

# CFT/AdS

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# Outline

1. Introduction - Global AdS: a tool for describing perturbative modification of the dilatation operator.
2. “CFT perturbative unitarity” implies that non-renormalizable AdS interactions are small.
3. Large dimension operators & unitarization of an “effective conformal theory.”
4. Large dimension double-trace operators and the flat space limit of AdS.
5. Conclusions.

# What CFTs give good AdS?

Important for:

1. Understanding warped Extra-Dim models in UV:
  - a) Is SUSY important for good AdS?
  - b) What from the p.o.v. of the CFT suppresses bulk non-renormalizable terms?
2. Duals of condensed matter systems.

# Introduction

Global-AdS is just a convenient math tool to describe a CFT with (I. Heemskerk, J. Penedones, J. Polchinski, J. Sully) :

I. A parameter like  $N$  of  $SU(N)$ :

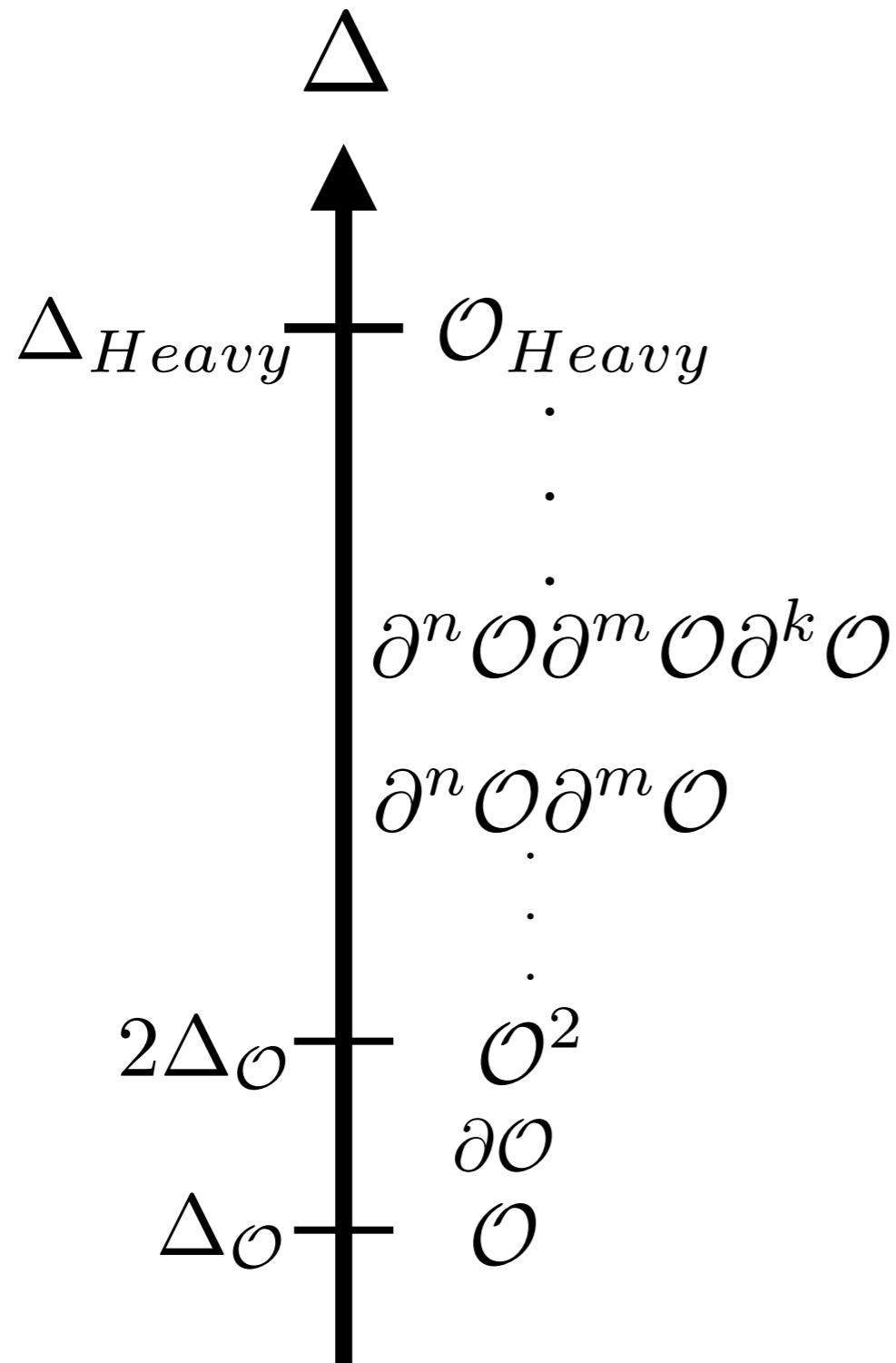
$$\langle O(x)O(0) \rangle = \frac{1}{x^{2\Delta}}, \quad \langle O(x)O(y)O(z)O(w) \rangle_{conn} = \frac{1}{N^2} F(x, y, z, w)$$

**Dilatation Op:** ( $Ex : \mathcal{O} = tr(F^2)$ )

$$\mathcal{O}_{n,l}(x) = \mathcal{O}(\partial^2)^n \partial_{\{\mu_1 \dots \mu_l\}} \mathcal{O}(x)$$

$$\Delta_{n,l} = 2\Delta_{\mathcal{O}} + 2n + l + \mathcal{O}\left(\frac{1}{N^2}\right)$$

## 2. A hierarchy in the dimension of operators:



Effective Conformal  
Theory

for

$$\Delta \ll \Delta_{Heavy}$$

# A comparison

A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

A perturbative, Conformal-Inv.  
theory of operators:  $D = D_0 + V$

1. For  $E < M_{Heavy}$ ,  $H$  describes  
a few particles (say one type).

2. E-states of  $H_0$ :

$$H_0 |\vec{p}\rangle = \sqrt{p^2 + m^2} |\vec{p}\rangle$$

$$H_0 |\vec{p}_1, \vec{p}_2\rangle = \left( \sqrt{p_1^2 + m^2} + \sqrt{p_2^2 + m^2} \right) |\vec{p}_1, \vec{p}_2\rangle$$

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A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

A perturbative, Conformal-Inv.  
theory of operators:  $D = D_0 + V$

3.  $V$  will mix states with different  
particle number:

$$a_{\vec{p}}^\dagger |0\rangle \equiv |\vec{p}\rangle$$



$$V \left( \{a_{\vec{p}}^\dagger, a_{\vec{p}}\} \right)$$

A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

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#### 4. Making Lorentz Inv manifest:

$$\{a_{\vec{p}}^\dagger, a_{\vec{p}}\} \longrightarrow \phi(\vec{x}, t)$$

a)  $U(\Lambda)\phi(x)U(\Lambda)^\dagger = \phi(\Lambda \cdot x)$

b)  $[\phi(x), \phi(y)] = 0, (x - y)^2 < 0$

$$V = \int d^{d-1}x \mathcal{V}(\vec{x}, t)$$

$$\mathcal{V}(x) = \lambda\phi^4(x) + \frac{1}{\Lambda^4}(\partial\phi)^4 + \dots$$

$\mathcal{V}(x)$  satisfies a) & b):

$$[\vec{K}, H] = i\vec{P}$$

$$H_0 \rightarrow H_0 + V \longrightarrow \vec{K}^{(0)} \rightarrow \vec{K}^{(0)} + \vec{K}^{(1)}$$

a):  $\vec{K}^{(1)} = \int d^{d-1}x \vec{x} \mathcal{V}(\vec{x}, t)$

b):  $[\vec{K}^{(1)}, V] = 0$



# A comparison

A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

A perturbative, Conformal-Inv.  
theory of operators:  $D = D_0 + V$

1. For  $E < M_{Heavy}$ ,  $H$  describes  
a few particles (say one type).

1. For  $\Delta < \Delta_{Heavy}$ ,  $D$  describes  
a few single-trace Ops (say one type).

2. E-states of  $H_0$ :

$$H_0 |\vec{p}\rangle = \sqrt{p^2 + m^2} |\vec{p}\rangle$$

2. E-states of  $D_0$  (in radial quantization):

$$(\partial^2)^n \partial_{\{\mu_1} \cdots \partial_{\mu_l\}} \mathcal{O} \longleftrightarrow |\Delta_{\mathcal{O}}; n, l, J\rangle_1$$

$$D_0 |\Delta_{\mathcal{O}}; n, l, J\rangle_1 = (\Delta_{\mathcal{O}} + 2n + l) |\Delta_{\mathcal{O}}; n, l, J\rangle_1$$

$$D_0 |\Delta_{\mathcal{O}}; n, l, J\rangle_1 |\Delta_{\mathcal{O}}; n', l', J'\rangle_1 =$$

$$((\Delta_{\mathcal{O}} + 2n + l) + ((\Delta_{\mathcal{O}} + 2n' + l'))) |\Delta_{\mathcal{O}}; n, l, J\rangle_1 |\Delta_{\mathcal{O}}; n', l', J'\rangle_1$$

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A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

3.  $V$  will mix states with different  
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$$a_{\vec{p}}^\dagger |0\rangle \equiv |\vec{p}\rangle$$



$$V \left( \{a_{\vec{p}}^\dagger, a_{\vec{p}}\} \right)$$

A perturbative, Conformal-Inv.  
theory of operators:  $D = D_0 + V$

3. At  $O(1/N)$   $V$  will mix operators  
with different number of traces:

$$a_{n,l,J}^\dagger |0\rangle = |\Delta_{\mathcal{O}}; n, l, J\rangle_1$$



$$V \left( \{a_{n,l,J}^\dagger, a_{n,l,J}\} \right)$$

A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

4. Making Lorentz Inv manifest:

$$\{a_{\vec{p}}^\dagger, a_{\vec{p}}\} \longrightarrow \phi(\vec{x}, t)$$

a)  $U(\Lambda)\phi(x)U(\Lambda)^\dagger = \phi(\Lambda \cdot x)$

b)  $[\phi(x), \phi(y)] = 0, (x - y)^2 < 0$

$$V = \int d^{d-1}x \mathcal{V}(\vec{x}, t)$$

$$\mathcal{V}(x) = \lambda\phi^4(x) + \frac{1}{\Lambda^4}(\partial\phi)^4 + \dots$$

$\mathcal{V}(x)$  satisfies a) & b):

$$[\vec{K}, H] = i\vec{P}$$

$$H_0 \rightarrow H_0 + V \longrightarrow \vec{K}^{(0)} \rightarrow \vec{K}^{(0)} + \vec{K}^{(1)}$$

a):  $\vec{K}^{(1)} = \int d^{d-1}x \vec{x} \mathcal{V}(\vec{x}, t)$

b):  $[\vec{K}^{(1)}, V] = 0$

A perturbative, Conformal-Inv.  
theory of operators:  $D = D_0 + V$

4. Making Conformal Inv manifest:

$$\{a_{n,l,J}^\dagger, a_{n,l,J}\} \longrightarrow \phi(\vec{\theta}, \rho, t)$$

(t is global AdS time  $\sim$  dilatation)

a)  $U(\vec{\alpha}_{conf})\phi(x)U(\vec{\alpha}_{conf})^\dagger = \phi(\vec{\theta}', \rho', t')$

b)  $[\phi(\vec{x}, t), \phi(\vec{y}, t)] = 0, \vec{x} \neq \vec{y}$

$$V = \int \sqrt{g}d^d x \mathcal{V}(\vec{x}, t)$$

$$\mathcal{V}(x) = \lambda\phi^4(x) + \frac{1}{\Lambda^4}(\partial\phi)^4 + \dots$$

$\mathcal{V}(x)$  satisfies a) & b):

$$[D, K_\mu] = -K_\mu$$

$$D_0 \rightarrow D_0 + V \longrightarrow K_\mu^{(0)} \rightarrow K_\mu^{(0)} + K_\mu^{(1)}$$

a):  $K_\mu^{(1)} = \int \sqrt{g}d^d x f(\vec{x}, t)_\mu \mathcal{V}(\vec{x}, t)$

b):  $[V, K_\mu^{(1)}] = 0$

A perturbative, Lorentz-Inv.  
theory of particles:  $H = H_0 + V$

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theory of operators:  $D = D_0 + V$

5. When is  $V$  small?

When perturbative unitarity isn't violated.

For  $E < M_{Heavy}$  cross-sections  
are well behaved:

$$\mathcal{V}(x) = \lambda \phi^4(x) + \frac{1}{\Lambda^4} (\partial\phi)^4 + \dots$$



$$\lambda \lesssim (4\pi)^2, \quad \Lambda \gtrsim M_{Heavy}$$

A hierarchy in the spectrum ensures  
that non-renorm terms are small.

5. When is  $V$  small?

AdS: Good behavior for local bulk scattering

at  $E_{d+1} < M_{Heavy}$ :

$$\mathcal{V}(x) = \lambda \phi^4(x) + \frac{1}{\Lambda^4} (\partial\phi)^4 + \dots$$



$$\lambda \lesssim (4\pi)^2, \quad \Lambda \gtrsim M_{Heavy}$$

CFT: ??? ( $M_{Heavy} \leftrightarrow \Delta_{Heavy}$ )

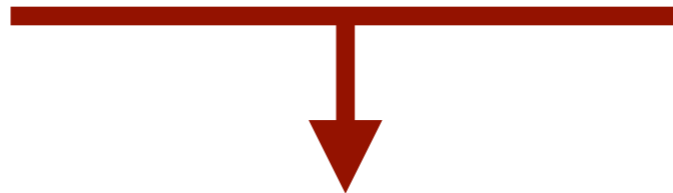
A hierarchy in the dimensions ensures  
that non-renorm bulk terms are small ???

# CFT: ???

$$\langle J_{\mu}^a(p) J_{\nu}^b(q) J_{\rho}^c(k) \rangle$$

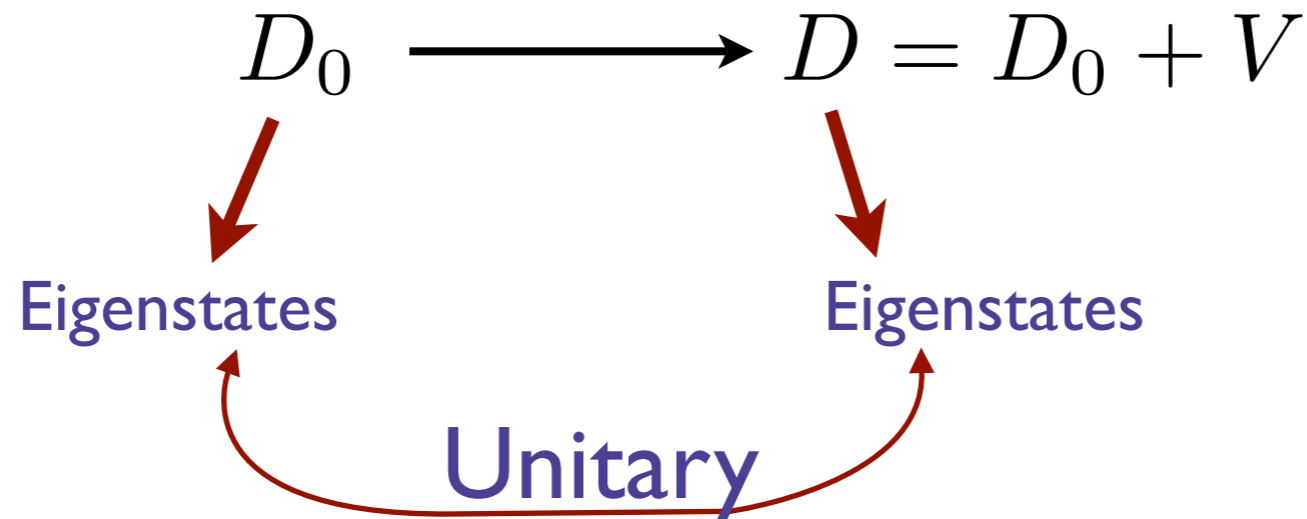
$$\sim f^{abc} (\eta_{\mu\nu} (p - q)_{\rho} + \dots) F_1(p, q) \longleftarrow \text{tr}(F^2)$$

$$+ f^{abc} (p_{\rho} q_{\mu} k_{\nu} + \dots) F_2(p, q) \longleftarrow \text{tr}(F^3)$$



Suppressed for  $\Delta_{Heavy} \gg 1$

# CFT perturbative unitarity



$$|A\rangle = (\delta_{AB} + T_{AB})|B\rangle^{(0)}$$

$$|\mathcal{R}e(T_{AA})| = \frac{1}{2} \sum_B \frac{|V_{AB}|^2}{(E_A - E_B)^2} < 2$$

# Anomalous dimensions of double-trace operators

**Primary:**  $\mathcal{O}_{n,l}(x) \equiv \mathcal{O}(\overleftrightarrow{\partial}_\nu \overleftrightarrow{\partial}^\nu)^n \overleftrightarrow{\partial}_{\mu_1} \dots \overleftrightarrow{\partial}_{\mu_l} \mathcal{O}(x)$  – traces

$$\Delta_{n,l} = 2\Delta + 2n + l + \gamma(n,l) \quad \leftarrow \text{Pert-Thy in } V$$

**Degenerate P.T?**

$$[D^{(0)}, K^{(1)}] + K^{(1)} = [K^{(0)}, V] \quad \longrightarrow \quad K_{AB}^{(1)} \sim \frac{V_{AB}}{E_A - E_B}$$

**Smoothness of  $K_{AB}^{(1)}$  requires that  $V_{AB} = 0$  for  $E_A = E_B$**

$$\mathcal{O}_{n,l}(0)|0\rangle = |n,l\rangle_2$$

$$\gamma(n,l) = 2\langle n,l|V|n,l\rangle_2 + \sum_{\alpha} \frac{|\langle \alpha|V|n,l\rangle_2|^2}{E_{n,l} - E_{\alpha}} + \dots$$

$$\frac{|V_{n,l;n+1,l}|^2}{4} < \sum_B \frac{|V_{n,l;B}|^2}{(E_{n,l} - E_B)^2} < 4$$

$(|B\rangle = |n+1, l\rangle_2)$ 
 $(V_{n,l;B} \equiv {}_2\langle n, l|V|B\rangle)$

$$|V_{n,l;n+1,l}| < 4$$

$$V_{n,l;n+1,l} = V_{n,l;n,l} + O\left(\frac{1}{n}\right), \quad n \gg 1$$

**Perturbative Unitarity Bound:**

$$|\gamma(n, l)| < 4, \quad n \gg 1$$



# Anomalous dimensions from global AdS

$$ds^2 = \frac{1}{\cos^2 \rho} \left( -dt^2 + d\rho^2 + \sin^2 \rho d\Omega^2 \right)$$

$D = -i\partial_t$  : global energy is dimension.

$\gamma(n, l) =$  the energy shift of a 2-particle primary state,  $|n, l\rangle_2$ , due to the interaction  $V$ .

Example: 
$$V = \frac{\mu^{3-d}}{4!} \int d^d x \sqrt{-g} \phi^4(x)$$

$$\phi(x) = \sum_{n,l,J} \psi_{nlJ}(x) a_{nlJ} + \psi_{nlJ}^*(x) a_{nlJ}^\dagger$$

$$\gamma(n, 0) = \frac{\mu^{3-d}}{4} \int d\Omega \int_0^{\pi/2} d\rho \frac{\sin^{d-1} \rho}{\cos^{d+1} \rho} {}_2 \langle n, 0 | \phi^2(x) | 0 \rangle \langle 0 | \phi^2(x) | n, 0 \rangle_2$$

primary wave-fcn ?

Primary scalar of dimension  $2\Delta + 2n$ :

$$\langle 0 | \phi^2(x) | n, 0 \rangle_2 = \frac{1}{N_n} (e^{it} \cos \rho)^{2\Delta + 2n}$$

$$\gamma(n, 0) = \frac{\mu^{3-d} \pi^{d/2} \Gamma(2\Delta + 2n - \frac{d}{2})}{4(N_n)^2 \Gamma(2\Delta + 2n)}$$

$$\gamma(n, 0) \xrightarrow{n \gg 1} \left( \frac{\mu}{n} \right)^{3-d}$$

**Dimensional analysis with  $n \sim E$  !**

$\gamma(n, 0)$  for  $\frac{1}{\Lambda^{d+1}} (\nabla \phi)^4$  :  $\nabla^2 \langle 0 | \phi^2(x) | n, 0 \rangle_2$ ,  $\nabla_\mu \nabla_\nu \langle 0 | \phi(x)^2 | n, 0 \rangle_2$

$\longrightarrow \gamma(n, 0) \xrightarrow{n \gg 1} \left( \frac{n}{\Lambda} \right)^{d+1}$

In general - for an interaction of the form:  $\frac{1}{\Lambda^p} (\nabla^2)^{\frac{p-d+3}{2}} \phi^4$

$$\gamma(n, 0) \xrightarrow{n \gg 1} \left(\frac{n}{\Lambda}\right)^p$$

Perturbative Unitarity:

$$|\gamma(n, l)| < 4, \quad n \gg 1$$



1. If no single-trace operators appear for  $\Delta < \Delta_{Heavy}$  besides  $\mathcal{O}$ .
2. Perturbative unitarity obeyed for  $\Delta < \Delta_{Heavy}$ .

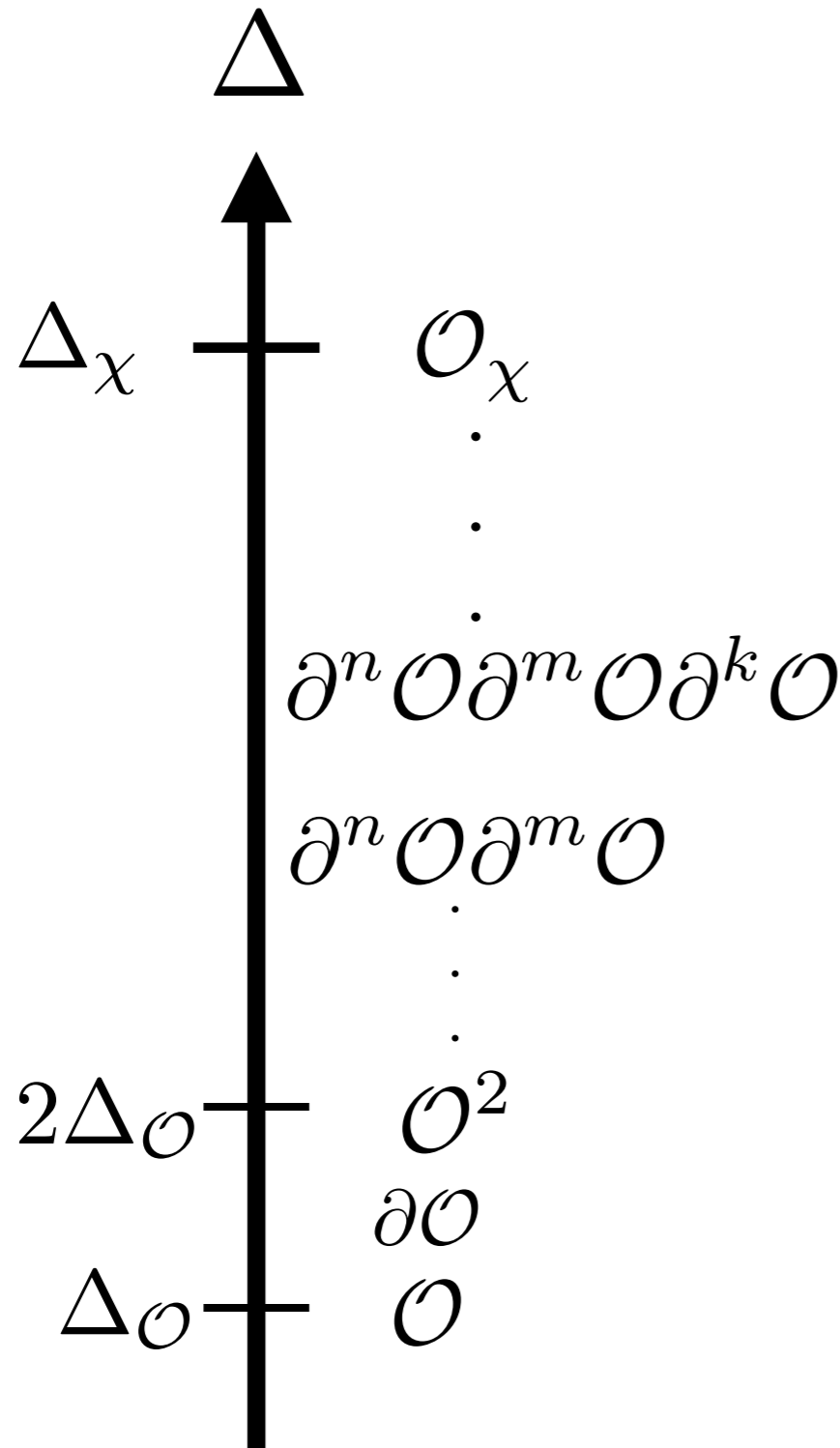


$$\Lambda \gtrsim \Delta_{Heavy}$$

All non-renormalizable terms suppressed by hierarchy!

P.T.: AdS/CFT no different than Mink/LIT!

# Perturbative unitarization of Eff. Conf. Thy



$$\Delta_\chi \gg \Delta$$

$$V = \frac{\mu^{\frac{5-d}{2}}}{2} \int d^d x \sqrt{-g} \phi^2(x) \chi(x), \quad m_\chi \gg m_\phi$$

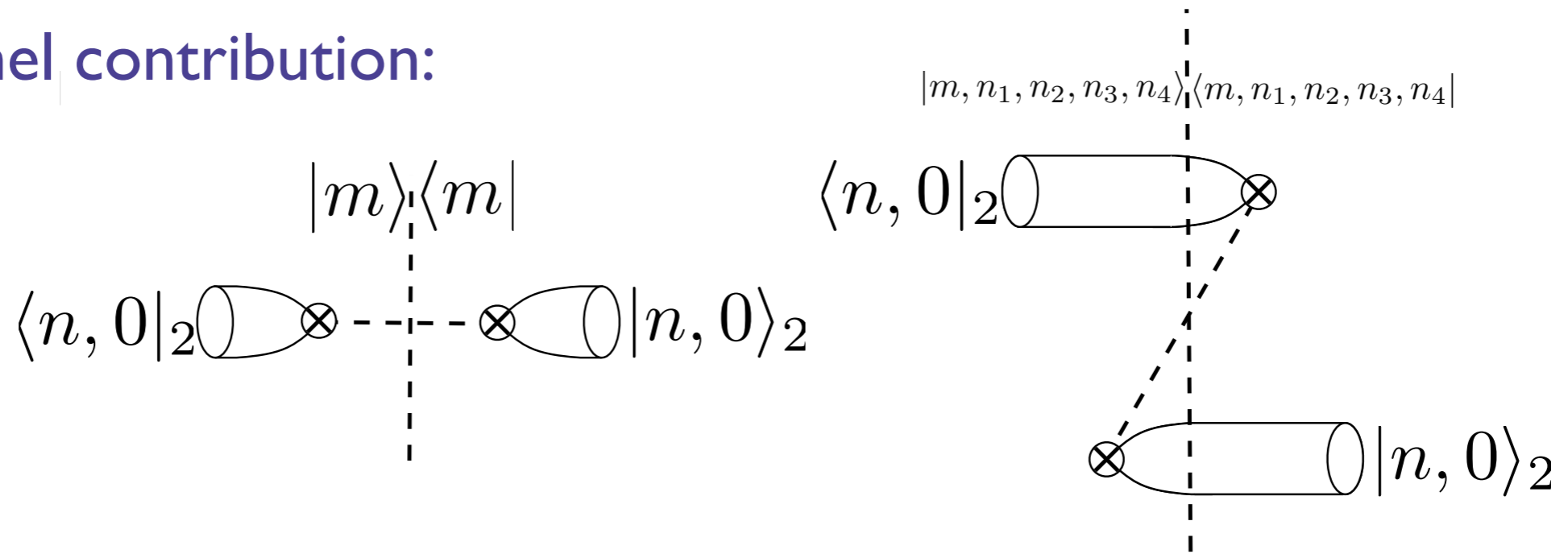
For low dimension double-trace ops:

$$V_{eff} \sim \frac{\mu^{5-d}}{m_\chi^2} \int d^d x \sqrt{-g} \phi(x)^4 + \dots$$

Does the  $\mathcal{O}_\chi$  unitarize the growth in the anomalous dimensions?

$$\gamma(n, 0) = \sum_{\alpha} \frac{|\langle \alpha | V | n, 0 \rangle_2|^2}{E_n - E_{\alpha}}$$

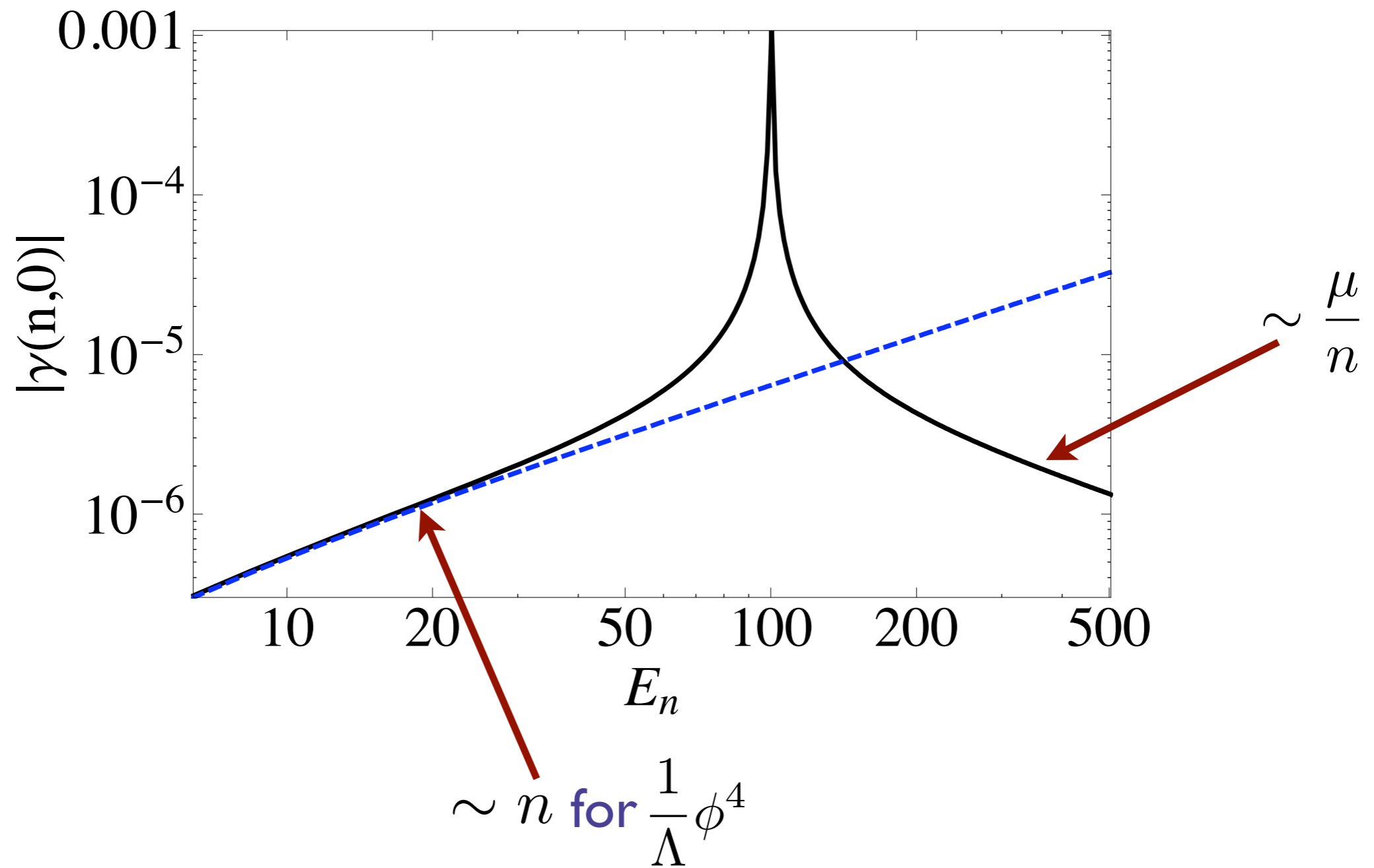
S-channel contribution:



$$\gamma(n, 0) = \sum_{m=0}^{\infty} |\langle \chi; m, 0 | V | n, 0 \rangle_2|^2 \left( \frac{2E_m^{\chi}}{E_n^2 - E_m^{\chi 2}} \right)$$

$$E_n = 2\Delta + 2n, \quad E_m^{\chi} = \Delta_{\chi} + 2m$$

AdS5:  $\mathcal{V} = \sqrt{\mu}\phi^2\chi$ ,  $\Delta = 2.2$ ,  $\Delta_\chi = 100.1$



# Flat-space limit of AdS

For  $n \gg 1$  we seem to reproduce features similar to flat-space-why?

$$ds^2 = \frac{1}{\cos^2 \rho} (-dt^2 + d\rho^2 + \sin^2 \rho d\Omega^2)$$

Primary scalar wavefcn:

$$\langle 0 | \phi^2(x) | n, 0 \rangle_2 = \frac{1}{N_n} (e^{it} \cos \rho)^{2\Delta + 2n}$$

As  $n \rightarrow \infty$ , the wavefcn is increasingly concentrated at  $\rho \approx 0$  !

$$ds^2 \approx -dt^2 + d\rho^2 + \rho^2 d\Omega^2$$

$$|n, 0\rangle_2 \xrightarrow{n \rightarrow \infty} |\vec{P} = 0, E_n, l = 0\rangle_{\text{partial wave}}$$

# Emergence of momentum conservation

Special conformal generators:

$$K_\mu = -R \frac{\partial}{\partial x^\mu} + i x_\mu \frac{\partial}{\partial t} + i t \frac{\partial}{\partial x^\mu} + O(t/R, x/R)$$

Primary:  $K_\mu |\psi\rangle_2 = 0$

→  $\langle 0 | \phi(x_1) \phi(x_2) | \psi \rangle_2 \sim e^{i p \cdot (x_1 - x_2) - \frac{E(x_1 + x_2)^2}{4R}}, \quad x_i \ll R$

$$|n, lJ\rangle_2 = \frac{|2p|^{\frac{d-2}{2}}}{(2\pi)^d \sqrt{2RE}} \int d\hat{p} Y_{lJ}(\hat{p}) \int d^d q \frac{e^{-\frac{Rq^2}{E}}}{N(E)} |\vec{p} + \vec{q}\rangle | -\vec{p} + \vec{q}\rangle \quad (n \gg 1, l),$$

↓  
 $\delta^d(\vec{q})$  with fuzziness  $\delta q \sim \sqrt{E/R}$

For matrix elements what matters is  $\frac{\delta q}{E} \rightarrow 0$



$$\phi^2 \chi : \langle \chi; \vec{p} | V | \vec{P} = 0, E, l = 0 \rangle_2 \sim \delta^d(\vec{p}) \quad (\text{flat-space})$$

$$\langle \chi; m, 0 | V | n, 0 \rangle_2 \rightarrow \sim \exp \left( \frac{-m(m + \Delta_\chi) - \Delta - \frac{\Delta_\chi}{2}}{n} \right) \quad (\text{AdS})$$

(fuzziness  $\delta q \sim \sqrt{E/R}$ )

$$\gamma(n, l) = {}_2 \langle n, l | V | n, l \rangle_2 + \sum_{\alpha} \frac{|\langle \alpha | V | n, l \rangle_2|^2}{E_{n,l} - E_{\alpha}} + \dots$$

$$|n, l, J\rangle_2 \rightarrow |\vec{P} = 0, E_n, l, J\rangle_{PW}, \quad n \rightarrow \infty$$



$$\gamma(n, l) \rightarrow {}_{PW} \langle E_n, l, J | T | E_n, l, J \rangle_{PW}$$

$$\mathcal{M}(s, t, u)_{flat\ space}^{d+1} \approx \frac{(4\pi)^d}{vol(S^{d-1})} \frac{E_n}{(E_n^2 - 4\Delta^2)^{\frac{d-2}{2}}} \sum_l [\gamma(n, l)]_{n \gg l} P_l^{(d)}(\cos(\theta))$$

$$E_n = 2(n + \Delta), \quad p_n^2 = (E_n/2)^2 - \Delta^2 = n(n + 2\Delta)$$

$$s = (E_n)^2, \quad t = -2p_n^2(1 - \cos(\theta)), \quad u = -2p_n^2(1 + \cos(\theta))$$

(Large  $n$ ,  $\Delta$  limit)

# Examples

$\phi^4$  :

$$\gamma(n, 0) = \mu^{3-d} \frac{\text{vol}(S^{d-1})}{8(2\pi)^d} \left( \frac{[n(n+2\Delta)]^{\frac{d-2}{2}}}{\Delta+n} \right) \sim \frac{p^{d-2}}{E}$$

$(\nabla\phi)^4$ ,  $d=2$  :

$$6\pi\mu^3\gamma(n, 0) \xrightarrow{n, \Delta \gg 1} \frac{7n^4 + 28n^3\Delta + 36n^2\Delta^2 + 16n\Delta^3 + 3\Delta^4}{8(\Delta+n)} \rightarrow \frac{3E^4 + 2E^2p^2 + 2p^4}{8E},$$

$$6\pi\mu^3\gamma(n, 2) \xrightarrow{n, \Delta \gg 1} \frac{n^2(n+2\Delta)^2}{16(\Delta+n)} \rightarrow \frac{p^4}{16E}.$$

$$\mathcal{M}_{flat\ space} = \frac{\mu^3}{3} (3E^4 + 2E^2p^2 + 2p^4) P_0^{(2)}(\cos(\theta)) + \frac{\mu^3}{3} p^4 P_2^{(2)}(\cos(\theta)).$$

$$\sim s^2 + t^2 + u^2$$

$(\nabla^2)^L \phi^4$  :

$$\mathbf{d=2:} \quad \gamma(n, L) = \frac{\Gamma(n+L+1)\Gamma(2\Delta+n+L-1)\Gamma(\Delta+n-\frac{1}{2})\Gamma(\Delta+n+L)}{\pi 4\Gamma(1+n)\Gamma(\Delta+n)\Gamma(\Delta+n+L+\frac{1}{2})\Gamma(2\Delta+n-1)}$$

$$\xrightarrow{n, \Delta \gg 1} \frac{\pi [n(n+2\Delta)]^L}{4 \Delta + n} \rightarrow \frac{\pi p^{2L}}{4 E}$$

$$\mathbf{d=4:} \quad \gamma(n, L) \xrightarrow[n \gg 1]{n, \Delta \gg 1} \frac{[n(n+2\Delta)]^{L+1}}{\Delta + n} \rightarrow \frac{p^{2(L+1)}}{E}$$

s-channel exchange:

$$\gamma(n, 0) = \sum_{m=0}^{\infty} |\langle \chi; m, 0 | V | n, 0 \rangle_2|^2 \left( \frac{2E_m^\chi}{E_n^2 - E_m^{\chi 2}} \right)$$

$$\approx \frac{\mu^3}{(8\pi) E_n (E_n^2 - \Delta_\chi^2)}$$

# Momentum conservation from CFT

$$|\vec{P} = 0, E, l = 0\rangle_{PW} \sim \int d\Omega d^d q \delta(\vec{q}) |\vec{p} + \vec{q}\rangle |\vec{p} - \vec{q}\rangle$$

Energy is shared equally

How does this happen in the CFT?

2D Ex, holomorphic only:  $\mathcal{O}_h(z)$ ,  $\mathcal{O}_{2h+n} \sim \mathcal{O}_h \partial^n \mathcal{O}_h$

From 3pt fcn  $\langle \mathcal{O}_{2h+n} \mathcal{O}_h \mathcal{O}_h \rangle : |2h+n\rangle = \sum_m c_m |h; m\rangle |h; n-m\rangle$

$$c_m \sim \binom{n}{m} \longrightarrow e^{-\frac{(m - \frac{n}{2})^2}{n}}, \quad n \gg 1$$

“Entropy of derivatives”:  $\mathcal{O}_{2h+n} \sim \partial^{\frac{n}{2}} \mathcal{O}_h \partial^{\frac{n}{2}} \mathcal{O}_h$

# Conclusion

1. AdS is just a clever tool to deal with a large  $N$  CFT with a hierarchy in operator dimensions.
2. The CFT has a notion of perturbative unitarity which controls the size of bulk non-renorm terms.
3. Flat space S-matrix (to leading order ) can be obtained directly from anomalous dimensions of double trace operators.