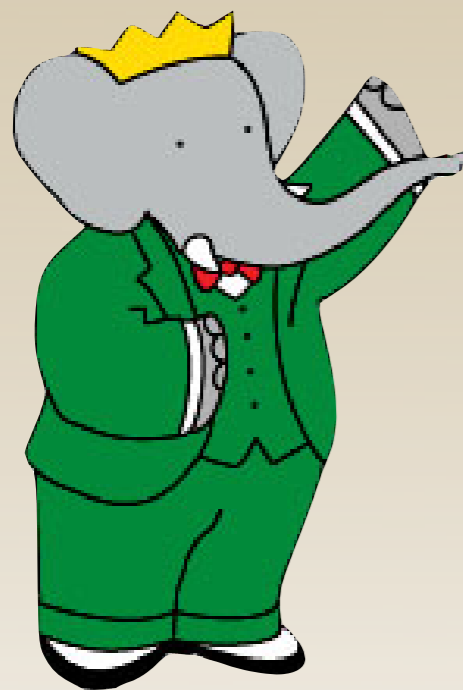


# Evidence for an excess of

$$\bar{B} \rightarrow D^{(*)} \tau^{-} \bar{\nu}_{\tau} \text{ decays}$$

PRL 109, 101802 (2012)

hep-ex: 1303.0571



**Manuel Franco Sevilla**  
*UC Santa Barbara*

on behalf of the BaBar collaboration

10<sup>th</sup> of May 2013

*Cornell LEPP Journal Club*  
*Ithaca, NY*



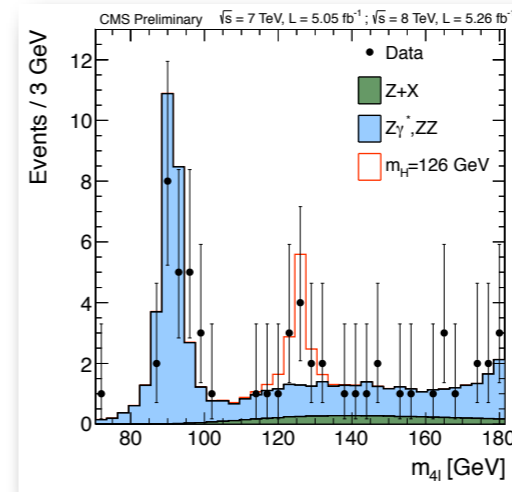
# Questions, questions



• **Standard Model remarkably successful theory**

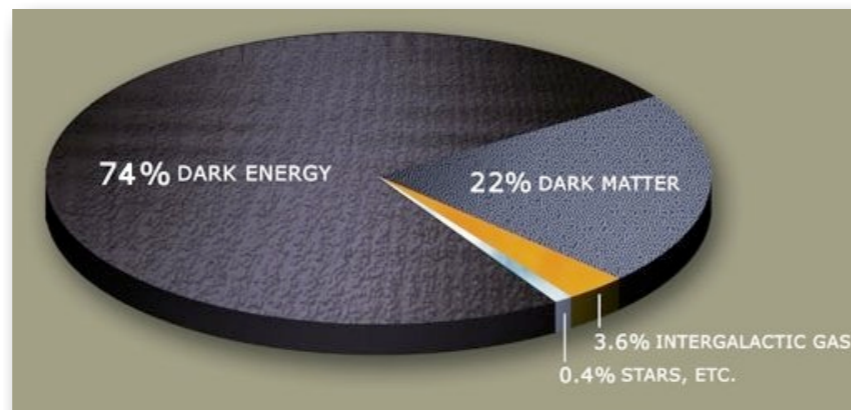
Anomalous magnetic dipole moment

$$\frac{g_e - 2}{2} = 0.00115965218073(28)$$



• **Gravitation does not fit in the SM framework**

• **Dark matter, Dark energy**



• **Hierarchy problem, strong CP problem...**

## Fundamental Interactions

Strong

Electro-Magnetic

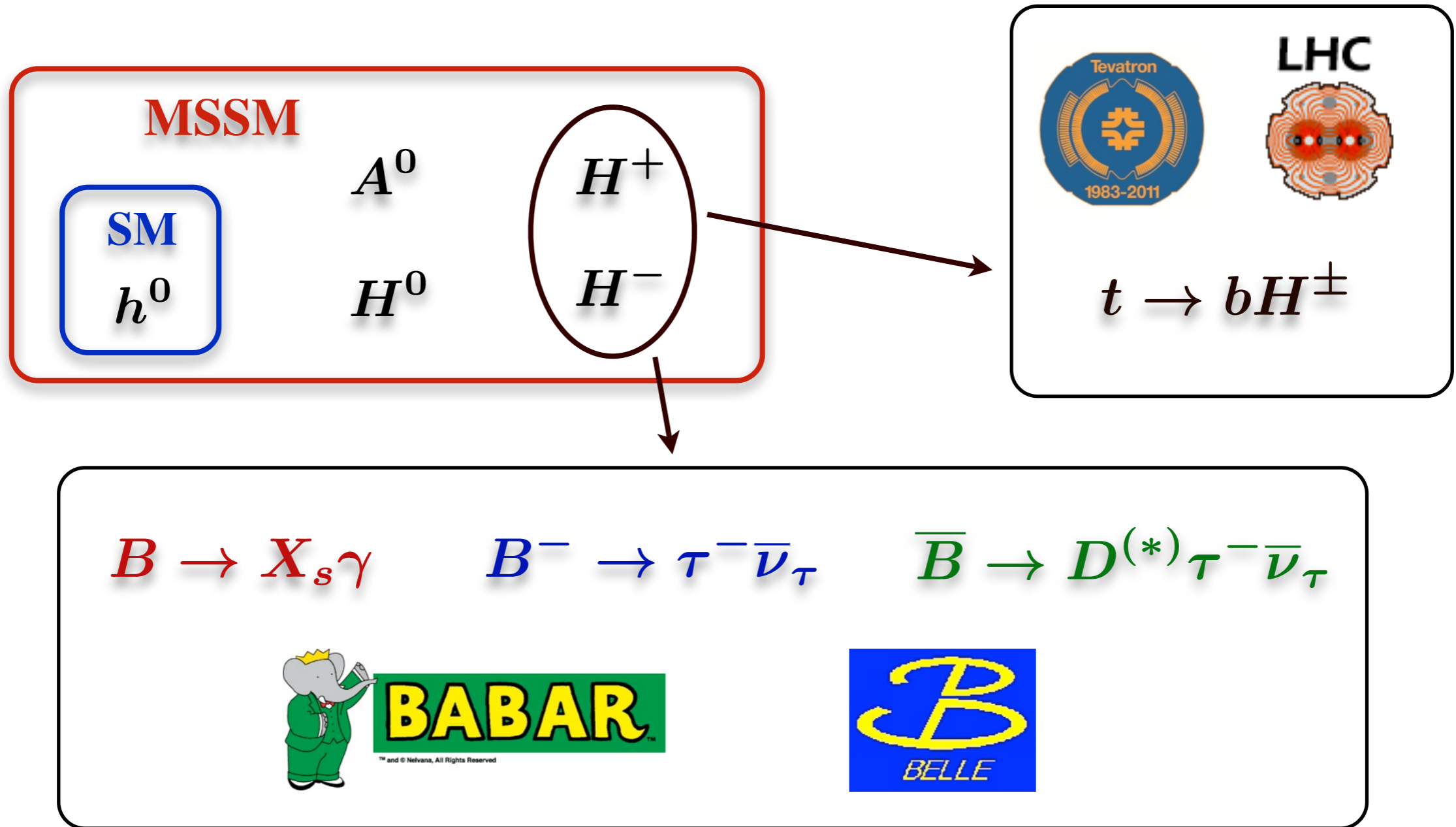
Weak

Gravitation??



# Motivation

• Charged Higgs required in multiple New Physics scenarios



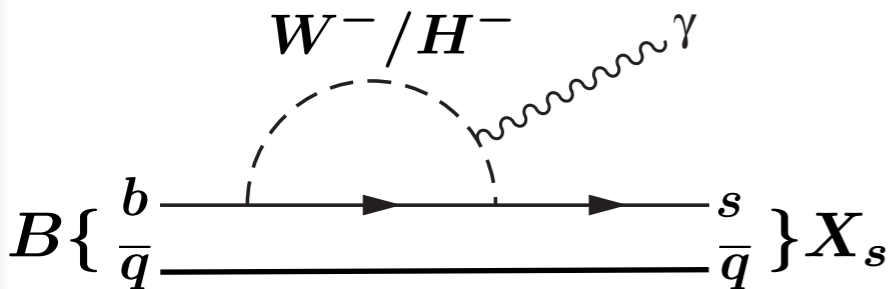


# Motivation

Searches for a **charged Higgs** at **BaBar**

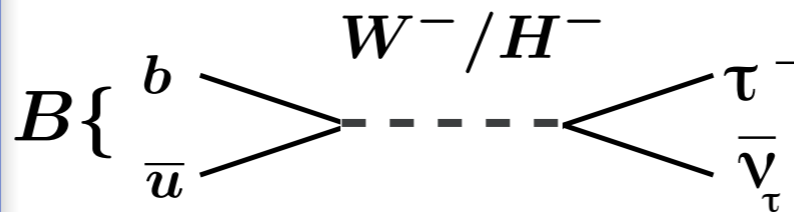
$$B \rightarrow X_s \gamma$$

- Small  $\sigma_{SM} \sim 7\%$
- $H^-$  enters in a **loop**
- BF  $\sim 0.03\%$
- Inclusive** measurement difficult



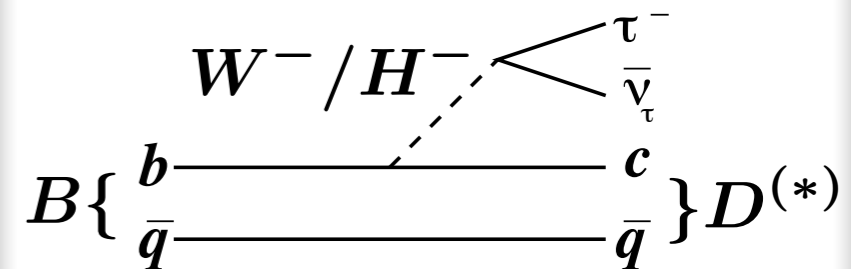
$$B^- \rightarrow \tau^- \bar{\nu}_\tau$$

- Large  $\sigma_{SM} \sim 25\%$
- $H^-$  enters at **tree level**
- BF  $\sim 0.01\%$
- Helicity suppressed



$$\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$$

- Small  $\sigma_{SM} \sim 2-5\%$
- $H^-$  enters at **tree level**
- BF  $\sim 1-2\%$
- $D^{(*)}$  provides constraint





# Measurements

• We measure **ratios**

$$R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$$

**Normalization**  
( $\ell^- = e^-$  or  $\mu^-$ )

- Various **uncertainties cancel in ratio**
  - ✓ **Theoretical:**  $V_{cb}$ , FFs
  - ✓ **Experimental:** same final state particles

$$\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$$

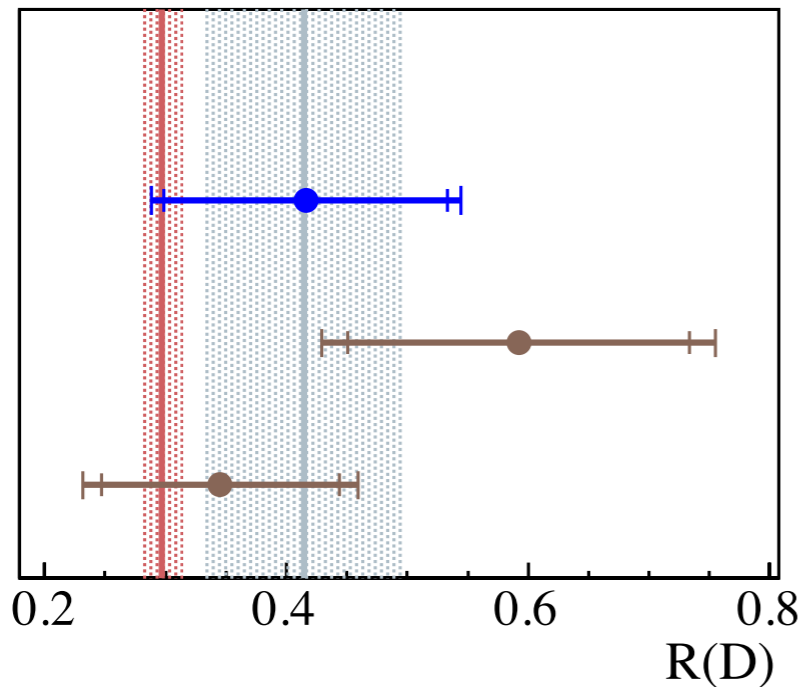


# Measurements

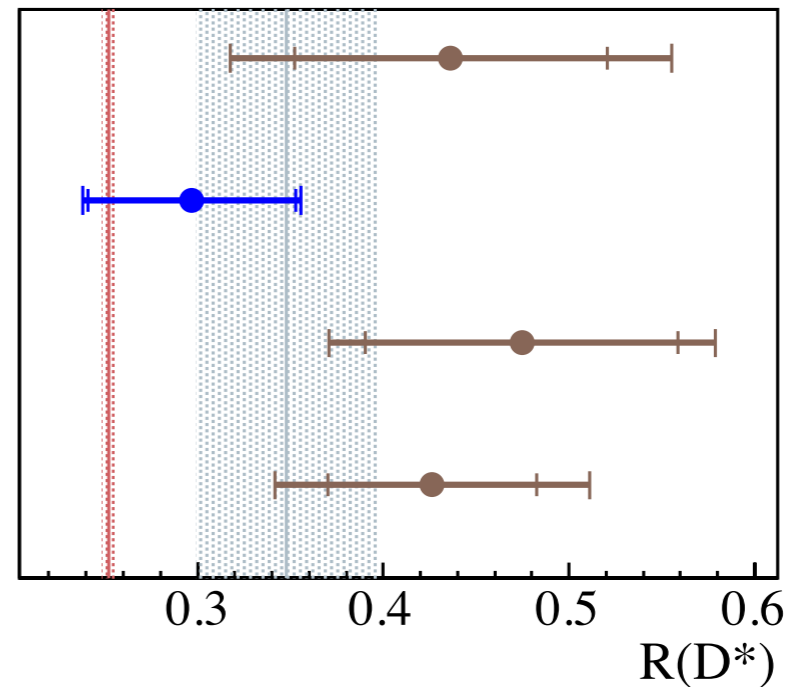
• We measure **ratios**

$$R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)} = \frac{N_{\text{sig}}}{N_{\text{norm}}} \times \frac{\epsilon_{\text{norm}}}{\epsilon_{\text{sig}}}$$

SM Aver.



SM Aver.



535M  $B\bar{B}$

232M  $B\bar{B}$

657M  $B\bar{B}$

657M  $B\bar{B}$

• Previous measurements exceed SM by 1-2  $\sigma$

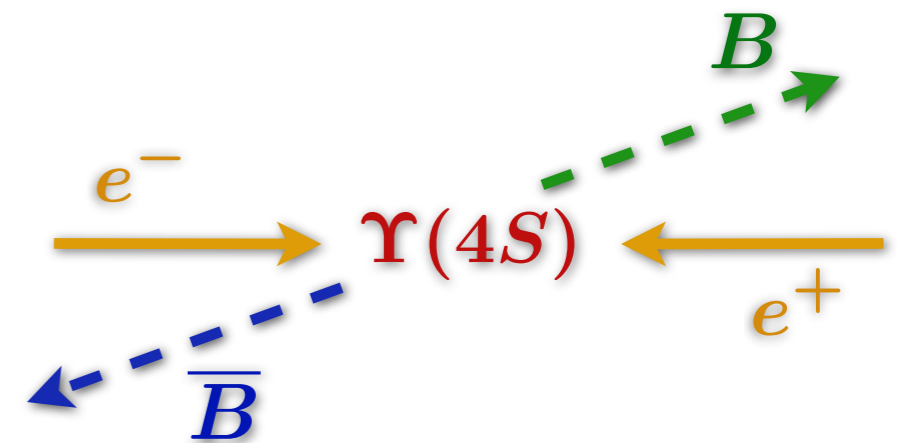
• We update BaBar 2008 with 2x data and 3x efficiency



# PEP-II storage rings

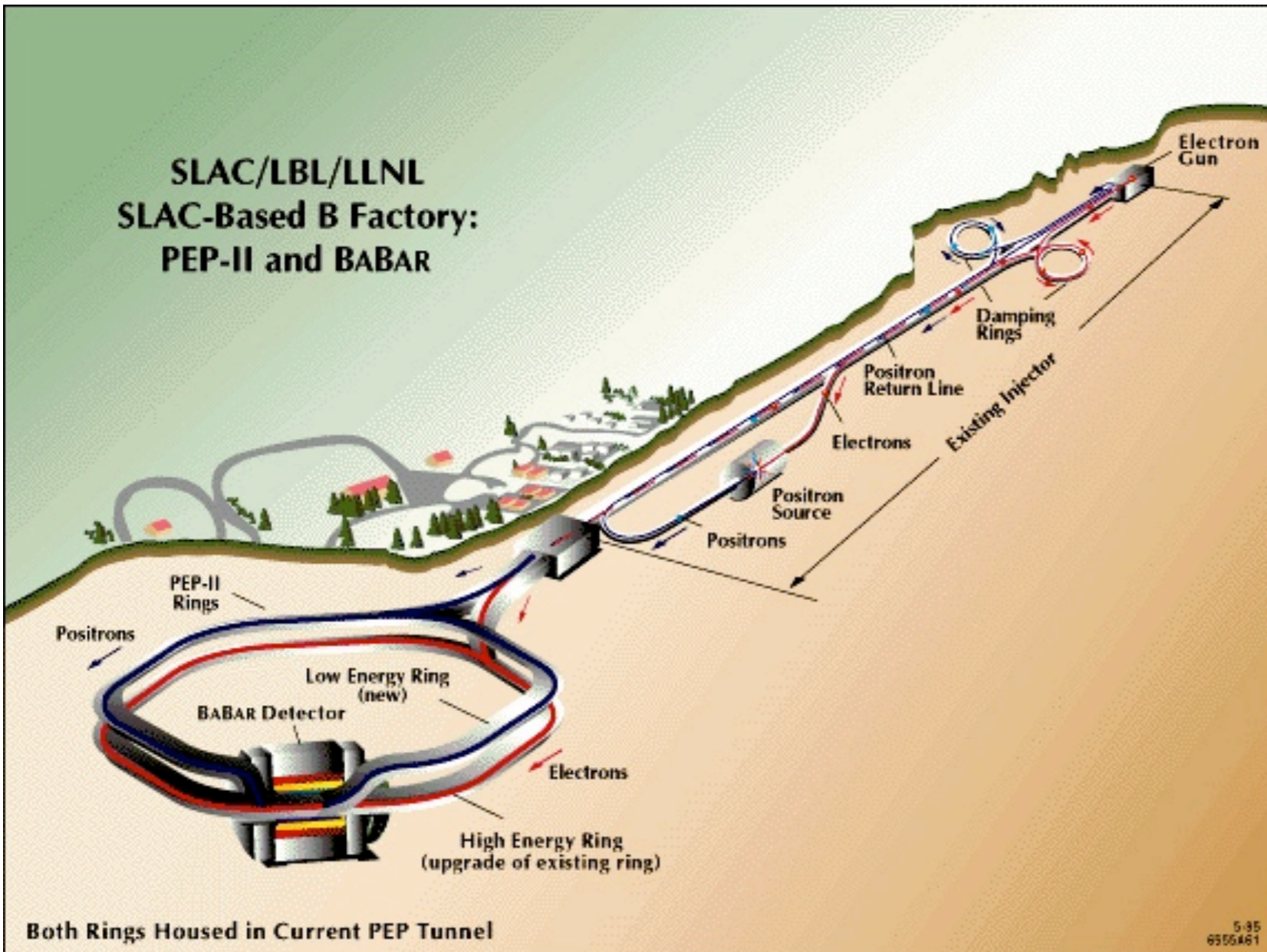


- Operation 1999-2008
- Linear accelerator injects in PEP-II ( $\beta\gamma = 0.56$ )
  - 9.0 GeV electrons  $e^-$
  - 3.1 GeV positrons  $e^+$



CM energy

$$m(\Upsilon(4S)) = 10.58 \text{ GeV}$$





# The BaBar detector



- Good lepton ID
- Good hadron ID ( $\pi/K$  sep.)
- 91% solid angle coverage

$$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B^+B^-$$

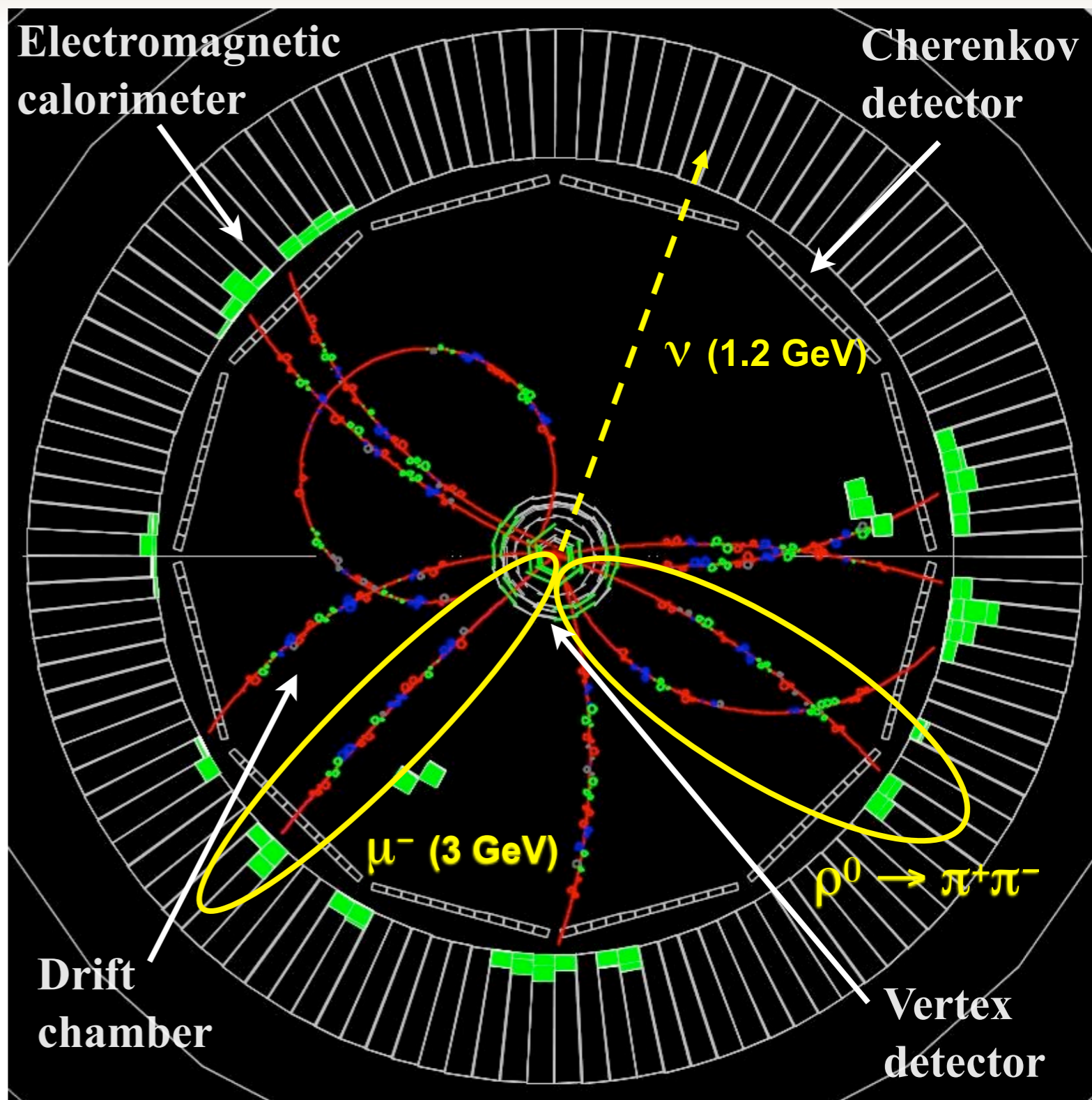
$$B^- \rightarrow \rho^0 \mu^- \bar{\nu}_\mu$$

$$\rho^0 \rightarrow \pi^+\pi^-$$

Similar to normalization

$$B^- \rightarrow D^0 (\rightarrow K^- \pi^+) \mu^- \bar{\nu}_\mu$$

Muon detector  
outside the plot



$$B \rightarrow D^{(*)} \tau \nu$$



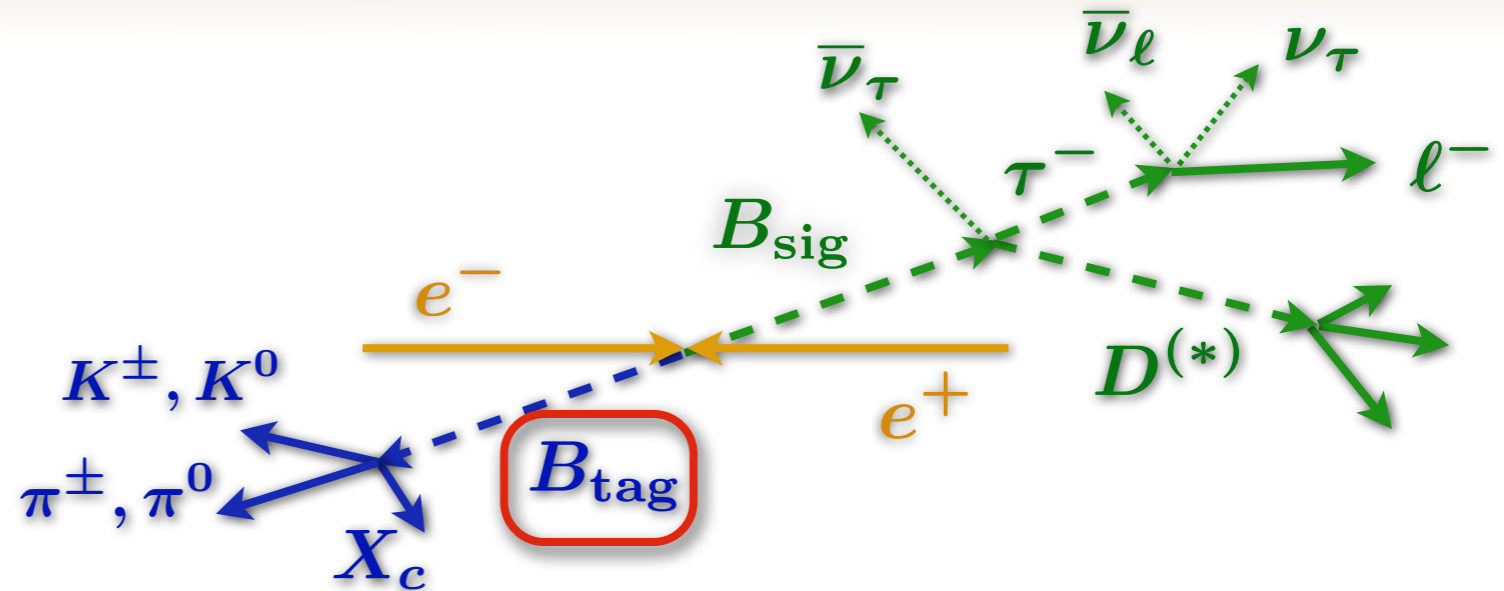


# Event reconstruction



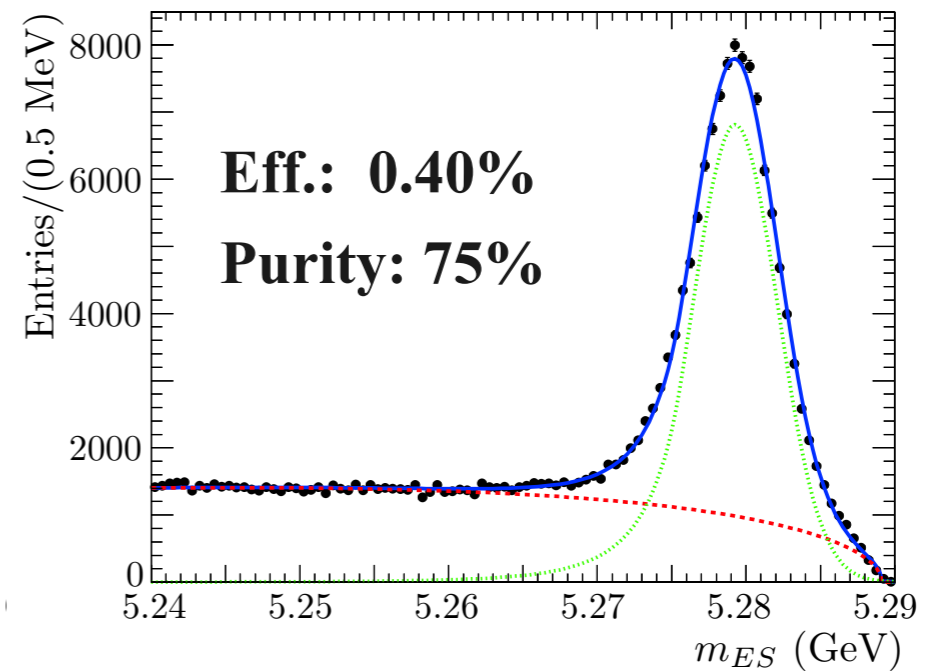
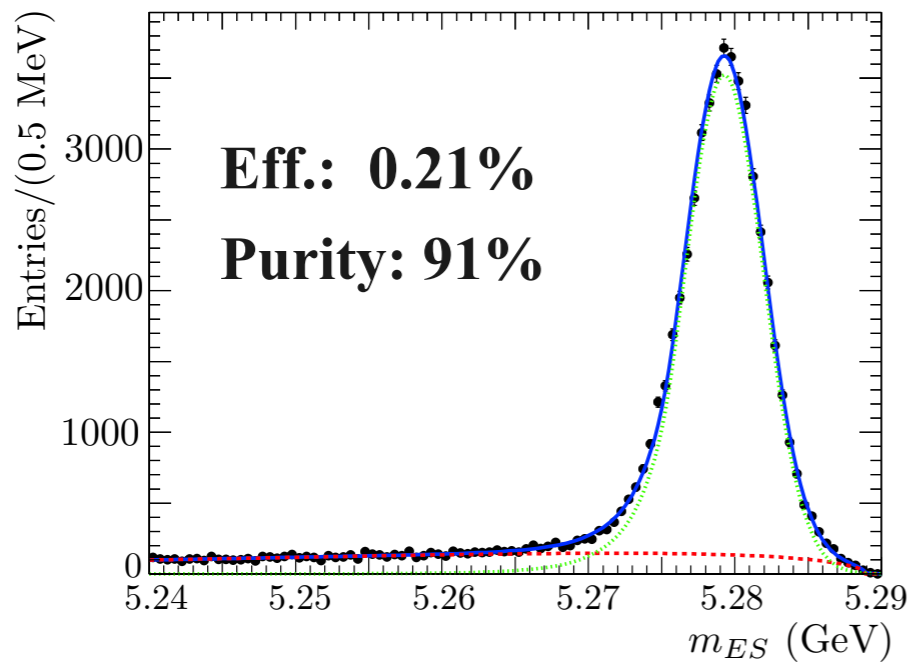
## Fully reconstructed tag B

- Efficiency 2x previous analysis



Old  $B_{\text{tag}} : X_c = D, D^*$   
**630 decay chains**

New  $B_{\text{tag}} : X_c = D, D^*, D_s^+, D_s^{*+}, J/\Psi$   
**1,768 decay chains**



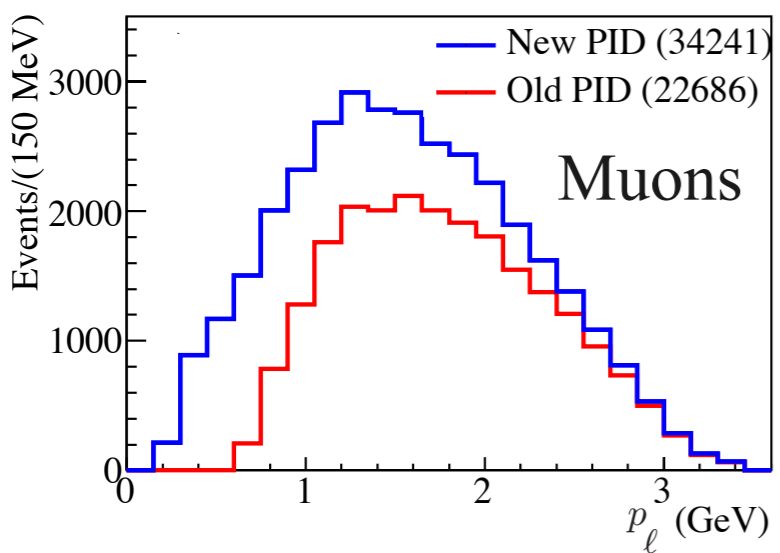
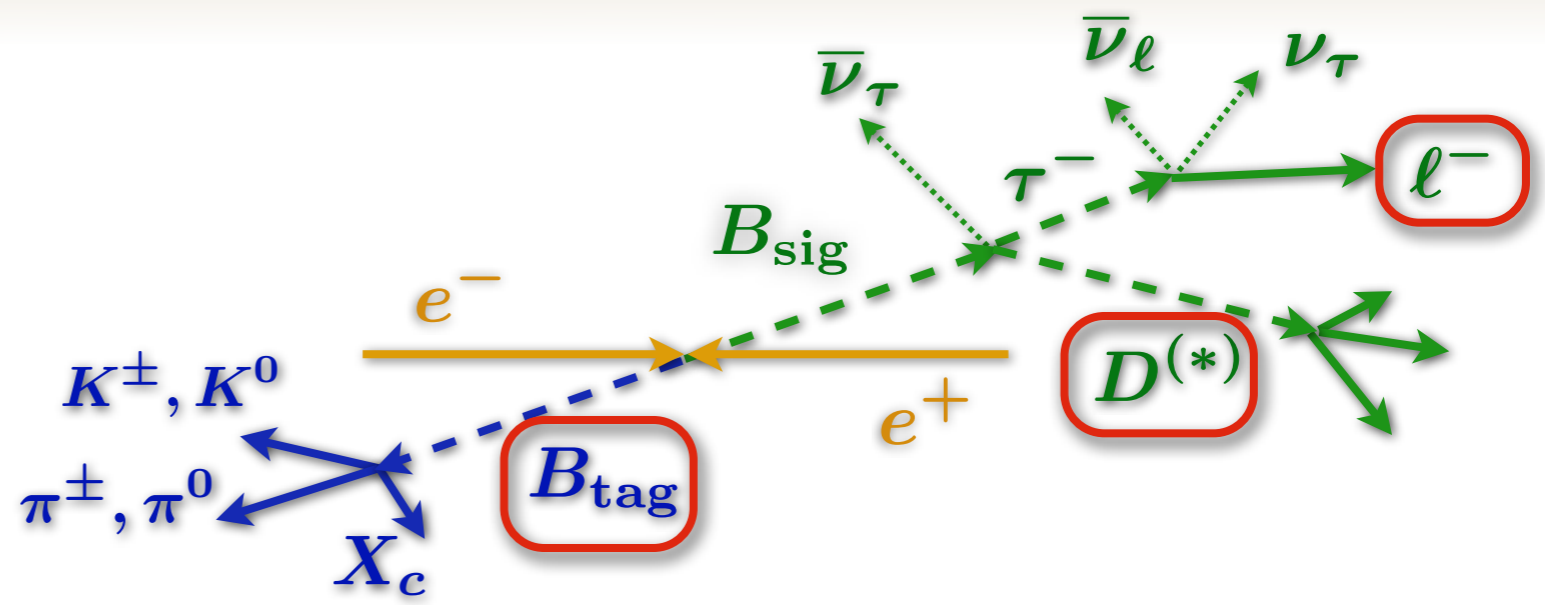


# Event reconstruction



## Fully reconstructed tag B

- Efficiency 2x previous analysis
- D(\*):  $D^0, D^{*0}, D^+, D^{*+}$
- l = e, μ (improved PID)



- $q^2 > 4 \text{ GeV}^2$
- $p_{\text{miss}} > 200 \text{ MeV}$

## Backgrounds

- Boosted decision tree to reject bkg.
- BB/continuum from control samples
  - $e^+e^- \rightarrow u\bar{u}, d\bar{d}, c\bar{c}, s\bar{s}$
- Simultaneous fit estimates  $B \rightarrow D^{**}(\ell/\tau)\nu$ 
  - 4  $D^{(*)}\pi^0$  control samples

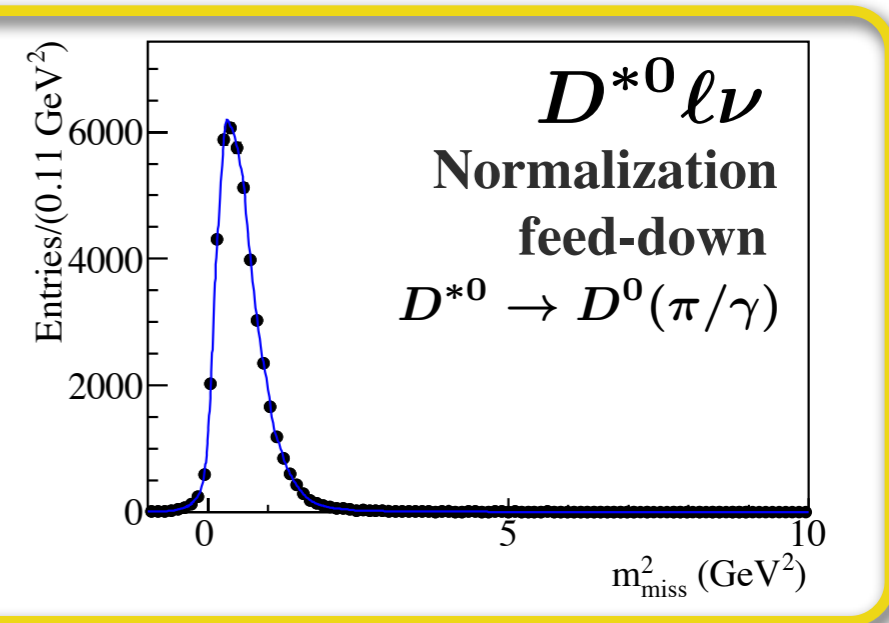
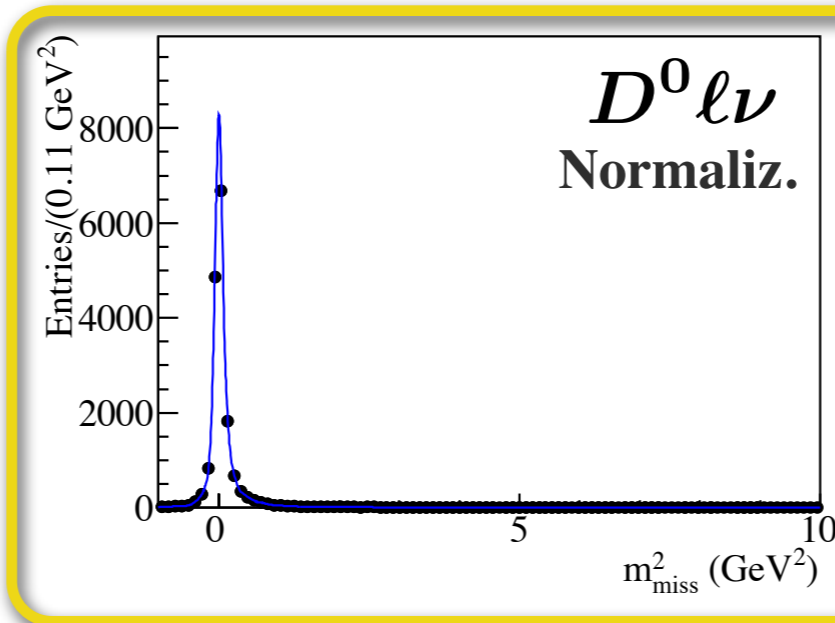
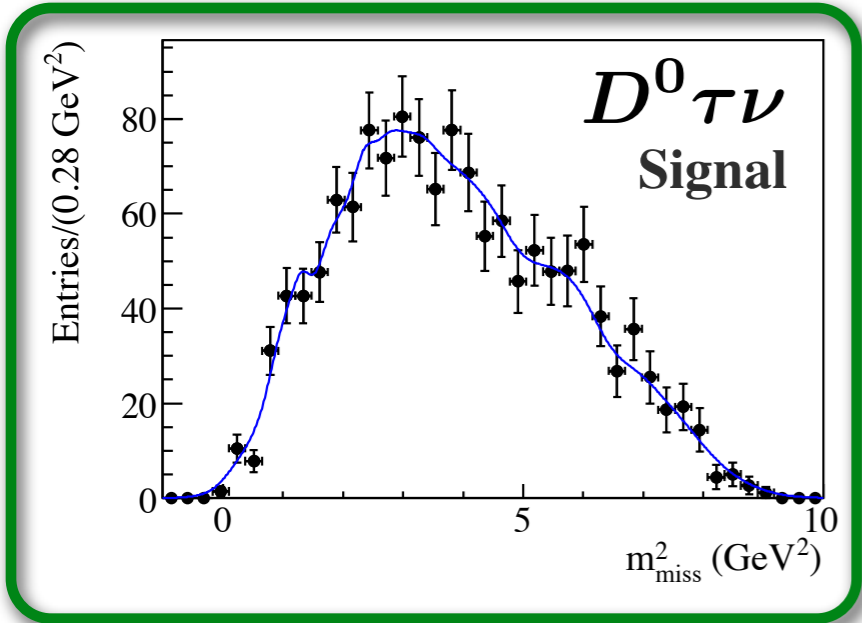
$$B \rightarrow D^{(*)}\tau\nu$$



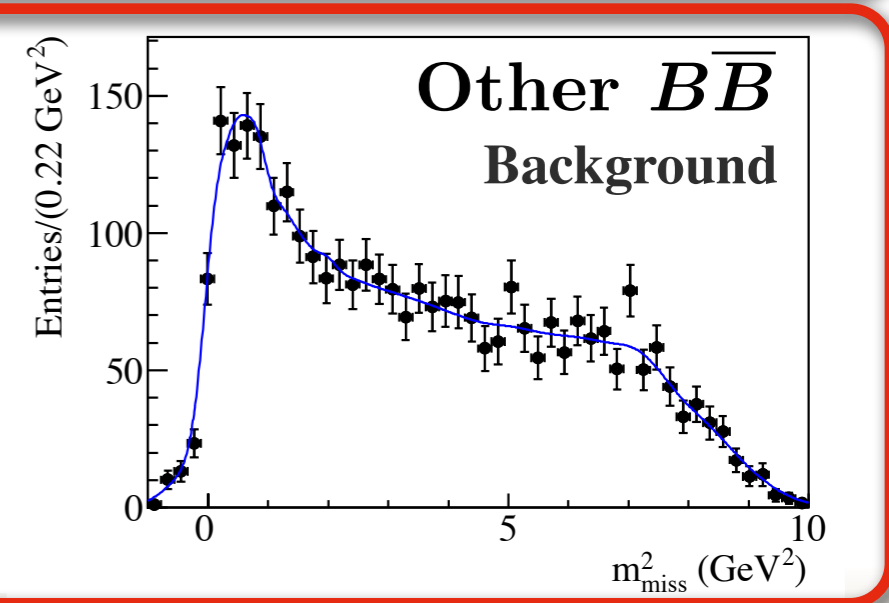
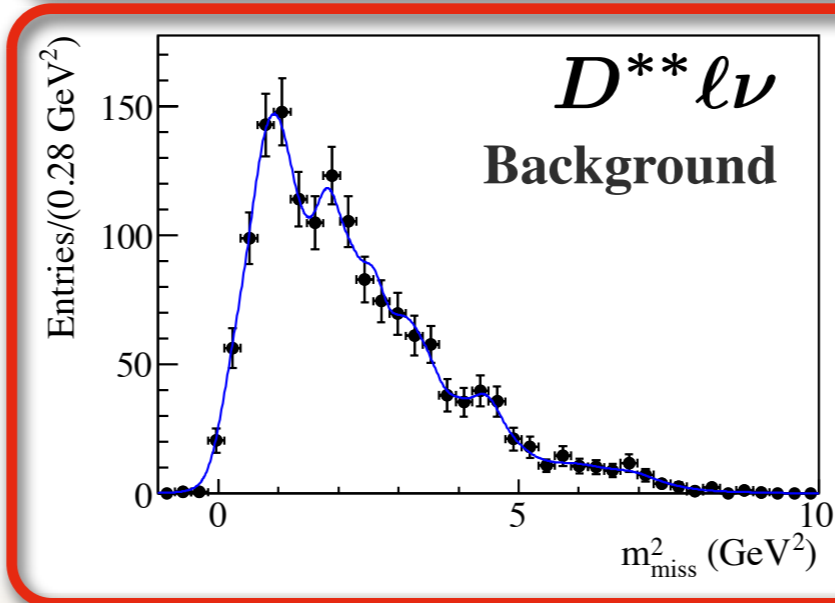
# Missing mass

Key variable to separate **signal** and **normalization**

$$m_{\text{miss}}^2 = (p_{e^+e^-} - p_{B_{\text{tag}}} - p_{D^{(*)}} - p_{\ell})^2$$



$D^0$  channel



$B \rightarrow D^{(*)} \tau \nu$



# Fit structure

## Unbinned ML fit

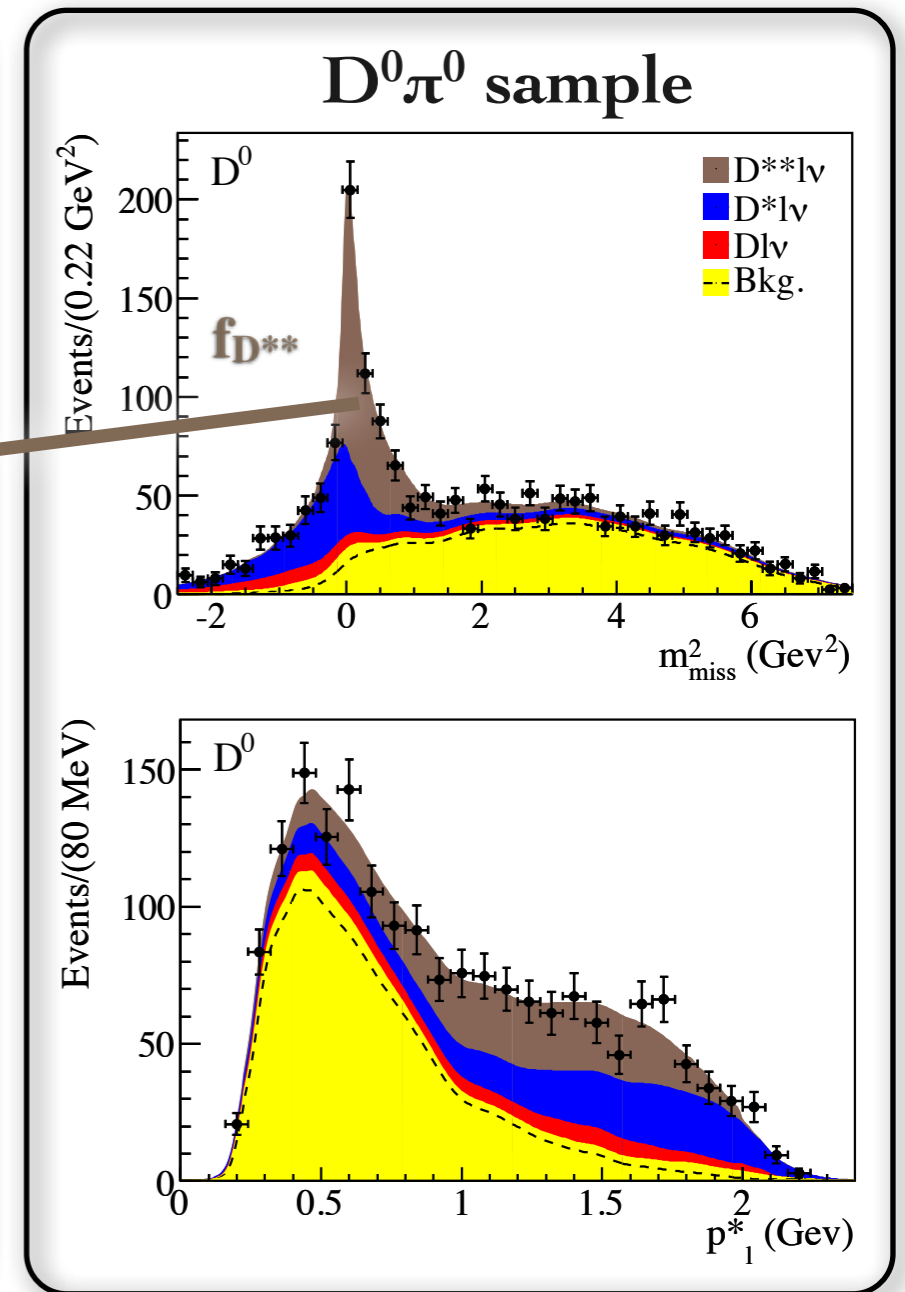
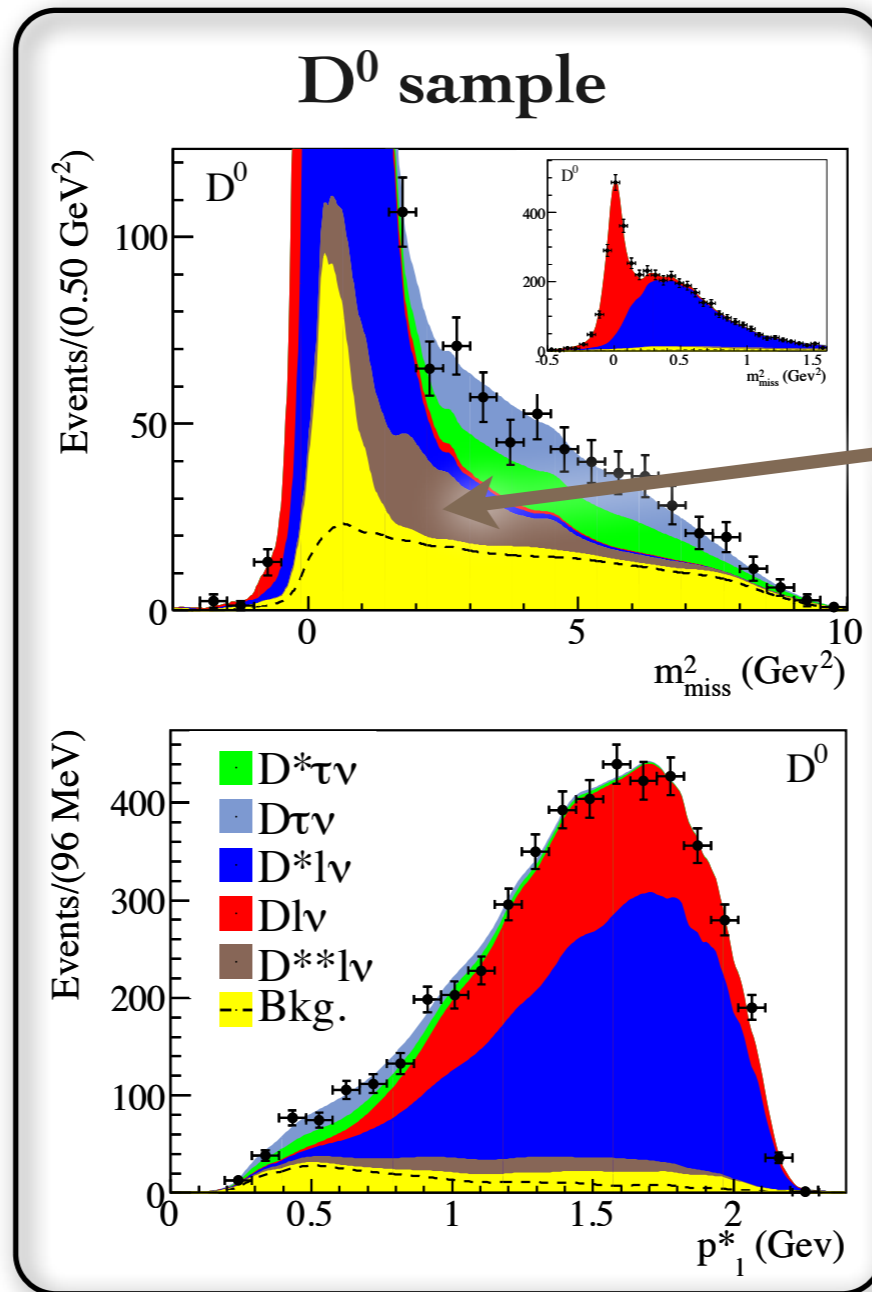
- 2D:  $m_{\text{miss}}^2 - p_{\ell}^*$
- 4 Signal channels
  - $D^0, D^{*0}, D^+, D^{*+}$
- 4  $D^{(*)}\pi^0$  channels

## Fitted yields

- 4  $D^{(*)}\tau\nu$
- 4  $D^{(*)}\ell\nu$
- 4  $D^{**}\ell\nu$

## Fixed yields (yellow)

- Charge crossfeed
- B combinatorial
- Continuum

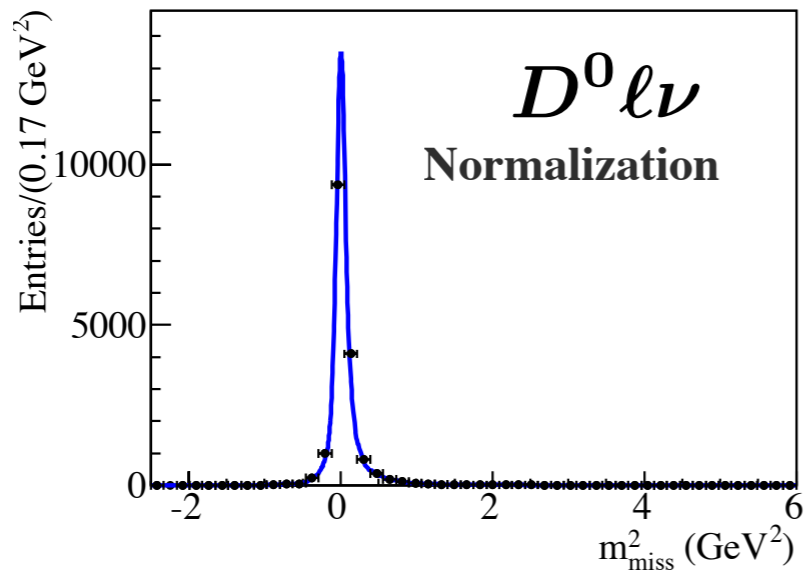
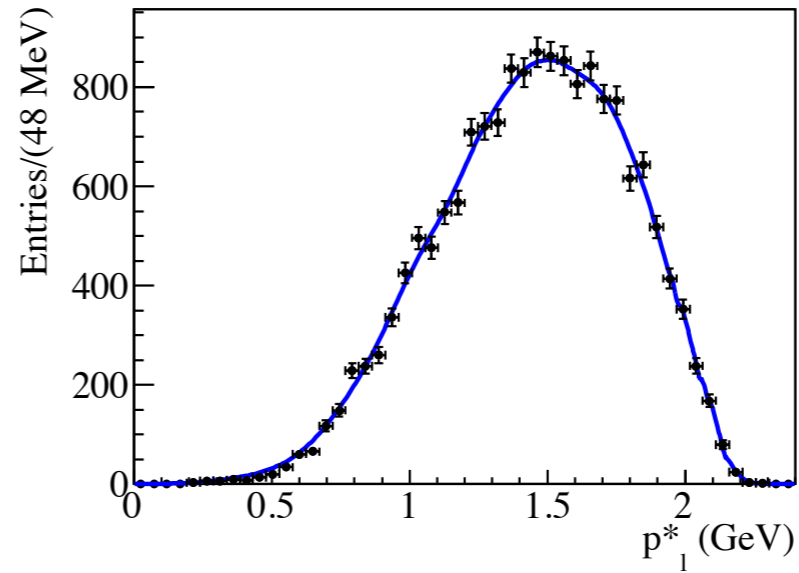
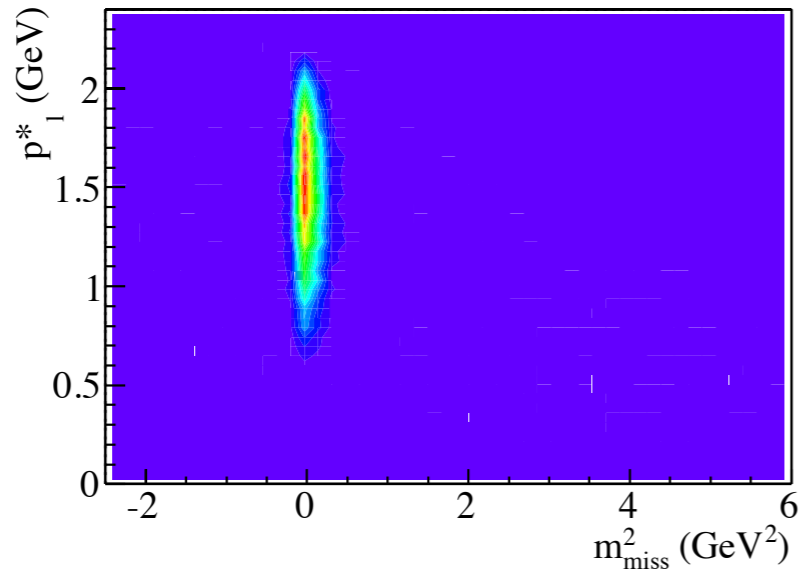


MC Simulation



# Fit PDFs: 2D

Fit uses **56** fully **two-dimensional Probability Density Functions**



Irregular  
2D correlations } **Difficult to describe analytically**



# Fit PDFs: Non-parametric

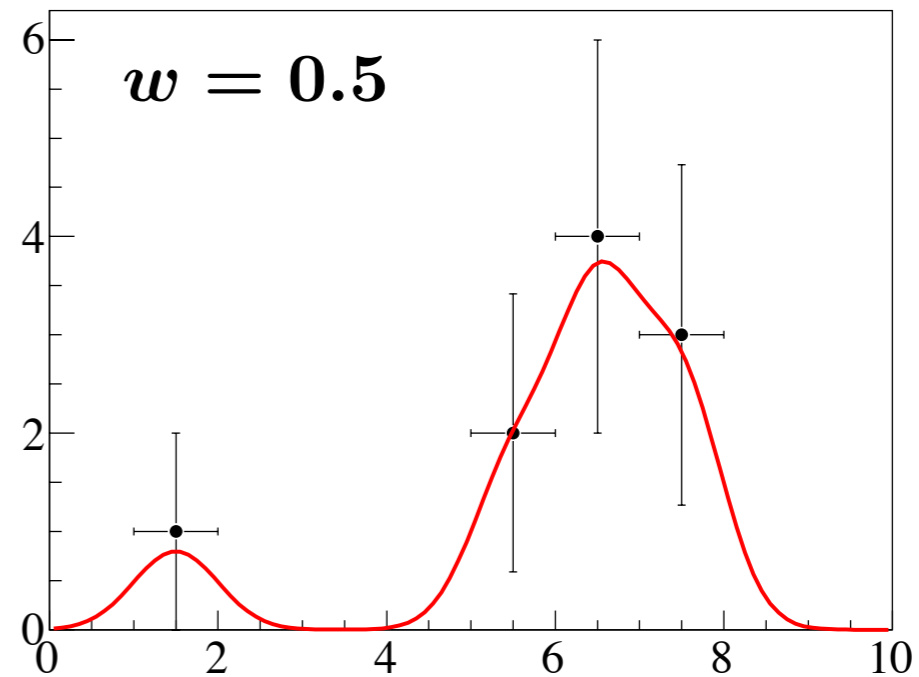
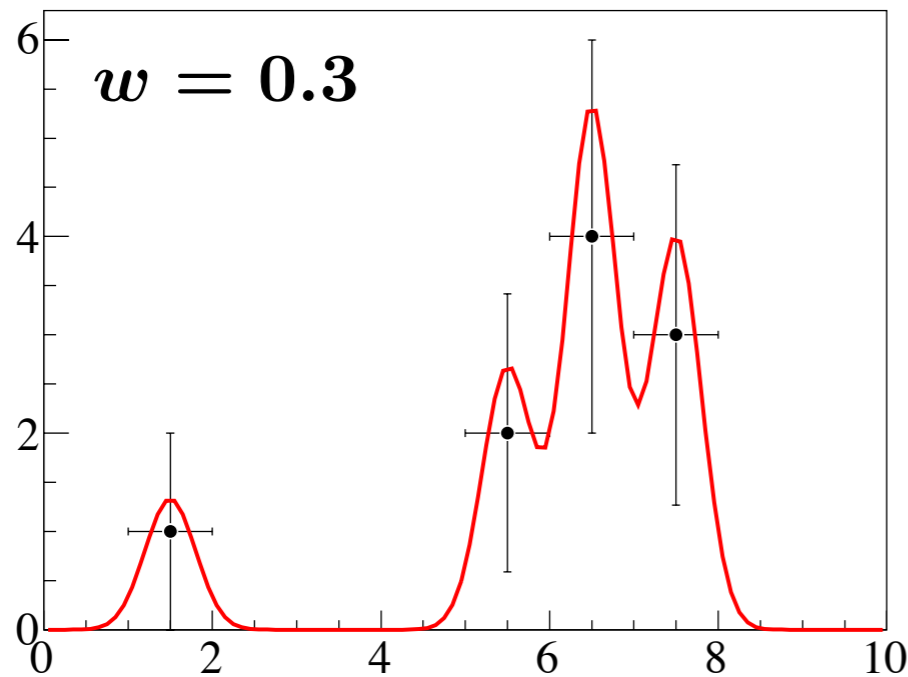


- We use **non-parametric Kernel estimators (KEYS)**
- $p(X)$  estimated by  $\hat{p}(X; x_i)$  using the sample  $\{x_i\}$

$$\hat{p}(X; x_i) = \frac{1}{nw} \sum_{i=1}^n K\left(\frac{X - x_i}{w}\right)$$

↑ Gaussian kernels      → Simulated events  
↓ Smoothing

With non-parametric, easy to trade **Bias** for **Variance**





# Fit PDFs: Uncertainty

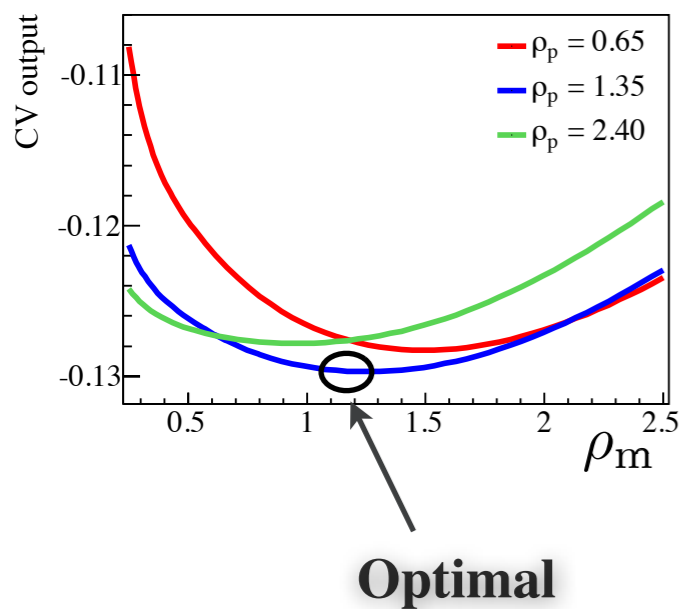
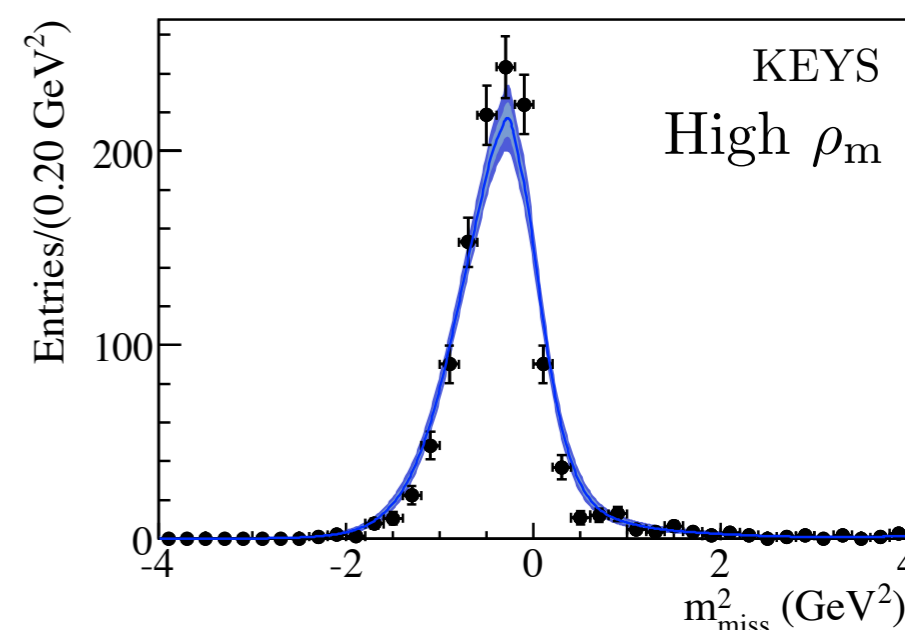
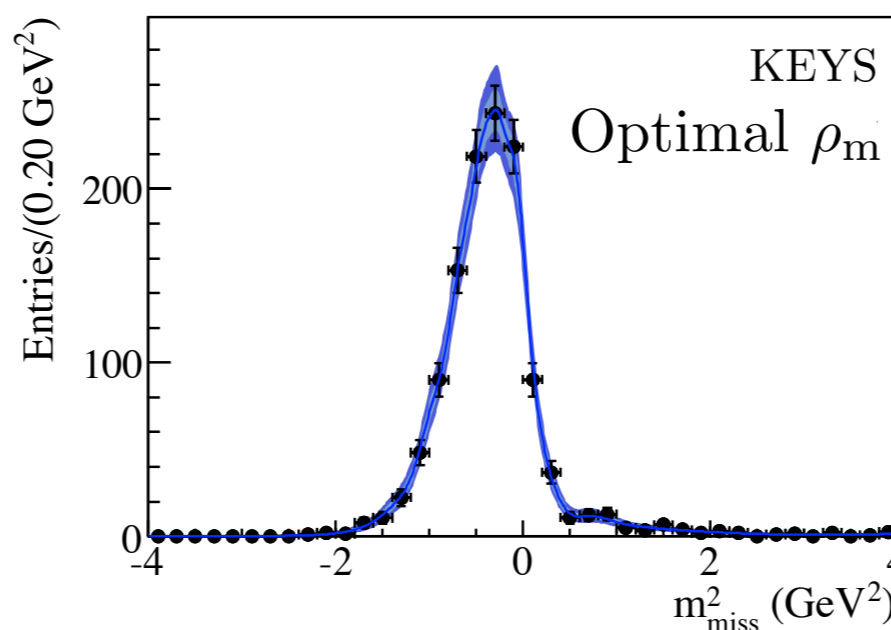
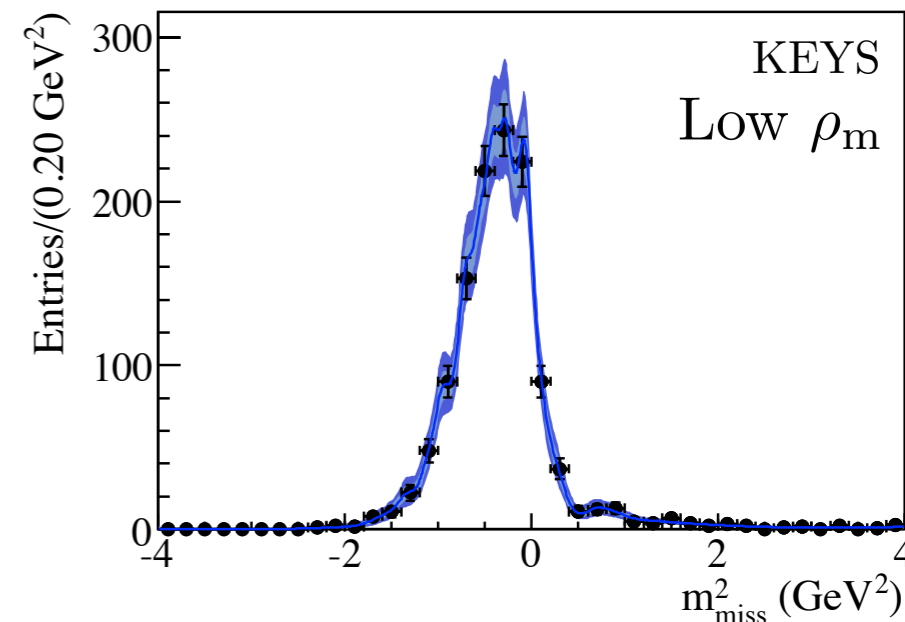
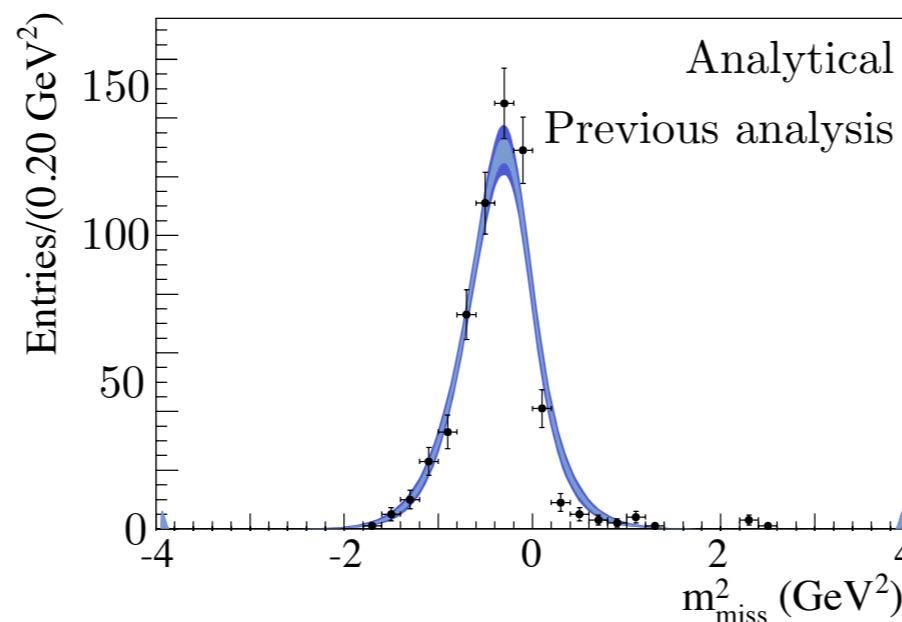


• Uncertainties estimated with **Bootstrap algorithm**

• For same statistics  $\Rightarrow$  **larger uncertainty than analytical** (parametric) PDF

## Cross-validation algorithm

$$CV = \frac{1}{n} \sum_{i=1}^n \int \hat{p}_{-i}^2(X) dX - \frac{2}{n} \sum_{i=1}^n \hat{p}_{-i}(x_i)$$

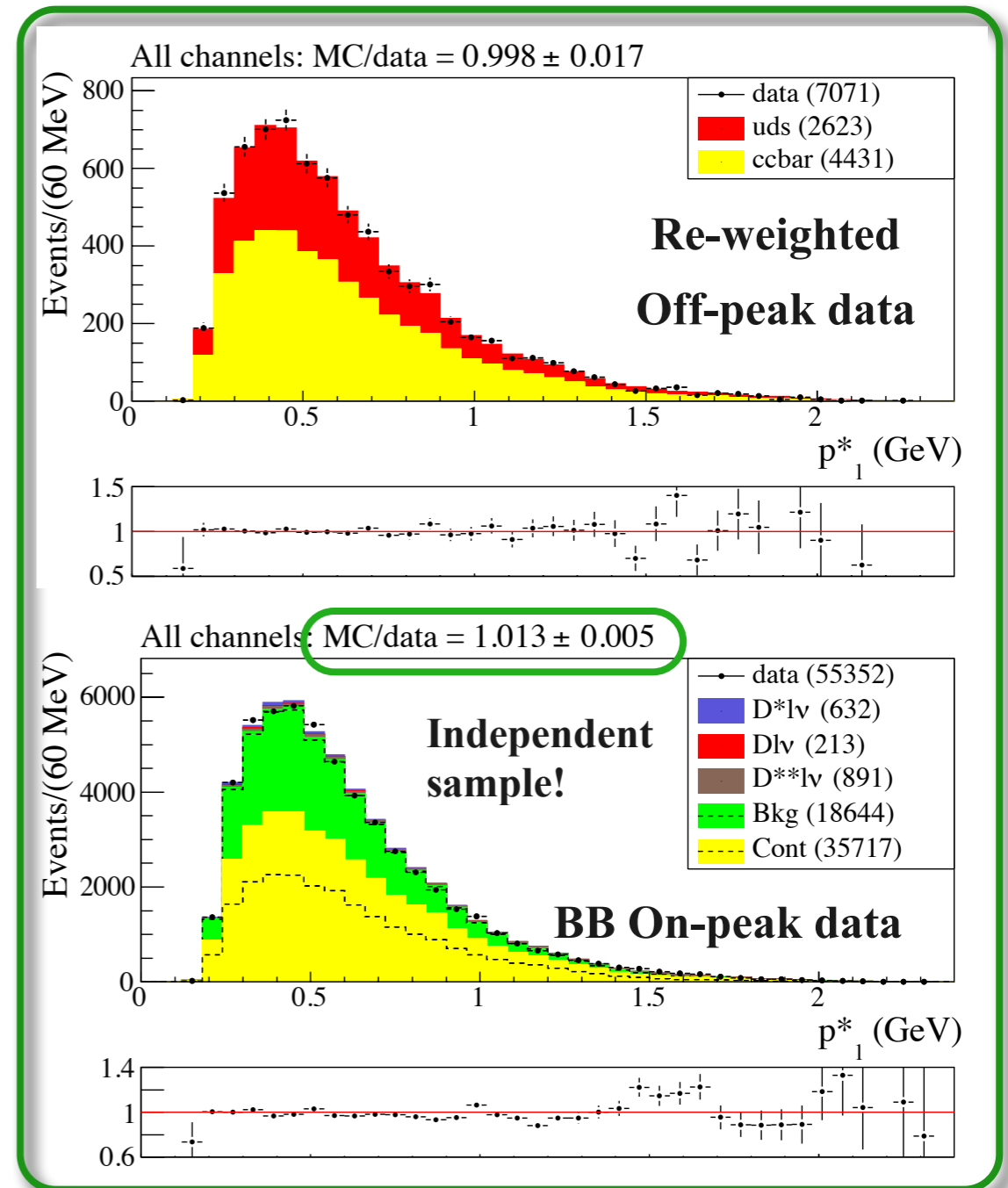
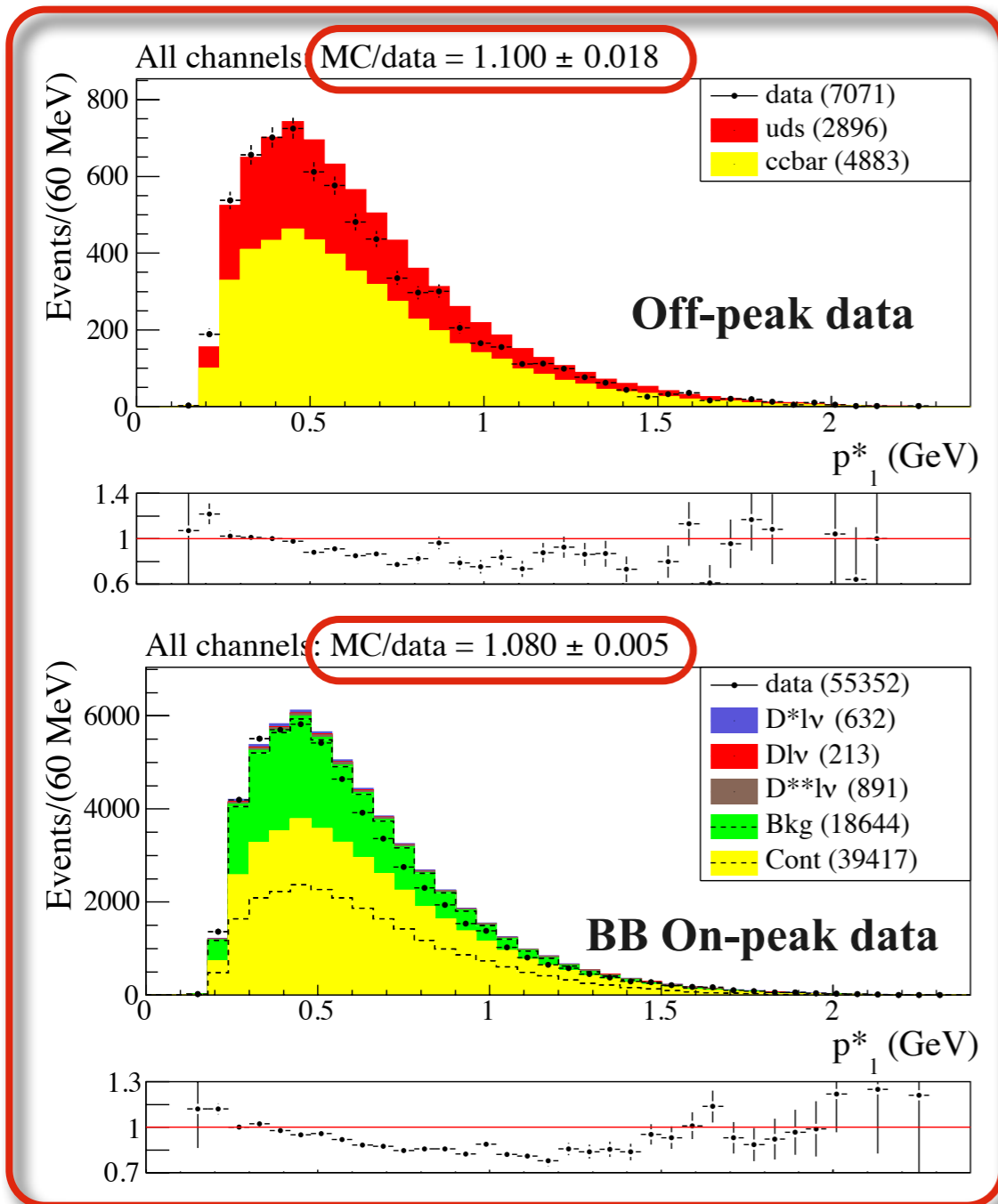




# Continuum bkg.



Simulation does not reproduce  $p_1^*$  and yields of  $e^+e^- \rightarrow u\bar{u}, d\bar{d}, c\bar{c}, s\bar{s}$



$1.2 < E_{\text{Extra}} < 2.4 \text{ GeV}$





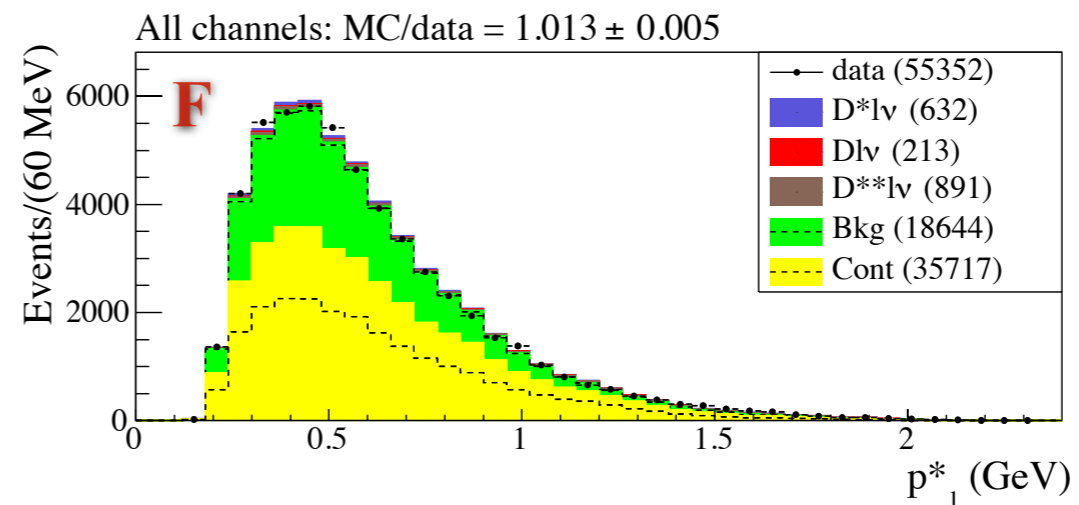
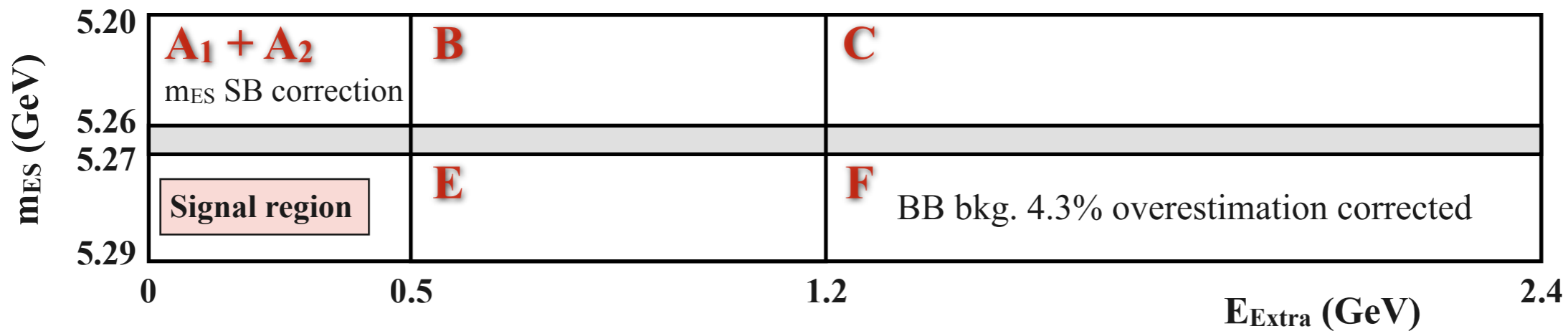
# BB Background



BB background estimated from control samples

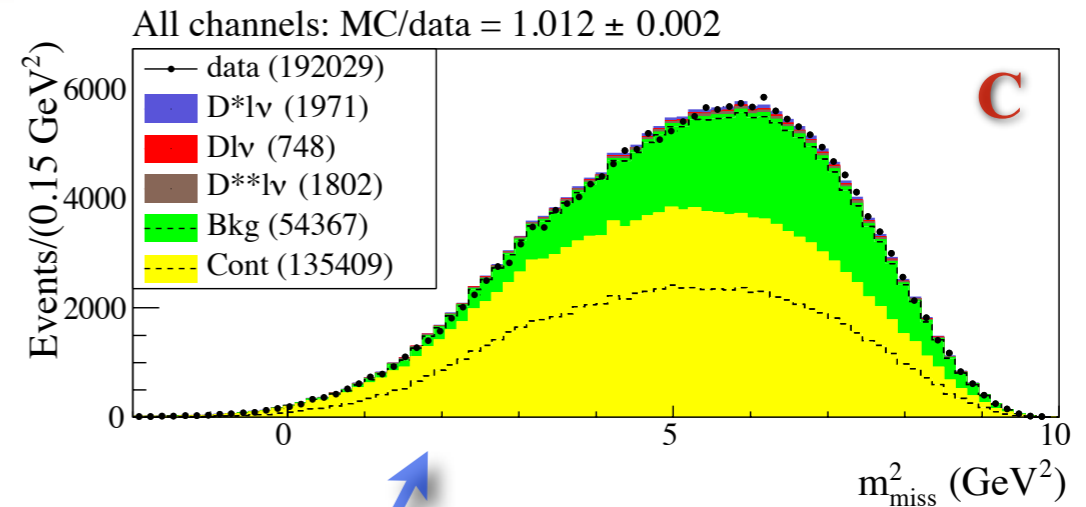
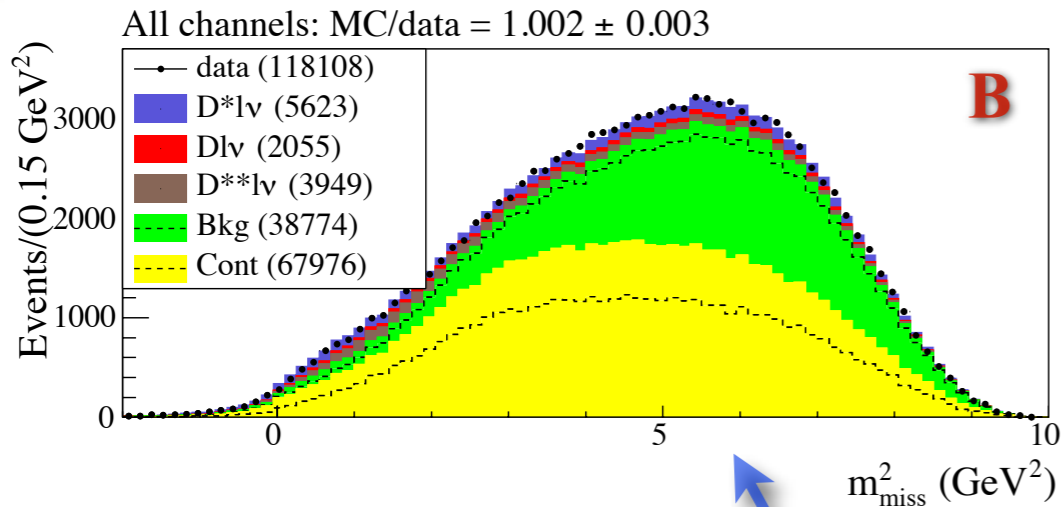
$5.20 < m_{ES} < 5.26 \text{ GeV}$

$E_{\text{Extra}} = \sum_{\text{unused } \gamma} E_{\gamma} > 0.5 \text{ GeV}$





# BB Background

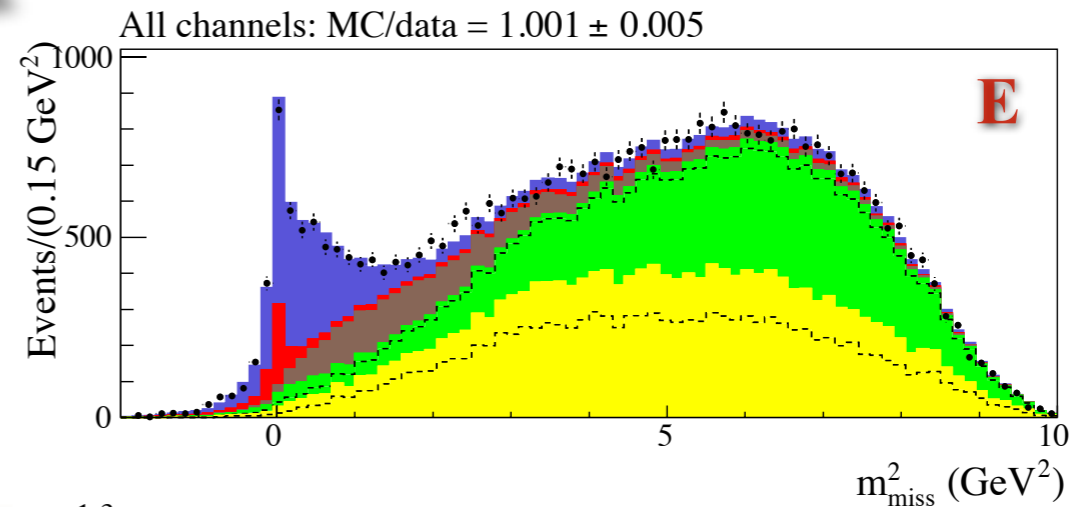


$m_{ES}$ (GeV)	5.20	<b>A<sub>1</sub> + A<sub>2</sub></b> m <sub>ES</sub> SB correction	<b>B</b> $1.000 \pm 0.003$	<b>C</b> $1.011 \pm 0.003$
	5.26			
5.27		Signal region	<b>E</b> $0.998 \pm 0.006$	<b>F</b> BB bkg. 4.3% overestimation corrected
5.29				
			0.5	1.2
			$E_{Extra}$ (GeV)	
				2.4

MC/data for  $m_{miss}^2 > 1.5 \text{ GeV}^2$

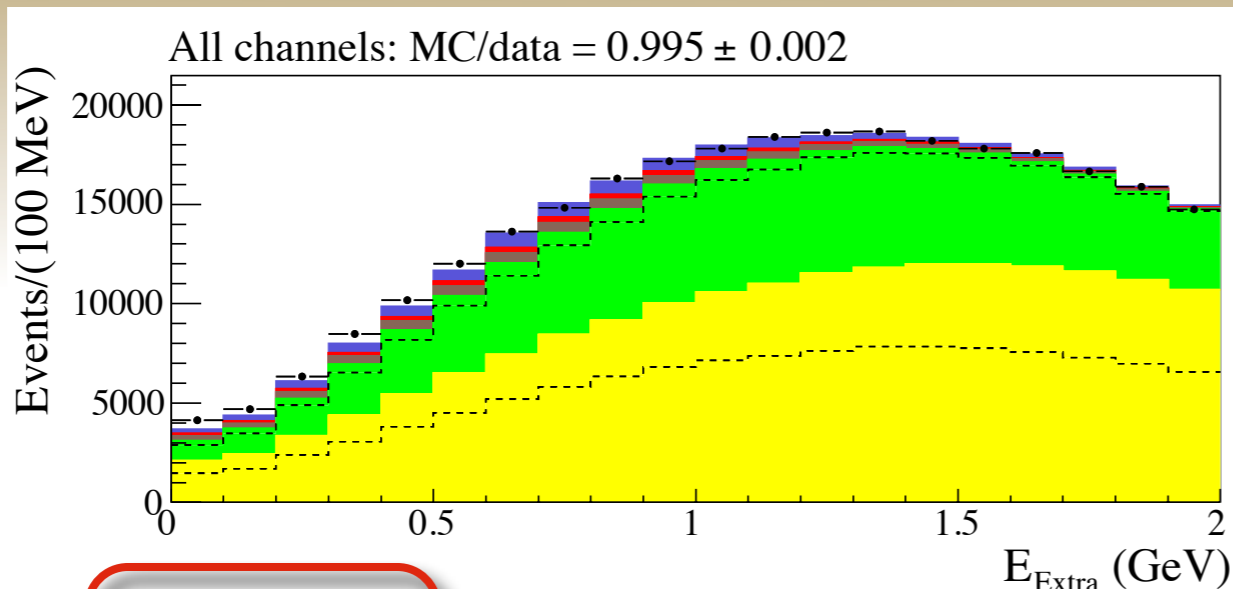
Background very well described for large  $E_{Extra}$

- $m_{miss}$  shapes
- Yields

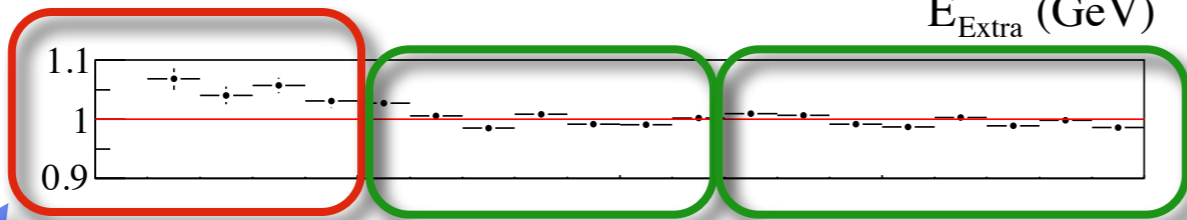




# $E_{\text{Extra}}$

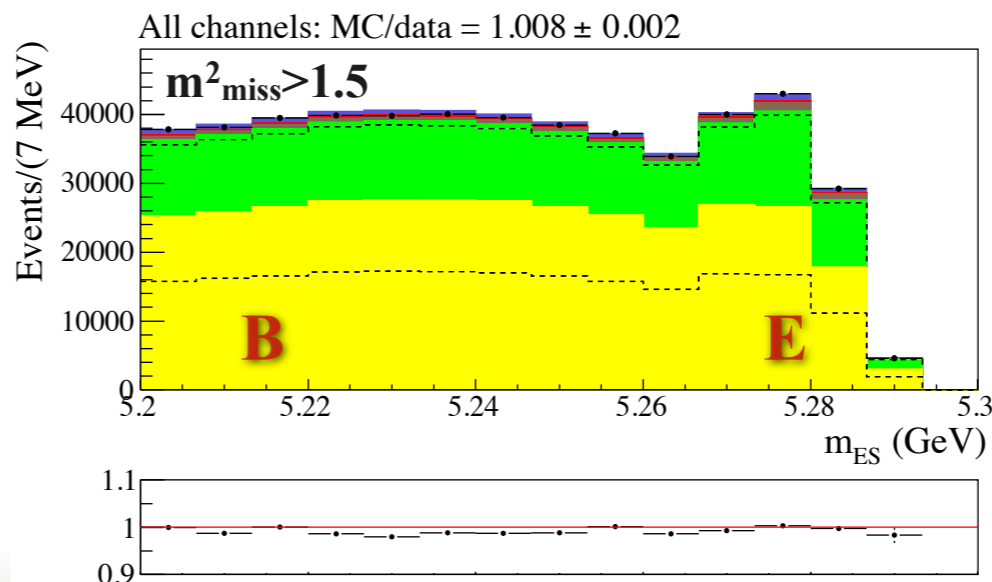
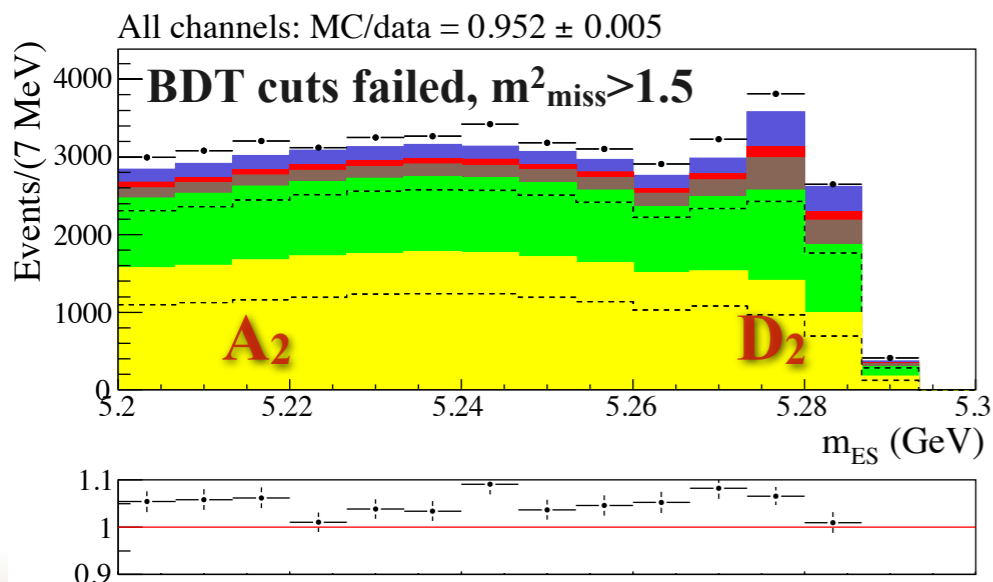


- Background very well described for large  $E_{\text{Extra}}$
- 5-10% diff. for  $E_{\text{Extra}} < 0.5$  GeV
  - Corrected with  $m_{\text{ES}}$  sideband
  - Significant uncertainty



$m_{\text{ES}}$ (GeV)	<b>A<sub>1</sub> + A<sub>2</sub></b> m <sub>ES</sub> SB correction	<b>B</b> $1.000 \pm 0.003$	<b>C</b> $1.011 \pm 0.003$
5.20			
5.26			
5.27	Signal region	<b>E</b> $0.998 \pm 0.006$	<b>F</b> BB bkg. 4.3% overestimation corrected
5.29			
	0	0.5	1.2
			$E_{\text{Extra}}$ (GeV)
			2.4

MC/data for  $m^2_{\text{miss}} > 1.5 \text{ GeV}^2$



MC/data flat in  $m_{\text{ES}} \Rightarrow$   
Extrapolation of the correction OK



# D\* channels: 1/2 data



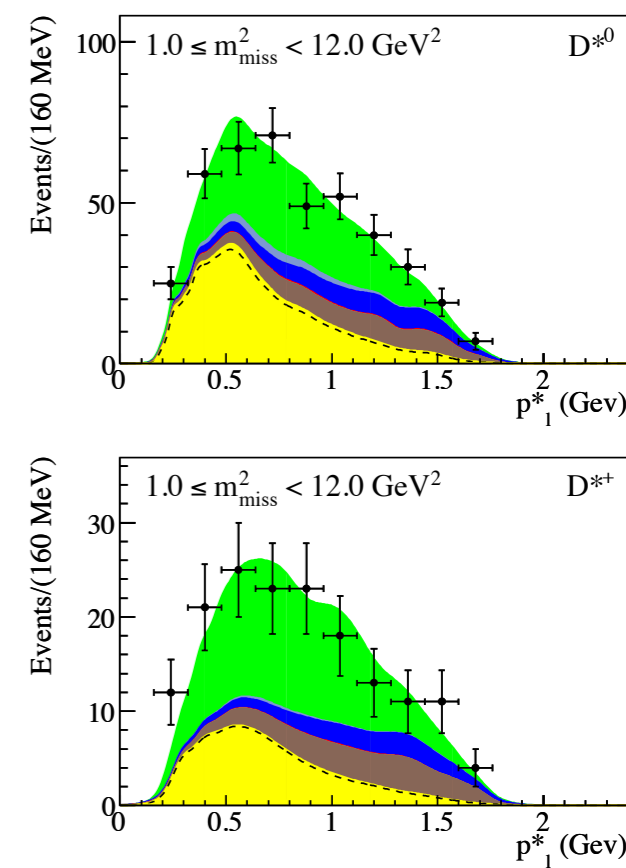
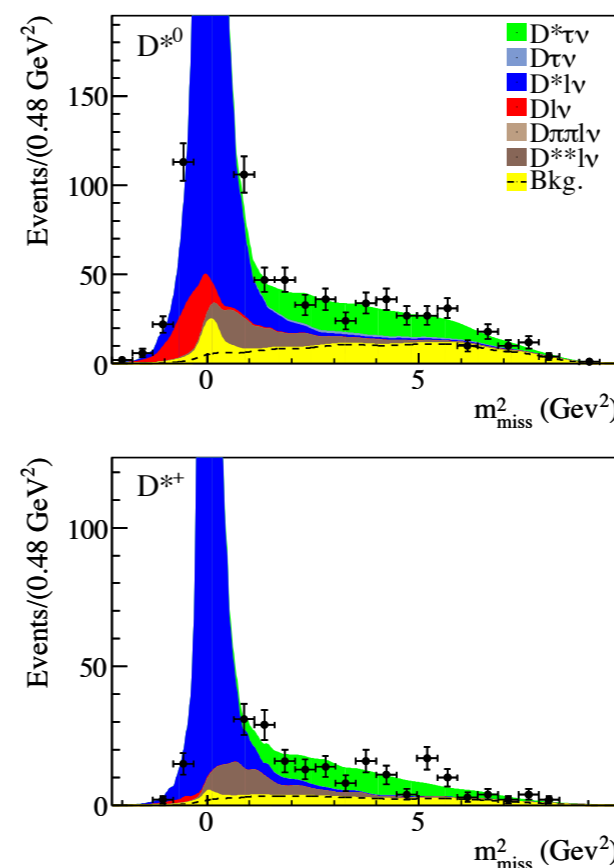
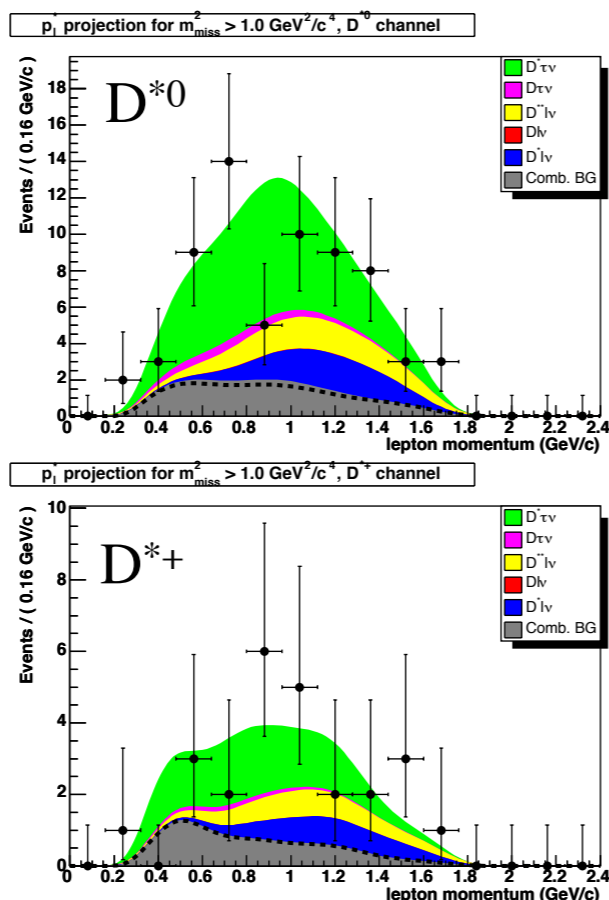
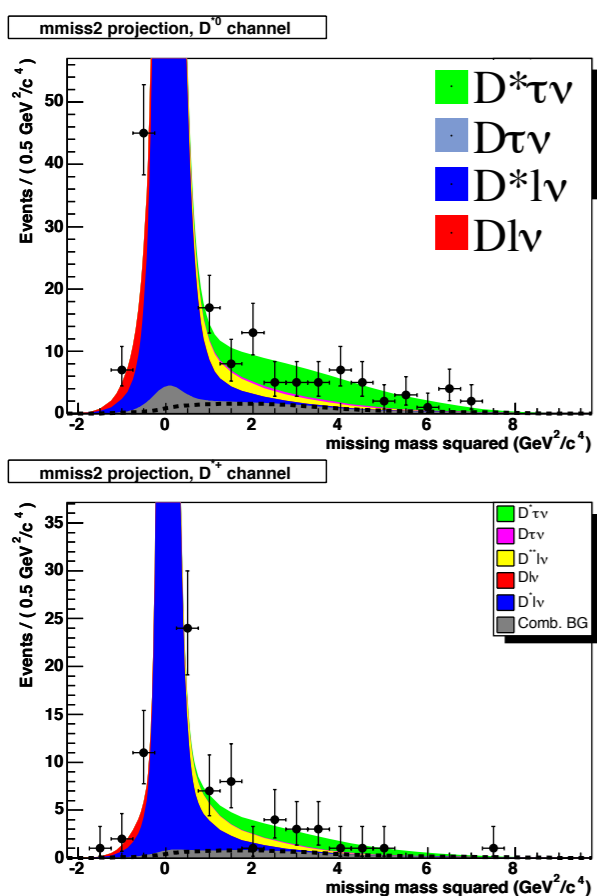
Efficiency 3x

Good agreement with previous analysis

	2008		2012	
	D* <sup>0</sup> τν	D* <sup>+</sup> τν	D* <sup>0</sup> τν	D* <sup>+</sup> τν
N <sub>sig</sub>	92 ± 20	16 ± 7	267 ± 35	107 ± 17
Signif.	5.8 σ	2.7 σ	8.6 σ	8.0 σ
R(D*)	0.35 ± 0.07	0.21 ± 0.10	0.31 ± 0.04	0.32 ± 0.05

2008

2012





# Fit results: $D^* \tau \nu$



- Good fit agreement
- Uncertainties statistical

Free in the fit

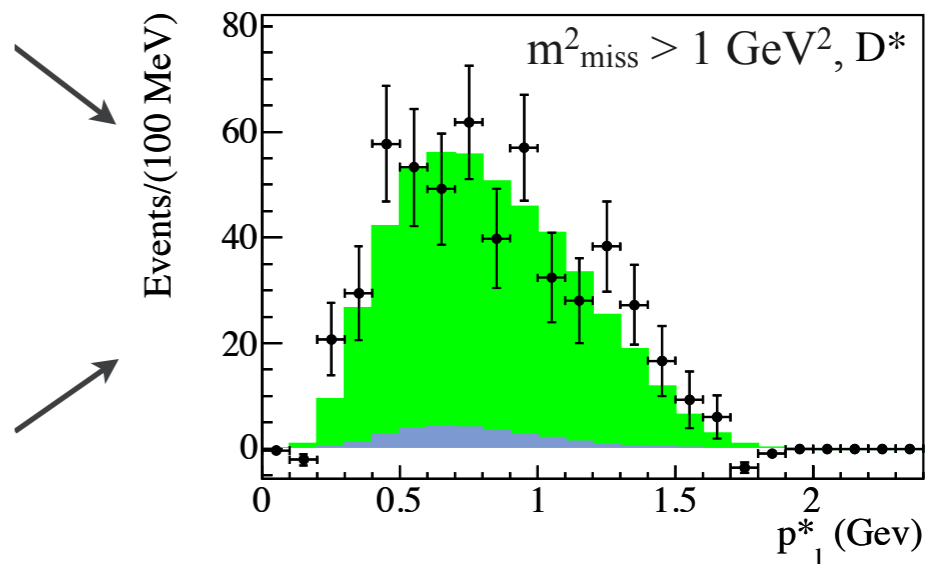
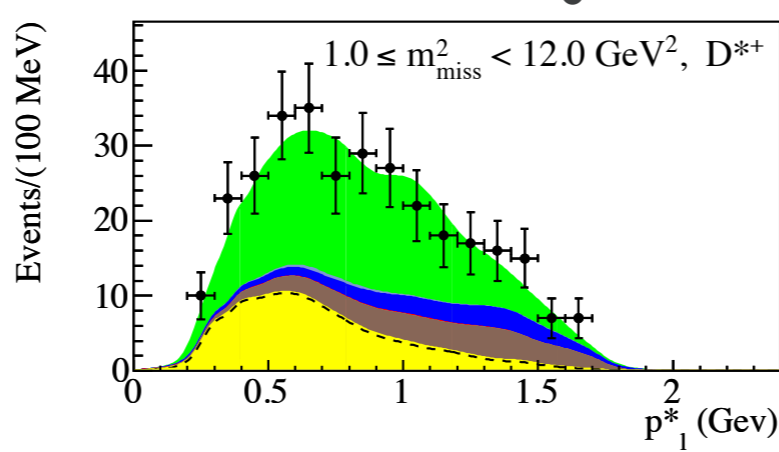
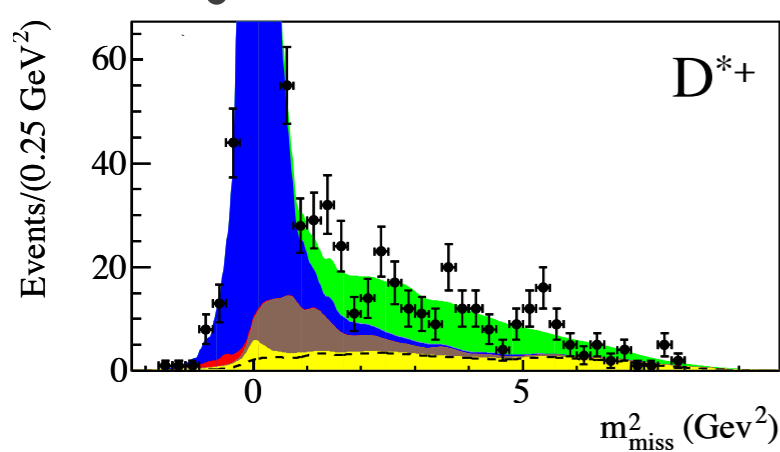
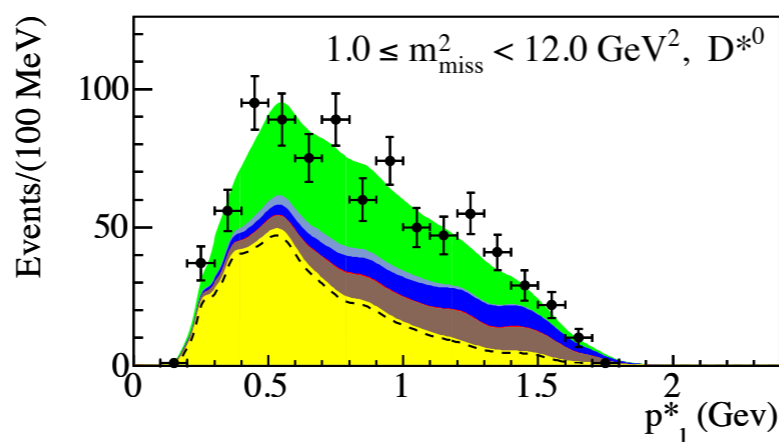
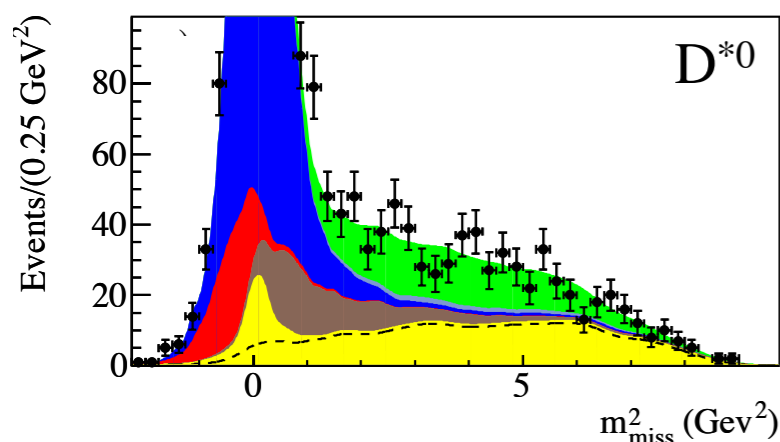
- $D^* \tau \nu$
- $D \tau \nu$
- $D^* l \nu$
- $D l \nu$

Fixed

- $D^{**} l \nu$
- Bkg.

	$D^{*0} \tau \nu$	$D^{*+} \tau \nu$	$D^* \tau \nu$
$N_{\text{sig}}$	$639 \pm 62$	$245 \pm 27$	$888 \pm 63$
Signif.	$11.3 \sigma$	$11.6 \sigma$	$16.4 \sigma$
$R(D^*)$	$0.322 \pm 0.032$	$0.355 \pm 0.039$	$0.332 \pm 0.024$

Isospin constrained



$D^{*0}$  and  $D^{*+}$  channels combined.  
Background subtracted



# Fit results: $D\tau\nu$



- First  $5\sigma$  observation
- Uncertainties statistical

Free in the fit

- $D^*\tau\nu$
- $D\tau\nu$
- $D^*l\nu$
- $Dl\nu$

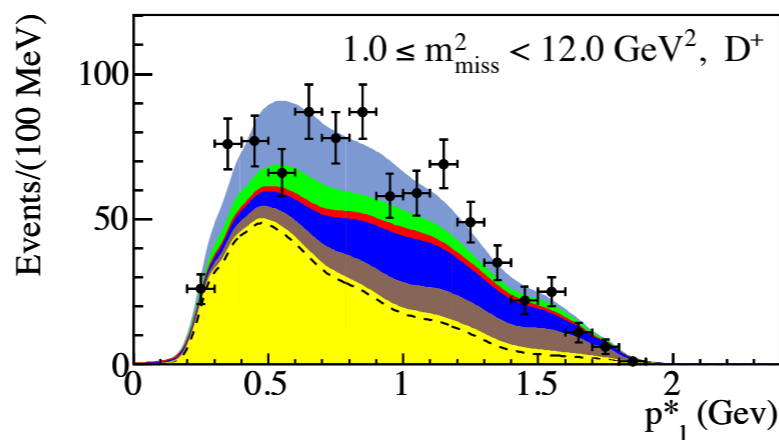
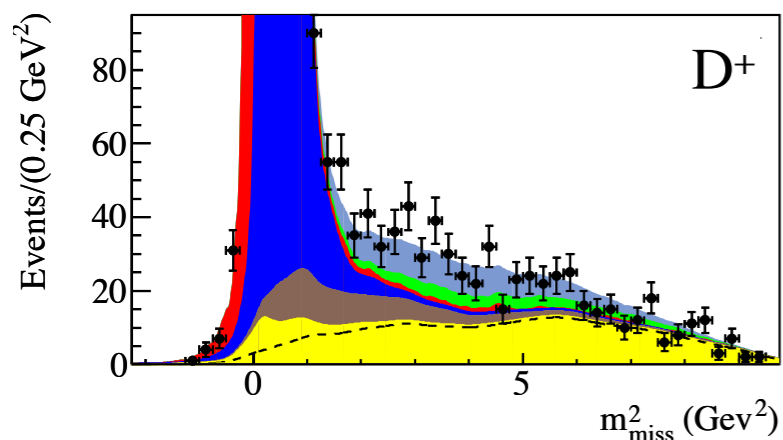
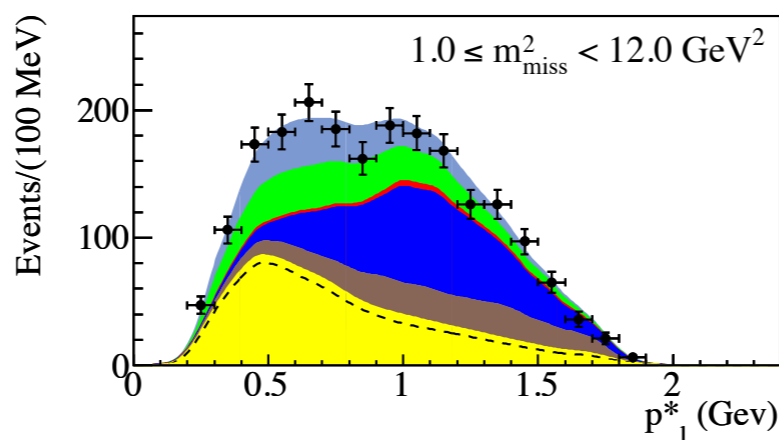
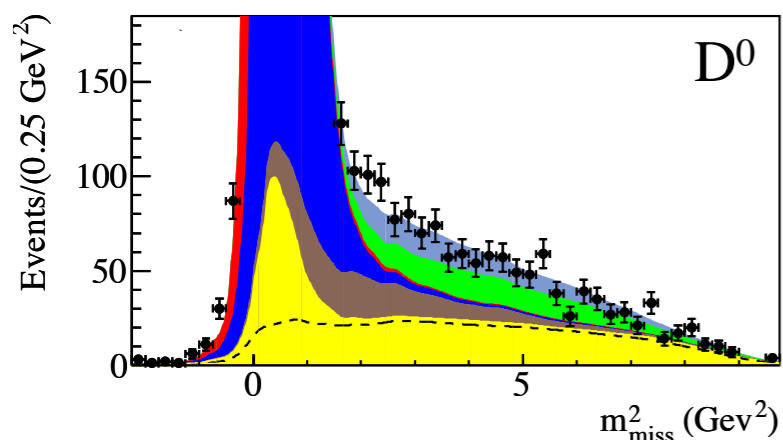
Fixed

- $D^{**}l\nu$
- Bkg.

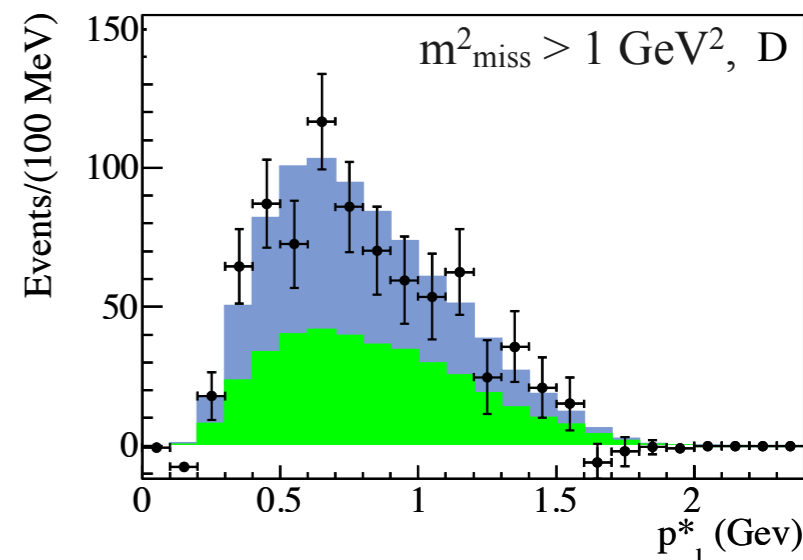
	$D^0\tau\nu$	$D^+\tau\nu$	$D\tau\nu$
$N_{\text{sig}}$	$314 \pm 60$	$177 \pm 31$	$489 \pm 63$
Signif.	$5.5 \sigma$	$6.1 \sigma$	<b><math>8.4 \sigma</math></b>
$R(D)$	$0.429 \pm 0.082$	$0.469 \pm 0.084$	$0.440 \pm 0.058$

First observation!

Isospin constrained



**-45% correlation D-D\***



$D^0$  and  $D^+$  channels combined.  
Background subtracted



# Systematic uncertainties

Source	Uncertainty (%)		$\rho$
	$R(D)$	$R(D^*)$	
$D^{**} \ell \nu$ background	5.8	3.7	0.62
MC statistics	5.0	2.5	-0.48
Cont. and $B\bar{B}$ bkg.	4.9	2.7	-0.30
$\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$	2.6	1.6	0.22
<b>Systematic uncertainty</b>	<b>9.5</b>	<b>5.3</b>	<b>0.05</b>
<b>Statistical uncertainty</b>	<b>13.1</b>	<b>7.1</b>	<b>-0.45</b>
<b>Total uncertainty</b>	<b>16.2</b>	<b>9.0</b>	<b>-0.27</b>

Correlation between  $R(D)$  and  $R(D^*)$

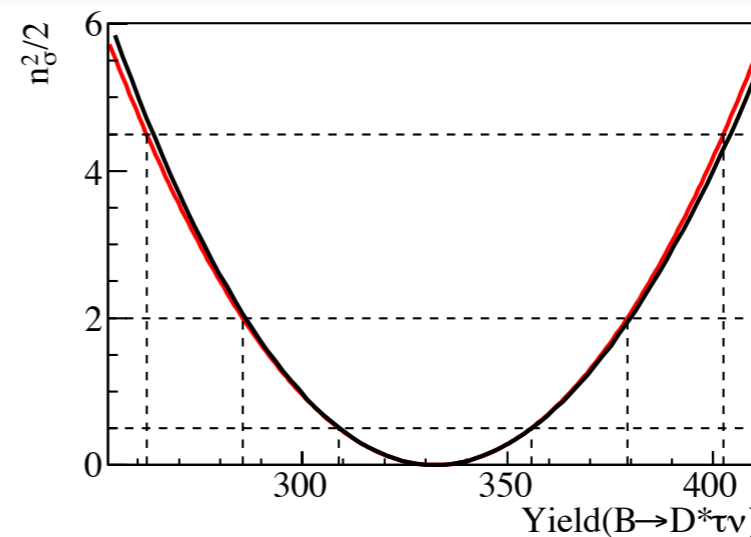
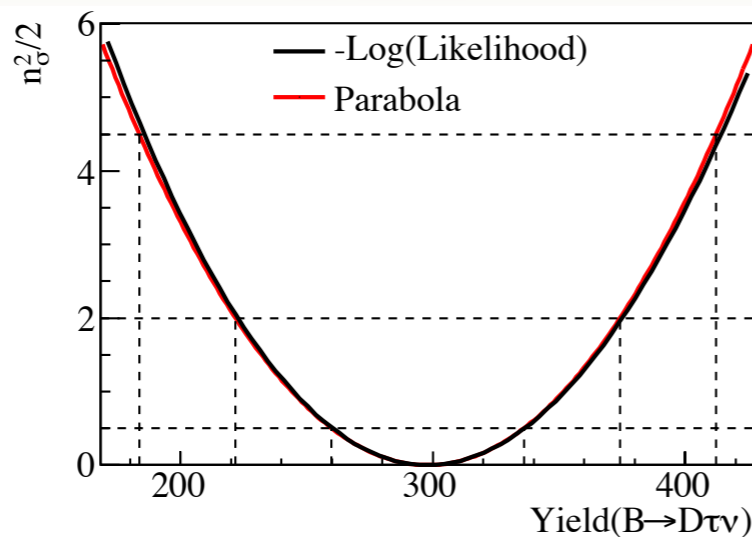
- ↪ **Largest syst. due to backgrounds**
- ↪ **Small uncertainty on efficiency ratio  $\epsilon_{\text{sig}}/\epsilon_{\text{norm}}$**
- ↪ **Statistical uncertainty dominates**



# Gaussian uncertainties

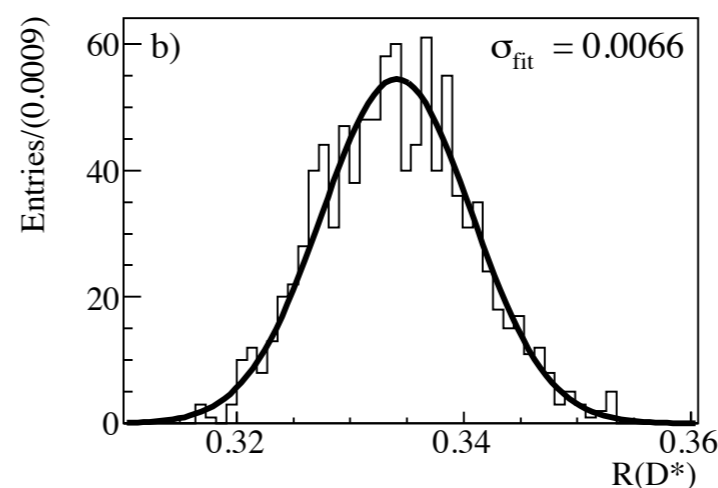
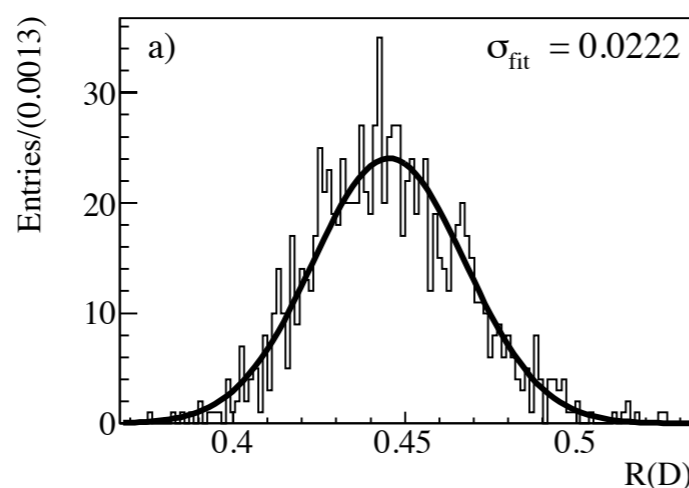


Statistical uncertainty

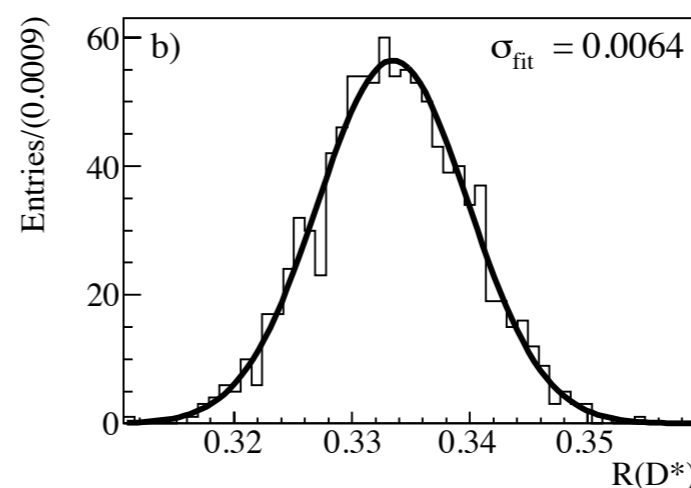
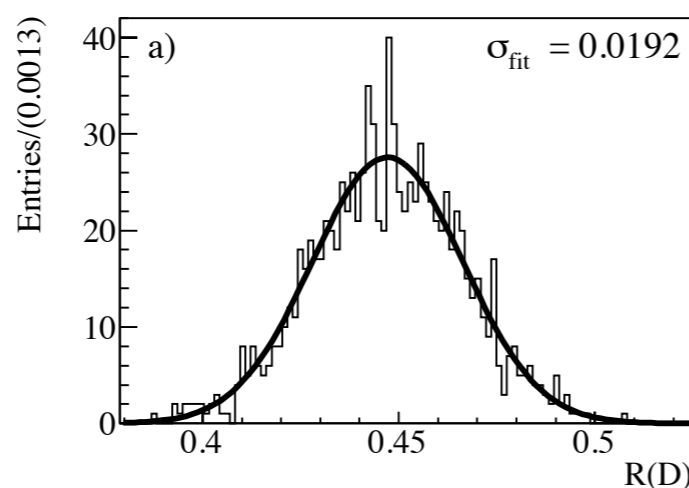


Likelihood scan

Largest systematic uncertainties



Variations of the  $D^{**}\ell\nu$  rate from the  $D\pi^0$  into the signal samples



Variations of the PDFs due to MC statistics

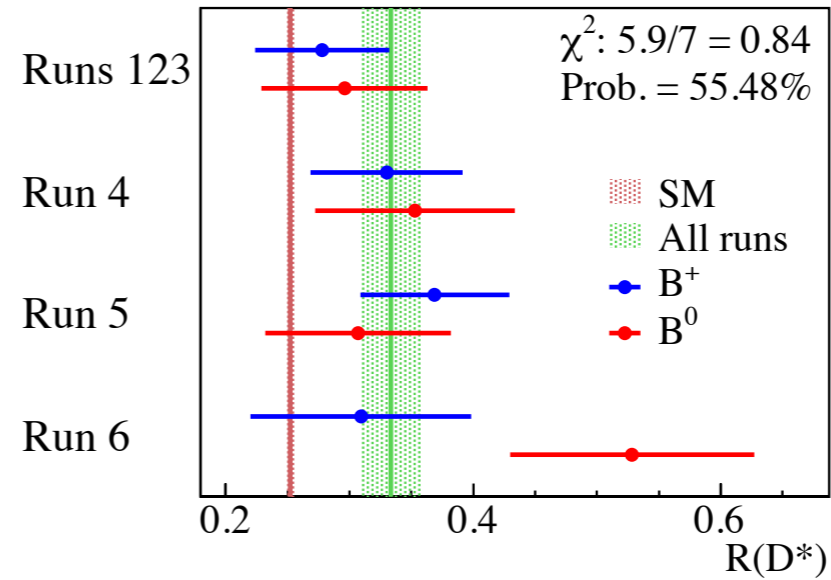
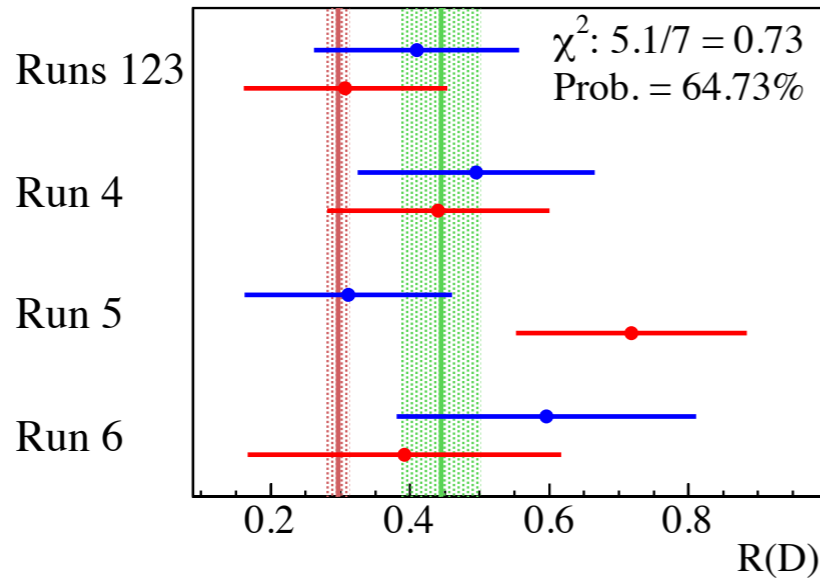




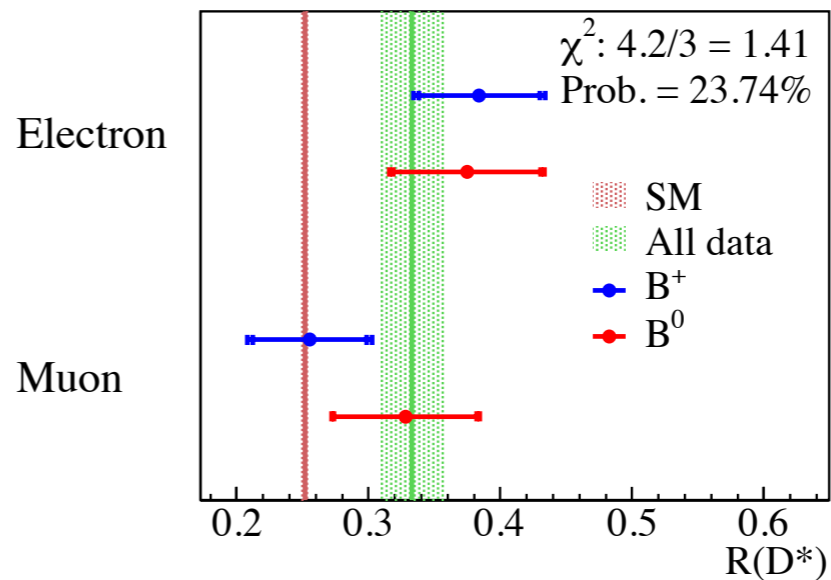
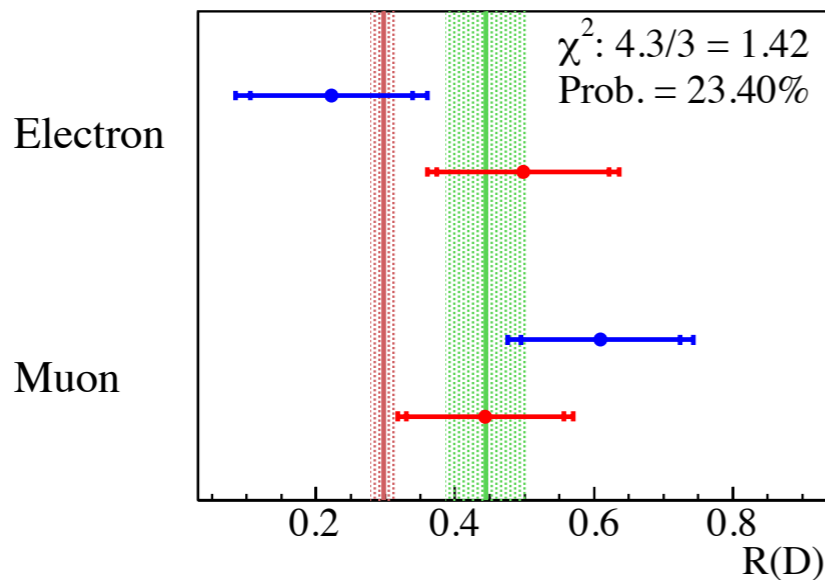
# Stability checks



Results are consistent for different run periods

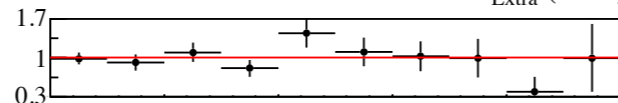
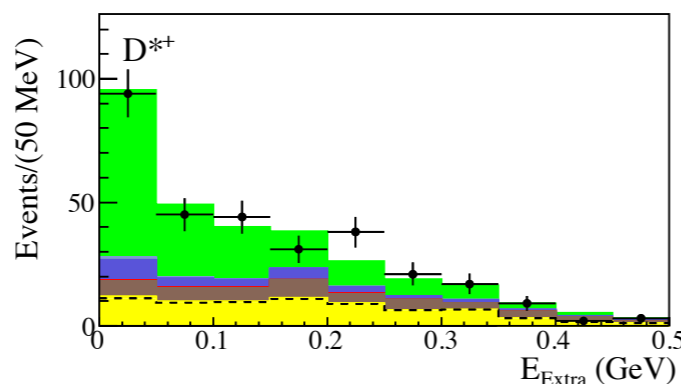
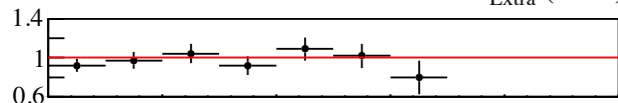
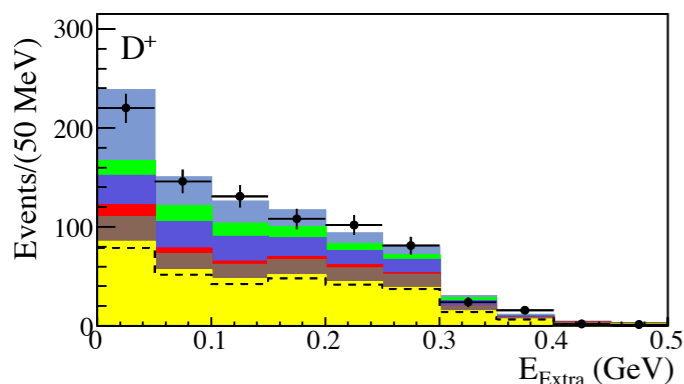
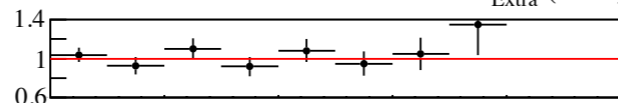
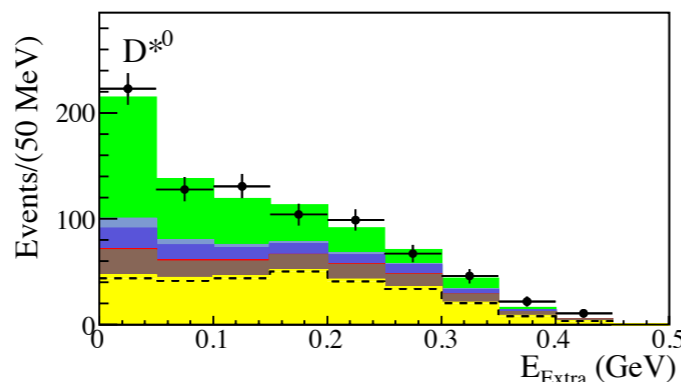
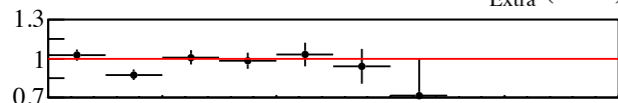
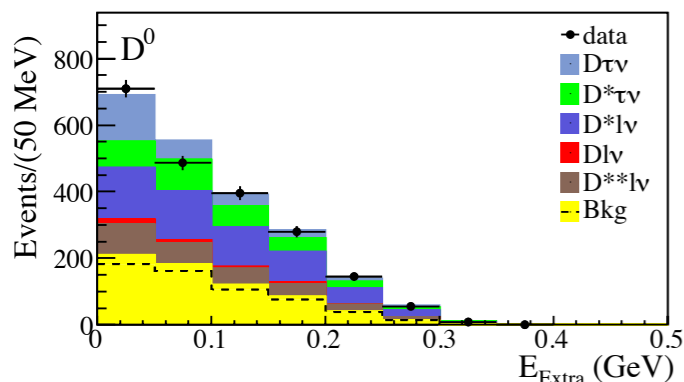


Results are consistent for e Vs  $\mu$ , within the large uncertainties





# $E_{\text{Extra}}$ after the fit



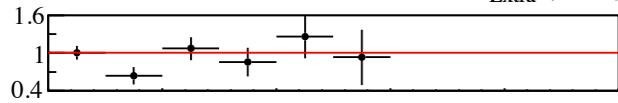
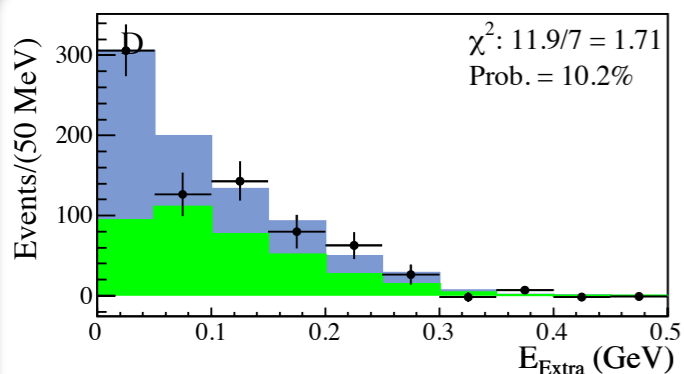
Key variable in the BDT

$$E_{\text{Extra}} = \sum_{\text{unused } \gamma} E_{\gamma}$$

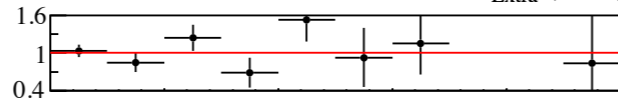
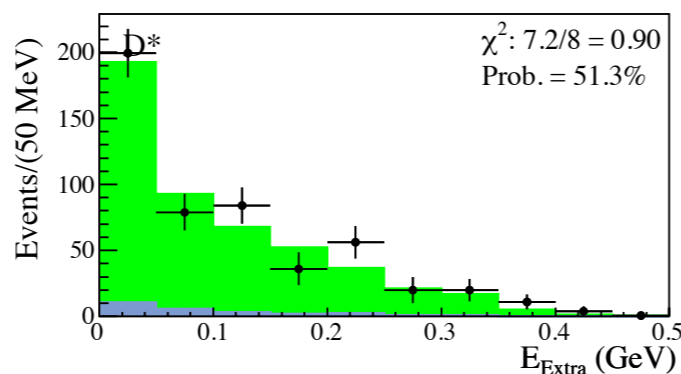
Signal peaks in  $E_{\text{Extra}}$

Re-scaled to the results of the fit

$m^2_{\text{miss}} > 1.5 \text{ GeV}^2$



$\chi^2: 11.9/7 = 1.71$   
Prob. = 10.2%



$\chi^2: 7.2/8 = 0.90$   
Prob. = 51.3%

$D^{(*)0}$  and  $D^{(*)+}$  channels combined  
Background subtracted



# Results

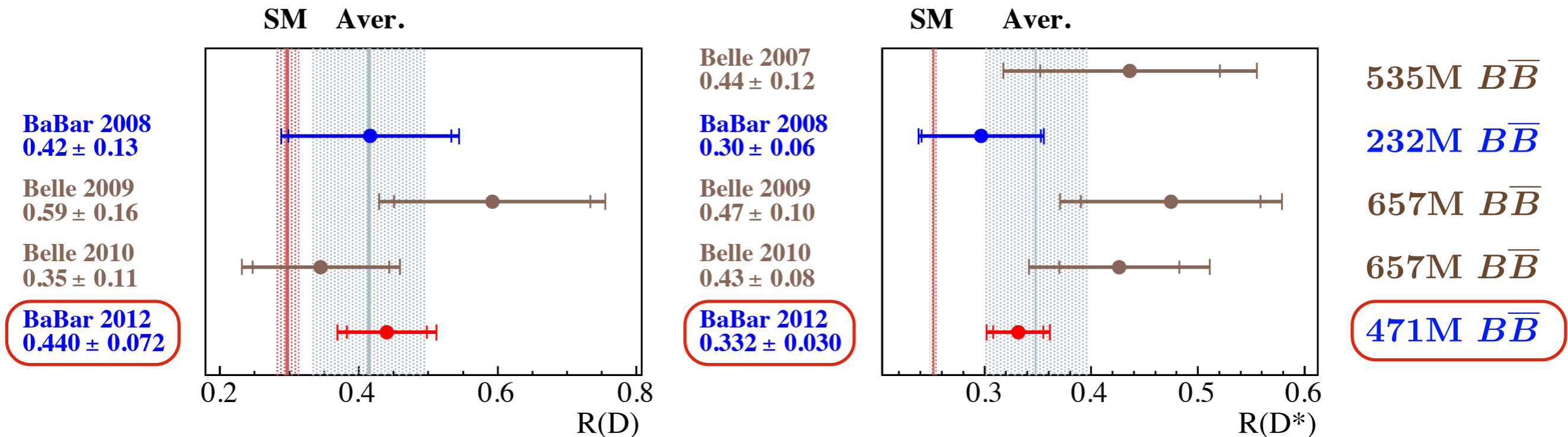


PRL 109, 101802 (2012)

Decay	$N_{\text{sig}}$	$N_{\text{norm}}$	$R(D^{(*)})$	$\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)$ (%)	$\Sigma_{\text{tot}}(\sigma)$
$D\tau^-\bar{\nu}_\tau$	$489 \pm 63$	$2981 \pm 65$	$0.440 \pm 0.058 \pm 0.042$	$1.02 \pm 0.13 \pm 0.11$	6.8
$D^*\tau^-\bar{\nu}_\tau$	$888 \pm 63$	$11953 \pm 122$	$0.332 \pm 0.024 \pm 0.018$	$1.76 \pm 0.13 \pm 0.12$	13.2

- First  $5\sigma$  observation of  $B \rightarrow D\tau\nu$
- Agreement with previous measurements

Average does not include this analysis





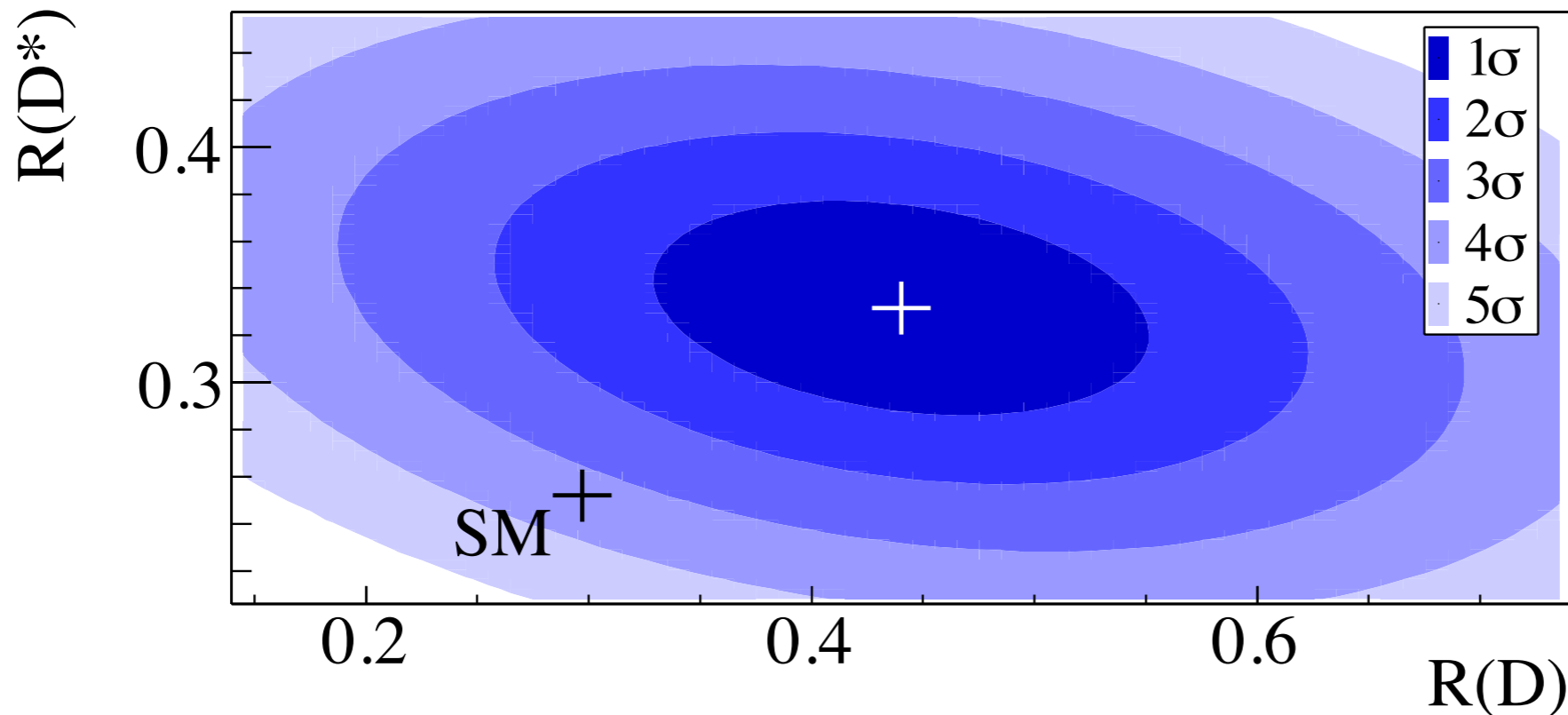
# Disagreement with SM



$$R(D) = \left\{ \begin{array}{ll} 0.440 \pm 0.072 & BABAR \\ 0.297 \pm 0.017 & SM \end{array} \right\} 2.0\sigma$$

$$R(D^*) = \left\{ \begin{array}{ll} 0.332 \pm 0.030 & BABAR \\ 0.252 \pm 0.003 & SM \end{array} \right\} 2.7\sigma$$

**3.4σ**  
3.2σ with largest  $R(D)_{SM}$   
PRL 109, 071802 (2012)



**$R(D)$  and  $R(D^*)$   
not independent**

**-27% correlation**



# Type II 2HDM calculation



• **SM matrix element**

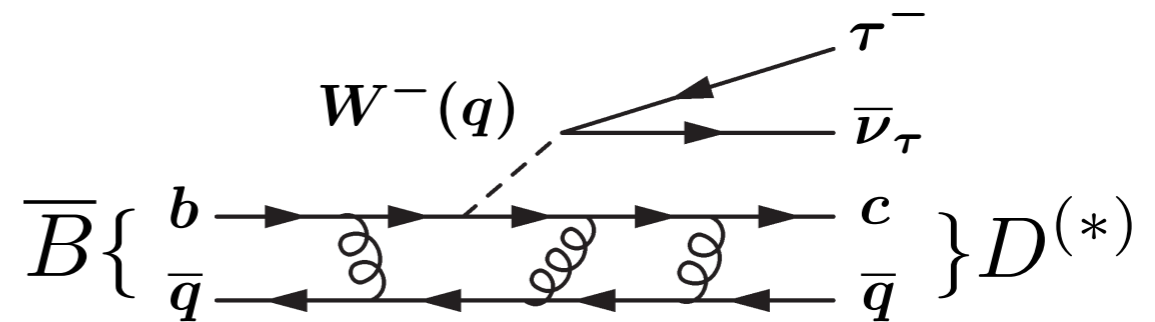
$$\mathcal{M}_{\lambda_M}^{\lambda_\ell}(q^2, \theta_\ell) \Big|_W = \frac{G_F V_{cb}}{\sqrt{2}} \sum_{\lambda_W} L_{\lambda_W}^{\lambda_\ell} H_{\lambda_W}^{\lambda_M}$$

•  $L_{\lambda_W}^{\lambda_\ell}$  are the **leptonic currents**

• Simple functions of  $q^2$  and  $\theta_1$

•  $H_{\lambda_W}^{\lambda_H}$  are the **hadronic currents**

• Parameterized by Form Factors



• **H<sup>-</sup>** enters through the **scalar current**  $H_s^{2\text{HDM}} \approx H_s^{\text{SM}} \times \left( 1 - \frac{\tan^2 \beta}{m_{H^+}^2} \frac{q^2}{1 \mp m_c/m_b} \right)$

• We re-weight the simulation to account for it

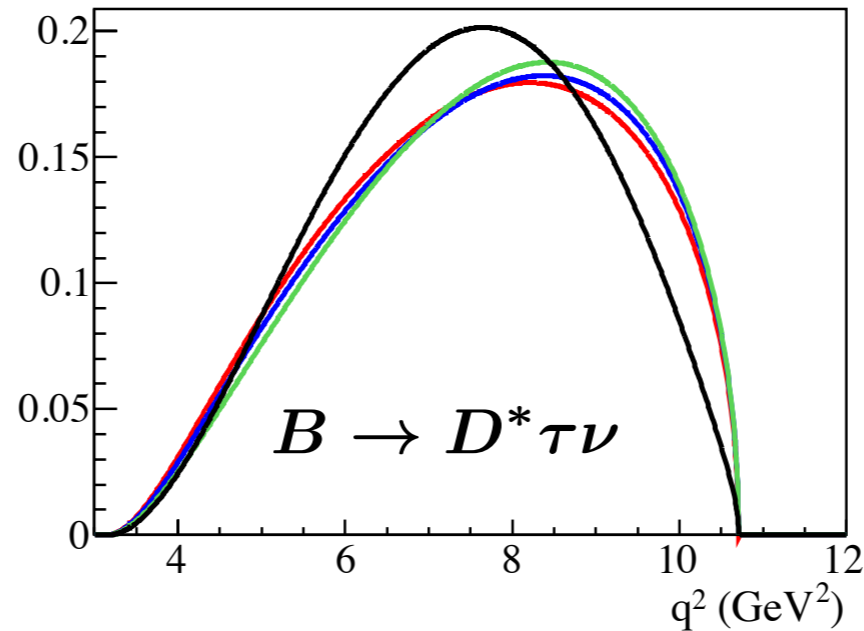
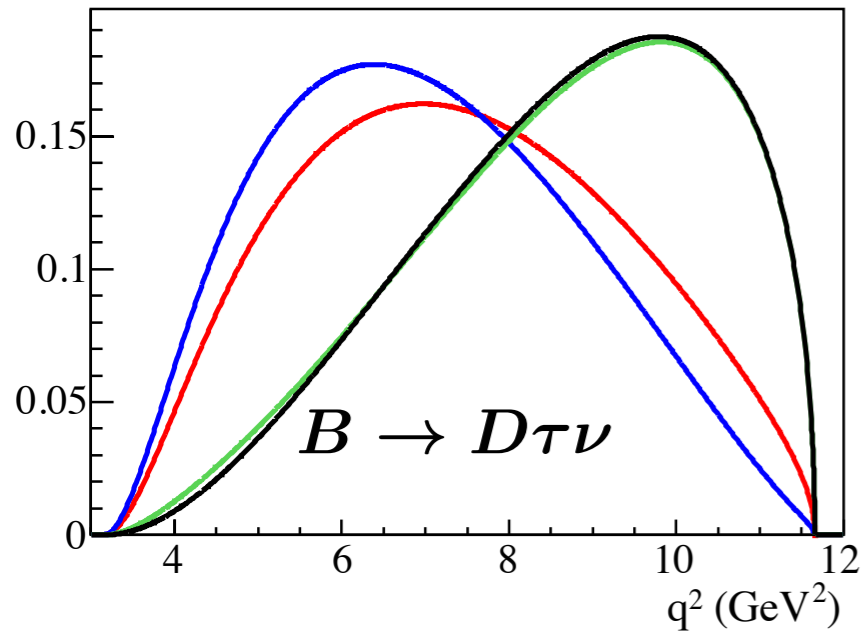
*Type II Two-Higgs-Doublet Model*



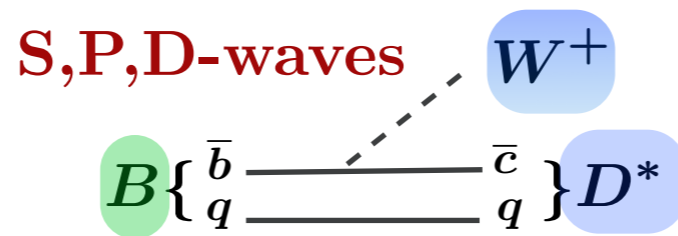
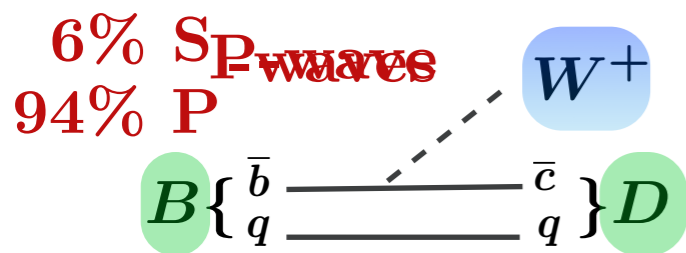
# Type II 2HDM: $q^2$



$\hookrightarrow q^2$  spectrum impacted by  $H_s^{2\text{HDM}} \approx H_s^{\text{SM}} \times \left( 1 - \frac{\tan^2 \beta}{m_{H^+}^2} \frac{q^2}{1 \mp m_c/m_b} \right)$

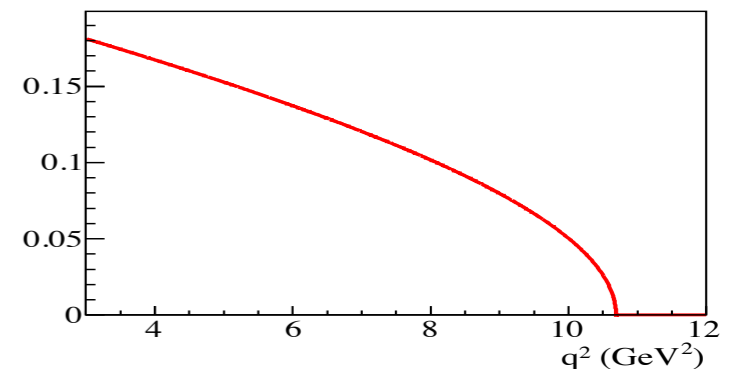
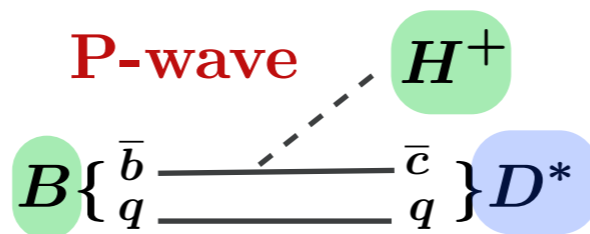
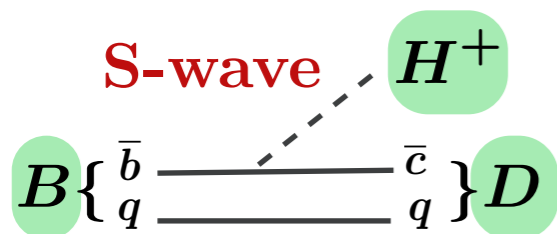


- SM
- $\tan\beta/m_{H^+} = 0.3 \text{ GeV}^{-1}$
- $\tan\beta/m_{H^+} = 0.5 \text{ GeV}^{-1}$
- $\tan\beta/m_{H^+} = 1.0 \text{ GeV}^{-1}$



**Spin 0**    **Spin 1**

**P**-waves pick up a factor of  $p_{D^{(*)}}$



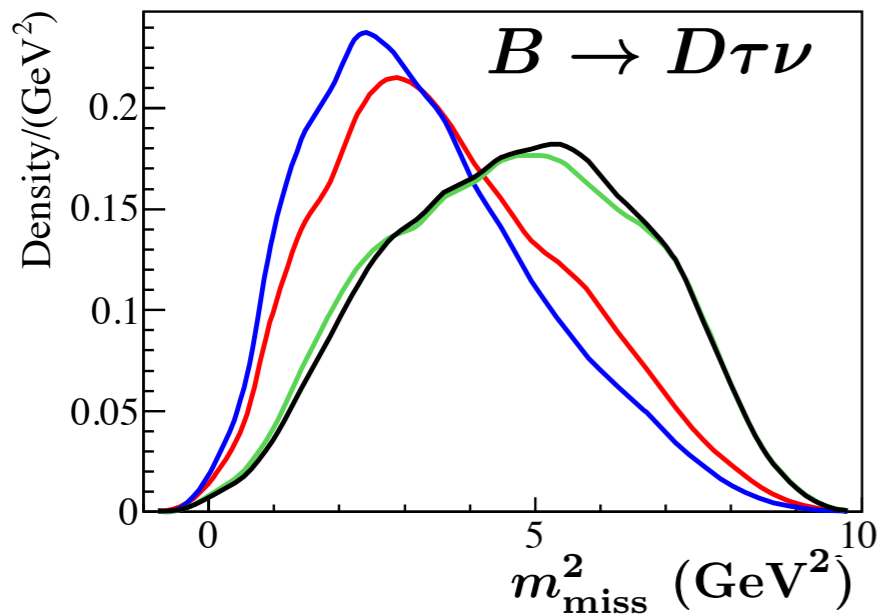
$B \rightarrow D^{(*)} \tau \nu$



# Type II 2HDM: PDFs



PDFs re-calculated in the  
2HDM context



*Higgs impact on  $m^2_{miss}$  similar to  $q^2$*

$$m^2_{miss} = \left( \overbrace{p_{e^+e^-}}^q - \overbrace{p_{B_{\text{tag}}} + p_{D^{(*)}} + p_\ell}^{p_{B_{\text{sig}}}} \right)^2 = (q - p_\ell)^2$$

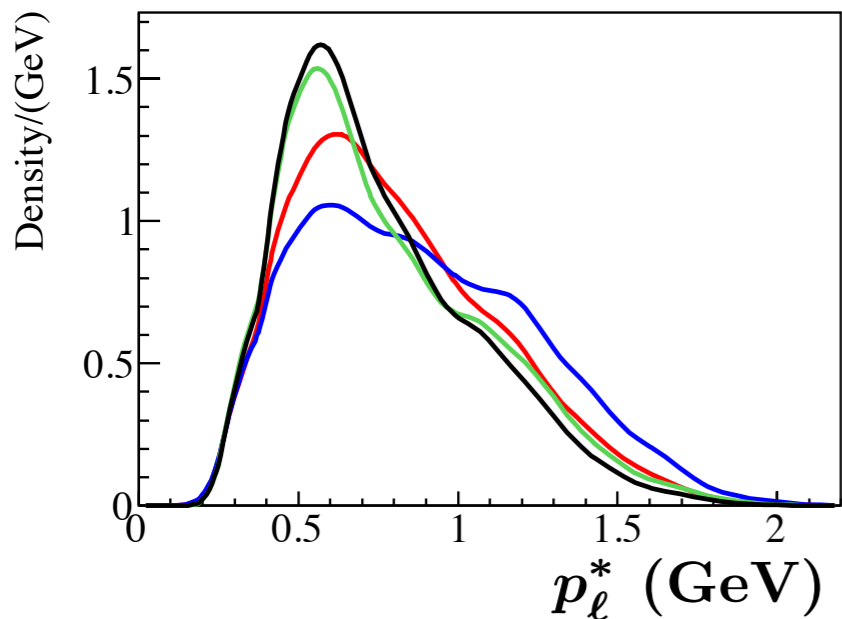
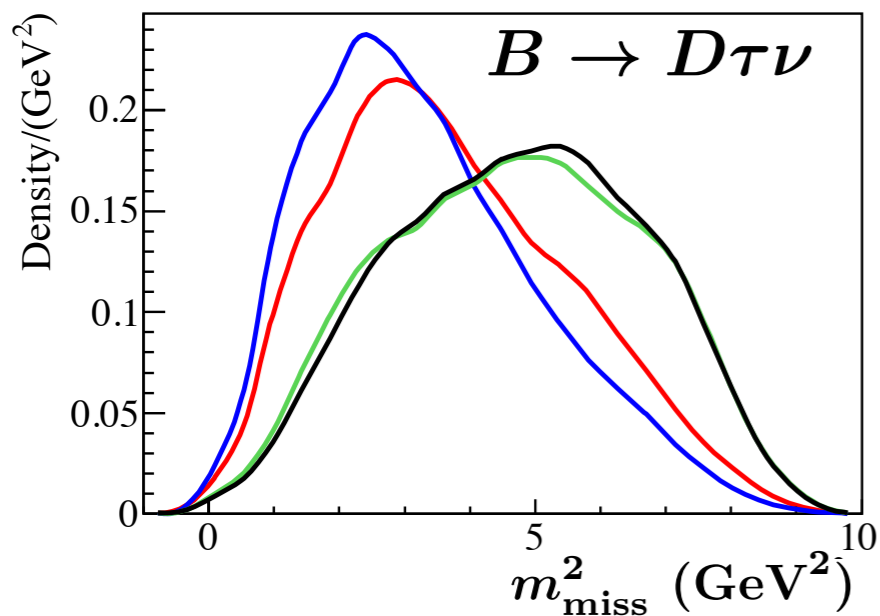
$B_{\text{sig}}(p_{B_{\text{sig}}}) \begin{array}{c} \text{---} H^*(q) \\ \text{=} D(p_D) \end{array}$



# Type II 2HDM: PDFs



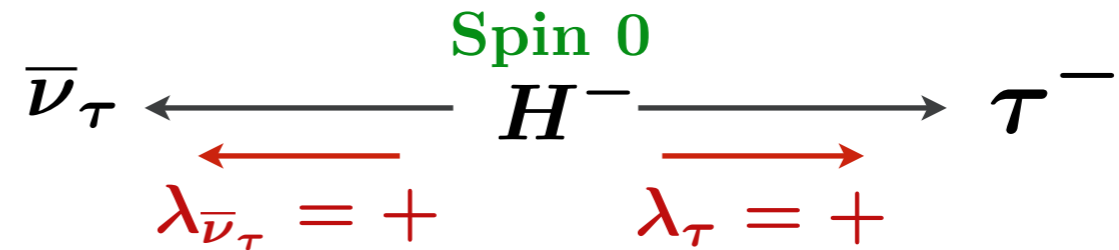
PDFs re-calculated in the 2HDM context



•  $\tau^-$  polarization in  $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$

• **SM:** Left-handed 30-80%, Right-handed 70-20%

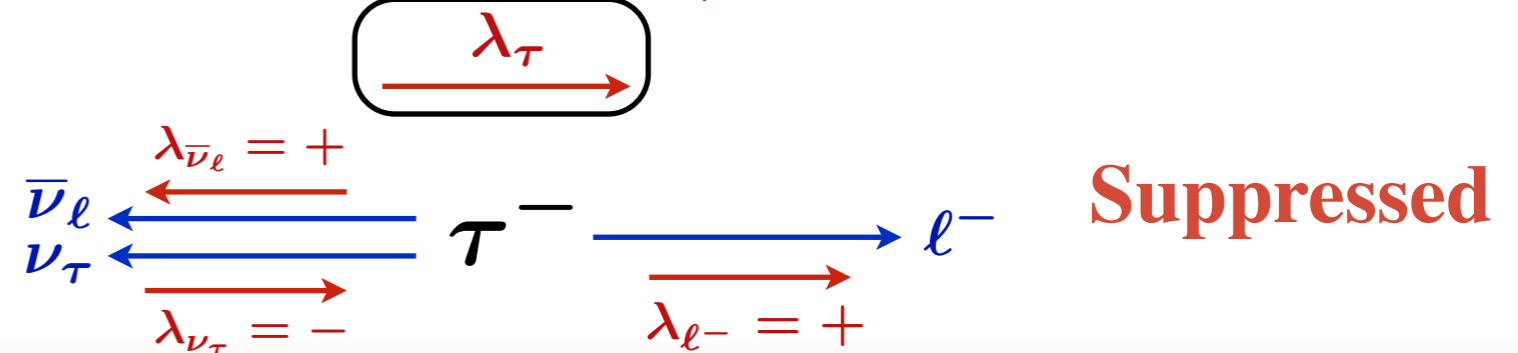
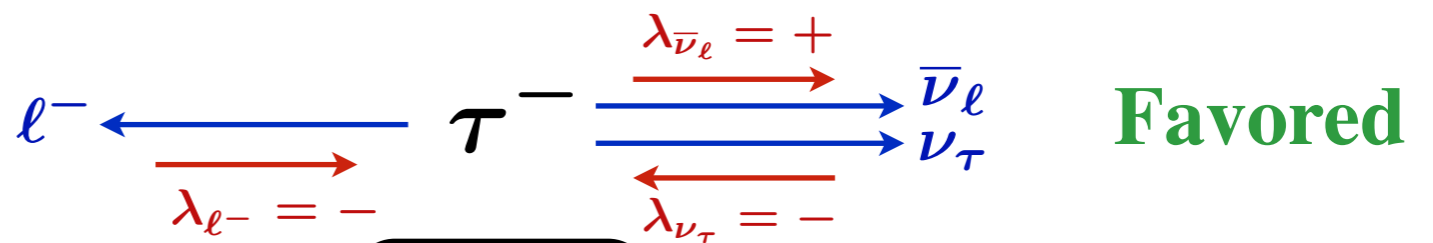
•  $\tau$  spin points back (partially) to B meson



• **2HDM:** Left-handed 0%, Right-handed 100%

•  $\tau$  spin away from B meson

$p_\ell^*$  is the momentum of the secondary lepton from  $\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$  decays in B frame



$B \rightarrow D^{(*)} \tau \nu$

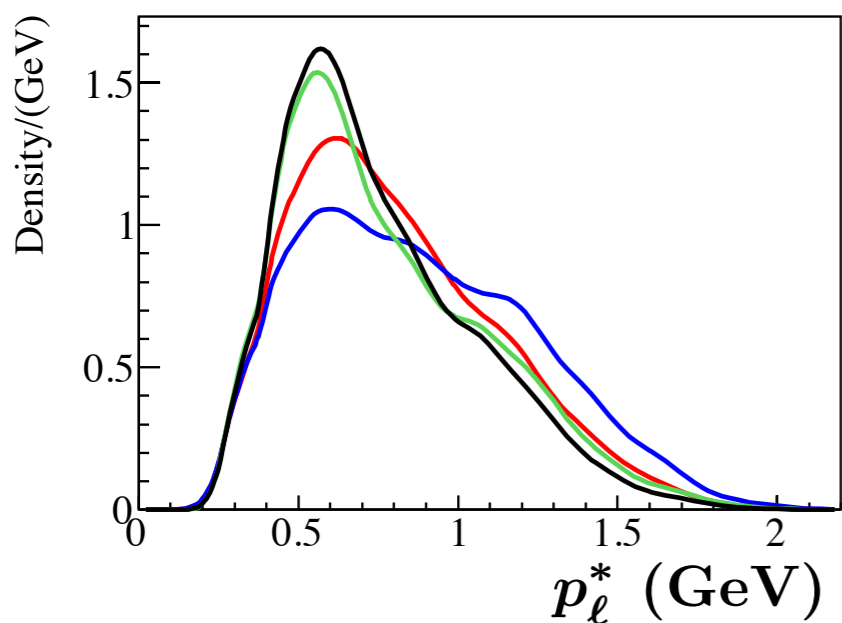
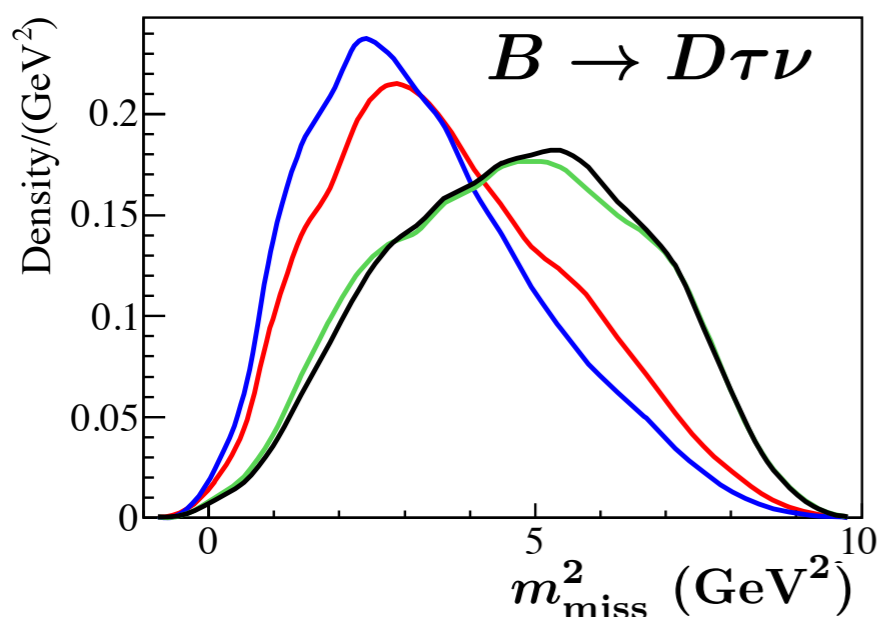




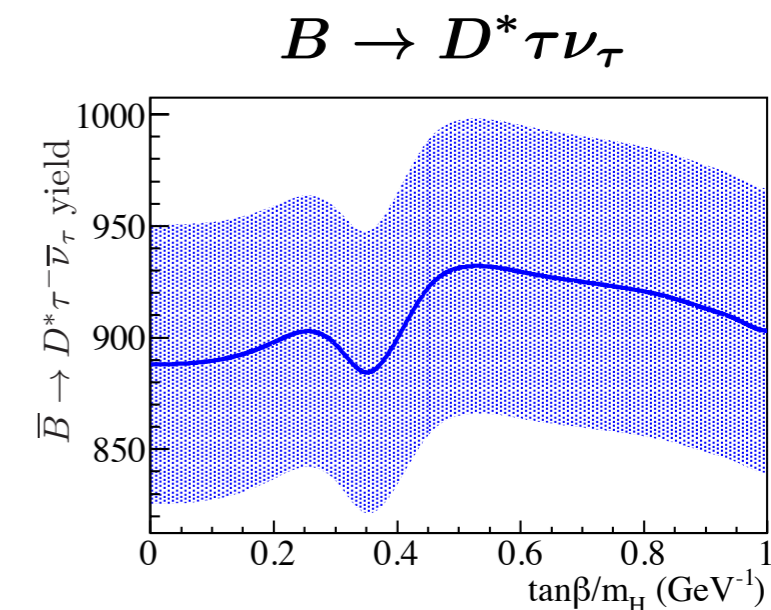
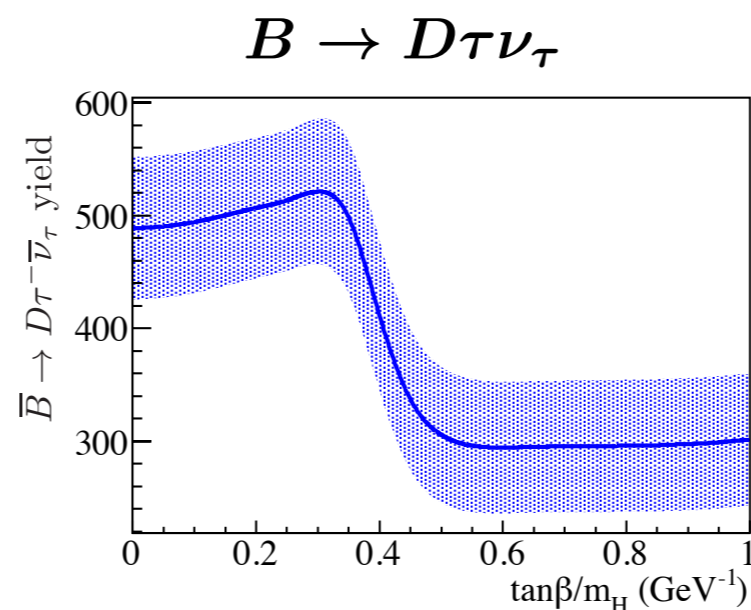
# Type II 2HDM scan



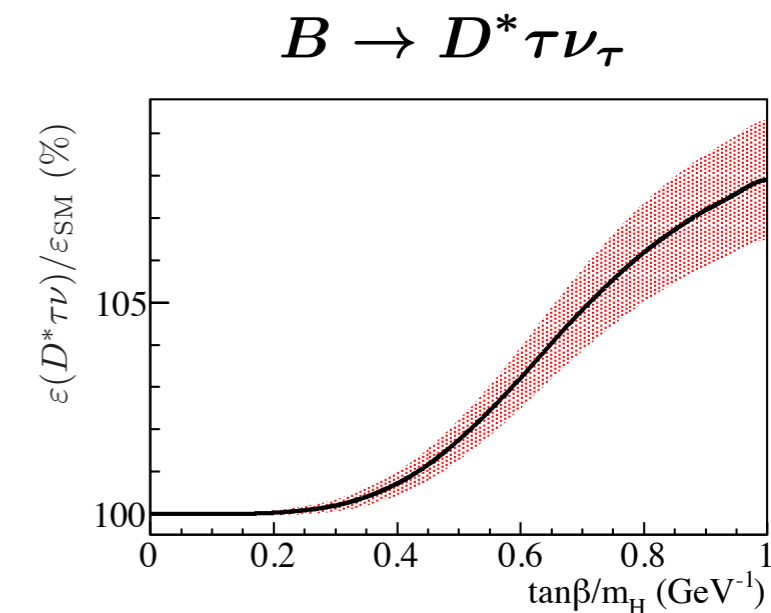
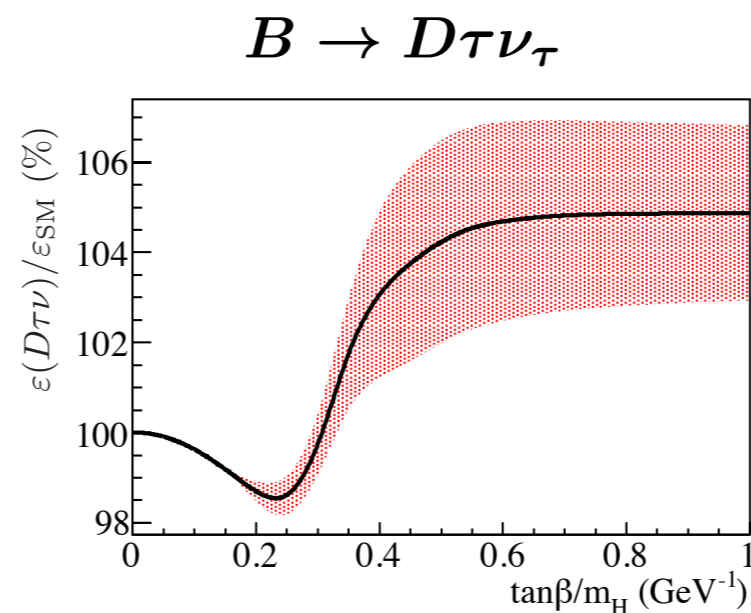
## PDFs re-calculated in the 2HDM context



## Fitted yields



## Efficiency



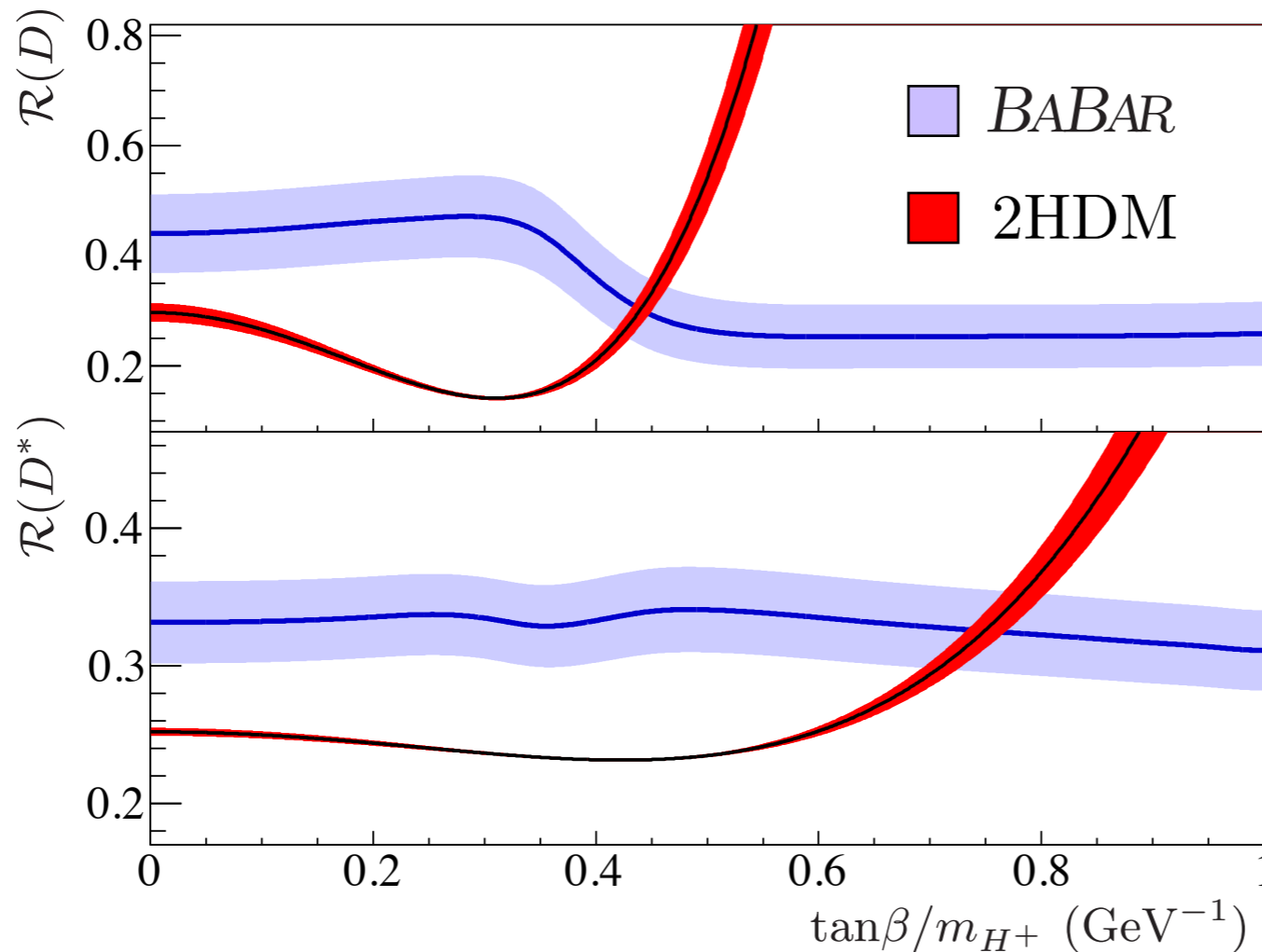
$B \rightarrow D^{(*)}\tau\nu$



# Type II 2HDM scan

$$\clubsuit \text{ Measured } R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)} = \frac{N_{\text{sig}}}{N_{\text{norm}}} \times \frac{\epsilon_{\text{norm}}}{\epsilon_{\text{sig}}}$$

in the **full 2HDM parameter space**



$$\tan\beta/m_{H^+} = 0.44 \pm 0.02 \text{ GeV}^{-1}$$

$$\tan\beta/m_{H^+} = 0.75 \pm 0.04 \text{ GeV}^{-1}$$

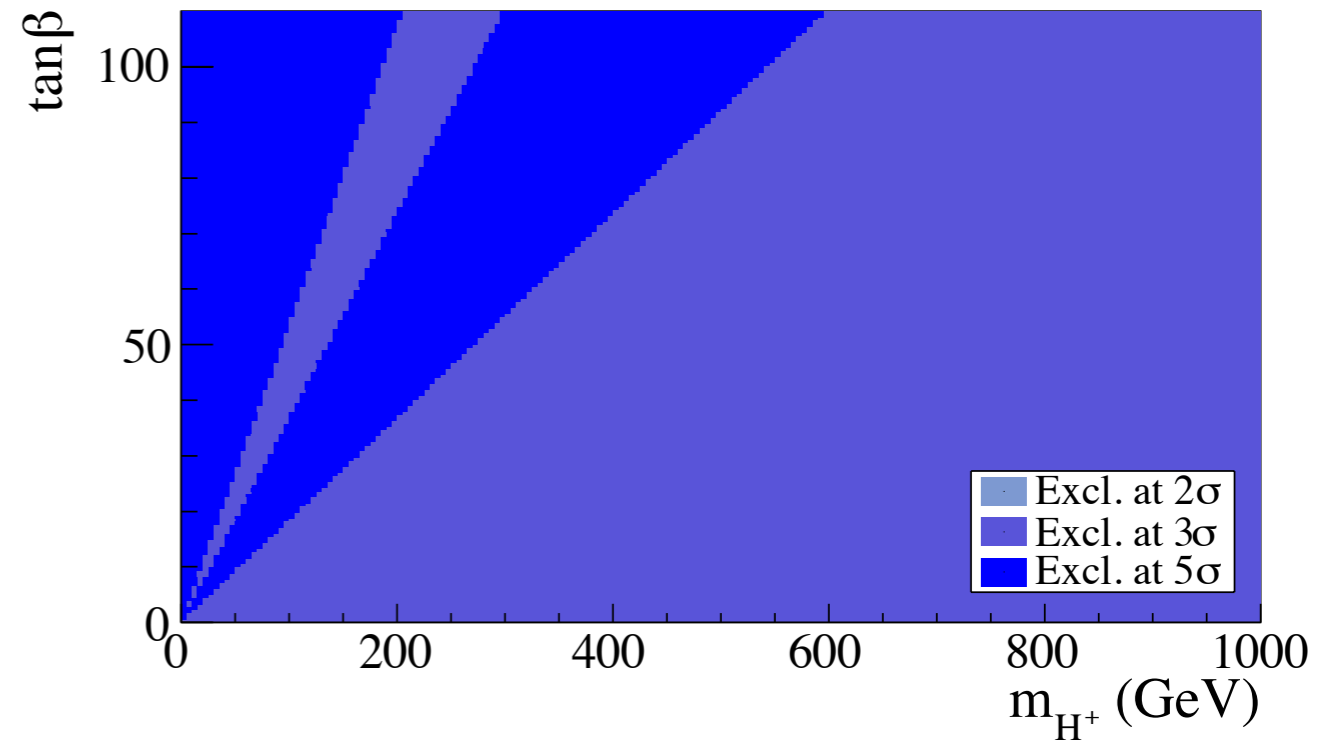
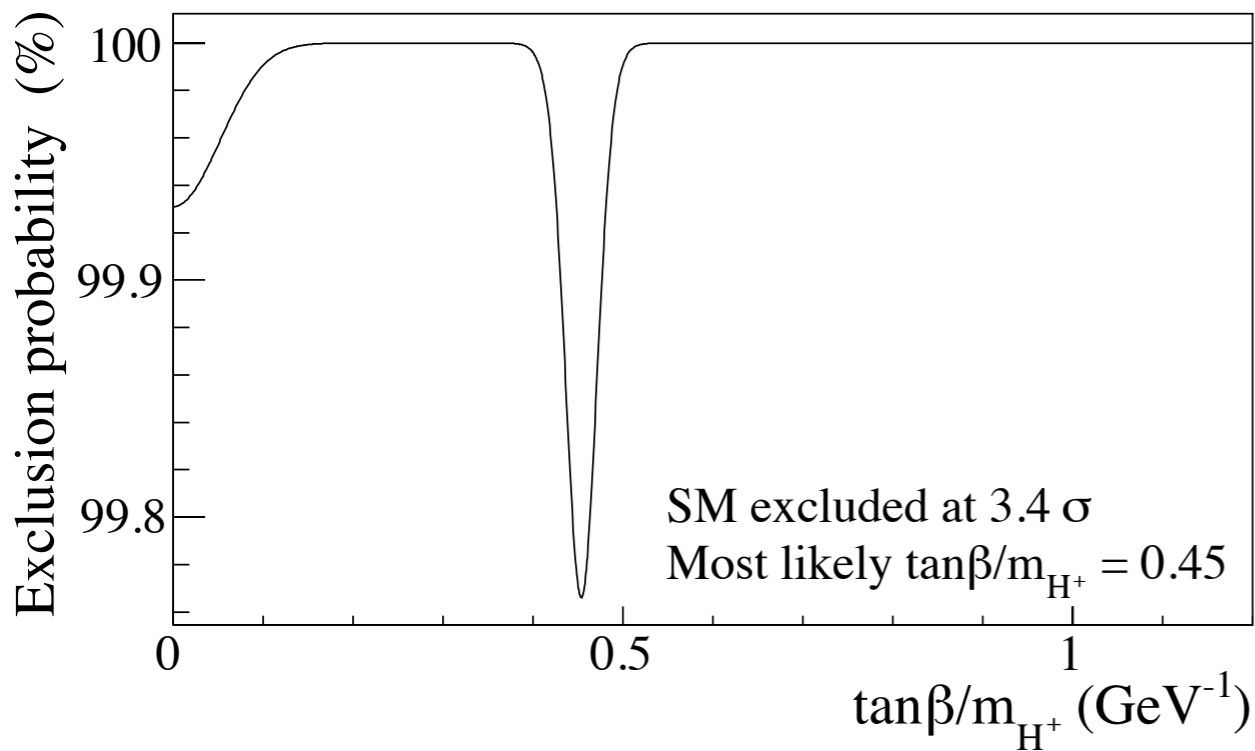


# Type II 2HDM



Compatibility of  $\Delta(D^{(*)}) = R(D^{(*)})_{\text{exp}} - R(D^{(*)})_{\text{2HDM}}$  given by a  $\chi^2$  for each 2HDM point

$$\chi^2(\tan\beta/m_{H^+}) = (\Delta(D), \Delta(D^*)) \begin{pmatrix} \sigma_{\text{exp}}^2 + \sigma_{\text{th}}^2 & \rho \sigma_{\text{exp}} \sigma_{\text{exp}}^* \\ \rho \sigma_{\text{exp}} \sigma_{\text{exp}}^* & \sigma_{\text{exp}}^{*2} + \sigma_{\text{th}}^{*2} \end{pmatrix}^{-1} \begin{pmatrix} \Delta(D) \\ \Delta(D^*) \end{pmatrix}$$



**Type II 2HDM excluded at 99.8%, or equivalently, 3.1σ**



# Type III 2HDM

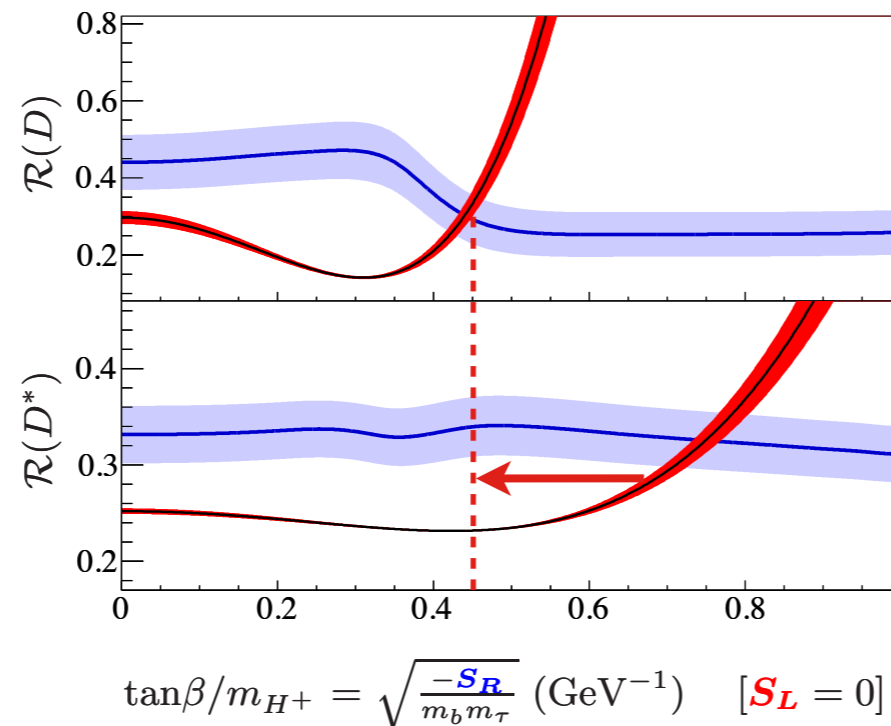


## General spin-0 interactions

Datta et al, PRD 86, 034027 (2012)

$$\mathcal{H}_{\text{eff}} = \frac{4G_F V_{cb}}{\sqrt{2}} \left[ (\bar{c}\gamma_\mu P_L b) (\bar{\tau}\gamma^\mu P_L \nu_\tau) + \mathbf{S}_R (\bar{c}P_R b) (\bar{\tau}P_L \nu_\tau) + \mathbf{S}_L (\bar{c}P_L b) (\bar{\tau}P_L \nu_\tau) \right]$$

## Type II 2HDM



No solutions

## $\mathcal{R}(D) - \mathcal{R}(D^*)$ depend on independent NP parameters

$$\mathcal{R}(D) = \mathcal{R}(D)_{\text{SM}} + A'_D \text{Re}(\mathbf{S}_R + \mathbf{S}_L) + B'_D |\mathbf{S}_R + \mathbf{S}_L|^2$$

$$\mathcal{R}(D^*) = \mathcal{R}(D^*)_{\text{SM}} + A'_{D^*} \text{Re}(\mathbf{S}_R - \mathbf{S}_L) + B'_{D^*} |\mathbf{S}_R - \mathbf{S}_L|^2$$



# Type III 2HDM

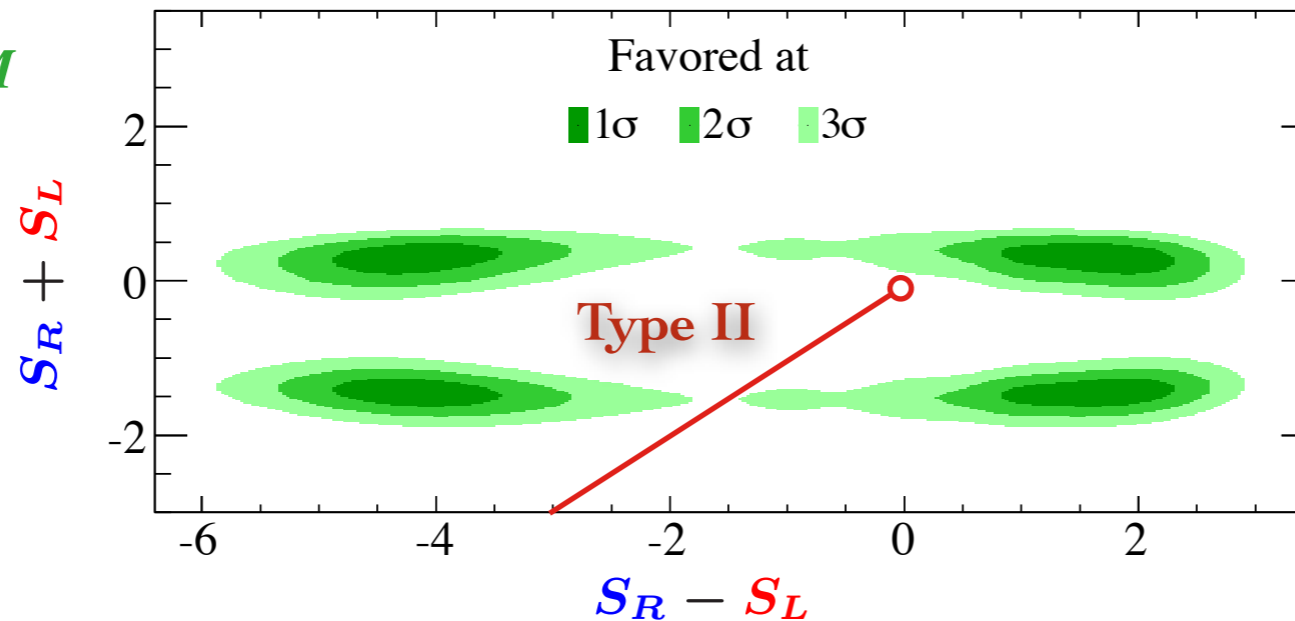


## General spin-0 interactions

Datta et al, PRD 86, 034027 (2012)

$$\mathcal{H}_{\text{eff}} = \frac{4G_F V_{cb}}{\sqrt{2}} \left[ (\bar{c}\gamma_\mu P_L b) (\bar{\tau}\gamma^\mu P_L \nu_\tau) + \mathbf{S}_R (\bar{c} P_R b) (\bar{\tau} P_L \nu_\tau) + \mathbf{S}_L (\bar{c} P_L b) (\bar{\tau} P_L \nu_\tau) \right]$$

### Type III 2HDM



4 solutions for real  $\mathbf{S}_R - \mathbf{S}_L$   
and more for complex values

## $\mathcal{R}(D) - \mathcal{R}(D^*)$ depend on independent NP parameters

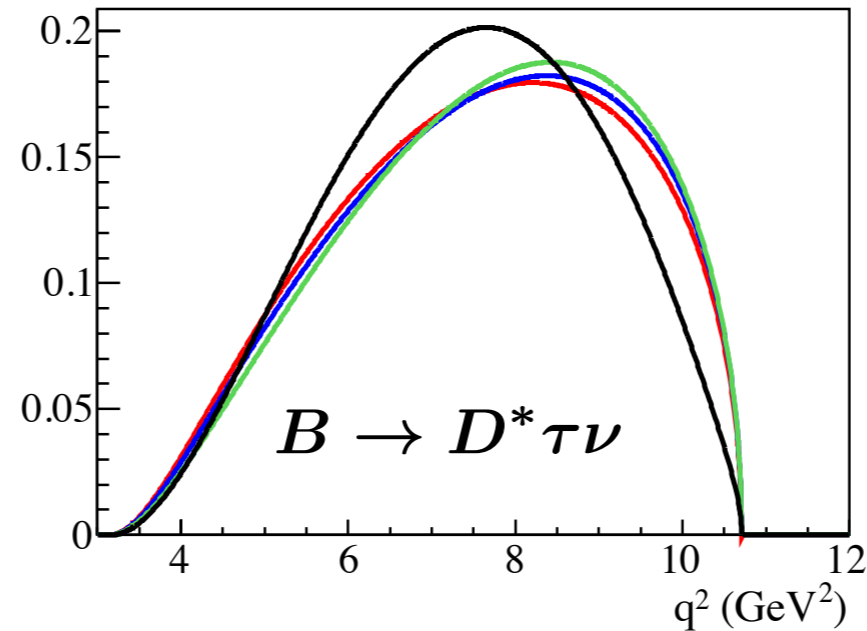
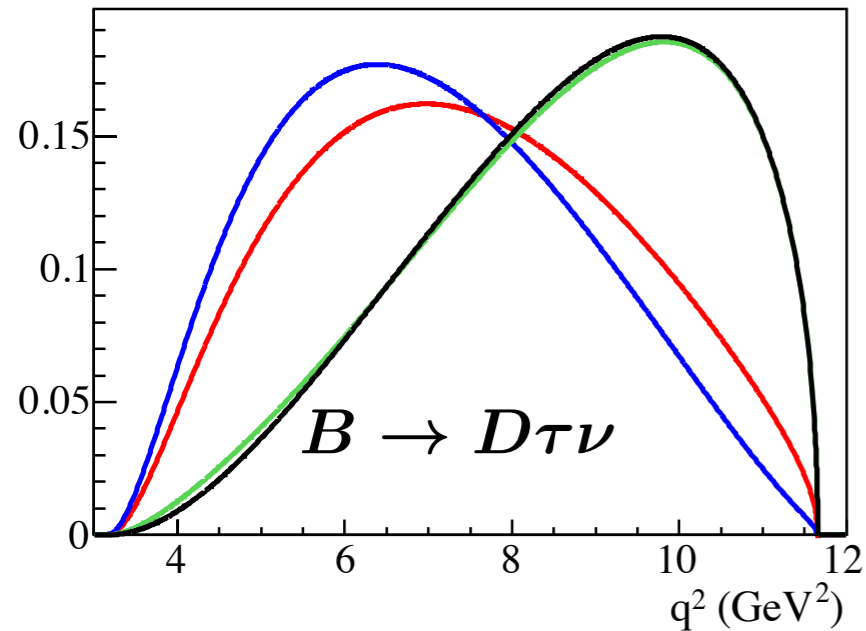
$$\mathcal{R}(D) = \mathcal{R}(D)_{\text{SM}} + A'_D \text{Re}(\mathbf{S}_R + \mathbf{S}_L) + B'_D |\mathbf{S}_R + \mathbf{S}_L|^2$$

$$\mathcal{R}(D^*) = \mathcal{R}(D^*)_{\text{SM}} + A'_{D^*} \text{Re}(\mathbf{S}_R - \mathbf{S}_L) + B'_{D^*} |\mathbf{S}_R - \mathbf{S}_L|^2$$

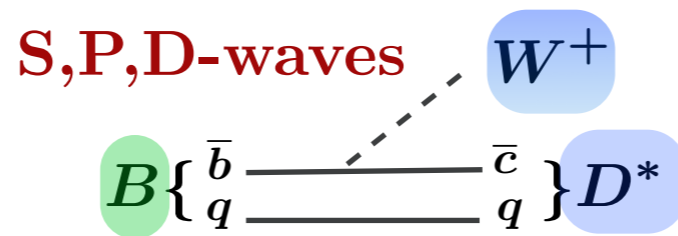
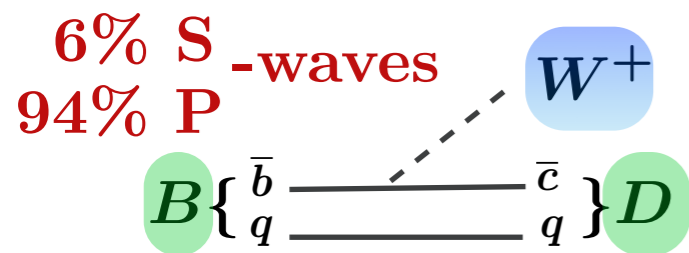


# q<sup>2</sup> spectra

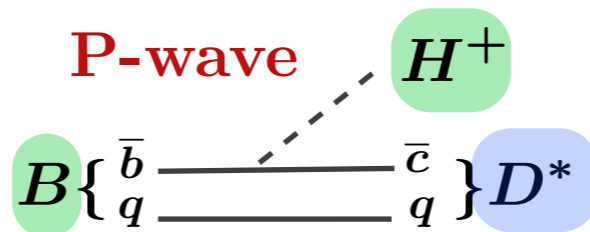
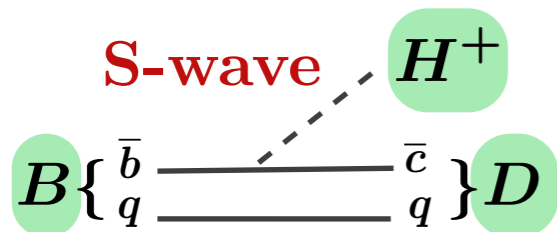
q<sup>2</sup> spectrum impacted by  $H_s^{2\text{HDM}} \approx H_s^{\text{SM}} \times \left( 1 - \frac{\tan^2 \beta}{m_{H^+}^2} \frac{q^2}{1 \mp m_c/m_b} \right)$



- SM
- $\tan\beta/m_{H^+} = 0.3 \text{ GeV}^{-1}$
- $\tan\beta/m_{H^+} = 0.5 \text{ GeV}^{-1}$
- $\tan\beta/m_{H^+} = 1.0 \text{ GeV}^{-1}$



~ P-waves have lower q<sup>2</sup> spectra, due to p<sup>2</sup><sub>D</sub>



~ B → Dτν more affected by NP than B → D\*τν

$B \rightarrow D^{(*)} \tau \nu$

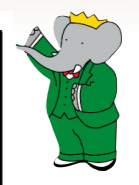
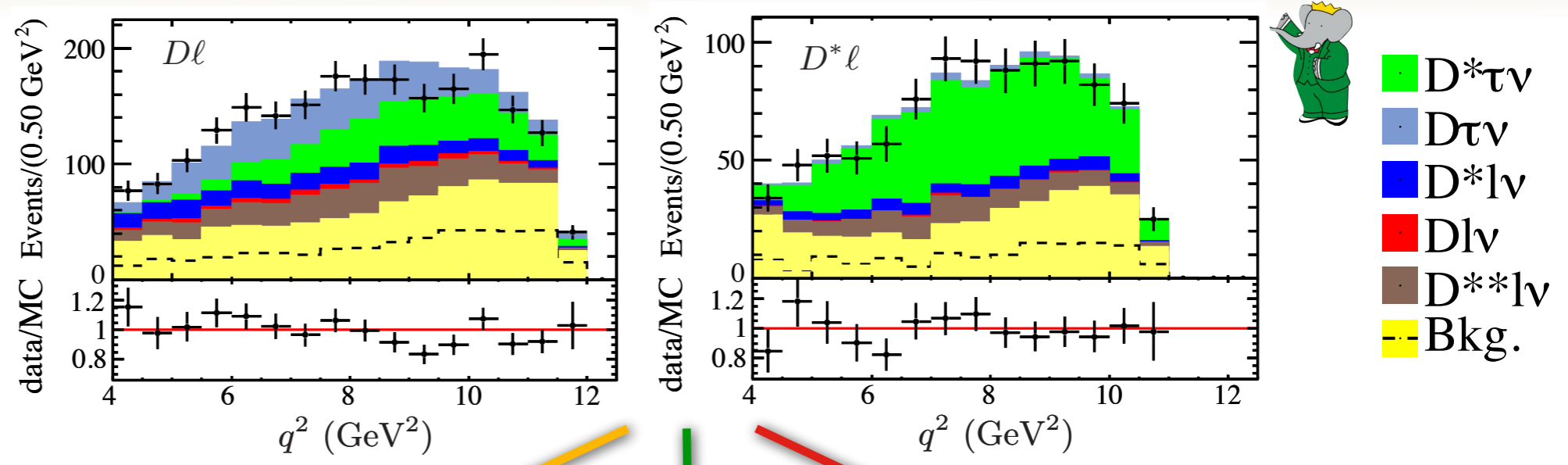


# $q^2$ spectra

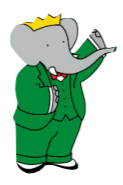
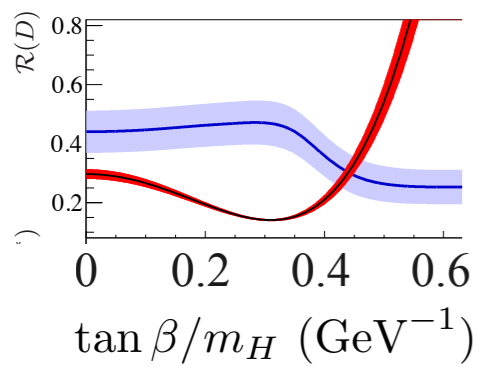
hep-ex 1303.0571

$m_{\text{miss}}^2 > 1.5 \text{ GeV}^2$

SM shape  
Yields from fit  
(40% over SM)

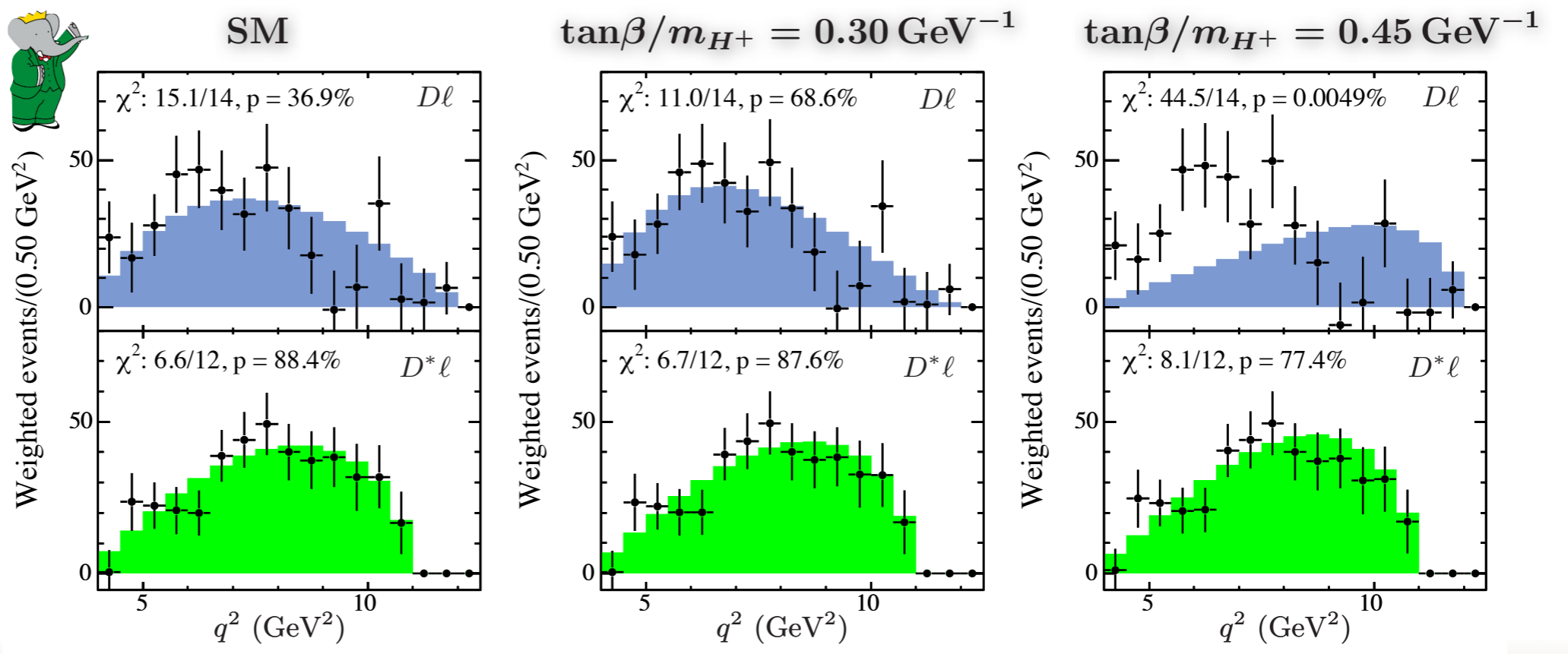


- $D^* \tau \nu$
- $D \tau \nu$
- $D^* l \nu$
- $D l \nu$
- $D^{**} l \nu$
- Bkg.



$m_{\text{miss}}^2 > 1.5 \text{ GeV}^2$

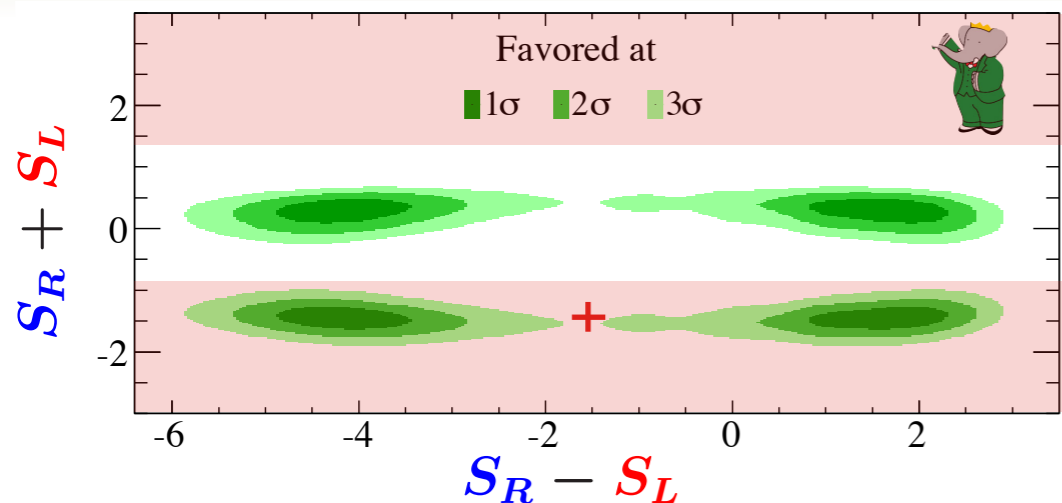
Corrected for  
relative efficiency  
Background sub.



$B \rightarrow D^{(*)} \tau \nu$



# q<sup>2</sup> spectra



q<sup>2</sup> spectra excludes 2 solutions

p-values including conservative systematics

	SM	$\frac{\tan \beta}{m_{H^+}} = 0.30 \text{ GeV}^{-1}$	$\frac{\tan \beta}{m_{H^+}} = 0.45 \text{ GeV}^{-1}$
$\bar{B} \rightarrow D\tau^- \bar{\nu}_\tau$	83.1%	95.7%	0.4%
$\bar{B} \rightarrow D^*\tau^- \bar{\nu}_\tau$	98.8%	98.9%	97.9%

$$\left. \begin{array}{l} S_R = -m_b m_\tau \tan^2 \beta / m_{H^+}^2 = -1.51 \\ S_L = 0 \end{array} \right\} S_R \pm S_L = -1.51$$

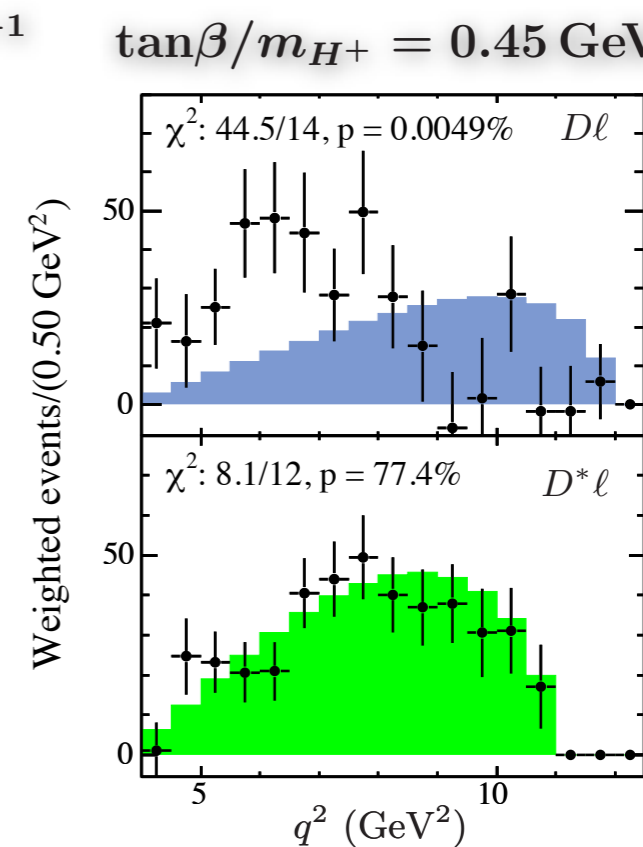
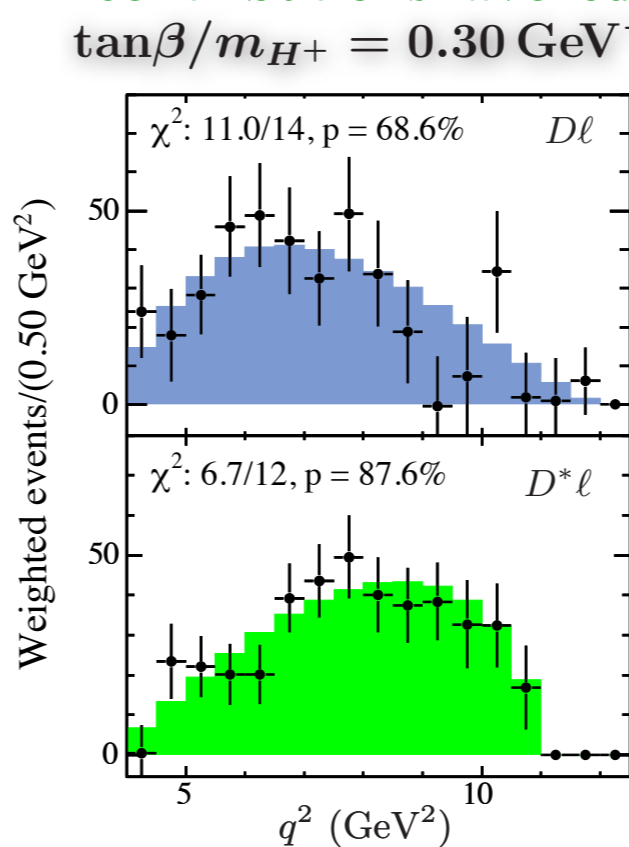
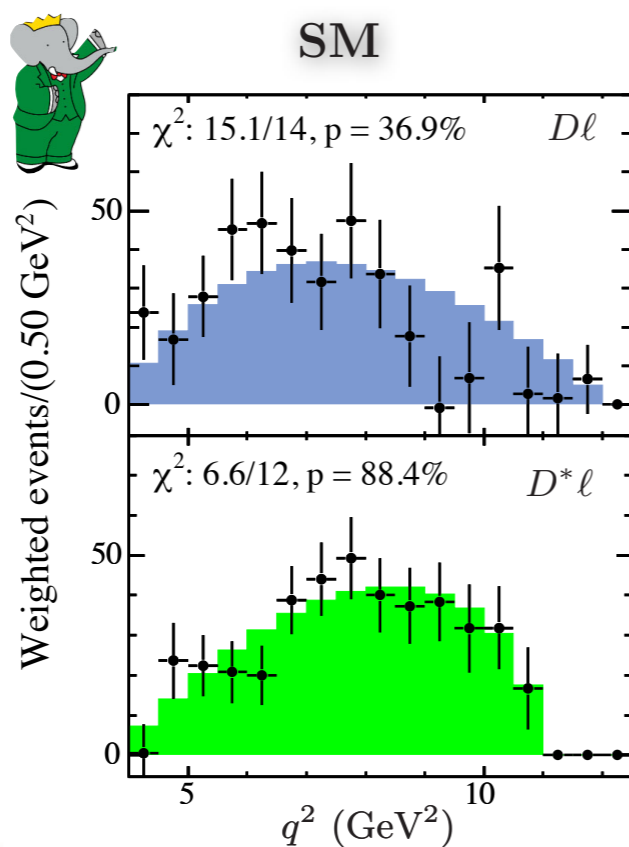
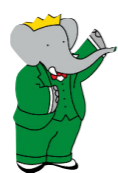
Non-zero spin contributions favored

$\tan \beta / m_{H^+} = 0.30 \text{ GeV}^{-1}$

$\tan \beta / m_{H^+} = 0.45 \text{ GeV}^{-1}$

$m_{\text{miss}}^2 > 1.5 \text{ GeV}^2$

Corrected for relative efficiency  
Background sub.



$B \rightarrow D^{(*)} \tau \nu$



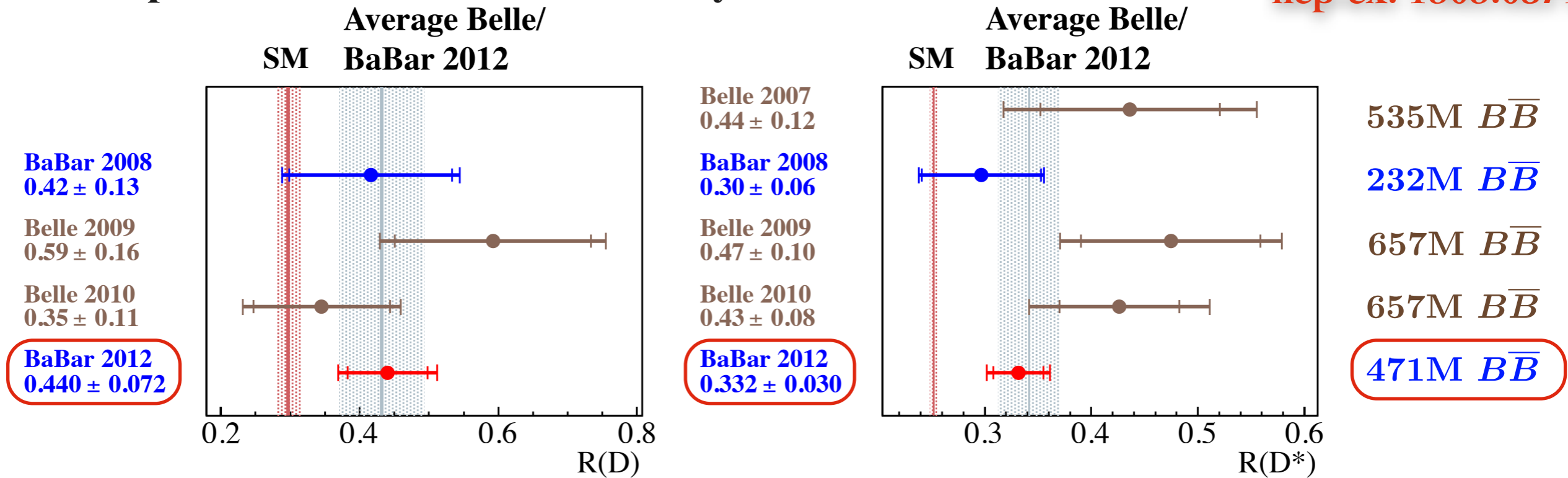


# Summary



PRL 109, 101802 (2012)  
 hep-ex: 1303.0571

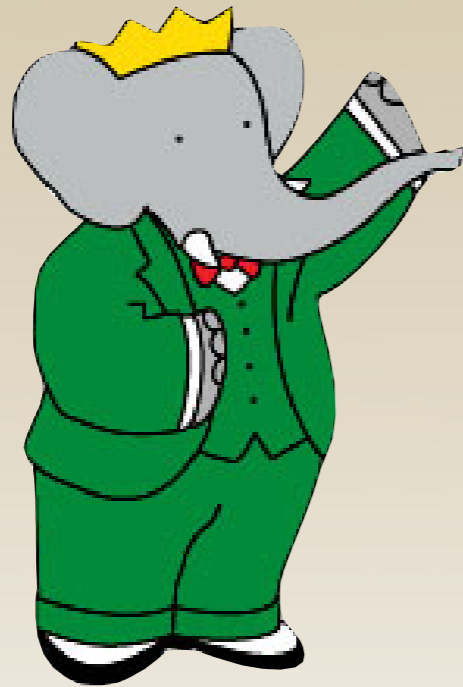
Improved  $B \rightarrow D^{(*)}\tau\nu$  uncertainty more than 2x



	R(D)	R(D*)	R(D)/R(D*)	$q^2$ spectrum
SM	$\times$ (2.0 $\sigma$ )	$\times$ (2.7 $\sigma$ )	$\times$ (3.4 $\sigma$ )	$\checkmark$
Type II 2HDM	$\checkmark$	$\checkmark$	$\times$ (3.1 $\sigma$ )	$\sim$
Type III 2HDM	$\checkmark$	$\checkmark$	$\checkmark$	$\sim$
Non-0 spin NP	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$

New Belle/BaBar results (semileptonic tag,  $\tau \rightarrow \pi\nu_\tau$ ) soon: confirmation?

Back up





# Fit PDFs: Uncertainty

- In PDF estimation, **Mean Squared Error**

$$\text{MSE}[\hat{p}(X; X_i)] = E \left[ (\hat{p}(X; X_i) - p(X))^2 \right]$$

- **Variance term** due to **limited** amount of statistics

$$\text{Var}[\hat{p}] = E \left[ (\hat{p}(X) - E[\hat{p}(X)])^2 \right]$$

- **Bias term** due to **inadequacies** of your model

$$\text{Bias}[\hat{p}] = E[\hat{p}(X)] - p(X)$$

- Difficult to estimate

- With **non-parametric**, easy to trade **Bias** for **Variance**

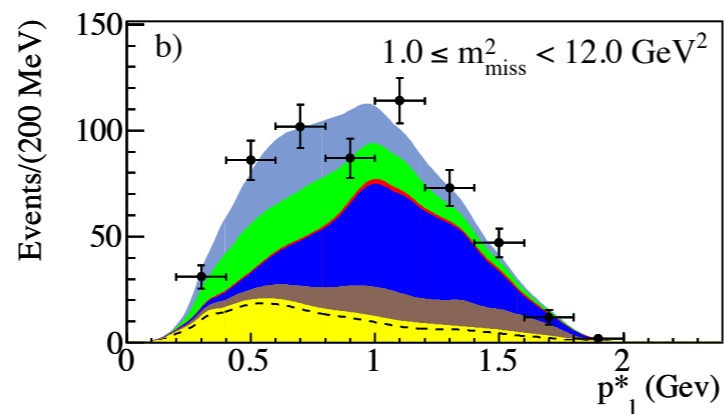
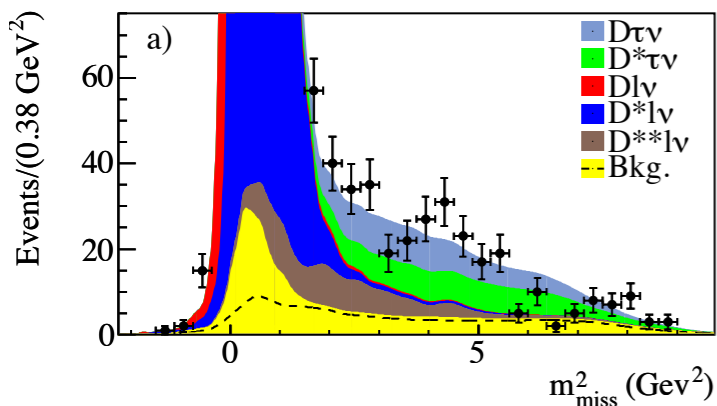
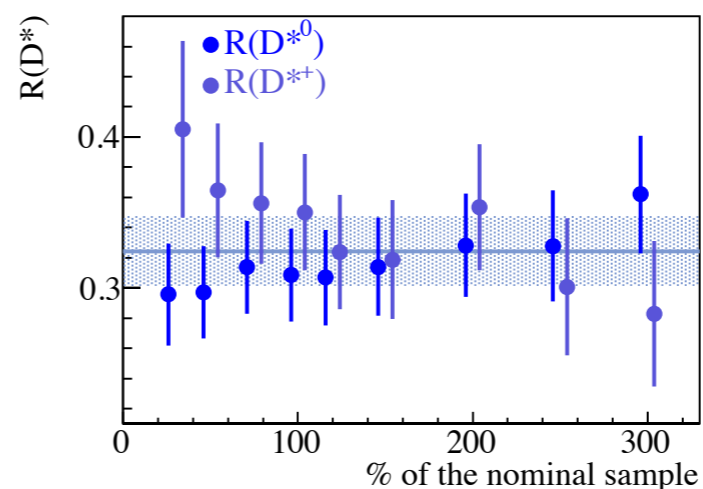
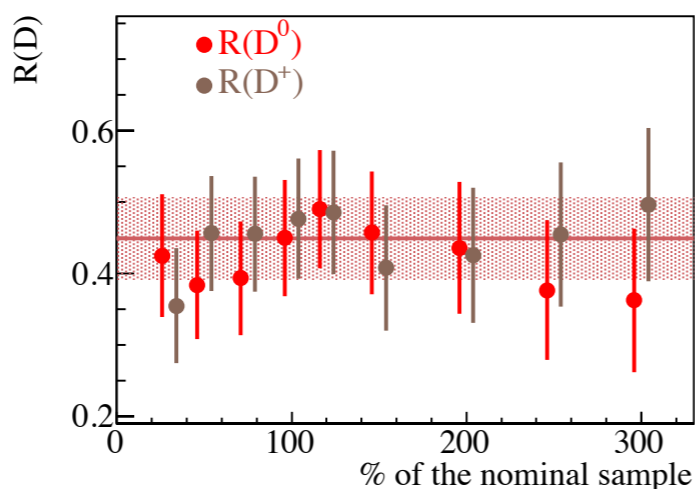
- Estimate **Variance** with **Bootstrap** algorithm



# Stability checks



Results consistent for large variations of BDT requirements



**Fit to 30% sample**

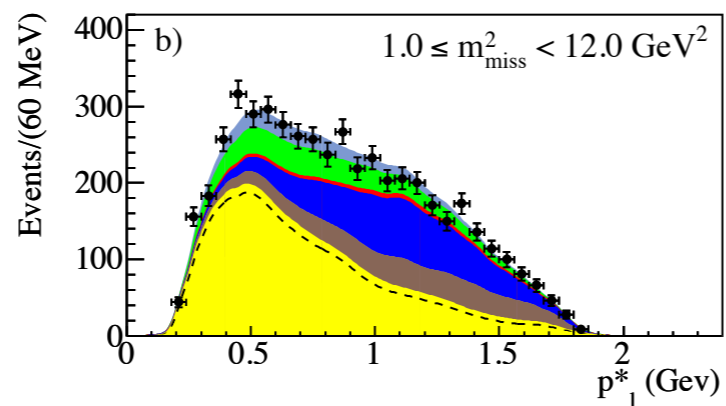
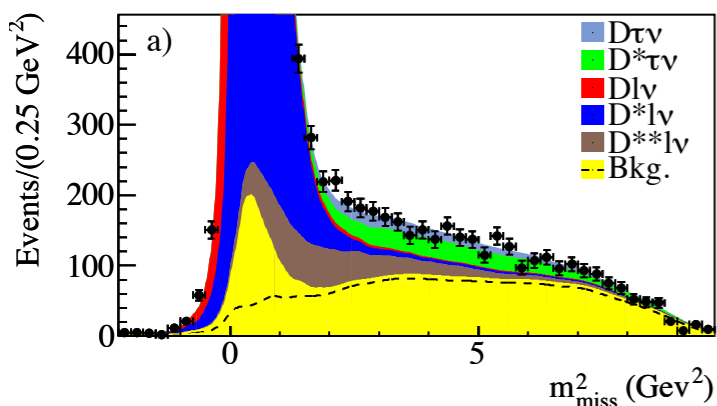
$$R(D^0) = 0.42 \pm 0.09$$

$$S/B = 1.3$$

**Fit to 100% sample (nominal)**

$$R(D^0) = 0.43 \pm 0.08$$

$$S/B = 0.8$$



**Fit to 300% sample**

$$R(D^0) = 0.35 \pm 0.10$$

$$S/B = 0.3$$

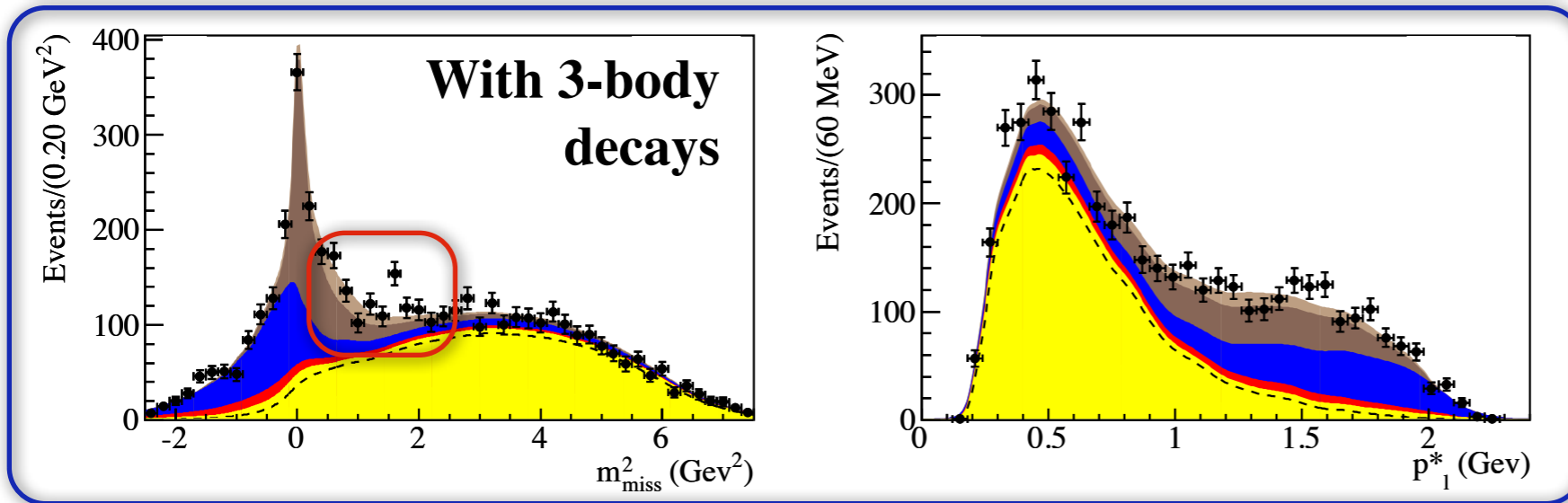
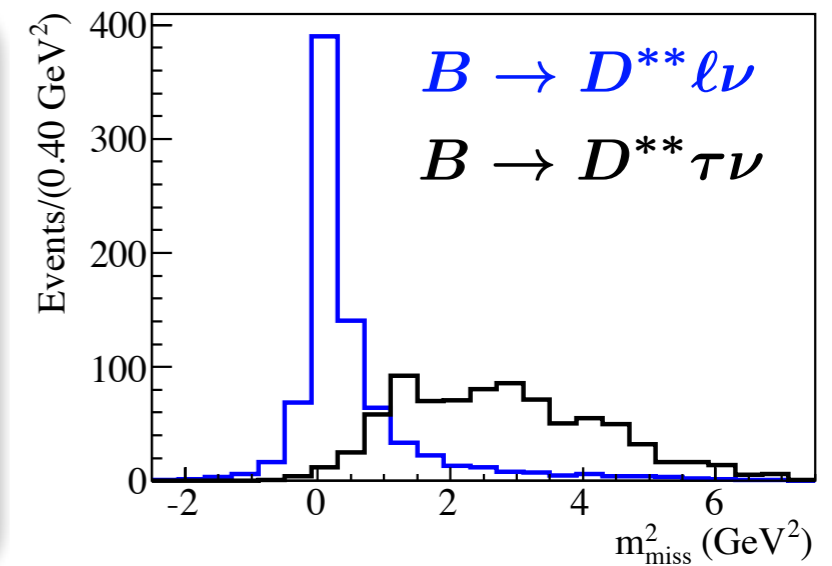
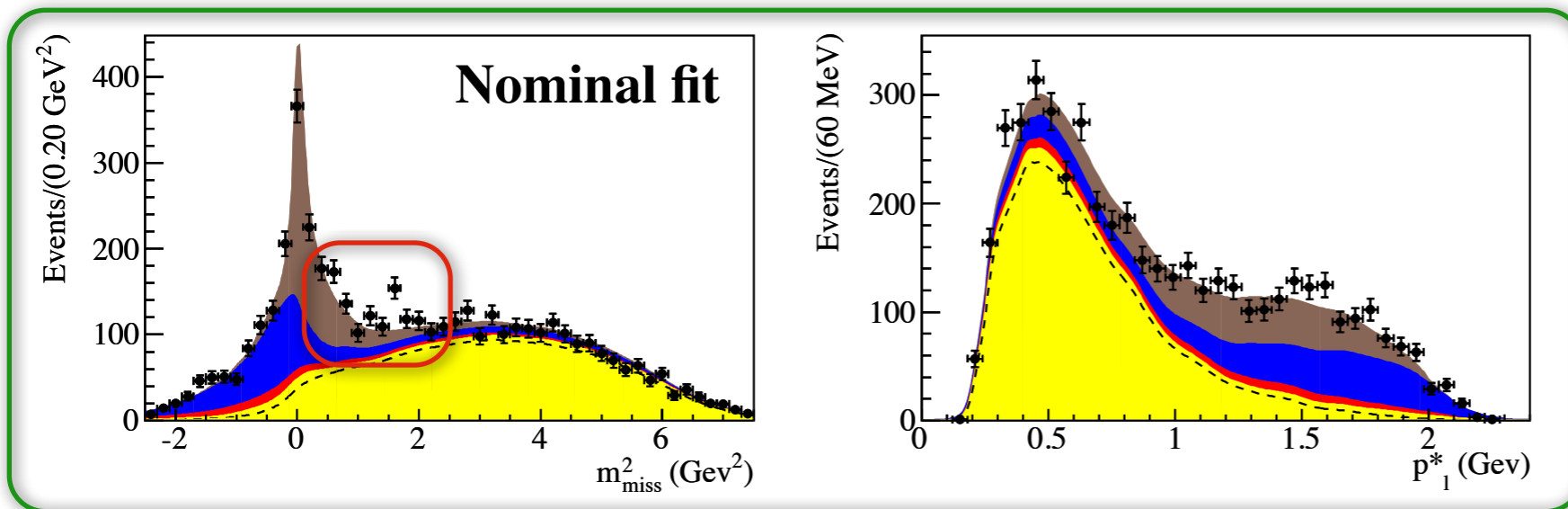


# Systematic uncertainties



- Largest uncertainty due to  $B \rightarrow D^{**}(\ell/\tau)\nu$  background
- Peaks are well described
  - Some excess at  $m^2_{\text{miss}} \sim 1-2 \text{ GeV}^2$

50% uncert. on  $B \rightarrow D^{**} \tau \nu$



*Sum 4  $D^{(*)} \pi^0 \ell \nu$  samples*

- $D^{**}(\rightarrow D^{(*)} \pi) \ell \nu$
- $D^{**}(\rightarrow D^{(*)} \pi \pi) \ell \nu$
- $D^* \ell \nu$
- $D \ell \nu$
- Combinatorial



# Systematics on $q^2$

- $D\tau\nu$
- $D^*\tau\nu$
- ▨  $D\ell\nu$
- ▨  $D^*\ell\nu$
- $D^{**}(\ell/\tau)\nu$
- Bkg.

