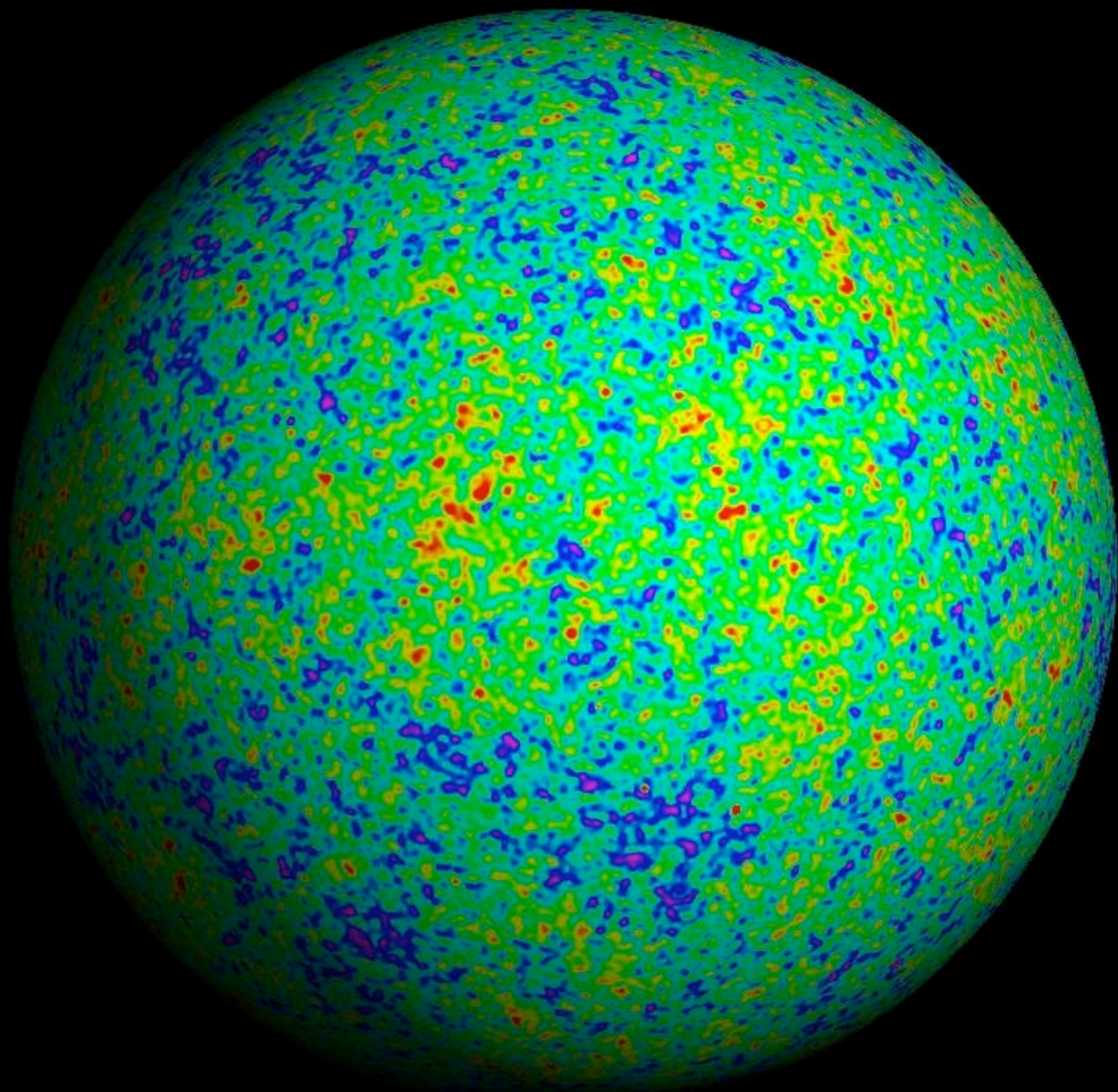


# Inflating with Baryons

Daniel Baumann

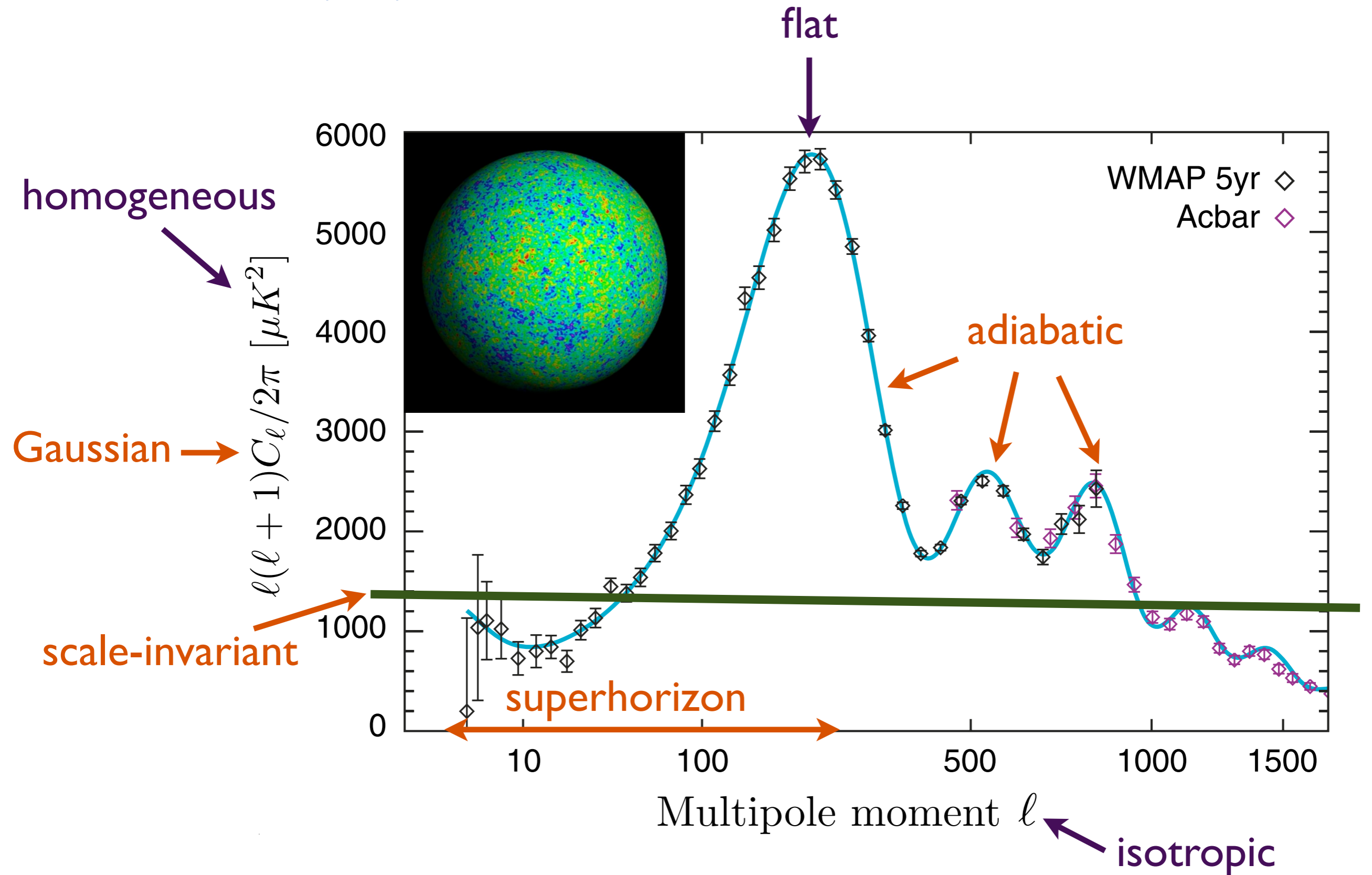
School of Natural Sciences  
Institute for Advanced Study

Cornell, February 2010



# ***Inflation*** is an elegant explanation for the data:

Guth (1980)



**BUT:**

What is the  
physics of inflation ?

# Outline

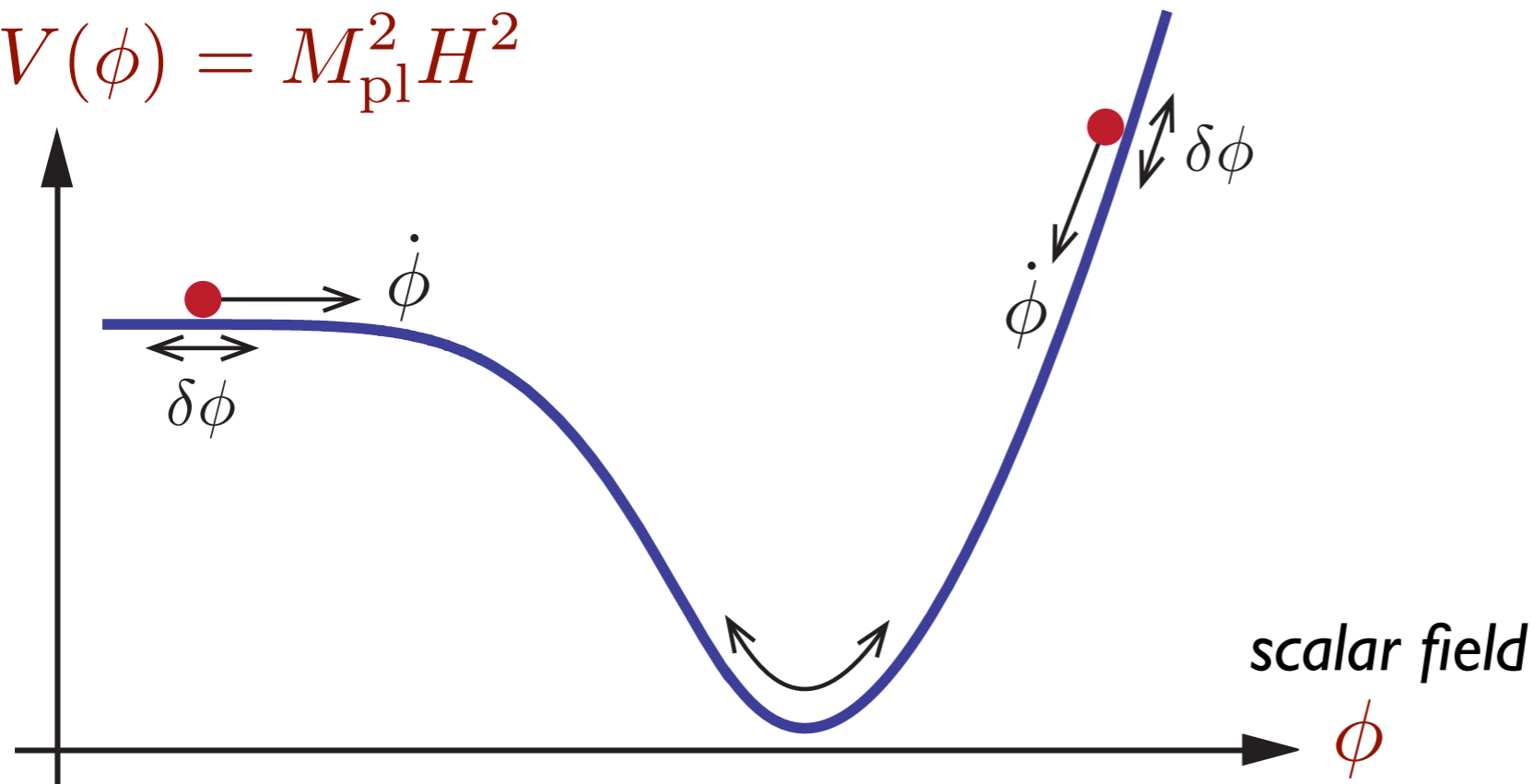
1. The Eta Problem

2. A Field Theory Solution

with Daniel Green

# The Eta Problem

$$V(\phi) = M_{\text{pl}}^2 H^2$$



*slow-roll inflation:*

$$\epsilon = \frac{M_{\text{pl}}^2}{2} \left( \frac{V'}{V} \right)^2 \ll 1$$

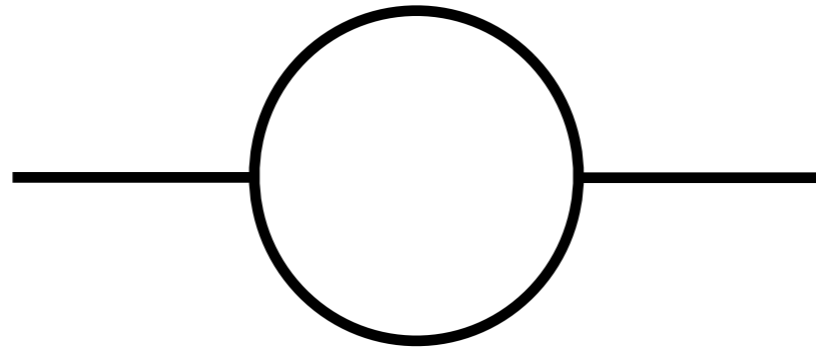
$$\eta = M_{\text{pl}}^2 \frac{V''}{V} \ll 1$$

**Why is the inflaton so light ?**

$$\eta \approx \frac{m_{\phi}^2}{H^2} \ll 1$$

# The Eta Problem

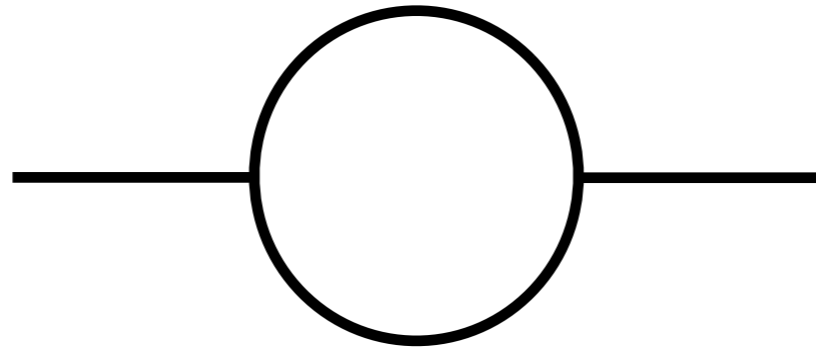
like the Higgs *hierachy problem*



$$m_{\phi}^2 \sim \Lambda_{\text{uv}}^2 \gg H^2$$

# The Eta Problem

like the Higgs *hierachy problem*



$$m_{\phi}^2 \sim H^2$$

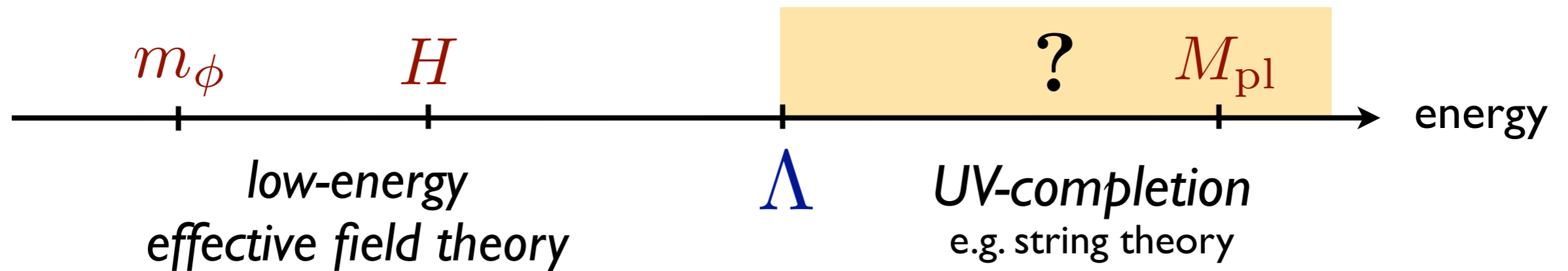
**supersymmetry** ameliorates the problem,  
but **doesn't solve it**.



need **fine-tuning** or **additional symmetry**



# The Eta Problem



↓ integrate out massive particles or  
parameterize our ignorance

***non-renormalizable interactions***

$$\Delta\mathcal{L} = \sum_{\delta} \frac{\mathcal{O}_{\delta}(\phi)}{\Lambda^{\delta-4}}$$

***In inflation, even Planck-suppressed interactions cannot be ignored!***

# The Eta Problem

Inflation is sensitive to  
***dimension-6 Planck-suppressed operators***

$$\Delta V = V_0 \frac{\phi^2}{M_{\text{pl}}^2}$$

Can we forbid corrections with a ***symmetry*** ?

# The Eta Problem

**shift symmetry**

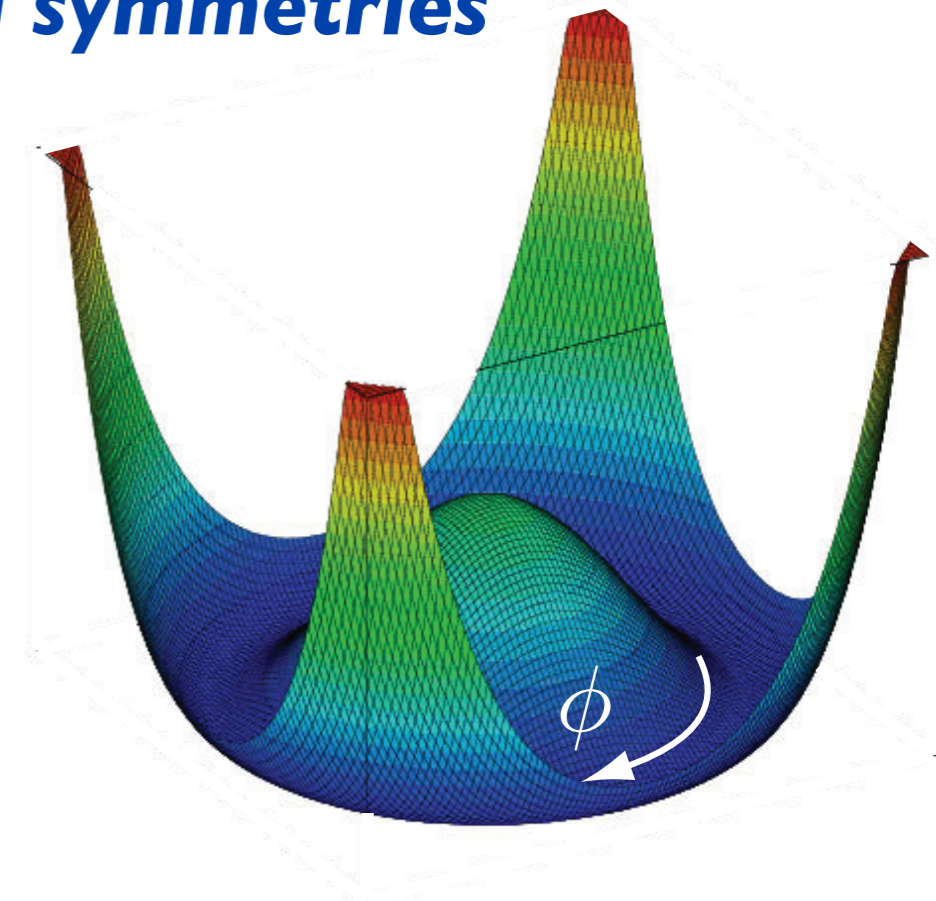
$$\phi \rightarrow \phi + \text{const.}$$

$$\cancel{V_0 \frac{\phi^2}{M_{\text{pl}}^2}}$$



**spontaneous breaking of global symmetries**

**Many models implement this solution in supergravity.**



# The Eta Problem

**BUT:**

**“Quantum gravity breaks global symmetries.”**

This is a theorem in perturbative string theory

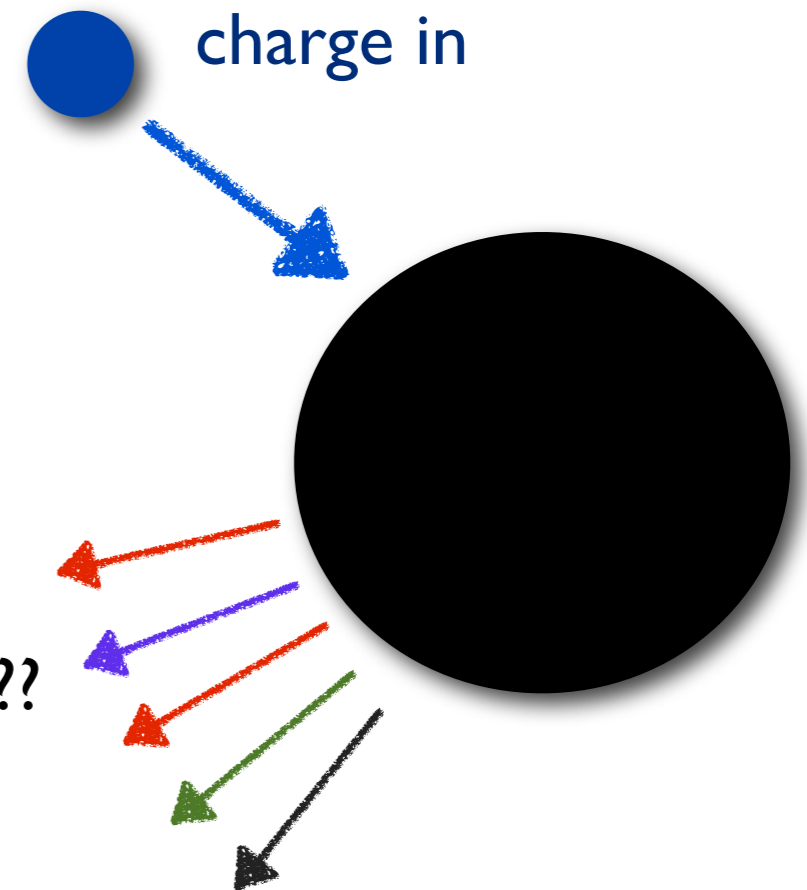
Banks et al. (1999)

More generally:

Black hole evaporation  
breaks global symmetries

e.g. Kallosh et al. (1995)

**Planck-suppressed operators  
reintroduce the eta problem !**



$$V_0 \frac{\phi^2}{M_{\text{pl}}^2}$$

# The Eta Problem

**“Quantum gravity breaks global symmetries.”**



protecting the inflaton from this is the real challenge:

1. compute the symmetry breaking effects in ***string theory***
2. find a sufficiently powerful symmetry in ***field theory***

# Inflating with Baryons

with Daniel Green

arXiv:1009.3032

# Proton Decay in the SM

## ***experimental fact:***

the proton has a very long lifetime

*“I can feel it in my bones.”*

Wigner (1943)

imagine we didn't know about quarks and  
treated the proton as fundamental :

dimension-5 Planck-  
suppressed operators:

$$\frac{p \mathcal{O}}{M_{\text{pl}}}$$



induce rapid proton decay

$$\Gamma \sim \frac{m_p^3}{M_{\text{pl}}^2} \sim 10^{-13} \text{ s}^{-1}$$

# Proton Decay in the SM

**experimental fact:** the proton has a very long lifetime

**explanation:**

the Standard Model has an

**“accidental” baryon number symmetry**

gauge symmetries and particle content of the SM forbid renormalizable interactions that violate baryon number

leading operators are dimension-6

in fact, there aren't even dimension-5 operators



$$\frac{qqq \ell}{M_{\text{pl}}^2}$$

consistent with limits on proton decay.



Can we solve the eta problem  
in a similar way ?

# A New Solution to the Eta Problem



Find a theory with an accidental global symmetry because its gauge symmetries and field content forbid symmetry-breaking operators with dimensions less than 7

**existence proof:**

**baryons in SUSY QCD**

baryon

$$\mathcal{B} = qq \cdots q$$

quarks

*no dangerous Kähler and superpotential corrections !!*

**PNGBs in SUGRA**

# Supergravity Eta Problem

Copeland et al.

$$V = e^{K/M_{\text{pl}}^2} \left[ K^{\Phi\bar{\Phi}} D_{\Phi} W \overline{D_{\Phi} W} - \frac{3}{M_{\text{pl}}^2} |W|^2 \right]$$

F-term vacuum energy drives inflation:

$$D_X W = \mu^2$$

$$V = \left( 1 + \frac{K}{M_{\text{pl}}^2} + \dots \right) \mu^4$$

$$K = \Phi^\dagger \Phi$$

$$\xrightarrow{\varphi \equiv |\Phi|}$$

$$\eta = 1 + \dots$$

tied to kinetic term

extra terms from  $W(\Phi)$

can lead to (fine-tuned) cancellations

cf. brane inflation

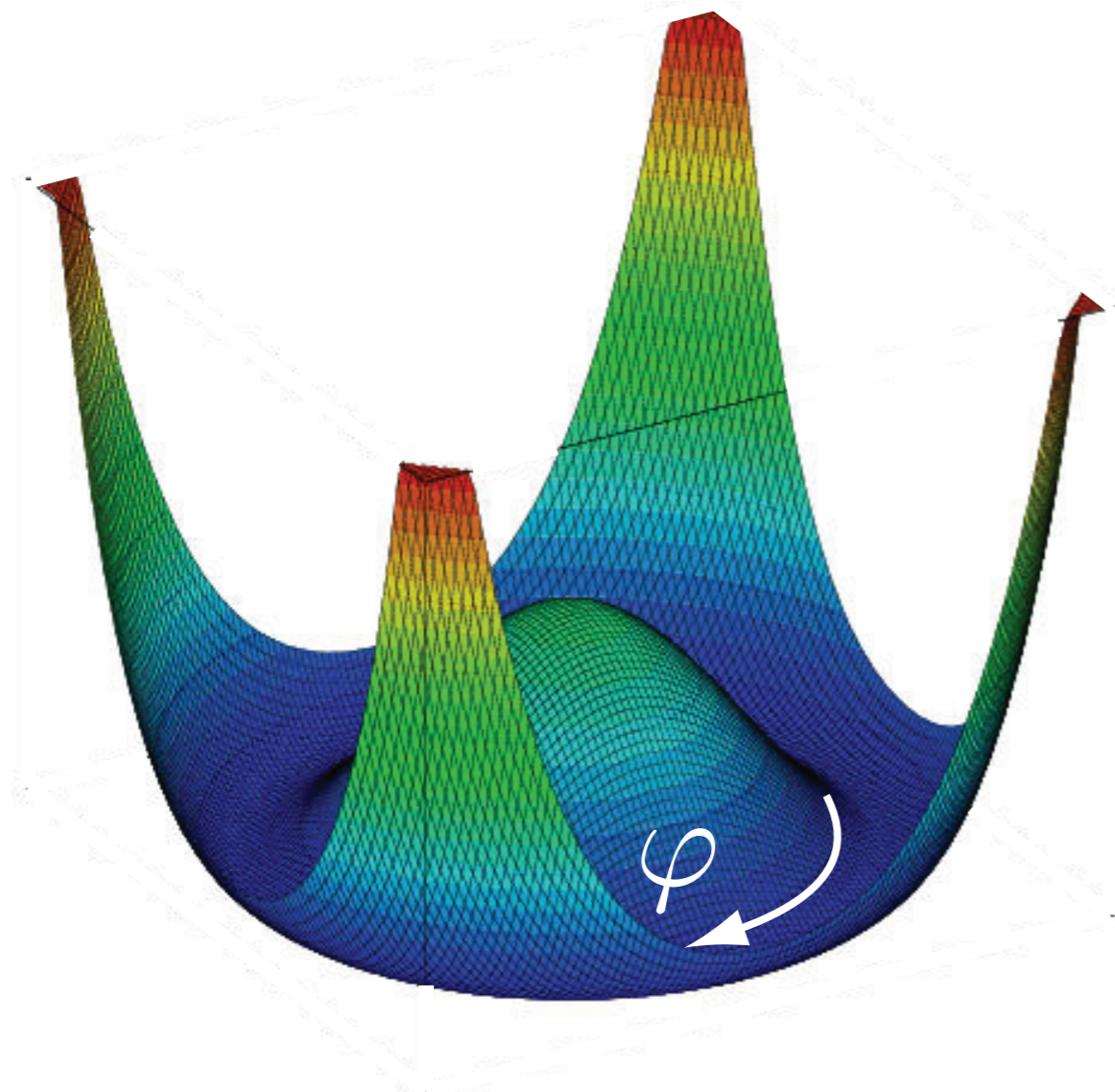
# PNGBs in SUGRA

$$\Phi = f e^{\phi} \longrightarrow K = \Phi^\dagger \Phi = f^2 e^{\phi + \phi^\dagger}$$



no mass for

$$\varphi \equiv f \operatorname{Im}(\phi)$$



“a Goldstone boson coupled to gravity is a Goldstone boson”

# A Simple Model

$$W = \underbrace{-X\mu^2}_{\text{spurion}} + \underbrace{\mathcal{S}(\Phi\tilde{\Phi} - f^2)}_{\substack{\text{singlet} \\ \text{chiral superfields}}} \quad \underbrace{f^2}_{\text{breaking scale}}$$

vacuum energy      spontaneous symmetry breaking

$$F_X = \mu^2$$



$$V_0 = \mu^4$$

$$F_S = 0$$



$$\Phi = fe^\phi \quad \tilde{\Phi} = fe^{-\phi}$$

*inflaton = PNGB*

$$\varphi = f \text{Im}(\phi)$$

# A Simple Model

vacuum energy      spontaneous symmetry breaking

$$W = -X\mu^2 + S(\Phi\tilde{\Phi} - f^2)$$

add *waterfall fields* to end inflation

$$\Delta W = m(\varphi) \psi \tilde{\psi} + y^2 X \psi^2$$

singlet
coupling

inflaton-dependent mass

e.g.  $m(\varphi) = \Phi + \tilde{\Phi}$

$$m(\varphi \approx 0) \gg y\mu \quad V \approx V_0 > 0$$

$$m(\varphi = \varphi_*) < y\mu \quad V \rightarrow 0$$

→ *hybrid inflation*

# A Simple Model

*Pseudo Natural Inflation*

Arkani-Hamed et al.

$$W = S(\Phi\tilde{\Phi} - f^2) + \lambda(\Phi + \tilde{\Phi})\psi\tilde{\psi} + X(y^2\psi^2 - \mu^2)$$

symmetry breaking

waterfall

vacuum energy



# Planck-Scale Corrections

## *Pseudo Natural Inflation*

Arkani-Hamed et al.

$$W = S(\Phi\tilde{\Phi} - f^2) + \lambda(\Phi + \tilde{\Phi})\psi\tilde{\psi} + X(y^2\psi^2 - \mu^2)$$

$\Delta K$

Dimension 5:  $c \frac{\Phi}{M_{\text{pl}}} X^\dagger X \longrightarrow \eta = c \frac{M_{\text{pl}}}{f} \gg 1$

Dimension 6:  $(c_0 \Phi^\dagger \tilde{\Phi} + c_1 \Phi^2 + c_2 \tilde{\Phi}^2) \frac{X^\dagger X}{M_{\text{pl}}^2}$

$$\eta = c_i \sim 1$$

$\Delta W$

many dangerous corrections.

**Goal:** Construct a model of inflation that is insensitive to Planck-scale corrections.



**baryons in SUSY QCD**

# Baryon Inflation

# SUSY QCD

- Gauge symmetry:  $SU(N_c)$   
colors

- Matter content:

$$N_f > 3N_c$$

(IR free)

flavors of quarks

$$(q_i)_a$$

and anti-quarks

$$(\tilde{q}_i)^a$$

gauge index

flavor index

mesons

$$\mathcal{M}_{ij} = (q_i)_a (\tilde{q}_j)^a$$

**baryons**

$$\mathcal{B}_{i..k} = \epsilon^{a..d} (q_i)_{a..} (q_k)_d$$

# Baryon Symmetry

$$U(1)_B$$

		$Q_B$	$\Delta$
quarks	$q_i$	+1	1
	$\tilde{q}_i$	-1	1
mesons	$\mathcal{M}_{ij}$	0	2
baryons	$\mathcal{B}_{i..k}$	$+N_c$	$N_c$
	$\tilde{\mathcal{B}}_{i..k}$	$-N_c$	$N_c$

$$N_c \geq 3$$



all baryon symmetry violating operators are irrelevant !

# Inflating with Baryons

*use the phase of a baryon as the inflaton*

vacuum energy      spontaneous symmetry breaking

$$W = -X\mu^2 + S^{mn} (q_m \tilde{q}_n - f^2 \delta_{mn})$$

flavor indices  
 $m, n = 1..N_c$

$$F_X = \mu^2$$



$$V_0 = \mu^4$$

$$F_S = 0$$



$$(q_m)_a = f e^{i\frac{\varphi}{f}} \delta_{m,a}$$

$$(\tilde{q}_n)^a = f e^{-i\frac{\varphi}{f}} \delta_n^a$$

quarks get vev's

# Absence of the Eta Problem

- **Kähler Corrections**

$$\Delta K = \frac{\mathcal{B}}{M_{\text{pl}}^{N_c}} X^\dagger X \quad \longrightarrow \quad \Delta\eta = \left(\frac{f}{M_{\text{pl}}}\right)^{N_c-2}$$

$$N_c \geq 3$$

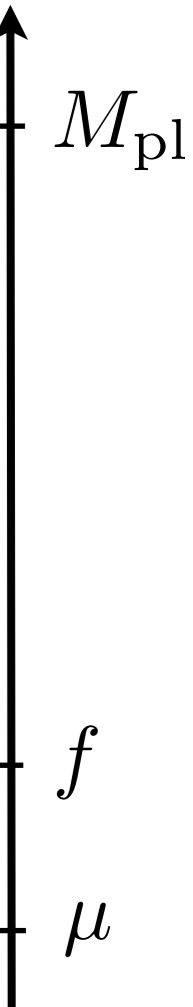
*no Kähler corrections !!*

- **Superpotential Corrections**

$$\Delta W = \frac{\mathcal{B}}{M_{\text{pl}}^{N_c-2}} X \quad \longrightarrow \quad \Delta\eta = \left(\frac{f}{M_{\text{pl}}}\right)^{N_c-4} \frac{f^2}{\mu^2}$$

$$N_c \geq 5$$

*everything suppressed !!*



# Graceful Exit ?

add waterfall fields to end inflation

$$\Delta W = m(\varphi) \psi \tilde{\psi} + y^2 X \psi^2$$

singlet

$$m(\varphi) = \lambda \frac{\mathcal{B} + \tilde{\mathcal{B}}}{M_{\text{pl}}^{N_c-1}} = \lambda f \left( \frac{f}{M_{\text{pl}}} \right)^{N_c-1} \cos(\varphi/f) \quad ?$$

- **problem:**

*mass of the waterfall can't be bigger than Hubble*

$$m \gg H \sim \frac{\mu^2}{M_{\text{pl}}} \quad \longleftrightarrow \quad \lambda \gg (\Delta\eta)^{-1} \frac{M_{\text{pl}}^2}{f^2} \gg 1$$



# Graceful Exit ?

- problem: *mass of the waterfall can't be bigger than Hubble*
- reason: *coupling between the inflaton and the waterfall is irrelevant*

$$\lambda \frac{\mathcal{B} + \tilde{\mathcal{B}}}{M_{\text{pl}}^{N_c - 1}} \psi \tilde{\psi}$$

- solution: *mediate the baryon symmetry breaking effects by relevant couplings of quarks to larger representations of  $SU(N_c)$*

I will illustrate this solution to the graceful exit problem with a concrete example:

**SU(5) SUSY QCD**

# An Explicit SU(5) Model

matter content

quarks  $q$

baryons  $\mathcal{B}$

waterfall  $\psi$  ← singlet

messenger  $h$  ← larger representation

$$10 \quad h_{ab}$$

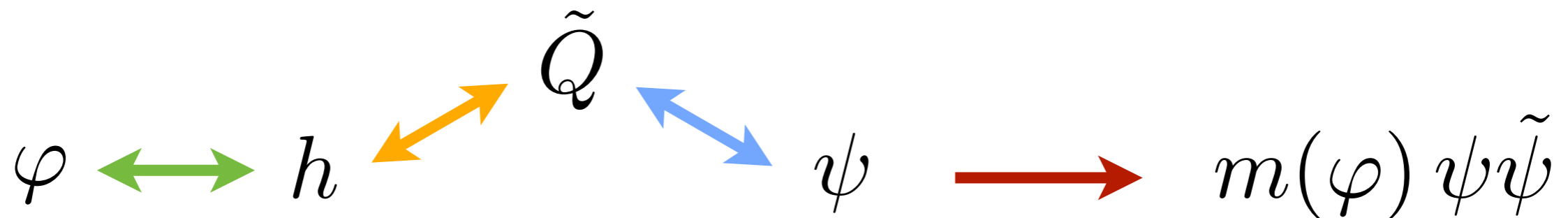
allows couplings to quarks  
that break the baryon symmetry

$$qhh \equiv \epsilon^{abcde} q_a h_{bc} h_{de} \quad 5-10-10$$

we will use these couplings to construct marginal  
operators that couple the inflaton to the waterfall fields

# An Explicit SU(5) Model

$$\begin{aligned}
 W \supset & m\psi\tilde{\psi} + \lambda q_1 h h && \text{waterfall mass} \\
 & + (\psi q_3 + \tilde{q}_2 \cdot h) \cdot \tilde{Q}_6 + (\tilde{\psi} q_5 + \tilde{q}_4 \cdot h) \cdot \tilde{Q}_7 && \text{mediation} \\
 & \downarrow && \downarrow \\
 & h_{23} = \psi e^{2i\frac{\varphi}{f}} && h_{45} = \tilde{\psi} e^{2i\frac{\varphi}{f}}
 \end{aligned}$$



# An Explicit SU(5) Model

$$W \supset m\psi\tilde{\psi} + \lambda q_1 h h \quad \text{waterfall mass}$$

$$+ (\psi q_3 + \tilde{q}_2 \cdot h) \cdot \tilde{Q}_6 + (\tilde{\psi} q_5 + \tilde{q}_4 \cdot h) \cdot \tilde{Q}_7 \quad \text{mediation}$$
$$h_{23} = \psi e^{2i\frac{\varphi}{f}} \quad h_{45} = \tilde{\psi} e^{2i\frac{\varphi}{f}}$$

$$W_{\text{eff}} = m \left( 1 + d e^{5i\frac{\varphi}{f}} \right) \psi \tilde{\psi} + X \left( y^2 \psi^2 - \mu^2 \right)$$

This is just our U(1) model,  
but with the U(1) now *explained* !

where  $d \equiv \frac{\lambda f}{m}$

(add Kähler and superpotential corrections as you wish)

# Revisiting the Eta Problem

We added extra representations.

Do these introduce new dangerous contributions to eta?

NO

DB and Daniel Green  
arXiv:1009.3032

waterfall and mediator fields have **zero vev's** during inflation

→ correct the inflaton potential only at **one-loop**

→ this is sufficient to keep eta small.

# Summary

Explained origin of approximate  $U(1)$  symmetry !!

Baryon symmetry was only broken by irrelevant operators.

Constructed an explicit inflationary model

$SU(5)$  gauge group

Waterfall fields in  $10 + 1$  representations

... without an eta problem !

All contributions to eta suppressed  
(both Kähler and superpotential)

# Conclusions

*Generic models of inflation  
are Planck-sensitive*

*Baryon number can be Planck-**insensitive***

*Provides a field theory explanation  
for the small inflaton mass*





Thank you for your  
attention!

and thanks to my collaborator:

**Daniel Green**