tune shift is a little more complex.

$$\Delta Q_x = \xi_x = \frac{2Nr_0\beta_x^*}{4\pi\sigma_x(\sigma_x + \sigma_y)\gamma} \approx \frac{Nr_0\beta_x^*}{2\pi\sigma_x^2\gamma}$$
$$\Delta Q_y = \xi_y = \frac{2Nr_0\beta_y^*}{4\pi\sigma_y(\sigma_x + \sigma_y)\gamma} \approx \frac{Nr_0\beta_y^*}{2\pi\sigma_x\sigma_y\gamma}$$
$$\beta^* = \beta^*$$

If the machine is operated with $\frac{\beta_x}{\sigma_x} = \frac{\rho_y}{\sigma_y}$, then the tune shift will

be the same in both planes and can be written in terms of the rms horizontal emittance ε_x as

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Coherent beam-beam effect
Example: CESR, with
$$2 \times 10^{11}$$
 electrons per bunch with an mas
horizontal emitrance of about 0.2 mm-mrad. The beam-beam tunes
shift per collision experienced by the positrons and the electrons is

$$\Delta Q = \frac{M_{O}}{2\pi \kappa_{x}} = \frac{2 \times 10^{11} \times 2.82 \times 10^{-15}}{2\pi \kappa_{x} 2 \times 10^{-1} \times 10^{41}} \approx 0.044$$
The focal length of the beam-beam "lens" in the y-plane
is $f_{y} = \frac{\beta_{x}}{4\pi \Delta Q} = \frac{1.8}{4\pi \times 0.04} = 3.26$ cm
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averaging the force seen by bunch 1 due to bunch 2 over the
charge distribution of bunch 0.5 means, with x_{x}
and $x_{x} < -cex$ end for
 $\Delta x_{1}^{c} = -2\pi \frac{\beta_{x}^{c}}{\beta_{x}^{c}} (x_{1} - x_{2})$.
The displacement of the two bunches as they pass the collision
point be x_{x} and x_{x} . These displacements are assumed to be non-zero
because of a coherent betarnon oscillation of each bunch, driven by
the electromagnetic force secreted by one bunch of the or the two bunchs. The the substance is
 $\mu = \frac{1}{\sqrt{2}}(x_{1} + x_{2}) - \mu_{2} = \frac{1}{\sqrt{2}}(x_{1} - x_{2})$.
The changes in the slopes of these variables is
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which can be compared with the focal length of the strongest quadrupole in CESR: about 80 cm.

$$\Delta Q \approx \frac{Nr_0}{2\pi\varepsilon_x \gamma}$$

 $L_{\text{round}} = f \frac{BN\xi\gamma}{r_0\beta^*}$ Tune shift parameter and luminosity This shows that, for a fixed beam-beam parameter, the luminosity It is very often the case that the beam-beam effect is the limit to the is proportional to the number of particles per bunch, the energy, attainable peak luminosity in a particle-antiparticle collider. In this case, it is useful to cast the luminosity formula into a different and the number of bunches, and inversely proportional β at the form that explicitly includes the beam-beam parameter. interaction point. For flat beams, for which the beam-beam parameter is $\xi_y \approx \frac{Nr_0\beta_y^*}{2\pi\sigma_x\sigma_y\gamma}$, the luminosity is For round beams, we have for the luminosity $L = f \frac{BN^2}{4\pi\sigma^2}$ $\mathsf{L}_{\text{flat}} = f \frac{BN^2}{4\pi\sigma_x \sigma_y} = f \frac{BN\xi_y \gamma}{2r_0 \beta_y^*}$ in which N is the number of particles per bunch, B the number of bunches. In terms of the tune shift parameter $\xi = \frac{Nr_0\beta^*}{4\pi\sigma^2\gamma}$, we have 11/27/01 **USPAS** Lecture 20 37 11/27/01 **USPAS** Lecture 20 38 Examples: Tevatron collider f=47 kHz, B=36, $N=2x10^{11}$, $\xi=0.01$, $\gamma=1066$, $r_0=1.53x10^{-18}$ m, $\beta^*=35$ $cm = >L_{round} = 6.8 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ CESR f=390 kHz, B=45, N=2x10¹¹, $\xi=0.05$, $\gamma=10^4$, $r_0=2.82$ x10⁻¹⁵ m, $\beta^*=1.8 \text{ cm}=>L_{\text{flat}}=1.8 \text{ x}10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ 11/27/01 **USPAS** Lecture 20 39