# SRF050808-07 <br> OPTIMIZATION OF THE END CELLS IN SRF CAVITIES 

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## INTRODUCTION

The work on increasing the accelerating gradient $E_{\text {acc }}$ in RF superconducting niobium resonators has not terminated. An accelerating gradient of $46 \mathrm{MV} / \mathrm{m}$ (CW) has been achieved at Cornell in 2004 [1] ${ }^{1}$. Here, $E_{\text {acc }}$ is defined as $E_{a c c}=\int_{0}^{L} E d z / L$, which is the average electric field in a cell with length $L$, where $E=E_{z} \cos \omega t=E_{z}(z) \cos 2 \pi z / \lambda$ takes into account the transit time factor. One of the possibilities to increase $E_{\text {acc }}$ is the optimization of the cavity shape [2].

The limit of the accelerating gradient is imposed by $H_{p k} / E_{a c c}$, a ratio that is fixed by geometry, because $H_{p k}$ is bounded above by the critical magnetic field $H_{\text {crit }, R F}$, above which superconductivity breaks down [1, 3]. $E_{p k} / E_{a c c}$ may also impose a limit because $E_{p k}$ being too high would cause the danger of field emission [3]. However, since the limit of $E_{p k}$ can be raised by better cleanliness and high power processing while $H_{p k}$ is a hard limit, it is justifiable to reduce $H_{p k}$ by sacrificing $E_{p k}$ up to a certain bound.

Previous optimization has been done for the inner cells in SRF cavities to reduce $H_{p k} / E_{a c c}$ for given values of $E_{p k} / E_{a c c}$ [2, 4]. However, there is yet to be a systematic description of the optimization of the end cells in SRF cavities.

Unlike the optimization of the inner cells, it is more reasonable to consider maximizing $V_{a c c}$ with the optimization of the end cells. This is because the electric field, in theory, extends to infinity in the tube (but with converging integral) and the definition of $E_{\text {acc }}$ becomes dependent on the tube length. The physically significant figure is the value of acceleration $V_{a c c}=\int_{0}^{+\infty} E d x$, and this is the value that we will maximize.

[^0]In order to evaluate the improvement by the optimization, we compare the ratios $H_{p k} / V_{a c c}$ and $E_{p k} / V_{a c c}$ with that of TESLA. For inner TESLA cells, $E_{p k} / E_{a c c}=2.0$ and $H_{p k} / E_{a c c}=42 \mathrm{Oe} /(\mathrm{MV} / \mathrm{m})$ [5]. We thus define $e=E_{p k} L / 2 V_{a c c}$ and $h=H_{p k} L / 42 V_{a c c}$ with $L=57.6524 \mathrm{~mm}$ (a quarter of wavelength, $\lambda / 4$, for frequency of 1300 Hz ) being the length of the inner cell so that comparison can be made between inner and end cells. For TESLA cells, $e=h=1$. Since we can make sacrifice for $e$, the optimization of the inner cells has been previously done by reducing $h$ while fixing $e=e_{0}=1.2$. Suppose the minimal $h$ achieved for the inner cells was $h_{0}$, which is different for different $R_{b p}$. (For $R_{b p}=35 \mathrm{~mm}$, for example, $h_{0}=0.8996$, i.e., $10 \%$ less than the case of TESLA.) We would then like to minimize $\max \{e / 1.2$, $\left.h / h_{0}\right\}$ for the end cells. The minima must be obtained such that $E_{p k}$ and $H_{p k}$ are attained at the inner end. In this case, we expect the fields in the inner half of the end cell to be identical to the fields in the inner cells and, therefore, $\max \left\{\mathrm{e} / 1.2, \mathrm{~h} / \mathrm{h}_{0}\right\}=e / 1.2=h / h_{0}$.

A previous study has shown that smaller iris radii would further increase the accelerating gradient [6]. This is therefore an incentive for studying the effect of different iris radii on the fields in the end cells. We also studied the possibility of different beam pipe radii because broader beam pipe can help to extract higher order modes.

## THE GEOMETRY FOR OPTIMIZATION

For this optimization, we employ the same construction of the profile line as that of the inner cells [4]. This is constructed as two elliptic arcs (Fig. 1). It has been shown that this shape achieves a better accelerating gradient than that of the "circular arc - straight segment elliptic arc" profile line as in TESLA [3].


Fig. 1: Geometry for calculation.
We only optimize the outer half of the end cell because for the other half we choose a geometry identical to that of the inner cells, which has already been optimized. For the geometry in Fig. 1, we have three independent variables to optimize, namely, $A, B$ and $a$. The other axis of the ellipse, $b$, is fixed by geometry since the two ellipses must have a common tangent at the contact point. $R_{e q}$ is fixed by the optimization of the inner cell. $L$ is chosen by tuning to the correct frequency and for the end cell will be designated as $L_{e}$, Fig. 2. (This is different from the optimization of the inner cells where $L=L_{i}$ was fixed to be $\lambda / 4$ and the frequency is tuned by changing $R_{e q}$.) The frequency that is used is 1300 MHz , but the optimization is valid for any frequency: one needs only to scale all the dimensions.

## THE CODE FOR OPTIMIZATION

The SLANS code [7] is used for this study. SLANS code is designed for numerical calculations of monopole modes of axisymmetric cavities. It uses a finite element method of calculation with a mesh of quadrilateral biquadratic elements [8] and provides high accuracy of the field calculation. In a study comparing different codes for calculating the fields in a spherical cavity, SLANS was shown to have the best performance [9].

For the optimization of the end cells, a special code TunedCellEnd was written by the author of SLANS. This code is based on TunedCell code which was used earlier for optimization of inner cells [6] and is some kind of wrapper code over SLANS code. The TunedCellEnd code automates the tuning the frequency of the end cell by changing its length $L_{e}$. It also makes it possible to calculate a set of cells with different parameters: half-axes $A_{e}, B_{e}$ and $a_{e}$ (Fig. 2).

## LENGTH OF BEAM PIPE

The integration $V_{a c c}=\int_{0}^{+\infty} E d x$ as described above can be approximated by numerical calculations with an appropriate choice of the upper integration limit. It has to be a sufficiently large yet finite number so that the error is sufficiently small. It is also important that the integration limit is not too large for otherwise error will arise from the large mesh size.

We aim at an accuracy of four decimal places in $e$ and $h$. Since $e$ and $h$ varies from 0.8 to 1.3 , they can be taken, for simplicity, to be 1 and thus the absolute error can be taken as the relative error. This, in turn, by the formula of $e$ and $h$, is given by the relative error of $V_{a c c}$, i.e., $\Delta V_{a c c} / V_{a c c}$.

The electric field of the fundamental mode in the beam pipe follows an exponential decay $e^{-\alpha z}$, where $\alpha=2 \pi \sqrt{f_{c}^{2}-f^{2}} / c$, with $f_{c}, f$ and $c$ being the cutoff frequency, frequency of the wave and the speed of light respectively. The calculation of $f_{c}$ is described in the section of Higher Order Modes. Supposing the cavity extends from 0 to $L_{c}$ and the pipe extends from $L_{c}$ to $L_{c}+L_{t}$ (Fig. 2), then the relative error of $V_{a c c}$ is given by

$$
\frac{\Delta V_{a c c}}{V_{a c c}}=\frac{\int_{L_{c}}^{+\infty} E d z}{\int_{0}^{+\infty} E d z} \frac{\int_{L_{c}+L_{t}}^{+\infty} E d z}{\int_{L_{c}}^{+\infty} E d z} \approx \frac{\int_{L_{c}}^{L_{c}+L_{t}} E d z}{\int_{0}^{L_{c}+L_{t}} E d z} e^{-\alpha L_{t}} .
$$

To carry out the approximation, we use the case of $R_{b p}=35 \mathrm{~mm}$. The first fraction is calculated numerically to be 0.054 . Previous calculations were done with $L_{t}=3 R_{b p}$. With this choice of $L_{t}$, the relative error was $5 \times 10^{-4}$. In order to reduce the error, we increased $L_{t}$ to $4 R_{b p}$ and the relative error became $10^{-4}$. Therefore, the error in $e$ and $h$ was also $10^{-4}$, as desired.

## RESULTS

The optimization, with the method mentioned above, has been done for end cells with $R_{a}=R_{b p}=30 \mathrm{~mm}$, $32.5 \mathrm{~mm}, 35 \mathrm{~mm}$ (Fig. 2). The optimized geometric parameters of the outer half of the end cells are presented in Table 1. As mentioned above, the parameters of the inner half of the end cells are taken as that of the optimized inner cells. In Table 1 , the accelerating voltages of the optimized end cells are also compared to that of the optimized inner cells. It is shown that the end cells, after optimization, have larger acceleration than the inner cells. The difference is more significant for smaller $R_{b p}$ (Fig. 3).


Fig. 2: Dimensions of the end cell with $R_{a}=R_{b p}$.
Table 1: Dimensions (in mm ) of the optimized end cells and comparison of $V$ in end cells and inner cells.

| $R_{b p}$ | $A$ | $B$ | $a$ | $b$ | $R_{e q}$ | $L_{e}$ | $V_{\text {end }} / V_{\text {inner }}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 30.0 | 55.56 | 43.13 | 4.11 | 7.25 | 97.374 | 56.411 | 1.0063 |
| 32.5 | 54.95 | 42.91 | 4.30 | 7.48 | 98.000 | 56.712 | 1.0054 |
| 35.0 | 53.53 | 42.79 | 4.61 | 7.70 | 98.705 | 56.240 | 1.0041 |



Fig. 4: Fields along profile line of the end cell before (left) and after (right) optimization.


Fig. 3: The dependence of $V_{e n d} / V_{i n n e r}$ on $R_{b p}$.

In general, after the optimization, the "corner" between the end cell and the beam pipe becomes sharper. After the optimization, $E_{p k}$ is attained at both ends of the end cells, i.e., the two local peaks are equal. Fig. 4 illustrates this by showing a comparison between the electric field along the profile line before and after optimization for $R_{b p}=35 \mathrm{~mm}$.

## RESULTS FOR SINGLE-CELL CAVITY

The optimized results for the ends cells can also be used to construct single-cell cavity (Fig. 5) to carry out an experiment on the accelerating gradient. The goal is to construct a single-cell cavity that has an accelerating gradient higher than that of the cavity which achieved a world record accelerating gradient [1].

The optimized values of both the inner cells of multicell cavities and single-cell cavities are presented in Table 2. The dimensions used for the single-cell cavity were the same as that in Table 1. The fields in each cell are plotted (Fig. 6) for $R_{b p}=30 \mathrm{~mm}$. Fields for $R_{b p}=32.5 \mathrm{~mm}$ and 35 mm have the same pattern. It should be noted that the fields in the end cells consist of two parts: the left part is identical to the left half of the graph (a), and the right part is identical to the right half of the graph (b).

Table 3 shows the values of $e$ and $h$ for the singlecell cavity constructed with the geometric parameters of the optimized end cells. It is expected that the single-cell cavity of $R_{b p}=30 \mathrm{~mm}, 32.5 \mathrm{~mm}, 35 \mathrm{~mm}$ would show improvements of $0.63 \%, 0.55 \%$ and $0.41 \%$ respectively from the optimized end cells as in Table 1, i.e., improvements of $1.3 \%, 1.1 \%$ and $0.82 \%$ respectively from the inner cells. This is because the improvement
obtained by replacing an inner half cell by an end half cell should be the same. This expectation is reached within error.


Fig 5: Shape of single-cell cavity.

Table 2: The dimensions (in mm) of multi-cell and single-cell cavities.

| $R_{b p}$ | Inner cells of Multi-cell Cavity |  |  |  | Single-cell (using end half of end cells) |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $A$ | $B$ | $a$ | $b$ | $L$ | $A$ | $B$ | $a$ | $b$ | $L$ | $R_{e q}$ |
|  | 54.00 | 38.95 | 7.61 | 10.48 | 57.6524 | 55.56 | 43.13 | 4.11 | 7.25 | 56.411 | 97.374 |
| 32.5 | 52.84 | 37.53 | 8.29 | 11.24 | 57.6524 | 54.95 | 42.91 | 4.30 | 7.48 | 56.712 | 98.000 |
| 35 | 51.61 | 36.16 | 9.10 | 11.97 | 57.6524 | 53.53 | 42.79 | 4.61 | 7.70 | 56.237 | 98.705 |

Table 3: $e$ and $h$ values for the single-cell cavities.

| $R_{b p}$ | $e$ | $h$ | $e_{0}$ | $h_{0}$ | $e / e_{0}$ | $h / h_{0}$ | $V_{\text {single }} / V_{\text {inner }}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 30 | 1.1869 | 0.8166 | 1.1999 | 0.8333 | 0.9892 | 0.9800 | 1.0110 |
| 32.5 | 1.1890 | 0.8477 | 1.2000 | 0.8656 | 0.9908 | 0.9793 | 1.0093 |
| 35 | 1.1900 | 0.8816 | 1.2000 | 0.8996 | 0.9917 | 0.9799 | 1.0084 |


(a) Inner cell of multi-cell cavity, $R_{b p}=30 \mathrm{~mm}$

Fig. 6: Fields along the profile lines of inner cells of the multi-cell cavity and of the single-cell cavity.

## HIGHER ORDER MODES

It is another goal of the optimization of the shape of the end cell to extract higher order modes (HOM) in the cavities. The HOMs include the dipole and quadrupole modes and they both have a transverse
component of electric field, which will distort the beam. These HOMs can be got rid of if the beam pipe radius $\left(R_{b p}\right)$ is chosen appropriately so that the frequencies of the HOMs are higher than the cutoff
frequency of the beam pipe and consequently the HOMs will propagate out of the cavities.

The cutoff frequencies of the $\mathrm{TE}_{11}$ (dipole) mode in the beam pipe with various $R_{b p}$ are shown in Table 4. They are calculated by $f=t^{\prime}{ }_{11} / 2 \pi R_{b p} \sqrt{\mu_{0} \varepsilon_{0}}$, where $t_{11}^{\prime}=1.84118$ is the first root of derivative of the Bessel function $J_{l}$. The frequencies of the fundamental and higher order modes in the cavity are shown in the dispersion curves (Fig. 7). The dispersion curves show the phase dependence of the frequencies of each mode in an $R_{b p}=35 \mathrm{~mm}$ cavity. They are found by calculating the eigenmodes inside a 9 -cell cavity with the optimized cell, i.e., the (different) optimized values of the parameters of the inner and end cells are used.


Fig. 7: Dispersion curves for $\mathrm{R}_{\mathrm{bp}}=35 \mathrm{~mm}$.
In Table 4, we also calculate the required $R_{b p}(=55.82 \mathrm{~mm})$, above which the cutoff frequency will be lower than the frequencies of the HOMs. It should be noted that even so the problem of HOMs is not totally eliminated because some HOMs can transform so that they are not propagated out of the tube. Moreover, by increasing $R_{b p}$ we have to make two sacrifices. First, the attenuation of the fundamental mode of the cavity will be slower. Second, as we will show in the next section, the acceleration will be lower.

Table 4: Cutoff frequencies of $\mathrm{TE}_{11}$ mode for different $R_{b p}$.

| $R_{b p} / \mathrm{mm}$ | $f_{\text {cutoff }} / \mathrm{MHz}$ |
| :--- | :--- |
| 35 | 2509.973 |
| 40 | 2196.226 |
| 45 | 1952.201 |
| 50 | 1756.981 |
| 55 | 1597.256 |
| 55.82 | 1573.816 |
| 60 | 1464.151 |

## OPTIMIZATION WITH LARGER BEAM PIPE RADIUS

In order to reduce HOMs, we attempt to optimize two geometries with larger $R_{b p}$. The first geometry is shown in Fig. 8. $R_{b p}$ is changed to be different from $R_{a}$ and we investigate its impact on $V_{a c c}$.


Fig. 8: The shape with $R_{a}<R_{b p}$.
The results for $R_{a}=30 \mathrm{~mm}$ and $R_{a}=35 \mathrm{~mm}$ are shown in Fig. 9. It is shown that as we increase $R_{b p}$ to combat HOMs, we sacrifice $V_{\text {acc }}$. This trade-off is more significant for $R_{a}=30 \mathrm{~mm}$, where $V_{\text {end }} / V_{\text {inner }}$ decreases more rapidly. In fact, for the $\mathrm{R}_{\mathrm{a}}=30 \mathrm{~mm}$ case, it is impossible for $E_{p k}$ to be attained at the inner half for $R_{b p}>40 \mathrm{~mm}$.


Fig. 9: $V_{\text {end }} / V_{i n n e r}$ for various $R_{a}$ and $R_{b p}$ (units in mm).
Another geometry that has been optimized is shown in Fig. 10. It should be noted that the half-cell of the inner cavity is shown for clarity but was not used in the geometry for calculations. $A_{i}, B_{i}$ and $a_{i}$ are chosen as the optimized values of the inner cells and $A_{e}, B_{e}$ and $a_{e}$ are to be optimized as above. Before the optimization, $a_{t}, b_{t}$, and $c$ are chosen arbitrarily. $R_{b p}$ was chosen to be larger than $R_{a e}$ so that the HOMs can be allowed to propagate out without much sacrifice in $V_{a c c}$. The same technique was used with the one-cell KEK cavity and the two-cell cavity of the ERL injector that is under development at Cornell University. We hope to generalize this technique to multi-cell cavities.


Fig. 10: Shape with different $R_{a e}$ and $R_{b p}$.

We optimized the cavity with $R_{a i}=35 \mathrm{~mm}$. We set $a_{t}=9.28 \mathrm{~mm}, b_{t}=12 \mathrm{~mm}$ and $c=3 \mathrm{~mm}$ (and thus $\left.R_{b p}=50 \mathrm{~mm}\right)$. This initial choice of $a_{t}$ and $b_{t}$ is based on the curvature of ellipse in the optimized inner cell. With this choice of $a_{t}, b_{t}$ and $c$, we obtained an optimized value of $V_{e} / V_{i}=0.9875$, which is nearly $8 \%$ better than the value obtained for the $R_{b p}=50 \mathrm{~mm}$ case for the above geometry.

The dependence of the optimization on $a_{t}, b_{t}$ and $c$ is also studied. We vary these parameters while fixing other parameters as above, including the previously optimized parameters $A_{e}, B_{e}$ and $a_{e}$ for the end cell. $b_{t}$ and $c$ are changed together in order to keep $R_{b p}$ constant. It was shown that $\max \left\{e / 1.2, h / h_{0}\right\}$ increases with increasing $b_{t}$ (Fig. 11).


Fig. 11: The dependence of $\max \left\{e / 1.2, h / h_{0}\right\}$ on $b_{t}$ in mm .
In order to minimize $e$ and $h$, we choose $b_{t}=7$ as we study $a_{t} . a_{t}$ is varied from 4.53 mm to 60 mm and it is shown that $\max \left\{e / 1.2, h / h_{0}\right\}$ decreases (Fig. 12), i.e., $V_{a c c}$ increases in the end cell. The decrease levels off at
$a_{t}=50 \mathrm{~mm}$. We then optimize this geometry with $a_{t}=50 \mathrm{~mm}, b_{t}=7 \mathrm{~mm}$ and $c=6 \mathrm{~mm}$. For this geometry, $V_{\text {end }} / V_{\text {inner }}$ is optimized to be 1.0026 , a value that is larger than that of $a_{t}=9.28 \mathrm{~mm}$ but smaller than that in Table 1. It is apparent that as $a_{t} \rightarrow \infty$, this geometry tends to the one in Fig. 2 and $V_{\text {end }} / V_{\text {inner }}$ increases asymptotically to 1.0041 , the value in Table 1.


Fig. 12: The dependence of $\max \left\{e / 1.2, h / h_{0}\right\}$ on $a_{t}$ in mm .

## CONCLUSION

The end cells can be optimized to obtain $V_{\text {acc }}$ better than that of the inner cells. Although this improvement is small (about $0.5 \%$ ), this study nevertheless provides a systematic discussion of the possible improvement that can be given by the optimization of the shapes of the end cells.

The possibility of combating Higher Order Modes by increasing the beam pipe radius is also studied. The final shape has to depend on the trade-off between the reduction of Higher Order Modes and the increase in
accelerating gradient. This, in turn, will depend on further study on Higher Order Modes as the beam pipe radius is increased.

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[^0]:    ${ }^{1}$ Recents tests (July, 2005) of another reentrant Cornell cavity at KEK (Japan) confirmed this experiment with the result of $47 \mathrm{MV} / \mathrm{m}$ (K. Saito, private communication).

